

From: January, Elizabeth
Location: Adobe Connect Webinar: See Desc for Link
Importance: High
Subject: OPA Solicitation Review Process and Strategic Plan Issues
Start Date/Time: Wed 9/6/2017 5:30:00 PM
End Date/Time: Wed 9/6/2017 6:30:00 PM
[EPA Strategic Plan Linkage Protocol.pdf](#)
[Audio Set Up For Your Computer's Speakers \(For Webinars\).pdf](#)
[Protocol for OPA Review of Draft Competitive Grant Solicitations.pdf](#)
[OPA Protocol PN.pdf](#)
[PN-2017-G06 Strategic Plan References.pdf](#)

There is no call-in number. ~~To attend this meeting, please connect to~~

Ex. 6 - Personal Privacy

and make sure you have headphones or speakers connected to your computer. If you have difficulty hearing the audio, please refer to the attached document "Audio Set Up For Your Computer's Speakers".

Please see attached documents for background information. Please note that these documents are for internal use only.

PN-2017-G05

Issuance of the Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations

Effective Date: August 8, 2017

Resources:

Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations

Purpose: This Policy Notice establishes the Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitation.

Background:

The Office of the Administrator has directed that all competitive grant solicitations be reviewed by the Office of Public Affairs (OPA) before they are posted on [Grants.gov](https://www.grants.gov) and before there is any external engagement (e.g., discussions with external stakeholders regarding priorities or other aspects of the competition) relating to the solicitation. This protocol establishes the process for OPA review.

Actions:

Program offices must begin following the referenced [Protocol](#) immediately. Any competitive grant solicitations that have not already been cleared by the Office of Public Affairs must go through the process set forth by the Protocol. No solicitations will be reviewed, published, or approved by the Grants Competition Advocate's office without obtaining approval from OPA first.

Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations

The Office of the Administrator has directed that all competitive grant solicitations be reviewed by the Office of Public Affairs (OPA) before they are posted on [Grants.gov](https://www.epa.gov/grants) and before there is any external engagement (e.g., discussions with external stakeholders regarding priorities or other aspects of the competition) relating to the solicitation. This protocol establishes the process for OPA review.

1. **Program Office Initiates OPA Review Process:** When a program office has a final draft of a solicitation that would otherwise be ready for review through the Next Generation Grants System (NGGS) by the Office of Grants and Debarment's Grants Competition Advocate's Office (GCA's Office) they must first send it to John Konkus, Deputy Associate Administrator for Public Affairs in OPA, for review and approval before they transmit it through NGGS for GCA review.¹
 - a. Program offices must submit a copy of the draft solicitation via email to John Konkus at konkus.john@epa.gov. They must also copy Bruce Binder, Senior Associate Director for Grants Competition, on the email and all subsequent email communications with John Konkus regarding the solicitation, at binder.bruce@epa.gov.
 - b. The email to John Konkus must include the name, email address, and telephone number for the program office's point of contact (POC) to respond to any OPA questions or comments on the solicitation.
2. **OPA Reviews Solicitation:** John Konkus will review the solicitation within 3 business days of receiving it from the program office.
 - a. If he has any concerns, comments, or questions on the solicitation, he will contact the POC listed in the email. If he has any competition or legal concerns he may also contact the GCA's Office.
 - b. The program office will work directly with John Konkus to resolve any issues on the solicitation. The program office may seek assistance from the GCA's Office and/or OGC/ORC as necessary to resolve any issues.
 - c. If John Konkus has no concerns, or his concerns have been addressed, he will contact the POC to communicate OPA's approval of the solicitation.
 - d. After receiving OPA approval, program offices may engage in appropriate external outreach with the grant community regarding the solicitation consistent with the Assistance Agreement Competition Policy and GCA guidance. However, if this engagement results in any substantive changes to the draft solicitation approved by OPA, the program office must resubmit the solicitation to John Konkus for another review (see Step 1).
3. **Program Office Submits OPA-Approved Solicitation for GCA and OGC/ORC Review:** Once OPA has approved the solicitation, the program office must submit the opportunity to the GCA's Office for review via NGGS as is the current practice. The GCA's Office will forward it to OGC/ORC for review as appropriate.
 - a. The program office must include a statement in the comments field of the "Work Flow" section of the NGGS opportunity indicating that OPA has approved the solicitation (and the date of the approval) and/or may attach any written approval received from John Konkus in the "Work Flow" section of the opportunity in NGGS.
 - b. The program office must attach a copy of any comments or revisions made by John Konkus to the solicitation in the "Work Flow" section of the NGGS opportunity.
 - c. If during their review of the solicitation the GCA's Office and/or OGC/ORC raise any comments or concerns with the solicitation that impact or relate to any comments from OPA, they will work with OPA and the program office to resolve the issues.
4. **Solicitation is Posted:** Program offices may post their solicitation on their website only after receiving approval from OPA and the GCA's Office (and OGC/ORC when applicable). The GCA's Office will then post it on [Grants.gov](https://www.epa.gov/grants) consistent with the established process.

¹ Program offices may still work with the GCA's Office and OGC/ORC when developing the solicitation to address any competition or legal issues with the competition prior to sending it to OPA for review.

To: Schulz, Amanda[schulz.amanda@epa.gov]
From: Binder, Bruce
Sent: Thur 9/7/2017 9:56:09 PM
Subject: FW: Public Affairs Review (EPA-OLEM-OBLR-17-10)
17-10.docx

Pls add this one to the chart. Thanks.

From: Bilal, Kari
Sent: Thursday, September 07, 2017 4:49 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>
Subject: Public Affairs Review (EPA-OLEM-OBLR-17-10)

John,

The attached draft RFP was received in OLEM/ARMS for review and approval, before public release. In accordance with the “**Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations**,” we are submitting the draft for OPA review and comment, prior to further action.

Questions/Comments on the draft may be directed to: Kari Bilal /
bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

For OLEM grants assistance & information:
<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:
<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

OVERVIEW

AGENCY: ENVIRONMENTAL PROTECTION AGENCY (EPA)

TITLE: FY18 ENVIRONMENTAL WORKFORCE DEVELOPMENT AND JOB TRAINING (EWDJT) GRANTS

ACTION: Request for Proposals (RFP)

RFP NO: EPA-OLEM-OBLR-17-10

CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NO.: 66.815

DATES: The closing date and time for receipt of proposals is November 27, 2017, 11:59 p.m. ET. Proposals must be submitted electronically through www.grants.gov by 11:59 p.m. ET on November 27, 2017 to receive consideration. Proposals received after 11:59 p.m. ET on November 27, 2017, will not be considered.

SUMMARY: This notice announces the availability of funds and solicits proposals from eligible entities, including nonprofit organizations, to deliver Environmental Workforce Development and Job Training programs that recruit, train, and place local, unemployed and under-employed residents with the skills needed to secure full-time employment in the environmental field.

While Environmental Workforce Development and Job Training Grants require training in brownfield assessment and/or cleanup, these grants also require that Hazardous Waste Operations and Emergency Response (HAZWOPER) training be provided to all individuals being trained. EPA encourages applicants to develop their curricula based on local labor market assessments and employers' hiring needs, while also delivering comprehensive training that results in graduates securing multiple certifications.

For the purposes of these guidelines, the term "grant" refers to the cooperative agreement that EPA will award to a successful applicant. Please refer to [Section II.C.](#) for a description of EPA's anticipated substantial involvement in the financial assistance agreements awarded under these guidelines.

NOTE: EPA also urges applicants to review the Frequently Asked Questions, which can be found at [link to FY18 FAQs](#).

FUNDING/AWARDS: The total funding available under this competitive opportunity is approximately \$3,000,000, subject to availability of funds, quality of proposals received, and other applicable considerations for FY 2018. EPA anticipates awarding approximately 15-16 Environmental Workforce Development and Job Training cooperative agreements at amounts up to \$200,000.

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SECTION I – FUNDING OPPORTUNITY DESCRIPTION

This funding opportunity is made available through EPA's Office of Brownfields and Land Revitalization (OBLR), and supported by other program offices including the Office of Resource Conservation and Recovery (ORCR), Office of Superfund Remediation and Technology Innovation (OSRTI), Center for Program Analysis (CPA), Innovation, Partnerships, and Communication Office (IPCO), Office of Water (OW), Office of Chemical Safety and Pollution Prevention (OCSPP), and the Office of Emergency Management (OEM). As a result, the Environmental Workforce Development and Job Training (EWDJT) Program allows applicants to deliver a broader array of training in the environmental field, in addition to the traditional brownfields hazardous waste and petroleum cleanup training historically provided.

led an effort to more closely collaborate with other programs within the Agency to expand workforce development and job training. Program now participating in the broader training program include This initiative was created to develop a job training cooperative agreement opportunity that includes training in other environmental media outside the traditional scope of brownfields hazardous waste assessment and cleanup.

Through the EWDJT Program, graduates develop additional skill sets that improve their ability to secure full-time, sustainable employment in various aspects of hazardous and solid waste management and within the larger environmental field, including sustainable cleanup and reuse, water quality improvement, chemical safety, and pesticide management.

I.A. Description of Grant

A critical part of the EPA's EWDJT program is to further environmental justice by ensuring that residents living in communities historically affected by economic disinvestment, health disparities, and environmental contamination, including low-income, minority, and tribal communities, have an opportunity to reap the benefits of revitalization and environmental cleanup. Through the link to on-the-ground assessment and cleanup activities, Environmental Workforce Development and Job Training Grants train unemployed and under-employed residents of communities impacted by a variety of waste facilities, blighted properties, contaminated sites, and other environmental issues, for environmental jobs that contractors may otherwise fill from outside the affected community. EWDJT Grants help residents take advantage of the jobs created by the management, assessment, cleanup, and revitalization of solid and hazardous waste sites, as well as other environmental projects in their communities, such as water quality improvement, chemical risk management, and pesticide management efforts. Applicants must target dislocated workers, or those laid off as a result of recent manufacturing plant closures, severely under-employed individuals, or unemployed individuals, including low-income and minority residents of waste-impacted communities, veterans, and those with little to no advanced education.

Applicants will be evaluated on the extent to which they have partnered with, and secured hiring commitments from local contractors and other stakeholders where EPA-funded projects for brownfields, Superfund sites, landfills, oil spill sites, recent disaster areas, wastewater treatment facilities, or EPA-funded state or tribal corrective actions, closures at solid or hazardous waste facilities, or landfills are located. Applicants should make efforts to link graduates of EWDJT

programs with environmental employment that involves preventing, assessing, managing, and cleaning up contaminated sites or working in environmental areas in the graduates' respective communities. Proposed training should be directly linked to ongoing environmental employment opportunities taking place in the respective community.

To date, EPA has funded 288 job training grants totaling approximately \$60 million through the Job Training program. As of September 2017, approximately 16,500 individuals have completed training, and over 12,000 of those graduates obtained employment in the environmental field, earning over an average of \$14 an hour as their starting hourly wage. This equates to a cumulative placement rate of approximately 73% since the program was created in 1998.

I.B. Use of Grant Funds

In addition to brownfields hazardous waste training, which includes sustainability and equitable development, applicants may choose to deliver a variety of environmental training, allowing the applicant to tailor the curriculum of their program to the labor market needs of their targeted community. Applicants may include training related to one, multiple, or none of the training areas described below. The only required training is OSHA 29 CFR 1910.120 40-hour HAZWOPER, beyond this, the applicant has the ability to design a curriculum as they see fit so long as the courses offered are an eligible use of grant funds. Applicants have the option to deliver any of the training listed below at awareness, intermediate, or advanced levels. The funding levels for these subject areas vary. Applicants are encouraged to review the available funding amounts, in [Section II.A](#), while developing their curriculum. While advanced courses can be funded, please keep in mind that if those advanced courses fall within a category with limited funds, the EPA may not be able to fund that course in its entirety, depending upon the available amount of funding.

The applicant's transmittal letter must indicate what other types of environmental training listed below, if any, they choose to deliver, including:

1. Solid waste management or cleanup training, such as integrated solid waste management, including, but not limited to household and industrial recycling management and operations; collection; operators of material recovery facility and/or recycling centers; electronics and household hazardous waste collection and recycling program operators; construction and demolition debris collection and recycling management; recycling center operators; training associated with solid and hazardous waste facility corrective action, landfill closures and capping activities; and waste minimization efforts.
2. Superfund site cleanup and innovative and alternative treatment technologies training, "green remediation" technologies, such as phytoremediation, bioremediation, or soil amendments; advanced sampling instrument operator training; or training in the reuse of biosolids and other industry residuals.
3. Wastewater treatment training, such as wastewater treatment facility operations (treatment, collection, storage, and disposal) training, decentralized wastewater treatment systems maintenance, or other related wastewater management topics. *Please note that the EPA will use brownfields hazardous waste (CERCLA 104(k)(6)) funds on grant awards where*

applicants propose to deliver stormwater management; green infrastructure installation, management, and maintenance; or low impact development (LID) training.

4. Emergency planning, preparedness, and response training, such as training for conducting hazards analysis on the chemical facility risks in the community; developing local emergency response plans; organizing and implementing exercises; outreach to the public; spill response and cleanup, including industrial and environmental (e.g., oil spills, natural disasters, etc.); first responder, disaster site worker certification, and National Incident Management System (NIMS) training.
5. Enhanced environmental health and safety training, such as promoting chemical (substance, mixture, or article) safety awareness and stewardship; safe work practices (including an overview of the content of material safety datasheets (MSDS), information on exposure guideline limits (Occupational Exposure Limits and Recommended Exposure Limits), information contained within the NIOSH pocket guide to chemical hazards, or the OSHA/EPA Occupational Chemical Database); chemical inventories; inspection and proper chemical storage; engineering controls, such as well-designed ventilation to promote air exchange; use of correct personal protective equipment, including respiratory protection, gloves, goggles, or coveralls; isolation of work areas; safe storage and handling of chemicals; promoting sanitation and hygiene; prevention of spills; universal hazard communication; green chemistry; medical waste handling and disposal; and training in an overview of any existing chemical-specific worker training and certification programs, including but not limited to: lead abatement; lead renovation, repair, and painting (RRP); asbestos; diisocyanates (auto-refinishing and spray polyurethane foam); pesticide worker protection standards; PFCs; PBDEs/HBCD; and others.
6. Integrated pest management (IPM) training for public housing and project-based rental assistance properties, including training in pesticide prevention and the safe application of pesticides.
7. Alternative energy technologies, such as training in the installation of solar, wind, or geothermal power systems or alternative fuels (e.g., biofuels), including preparing sites for renewable energy installation.

I.C. Eligible Uses of Grant Funds

Grant funds must be for direct programmatic costs associated with implementing an EWDJT program. Examples of eligible uses of grant funds are listed below. Please note that this list is intended to be illustrative and applicants may apply for funding for other types of **related** environmental training consistent with the statutory authority for this RFP (see [Section I.G.](#)). Applicants must indicate what training they propose to deliver in their training program description.

- Personnel costs for instructors to conduct training, fringe benefits, and/or personnel costs for tasks associated with programmatic reporting requirements.
- Costs for screening and placement of individuals in the training program.
- Costs for training materials and work gear associated with the training curriculum.

- Development and refinement of existing curricula for training.
- Implementing job development outreach activities directed toward engaging prospective employers to be involved in the job training program and to hire graduates.
- Training in the assessment, inventory, analysis, and remediation of sites or facilities at which hazardous substances, pollutants, contaminants, and petroleum products are located, transported, or disposed, including training for jobs in environmental sampling, demolition, underground storage tank removal, groundwater extraction, and site remediation associated with brownfields.
- Training participants in the use of techniques and methods for cleanup of hazardous substances, petroleum, and pollutants, such as asbestos abatement; lead abatement; lead renovation, repair, and painting (RRP); mold remediation; and cleaning up sites contaminated by the manufacturing of illegal drugs (e.g., methamphetamine labs), abandoned gas stations, or mine-scarred lands.
- Training in confined space entry.
- Training in first-aid, cardiopulmonary resuscitation (CPR), and blood-borne pathogens.
- Training in chemistry, toxicology, and geology to the extent necessary to inventory, assess, remediate, and clean up contaminated sites.
- Training in the requirements and implementation of the All Appropriate Inquiries (AAI) Final Rule, as required in CERCLA Section 101(35)(B), and due diligence.¹
- Training in radiation safety and the cleanup of uranium mine tailings.
- Training in HAZMAT, commercial driver's license (CDL), forklift, and machine operations associated with the transportation of hazardous waste.
- Training in Freon removal or the removal of hazardous substances from white goods.
- Training in weatherization; Building Performance Institute (BPI) training; energy efficiency retrofitting; heating, ventilation, and air conditioning (HVAC); and energy auditing.
- Training in the use of compost and soil amendments and associated sampling, testing, and design considerations, and management techniques to support the assessment and cleanup of sites for urban agriculture and horticulture.
- Training participants in planning and conducting ecological restoration of contaminated land, including general botanical classes or introductory horticultural classes related to land

¹ Due diligence is the process for evaluating a property for the potential presence of environmental contamination, and for assessing potential liability for any contamination present at the property.

and stream restoration or indigenous species and native plant re-vegetation; landscaping; and soil science.

- Training in adaptation or resiliency, including wildlife hazing.
- Training in the various certifications of Leadership in Energy and Environmental Design (LEED).
- Training in building trades related to constructing berms, caps, synthetic barriers, pumping facilities, and similar structures to remediate contamination.
- Training in national historic preservation and tribal historic preservation regulations associated with cleanup projects.
- Training in vapor intrusion testing and mitigation.
- Training in site surveying, mapping, blueprint reading, computer-aided design and drafting (CADD), and geographic information systems (GIS).
- On-the-job training insurance for trainees.
- Costs associated with health exams (e.g., pulmonary function tests), drug testing, or licensing fees directly related to the training and/or the placement of graduates in environmental work.
- Costs used to cover rental fees associated with training facilities or minor alteration of existing facilities. (Construction costs are not allowable.)
- Costs associated with eligible participant support costs, including transportation for trainees for site visits during training or to transport trainees to and from class.

I.D. Ineligible Uses of Grant Funds

Examples of ineligible uses of grant funds are listed below. Please note that this list is intended to be illustrative and is not all inclusive. **Grant funds may not be used for the following activities:**

- Training in general construction skills and trades (e.g., carpentry, plumbing, electricity, etc.).
- Training in natural resource extraction or related processes, such as hydraulic fracturing, oil refinery, or mining operations.
- Conducting actual site assessments or cleanups, except within the context of on-the-job training.
- Conducting response activities often associated with cleanups (e.g., landscaping, demolition, and groundwater extraction), except within the context of on-the-job training assignments. Assessment, cleanup, and associated activity costs must be funded through

other means.

- General or life skills education activities, such as remedial classes in math and reading; job readiness training, such as developing resumes and acquiring interview skills; GED costs; website development; vehicle or medical insurance; or child care and daycare costs.
- Stipends for students, including on-the-job training costs, or scholarship funds to support students' enrollment in college courses. As noted above, stipends for student transportation expenses are eligible.
- Membership fees, such as fees required to join placement service organizations or environmental organizations.
- Providing food or light refreshments to employees, instructors, and trainees - except at graduation ceremonies.
- Training that seeks to test a product or is intended to expand a business, including training that seeks to expand construction and demolition debris recycling businesses for example, or training that is intended to only serve staff of an existing business who are already employed with that business.
- Training in firefighting, including wildfire firefighting, unless the training is a component of environmental disaster response training.
- Costs that are unallowable (e.g., lobbying, fundraising, alcoholic beverages) under Cost Principals 2 CFR 200 and 1500, as applicable.
- Matching any other federal funds (unless there is specific statutory authority for the match). None of the statutory authorities listed above provide this authority. Grant funds may be used to match state or local funds, if authorized by the relevant state statute or local ordinance.
- Construction or substantial rehabilitation of buildings or other facilities to house training.
- Foreign travel.
- Proposal preparation costs.
- Administrative costs, management fees, penalties, or fines. (Refer to [Appendix 1: Prohibitions on Use of Funds.](#))

See <http://www.epa.gov/brownfields> for additional information on ineligible grant activities.

I.E. EPA Strategic Plan Linkage

EPA's Strategic Plan is available at <https://www.epa.gov/planandbudget/strategicplan.html>. The activities to be funded under this announcement will be linked to EPA's strategic plan consistent with EPA's current priorities for cleaning up contaminated sites and returning land back to

communities. Applicants must explain in their proposal how their project will further these current priorities.

I.F. Measuring Environmental Results: Anticipated Outputs/Outcomes

Pursuant to [EPA Order 5700.7A1](#), “Environmental Results under EPA Assistance Agreements,” EPA requires that all grant applicants and recipients adequately address environmental outputs and outcomes.

EPA must report on the success of its Environmental Workforce Development and Job Training Program through measurable outputs and outcomes, such as the number of individuals recruited, trained, certified, and placed directly in environmental careers, as well as average hourly starting wage. Applicants must discuss in their proposals how funding will achieve environmental outputs and outcomes. Outputs specific to each project will be identified as deliverables in the work plan negotiated if the proposal is selected for award. Grantees will be expected to report progress toward the attainment of project outputs during the project performance period.

Outputs and outcomes are defined as follows:

1. Outputs: The term “output” refers to an environmental activity, effort, and/or associated work product related to an environmental goal or objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during the project period. The expected outputs for the grants awarded under these guidelines may include but are not limited to:
 - Number of individuals recruited, trained, certified, and placed in environmental careers in communities impacted by solid and hazardous waste sites and facilities. Each grant award is anticipated to result in at least 50 individuals completing training, with a minimum job placement rate of 70%. These target numbers are approximations and will vary by grantee depending on the comprehensiveness of a curriculum and where the grantee is located (urban versus rural locations where a larger number of individuals may be more easily recruited than locations where recruitment may be more challenging as a result of smaller populations).
 - Number of classroom style trainings, practical trainings, and curricula modules.
 - Number of appropriate certifications in environmental sampling and site cleanup methods.
 - Number of certifications in OSHA 29 CFR 1910.120 40-hour HAZWOPER training.

2. Outcomes: The term “outcome” refers to the result, effect, or consequence that will occur from carrying out the activities under the grant. Outcomes may be environmental, behavioral, health-related, or programmatic; must be qualitative or quantitative; and may not necessarily be achievable during the project period. EPA anticipates the outcomes from the projects awarded under this announcement may be an increase in the capacity of governmental entities and nonprofit organizations to:

- Help residents of communities take advantage of jobs created by the assessment, cleanup, and management of solid and hazardous waste sites and facilities while addressing environmental justice concerns.
- Provide training that leads to sustainable employment in the environmental field.
- Improve community involvement and stimulate the development of constructive partnerships.
- Reduce chemical exposures and improve the health of workers, occupants, and residents.
- Improved knowledge—in acquisition and attainment—of pest and pesticide safety information.
- Increase safety by improving pest and pesticide management.
- Improve pest management and reduced pest complaints.
- Foster self-sufficiency and enhance the skills and availability of labor for environmental remediation in environmental justice and other communities impacted by environmental contamination.
- Enable residents to participate in the promotion of environmental health and occupational safety, both on the job and in their communities.

I.G. Supplementary Information

The statutory authorities for assistance agreements expected to be awarded by EPA under this announcement are listed below.

1. Section 104(k)(6) of CERCLA-State and Tribal Assistance Grants (STAG) appropriations (hazardous substances and petroleum)
2. Section 311(b)(3) of CERCLA-Superfund appropriations
3. Section 104(b) of the Clean Water Act
4. Section 8001 of the Solid Waste Disposal Act-Environmental Programs and Management (EPM) appropriations
5. Section 10 of Toxic Substances Control Act-Environmental Programs and Management (EPM) appropriations
6. Section 20 of Federal Insecticide, Fungicide, and Rodenticide Act- Environmental Programs and Management (EPM) appropriations

As required by 2 CFR § 200.113, non-federal entities or applicants for a Federal award must disclose, in a timely manner, in writing to the Federal awarding agency or pass-through entity all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially

affecting the Federal award. Failure to make required disclosures can result in any of the remedies described in 2 CFR § 200.338, including suspension and debarment.

SECTION II – AWARD INFORMATION

II.A. What is the Amount of Available Funding?

The total funding available under this competitive opportunity is approximately \$3,000,000, subject to availability of funds, quality of proposals received, and other applicable considerations for FY18. EPA anticipates awarding approximately 15-16 environmental workforce development and job training cooperative agreements. Applicants may apply for up to \$200,000 of EPA funds.

Of the total \$3,000,000 available, the EPA estimates based on previous grant cycles that the following funding amounts will be available to support the various types of training either at the awareness or advanced level, with the majority of funding being used to support brownfields assessment, cleanup, and hazardous waste related training:

- Brownfields hazardous waste assessment and cleanup training, including petroleum cleanup training: \$2,865,000
- Solid Waste Management or Cleanup training: \$10,000
- Superfund site cleanup and innovative and alternative treatment technologies training: \$25,000
- Wastewater treatment training: \$40,000
- Emergency planning, preparedness, and response training: \$20,000
- Enhanced environmental health and safety training: \$15,000
- Integrated Pest Management (IPM) training: \$10,000
- Alternative energy technologies (e.g. solar installation training, training in the preparation of formerly contaminated sites for renewable energy purposes, etc.): \$15,000

Please note that applicants are required to provide cost estimates for each training course identified in their proposed training curriculum. Applicants must also indicate what percentage of their total grant funds are being designated to support each training course. Based on the funding amounts listed above, EPA may only be able to fund a limited amount of advanced level courses in subject areas beyond brownfields hazardous waste training. Depending on the quality of proposals received and what level of training is proposed, EPA may be able to fund multiple proposals that seek to deliver awareness and/or intermediate level training in the categories listed above. Based on the limited amounts of funding to support certain types of training, the EPA may not be able to fund all training courses proposed by an applicant. **Applicants should take this into consideration when they decide what types of training they will offer and at what level it will be delivered. Applicants will not have the ability to revise their proposals, substitute, or alter their training curricula if the proposal is selected for funding and certain types of training are unable to be funded.**

EPA reserves the right to make additional awards under this competition, consistent with Agency policy, if additional funding becomes available. Any additional selections for awards will be made no later than six months from the date of the original selection decision. EPA reserves the

right to reject all proposals and make no awards under this announcement or make fewer awards than anticipated.

In appropriate circumstances, EPA reserves the right to partially fund proposals by funding discrete portions, types of training, or phases of proposed projects. To maintain the integrity of the competition and selection process, EPA, if it decides to partially fund a proposal, will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal, or portion thereof, was evaluated and selected for award.

Awards may be fully or incrementally funded, as appropriate, based on funding availability, satisfactory performance, and other applicable considerations.

II.B. What is the Project Period for Award(s) Resulting from this Solicitation?

The project period for Environmental Workforce Development and Job Training Grants is three years. Training is anticipated to have concluded by the end of year two, with the third year of the award devoted to the placement of remaining graduates in employment and reporting accomplishments data to the EPA.

II.C. Substantial Involvement

The Environmental Workforce Development and Job Training Grant will be awarded in the form of a cooperative agreement. Cooperative agreements permit the EPA's Project Officers to be substantially involved in overseeing the work performed by the selected recipients. Although EPA will negotiate precise terms and conditions relating to substantial involvement as part of the award process, the anticipated substantial federal involvement for this project may include:

- Close monitoring of the recipient's performance to verify the results.
- Collaborating during performance of the scope of work.
- In accordance with 2 CFR 200.317 and 2 CFR 200.318, as appropriate, review of proposed procurements.
- Reviewing qualifications of key personnel. (EPA will not select employees or contractors employed by the award recipient).
- Reviewing and commenting on reports prepared under the cooperative agreement. (The final decision on the content of reports rests with the recipient.)
- Reviewing outputs and outcomes to ensure substantial progress has been made in accordance with the cooperative agreement terms and conditions.
- Approval of project phases, such as curriculum development, prior to the implementation of training.

SECTION III – APPLICANT ELIGIBILITY

III.A. Who Can Apply?

In accordance with CFDA 66.815, the following entities are eligible to apply for an Environmental Workforce Development and Job Training Grant:

- General Purpose Unit of Local Government (as defined under 2 CFR 200.64).

- Land Clearance Authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government.
- Government entity created by State Legislature.
- Regional Council or group of General Purpose Units of Local Government.
- Redevelopment Agency that is chartered or otherwise sanctioned by a State.
- State.
- Indian Tribe other than in Alaska. (The exclusion of Alaskan tribes from grant eligibility is statutory at CERCLA §104(k)(1)). Intertribal Consortia are eligible for funding in accordance with EPA's policy for funding intertribal consortia published in the Federal Register on November 4, 2002, at 67 Fed. Reg. 67181. (This policy also may be obtained from your EPA Regional Job Training Coordinator listed)
- Alaskan Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. (Alaskan Native Regional Corporation and Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following).)
- Nonprofit organizations. For purposes of this grant program, the term "nonprofit organization" means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest; is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization. Workforce Investment Boards and organized Labor Unions that meet these criteria may be eligible nonprofit organizations. Public and nonprofit private educational institutions are eligible to apply. However, nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For-profit or proprietary training organizations or trade schools are not eligible to apply.

III.B. Voluntary Cost Share/Leveraging

Matching funds are not required under this competition. Although cost-sharing or matching is not required as a condition of eligibility under this competition of this announcement, EPA will evaluate responses to the *Leveraging* criterion ([Section IV.C.3.7.](#)). Leveraging is when an applicant proposes to provide its own additional funds/resources or those from third party sources to support or complement the project they are awarded under the competition which are above and beyond the EPA grant funds awarded. Any leveraged funds/resources, and their source, must be identified in the proposal. Leveraged funds and resources may take various forms as noted below.

Voluntary cost share is a form of leveraging. Voluntary cost sharing is when an applicant voluntarily proposes to legally commit to provide costs or contributions to support the project when a cost share is not required. Applicants who propose to use a voluntary cost share must include the costs or contributions for the voluntary cost share in the project budget on the SF-424. If an applicant proposes a voluntary cost share, the following apply:

- A voluntary cost share is subject to the match provisions in the grant regulations (2 CFR 200.306, as applicable).
- A voluntary cost share may only be met with eligible and allowable costs.

- The recipient may not use other sources of federal funds to meet a voluntary cost share unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost share requirement on a federal grant.
- The recipient is legally obligated to meet any proposed voluntary cost share that is included in the approved project budget. If the proposed voluntary cost share does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR 200.338.

Other leveraged funding/resources that are not identified as a voluntary cost share. This form of leveraging may be met by funding from another federal grant, from an applicant's own resources, or resources from other third party sources. This form of leveraging should be discussed in the proposal, but should not be included in the budget narrative or table. Costs covered by this form of leveraging need not be eligible and allowable project costs under the EPA cooperative agreement. While this form of leveraging should not be included in the budget, if selected, the grant work plan should include a statement indicating that the applicant is expected to produce the proposed leveraging consistent with the terms of the announcement and the applicant's proposal. If applicants propose to provide this form of leveraging, EPA expects them to make the effort to secure the leveraged resources described in their proposals. If the proposed leveraging does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR Parts 200 or 1500.

III.C. Threshold Eligibility Criteria

This section contains the threshold eligibility criteria that ensure applicants are eligible to receive EWDJT grants. Threshold criteria are evaluated on a pass or fail basis. Only those proposals that **specifically address and pass each of the eight threshold criteria** listed below, and comply with the other requirements, will be evaluated against the ranking criteria in [Section V.A.](#) of this announcement. Applicants deemed ineligible for funding consideration as a result of failing threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

For purposes of the threshold eligibility review, EPA may seek clarification of applicant information. Applicants that simply fail to address a threshold criterion may not be provided an opportunity to respond or submit clarifying information so as not to provide an applicant an unfair competitive advantage or to provide the appearance of an unfair competitive advantage over applicants who submit complete proposals. The applicant's responses to each of the threshold criteria **must be included in the transmittal letter** submitted to EPA.

Ineligible costs/activities: If a proposal is submitted that includes any ineligible tasks or activities, that portion of the proposal will be ineligible for funding and may, depending on the extent to which it affects the proposal, render the entire proposal ineligible for funding.

1. Applicant Eligibility

Describe how you are an eligible applicant as described in [Section III.A.](#) For entities other than cities, counties, tribes, or states, please attach documentation of your eligibility, such as nonprofit status, resolutions, or statutes.

2. Demonstration that Proposed Project Does Not Duplicate Other Federally Funded Environmental Job Training Programs

Demonstrate that the proposed training project does not duplicate other federally funded programs for environmental job training in your target community, including training provided through the EPA's Superfund Job Training Initiative (SuperJTI); the EPA's Environmental Justice Small Grants Program CFDA 66.604; and the EPA's Surveys, Studies, Investigations, Training, and Special Purpose Activities Relating to Environmental Justice Grants Program CFDA 66.309. Applicants must demonstrate that the proposed training project does not duplicate National Institute of Environmental Health Sciences (NIEHS) Hazardous Waste Worker Training programs in their target community or Department of Labor (DOL) grant funds that include brownfields remediation, renewable energy, HVAC, or other energy-related training or wastewater treatment technology operator training. Federal agencies maintain lists of these grant programs at the following websites:

EPA: www.epa.gov/superfund/superfund-job-training-initiative
www.epa.gov/environmentaljustice

NIEHS: www.niehs.nih.gov/careers/hazmat/about_wetp/ecwtp/index.cfm

DOL: www.dol.gov/ocia/grants.htm

If you are listed on any of these websites as a recipient, you must demonstrate how services under this proposed project will complement, but not duplicate the existing federal environmental job training activities (i.e., different target audience, such as a different age group, differing types of certification training).

If you are not listed on the above websites, but are the recipient of other federally funded environmental or "green job" training programs serving your area or community(ies), you must similarly demonstrate how services under this proposed project will complement, but not duplicate, the existing federal environmental job training activities. These other programs may include funding provided by Housing and Urban Development (HUD), Department of Energy (DOE), Health and Human Services (HHS), and other federal agencies. The EPA may also conduct internal screening to ensure demonstration of non-duplication and will coordinate duplication screening with federal partners.

If your proposed target community is not a recipient of any federally funded environmental or "green job" training programs, a statement to this effect must be included in the threshold criteria section of your transmittal letter.

3. Required HAZWOPER Training

Your proposal must include OSHA 29 CFR 1910.120 40-hour Hazardous Waste Operations and Emergency Response (HAZWOPER) training and be provided to **all trainees** in the proposed program. Proposals that do not include 40-hour HAZWOPER in their training curriculum will fail.

4. Federal Funds Requested/Funding Amount

Proposals with project periods exceeding three (3) years or requesting more than \$200,000 in federal funds for the entire project period will not be reviewed. Please indicate your requested funding amount and estimated project period.

5. Substantial Conformity with Instructions and Format Requirements

Proposals must substantially conform to the proposal submission instructions and format requirements set forth in [Section IV](#) of this announcement or else they will be rejected.

6. Training Curriculum Chart Indicating the Cost of Each Course

As part of the proposal, applicants must submit a detailed training curriculum chart with associated costs for each training course as referenced in [Section IV.C.3](#). Applicants must calculate and indicate what percentage of the grant budget will be allocated for each training course within this chart. The training curriculum chart with associated costs for each training course is not considered an attachment and must be included with responses to the ranking criteria as part of the narrative proposal. When addressing this threshold criterion on your transmittal letter, indicate on which page your training curriculum chart can be found.

7. Target Area and Proposal Submission Requirement

Applicants can only propose to serve one target area. Applicants can not submit multiple proposals. Please note that applicants who received an EWDJT Grant from EPA in Fiscal Year 2017 (FY17) are not eligible to apply under this competition. Grantees who received EWDJT funding in FY17 may not apply under this competition under any circumstance, even if the applicant proposes to serve a different city or target area. Applicants who received an EWDJT grant in, or before, Fiscal Year 2016, and who did not receive funding in FY17 as described above, are eligible to apply for funding under this competition. Please indicate what community you propose to serve, including the town or city, as well as the neighborhood(s). As discussed, applicants cannot propose to serve multiple metropolitan areas or target areas. However, the EPA will consider proposals that propose to serve large areas, especially in rural communities, which may include a number of towns or proposals that seek to serve sister-cities for example.

8. Submission of Proposals

Proposals must be submitted and successfully received through www.grants.gov by the deadline of November 27, 2017. Proposals submitted after the submission deadline of November 27, 2017 will be considered late and deemed ineligible without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical problems associated with www.grants.gov or relevant www.sam.gov system issues. An applicant's failure to timely submit their proposal/application through www.grants.gov because they did not timely or properly register in www.sam.gov or www.grants.gov will not be considered an acceptable reason to consider a late submission. Applicants are responsible for ensuring their proposal/application is submitted and accepted by www.grants.gov by the deadline. Additional instructions for submitting your proposal can be found in [Appendix 2](#). Applicants should confirm receipt of their proposal with **Rachel Congdon at EPA at (202) 566-1564, or via email at congdon.rachel@epa.gov**, as soon as possible after the submission deadline if the applicant has concern regarding www.grants.gov submission — failure to do so may result in your proposal not being reviewed.

SECTION IV – PROPOSAL SUBMISSION INFORMATION

IV.A. How to Obtain an Application Package

Electronic copies of this RFP can be obtained at www.grants.gov or through the EPA's Brownfields Program website at www.epa.gov/brownfields.

IV.B. Due Date and Submission Instructions

Your organization's Authorized Organization Representative (AOR) must submit your complete application package electronically to EPA through www.grants.gov. Proposals must be received no later than 11:59 p.m. ET on November 27, 2017. Please allow enough time to successfully submit your application package and allow for unexpected errors that may require you to resubmit.

Proposals received after 11:59 p.m. ET, November 27, 2017, will not be considered for funding.

In order to submit a proposal² through www.grants.gov, you must:

1. Have an active DUNS number,
2. Have an active System for Award Management (SAM) account in www.sam.gov,
3. Be registered in www.grants.gov, and
4. Be designated as your organization's AOR.

The registration process for all of the above items **may take a month or more to complete.** Occasionally, technical and other issues arise when using www.grants.gov.

The electronic submission of your application must be made by the AOR of your institution who is registered with www.grants.gov and is authorized to sign applications for federal assistance. Refer to Appendix 2 for specific instructions on the use of www.grants.gov

After signing and successfully submitting the application package, within 24 to 48 hours the AOR should receive notification emails from www.grants.gov with the following subject lines:

- 1. GRANT##### Grants.gov Submission Receipt**
- 2. GRANT##### Grants.gov Submission Validation Receipt for Application**

If the AOR did not receive either notification emails listed above, contact the www.grants.gov Help Desk at 1-800-518-4726. The Help Desk is open 24/7 (except federal holidays).

After the application package is retrieved out of the www.grants.gov system by EPA, the AOR should receive the following notification emails from www.grants.gov:

- 3. GRANT##### Grants.gov Grantor Agency Retrieval Receipt for Application**
- 4. GRANT##### Grants.gov Agency Tracking Number Assignment for Application**

² Note that the terms "proposal" and "application" mean the same thing for the purposes of this competition. The files that you submit through www.grants.gov as your proposal are what is known as an application package in www.grants.gov.

In the event that you experience difficulties transmitting the proposal through www.grants.gov, please refer to the procedures in Appendix 2.

If you do not have the technical capability to apply electronically through www.grants.gov because of limited or no Internet access which prevents you from being able to upload the required application materials to www.grants.gov, please refer to the procedures in Appendix 2.

IV.C. Content and Form of Proposal Submission

All pages exceeding the page limits described below will not be reviewed. Upon receipt, proposals will be reviewed for substantial conformity with the format and page limits listed below, per the threshold criteria. All application materials, including support letters, must be submitted in English. Photos and graphics **will not be considered**. The narrative proposal and transmittal letter must be typed on 8¹/₂ x 11 paper and use Times New Roman, Arial, or Calibri font, sized no smaller than 11 point, and have one (1)-inch margins. Attachments are limited to those identified below and are limited to one scanned image per page. Applicants are responsible for submitting a complete proposal, as described below, by the due date.

1. Proposal Content

All proposals must substantially conform to the following outline and content (pages in excess of the stated page limitations will not be reviewed):

- The transmittal letter, **including responses to all threshold criteria** (three (3)-page limit) – See IV.C.2. below.
- The narrative proposal, which includes the responses to all **ranking** criteria (fifteen (15)-page limit) – See IV.C.3. below.
- Attachments (fifteen (15)-page limit) – See IV.C.4. below.
 - Documentation of applicant eligibility, if applicable
 - Milestones schedule
 - Other Factors Checklist
 - General letters of support from partners, including employers, as identified in your proposal

Note: Documentation of nonprofit applicant eligibility must be included with the required attachments, but does **NOT** count towards the attachments page limitation.

With submission through www.grants.gov, applicants are required to submit the SF-424 and SF-424A forms (application for federal assistance with original signature and budget information for non-construction programs). See [Appendix 2](#) for information on using grants.gov. **The SF-424 and SF-424A forms do not count against the above-referenced page limits.** When completing the SF-424 forms, applicants will be required to provide a Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number). Applicants can receive a DUNS number for FREE by calling 1-800-591-8534, or visiting the D&B website at <http://www.dnb.com/duns-number.html>.

2. Transmittal Letter

The transmittal letter must identify the applicant and provide a contact for communication with EPA. The transmittal letter, including the applicant identification information, must not exceed three (3) pages, single-spaced. Any pages submitted over the page limit will not be considered. The transmittal letter must be written on your organization's official letterhead, and signed by an official with the authority to commit your organization to the proposed project. Each transmittal letter must include:

A. Applicant Identification: Provide the name and full address of the entity applying for funds. This is the agency or organization that will be receiving the grant and will be accountable to EPA for proper expenditure of funds. **Include the applicant's Dun and Bradstreet (D&B) Data Universal Numbering System (DUNS number).**

B. Responses to the Eight (8) Threshold Eligibility Criteria:

- a. Applicant Eligibility;
- b. Demonstration that Proposed Project Does Not Duplicate Other Federally Funded Environmental Job Training Programs;
- c. Required HAZWOPER training (reference page number where this training is discussed within the narrative proposal);
- d. Federal Funds Requested: \$_____ (must not exceed \$200,000);
- e. Substantial Conformity with Instructions and Format Requirements;
- f. Training Curriculum Chart Indicating the Cost of Each Course (reference page number where the chart is located within the narrative proposal);
- g. Target Area and Proposal Submission Requirement; and
- h. Submission of Proposal.

C. Grant Type: Indicate "Environmental Workforce Development and Job Training Grant."

D. Location: City, county, and state or reservation, tribally-owned lands, tribal fee lands, etc., of the environmentally-impacted community(ies) that you propose to serve.

E. Contacts:

- a. Project Director: Provide the name, phone/fax numbers, email address, and mailing address of the project director assigned to the proposed project. This person may be contacted if other information is needed.
- b. Chief Executive/Highest Ranking Official: Provide the name, phone/fax numbers, email address, and mailing address of the applicant's Chief Executive (e.g., mayor of a city, executive director of a nonprofit, etc.). This person may be contacted if further information is needed.

F. Date Submitted: Date proposal was submitted via www.grants.gov.

G. Project Period: Length of proposed project period (must not exceed three (3) years).

H. Population: Provide the general population of your municipality and your defined target community. Tribes must provide the number of tribal/non-tribal members affected. Your jurisdiction's population can be found at www.census.gov.

- I. Training: Clearly indicate what types of training you will include in your proposed curriculum and at what level the training will be delivered as referenced in [Section I.B.](#)

3. Narrative Proposal/Ranking Criteria

The narrative proposal includes responses to all seven (7) ranking criteria. The narrative proposal must not exceed fifteen (15) pages. Any pages over the page limit will not be evaluated. The narrative proposal must be clear, concise, and specifically address all of the applicable ranking criteria. **Responses to the criteria must include the criteria number and title, but need not restate the entire text of the criteria.** Proposals must provide sufficient detail to allow for an evaluation of the merits of the proposal. **Any criterion left unanswered will result in zero points given for that criterion.** Factual information about your proposed project and community must be provided. Do not include discussions of broad principles that are not specific to the proposed work or project covered by your proposal. Do not provide photos or extraneous materials.

1. Community Need

This section of your proposal provides the context for your project. The needs defined in this section should provide the foundation of for your discussion of the proposed Environmental Workforce Development and Job Training Program, planned community engagement and partnerships, and the ways the program will ultimately benefit your community and program participants (both outputs and outcomes). This section of your narrative should describe your community, identify a target area within your community, discuss the impacts from brownfields and other environmental issues, and discuss the community's economic condition and challenges.

A. Community Description

Provide a detailed description of your community. Provide demographic information that demonstrates how your target community and the populations you aim to serve are of need, including population, unemployment rate, poverty rate, percent minority, per capita income, and/or other relevant statistics. Describe how these demographic indicators relate to current challenges in the target community, including environmental, social, public health, and economic issues, as well as environmental justice concerns, such as the disproportionate siting of polluting facilities or number of brownfield sites in low-income and/or minority communities you're proposing to target recruitment activities.

Provide and compare census-based demographic data as requested in the table below. Use additional rows or text, as needed, to include other data or information, which provide a compelling explanation for why you selected the target area. Responses should clearly identify sources of information used.

Sample Format for Demographic Information

	Target Community (e.g., Census Tract)	City/Town or County	Statewide	National
Population:				316,127,513 ¹
Unemployment:				8.3% ²
Poverty Rate:				15.5 % ³
Percent Minority:				37.8% ¹
Median Household Income:				\$53,889 ³
Other: <i>Include other relevant data as needed in additional rows</i>				
¹ Data are from the 2014 American Community Survey data profile and are available on American FactFinder at http://factfinder.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=ACS_14_5YR_DP05&src=pt ² Data are from the Bureau of Labor Statistics (The Employment Situation – March 2016) and are available at http://www.bls.gov/news.release/pdf/empst.pdf . ³ Data are from the 2014 American Community Survey data profile and are available on American FactFinder at http://factfinder.census.gov/faces/tableservices/jsf/pages/productview.xhtml?pid=ACS_14_5YR_DP03&src=pt .				

B. Labor Market Demand

Provide a description of the local labor market assessment and/or employer survey you, as the applicant, conducted. Detail the methods and results of these steps taken to assess the local labor market demand and indicate when they took place. Discuss how these labor market assessments informed the development of your proposed training curriculum. Discuss how these results indicate a demand for skilled environmental professionals with the certifications you are proposing to incorporate into your curriculum.

2. Training Program Description

This section of your proposal provides a description of the proposed curriculum and how it is comprehensive, and realistic. Describe how courses offered and certifications graduates earn ensure employment and meet the hiring needs of employers in your community. Indicate if training courses will be offered to every student or if courses are separated into different specialized training tracks. Describe how the execution of your training program will incorporate sustainable practices, such as the recycling and reuse of training materials, purchasing or leasing more sustainable equipment, supplies, and services, or other practices that directly reduce water, materials, energy, or air impacts.

Provide a detailed description of your proposed training program in the sample table format provided below. Include the course name, the level of training to be provided (awareness, intermediate, or advanced), the type of certification(s) to be earned (state, federal, or other), the number of hours it will take to complete each course, the course schedule, and the training provider (if known). Add or remove rows from the suggested training program table format, as necessary, to accurately and fully detail your training program. Do not include training that is ineligible, such as training in construction and carpentry, or life skills training.

Include the cost of each individual course, how many times that given course will be offered, and the percentage of your **entire** grant budget is allocated for the total cost of each training course, as referenced in the threshold criteria. For example, if you are requesting a total of \$200,000 in funding from EPA for a course that costs \$2,500 per cohort of students and will offer that course to three cohorts, then the total cost of that course is \$7,500, or 3.75% of your total budget.

Ensure that the costs for training listed in your training program table correspond with the amount listed in your budget chart in the following ranking criterion where costs for each training course are explained in detail, including details if the costs are being allocated as personnel costs or contractual costs.

Sample Training Program Table Format

Course Name	Level of Training	Type of Certification	# of Hours	Start Date - End Date	# of Times Course will be Offered	Training Provider	Cost of Course	Percent of Grant Budget
Totals:								

3. **Budget**

This section of your proposal provides a description of the proposed program's budget and planned usage of EPA funds. Use the table format below to identify specific tasks for which EPA funding will be used. Specify the costs by budget category, associated with each task. Tasks shown in the table format are examples, and can be changed as needed to be tailored to the applicant's program. Add columns for additional tasks if necessary.

In addition to the budget table, provide a description for each task in narrative format. Provide the basis for each cost estimate, as well as the projected outputs where possible (e.g., student safety equipment for 100 students at a cost of \$50 each for a total of \$5,000). EPA encourages applicants to set aside appropriate funding to support placement and tracking of graduates.

Note: Do not include tasks for activities or costs that are ineligible uses of funds, including administrative costs, as described in Appendix 1.

Sample Budget Chart:

Project Funding	Project Tasks				Total
	Outreach and Recruitment	Instruction/ Training	Program Management	Placement and Tracking	
Personnel					
Fringe benefits					
Travel					
Contractual					
Supplies					
Other (Please be specific)					
Total EPA Funds					

4. Program Structure, Anticipated Outputs and Outcomes

This section of your proposal provides detail on proposed program's structure and anticipated outputs and outcomes.

A. Outputs and Outcomes

Provide detailed information on how many participants you expect to enroll, the number of students anticipated to graduate from the proposed training program, and the targeted placement rate of graduates in environmental employment. A sample template is provided to assist applicants.

Discuss how you will evaluate progress towards achieving the expected short term and long term project outputs and outcomes. Explain how your proposed timeline for achieving deliverables of the project, as reflected in the attached milestones schedule, are clearly identified, detailed, and realistic.

Sample Outputs

Overall # of Participants Enrolled in Program	# of Graduates Completing Program	# of Graduates Placed in Environmental Positions	# of Graduates Not Placed but Pursuing Further Education

B. Recruitment and Screening

Explain how you will market your program to prospective students and recruit unemployed or under-employed individuals of specific populations indicative of need (e.g., ex-offenders, veterans). Discuss the screening, retention, and attrition strategies and processes that will be utilized by your program. Describe how fees, if any (e.g., licensing, certification, and medical examination fees), will burden participants of your program. Describe the accessibility of your training facilities (e.g., proximity to public transportation, parking). Please note that any fees you

collect will be considered “program income” under 2 CFR Part 200 and must be used for the EWDJT program activities described in your approved grant award.

C. Program Support

Discuss the job search support and resources available for participants of your job training program, including the extent your organization will assist with initial job placement and continuous employment for participants, the extent your organization will track graduates and for how long (must be a minimum of one (1) year), and the extent you will utilize federal and local hiring incentives (e.g., first-source or local hiring ordinances, tax incentives, wage subsidies, etc.) that can increase the likelihood of employment for program graduates in your community. Discuss how you will market these incentives to employers.

D. Program Sustainability

Describe your plan for sustaining and continuing your environmental job training program once EPA funds have been exhausted and how this plan is realistic and detailed.

5. Programmatic Capability

This section of your proposal demonstrates that your organization (“the applicant”) has programmatic capability (experience, knowledge, and resources, or ability to obtain them) and a reasonable approach necessary to ensure successful completion of all required aspects of this proposed program.

A. Grant Management System

Discuss the management system you have in place to direct activities under the grant. Include a brief description of your project manager and staff and a discussion of the qualifications and experience. Discuss the means you have to retain project leadership or recruit qualified staff should employee turnover occur. Describe the system(s) you have in place to acquire additional expertise and resources required to perform the proposed project. If you intend to contract for the necessary expertise, describe the system you have in place to acquire that expertise.

B. Organizational Experience

Explain your organization’s experience in working with the community you propose to serve. Discuss any previous experience your organization has had in environmental training as it relates to your proposed curriculum. If you do not have an instructor on staff and are considering contracting or sub-granting, describe the criteria you will use to select these services. Discuss any experience your organization has in the employment and training field at large.

C. Audit Findings

Explain any adverse audit findings. If you have had problems with the administration of any grants (e.g., compliance reporting, expenditure of funds), please describe how you have corrected, or are correcting, the problems. If you have not had any problems or adverse findings, provide a statement to that effect.

D. Past Performance and Accomplishments

If you have ever received an EPA Environmental Workforce Development and Job Training Grant (or a Brownfields Job Training Grant), please respond to **item i** below.

If you have not received an EPA Environmental Workforce Development and Job Training Grant (or a Brownfields Job Training Grant), but have received other federal or non-federal assistance agreements such as a grant or cooperative), including EPA Brownfields Area-Wide Planning, Assessment, Revolving Loan Fund, or Cleanup grants, please respond to **item ii** below.

If you have never received any type of federal or non-federal assistance agreements, affirm this in your proposal Failure to indicate anything in response may result in zero points for this criterion.

i) Current or Past EPA EWDJT Grant Recipients

Identify each of the EPA Environmental Workforce Development and Job Training Grant(s) (or a Brownfields Job Training Grant) you currently have or have received in the past. Demonstrate how you successfully managed the grant(s), and successfully performed all phases of work under the previous or existing grant(s) by providing information on the following:

- Funds Expenditure: the balance of grant funds not drawn down (funds remaining). If you have an open EPA job training grant, please indicate your need for additional funding based on remaining funds.
- Compliance with grant requirements:
 - a. Information regarding your compliance with the work plan, schedule and terms and conditions. Are you making sufficient progress towards achieving the expected results of the grant?
 - b. Information regarding your timely quarterly and annual reporting, as well as ongoing Assessment, Cleanup and Redevelopment Exchange System (ACRES) reporting.
 - i. Whether the data is accurately reflected in ACRES at the time of this proposal submission, and if not, why?
- Accomplishments:
 - a. Number of individuals you committed **in your proposal** to train and place versus what was provided in your approved work plan.
 - b. Number that were actually trained.
 - c. Placement rate.
 - d. Whether the original anticipated training and placement goals were met, and, if not, the steps that were taken to improve the program.

Sample Accomplishments Data

Grant #	Project Period	Funds Expended	# of Participants Trained	# of Participants Placed	% placed in full-time employment	Data Updated in ACRES (Yes/No)
JT-999999998	10/01/11 - 9/30/14	\$183,264	80	70	88%	Yes
JT-999999999	10/01/03 - 9/30/05	\$200,000	78	72	92%	Yes

ii) Has Not Received an EPA EWDJT Grant; Recipient of Other Federal or Non-Federal Assistance Agreements

Identify current and/or prior federally and non-federally funded assistance agreements, including the EPA brownfields grant(s) you currently have or have received in the past. If you worked with the EPA on delivering a Superfund Job Training Initiative (JTI) project, please also note this. Please provide information on no more than five of your most recent assistance agreements. Describe your history of successfully managing these agreements and performing the agreements including:

- a. Information regarding your compliance with the work plan, including schedule, progress, and terms and conditions.
- b. Information regarding your meeting and complying with reporting requirements, including quarterly reporting, technical reports, final reports, and data entry into the Assessment, Cleanup and Redevelopment Exchange System (ACRES), as applicable.

6. Community and Employer Partnerships

A. Collaboration with Entities Involved with Local Remediation Activities and Environmental Projects

Provide information on any specific efforts that have been made to collaborate with, including, but not limited to, brownfield area-wide planning, assessment, revolving loan fund, and cleanup grantees, Superfund site cleanup contractors, EPA-funded state or tribal regulated corrective action or landfill closure projects, recycling facility operators, or personnel from city-operated wastewater treatment facilities in your community. Explain how these efforts to collaborate with local environmental projects taking place in your target community will enhance and foster future employment for job training graduates. Discuss your organization's relationship with your local economic development office and if this relationship has resulted in the placement of graduates with local contractors in your community. If applicable, please provide letters of support for any commitments these organizations have made to assist with your training program.

B. Community Partnership Building

Discuss how you have involved the affected community in your proposed job training program, including any efforts you took to notify and involve the local community, and/or hold any public comment sessions in the during the development of your proposal. Discuss the extent to which partners (e.g., local community groups, Workforce Investment Boards, One Stop Centers, and academic institutions located in or near the affected community), have committed to providing non-environmental training such as GED attainment, life skills training, housing assistance, substance abuse counseling, transportation, childcare, personal protective equipment (PPE), etc. Explain how your program and partners' expertise ensures trainees are job ready and have the pre-employment skills needed to secure full-time work. Please attach letters of support indicating commitments these organizations have made.

C. Employer Involvement

Discuss the extent to which the employer community (e.g., local businesses, environmental contractors, labor unions, site owners) has been involved in the development of the proposed job training program and offered assistance in the implementation of your program (e.g., curriculum development, advisory council participation, apprenticeships, internships, on-the-job training, and mentoring). Provide detailed information on specific efforts related to employer involvement, such as meeting dates, etc., that occurred during the preparation of this proposal. Describe any commitments employers have made to hire graduates of your proposed program. Discuss any partnerships you have established with employers who have hired graduates of your program in the past. Please provide letters of support from past and prospective employers that affirm their involvement and commitment to the proposed program.

7. Leveraging

Demonstrate how you will leverage additional funds/resources beyond the grant funds awarded to support the proposed project activities and how these funds/resources will be used to contribute to the performance and success of the proposed project. Describe the extent to which in-kind and/or partner commitments to providing services/resources to the proposed job training program (e.g., staff time, life skills training, pre-employment training, student stipends, supplies, transportation and bus tokens, GED preparation, child care, academic enhancement, counseling) are clearly indicated, and whether these commitments have already been made; if not, describe the likelihood that these commitments will materialize during the project.

Describe the amount(s) and type(s) of leveraged resources and for what tasks they may be used for. Consider using the optional table format below to illustrate what tasks leveraged funds will be used for and how much leveraged funding you plan to use for if task. Please modify task categories and add rows as necessary. For each source of funding, list whether it is an anticipated source of funding or a confirmed source of funding.

Describe how your organization will manage this grant in light of the administrative cost prohibition. (Note: cost-shares are not required for this grant.) Selected applicants are expected to abide by their proposed leveraging commitments during grant performance and the failure to do so may affect the legitimacy of the award.

Leveraged Funding Table (Optional)

Project Funding	Status of Funds: Anticipated/ Confirmed	Project Tasks				Total
		Outreach and Recruitment	Instruction/ Training	Program Management	Placement and Tracking	
[Funding Source 1]						
[Funding Source 2]						
[Funding Source 3]						
Total Non-EPA Funds Leveraged:						

4. Attachments

The following documents should be included as attachments to the “Narrative Proposal.” Items 2-5 of the attachments to the narrative proposal must not exceed fifteen (15) pages. Only one (1) scanned image per page will be reviewed.

1. **Documentation of Applicant Eligibility:** For entities other than cities, counties, tribes, or states, please attach documentation of your eligibility, such as non-profit status, resolutions, or statutes. *Note: This documentation will not count against page limitations.*
2. **Milestones Schedule:** This should indicate start times and completion dates of significant tasks under your program (e.g., outreach, procurement of a contractor, recruitment, frequency of classes to be offered and length, instruction, placement, and tracking).
3. **Other Factors Checklist:** See [Section V.B.](#) and [Appendix 3.](#)
4. **General Support Letters:** Letters of support may only impact scoring of the subcriteria that directly cite and request support letters and references. Support letters and references will not impact scoring for other unassociated ranking criteria. Support letters must be received with your proposal; **letters received separately or after the due date for proposal submission will not be considered.**

Before you submit your proposal for an Environmental Workforce Development and Job Training Grant, please ensure the following documents are included in your package submitted to EPA via [grants.gov](https://www.epa.gov/grants) (please note – do not submit this checklist with your proposal):

Transmittal Letter (3-page limit)	
<input type="checkbox"/>	Responses to all eight threshold criteria
The Narrative Proposal (15-page limit)	
<input type="checkbox"/>	Responses to all seven ranking criteria
Attachments (15-page limit)	
<input type="checkbox"/>	Documentation of applicant eligibility if other than city, county, state, or tribe <i>Note: This documentation will not count against page limitations.</i>
<input type="checkbox"/>	Milestones Schedule
<input type="checkbox"/>	Other Factors Checklist (Appendix 3)
<input type="checkbox"/>	General letters of support from partners and employers identified in your proposal

IV.D. Additional Provisions for Applicants Incorporated into The Solicitation:

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to confidential business information, contracts and subawards under grants, and proposal assistance and communications, can be found at [EPA Solicitation Clauses](#). These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION V – PROPOSAL REVIEW INFORMATION

V.A. Evaluation Criteria

If your proposal passes the threshold eligibility review, your responses and the information you provide in response to the ranking criteria will be evaluated and scored by a national evaluation panel according to the evaluation criteria below. Each proposal will be rated under a points system, with a total of 100 points possible.

1. COMMUNITY NEED (20 points)

Your proposal will be evaluated on the quality and extent to which it:

- Demonstrates a compelling picture of need in the community, and specifically, the identified target area; and
- Makes a connection between the public health, welfare, environmental, and/or economic challenges faced by the community and/or target area and the presence of brownfield sites and other cumulative environmental issues

Specifically, this criterion will evaluate the quality and extent to which you clearly, concisely and realistically address the following in the proposal:

1.A. Community Description (10 points)

- The depth and degree of environmental and economic challenges confronting your city/town/geographic area and the specific area where you plan to serve;
- The impact of current community challenges on residents and explanation of how/why you have selected your target area; and
- Demographic statistics provided compare to city, state, and/or national averages and how well they demonstrate a community indicative of need. Proposals that seek to serve target communities with high indicators of need will garner more points than proposals that do not.

1.B. Labor Market Demand (10 points)

- The depth and degree you conducted a labor market assessment to gain an understanding of the current job market in your target area;
- The methods used to conduct your assessment;
- The extent to which the labor market assessment resulted in an indication that your target area has the demand for a skilled environmental workforce your training program would provide; and
- The extent to which the results of your assessment were incorporated into the development of your proposal and training program curriculum.

2. TRAINING PROGRAM DESCRIPTION (10 points)

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- The proposed training curriculum is comprehensive and realistic;
- The training curriculum is structured to be of benefit to students and the community;
- The training program incorporates sustainable practices;
- The logic behind why the training curriculum was designed and structured the way it was; and
- How the certifications and knowledge gained by graduates of your program will help ensure successful employment.

3. BUDGET (6 points)

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- A clear and logical rationale for each cost included in the proposed budget for which EPA funds are intended to be used;
- A reasonable approach to expend funds in a timely and effective manner;
- A realistic basis for program costs and an efficient and effective use of EPA funds;

- The probability/likelihood the applicant will be able to execute the proposed training program within the cost parameters of the funding estimated; and
- All costs are accounted for and add up to the total requested funding amount.

Applications that demonstrate a clear, concise, and realistic budget that fully details each cost for which EPA funds will be used will garner more points.

4. PROGRAM STRUCTURE, ANTICIPATED OUTPUTS AND OUTCOMES (15 points)

Your proposal will be evaluated, as further described below, on the quality and extent to which it demonstrates:

- Clear anticipated outputs and outcomes that meet the anticipated goals of this program (i.e., to place at least 70% of graduates in full-time employment);
- A comprehensive strategy to recruit and retain students;
- Resources available to students to ensure their successful completion of the program; and
- The ability of the applicant to sustain this program once EPA funds have been expended.

Specifically, this criterion will evaluate the quality and extent to which you clearly, concisely, and realistically address the following in the proposal:

4.A. Outputs and Outcomes (5 points)

- How appropriate and applicable your anticipated outputs and outcomes are to the training program described in the proposal;
- The quality of your plan to achieve your anticipated outputs and outcomes;
- How robust your plan is to track and evaluate your progress towards achieving these outputs and outcomes; and
- How well your program goals are aligned with the outputs/outcomes and likelihood of having program success.

4.B. Recruitment and Screening (4 points)

- How the strategies and processes by which the applicant plans on recruiting residents from the target area demonstrates a likelihood for success;
- The effectiveness of the processes the applicant will use to screen potential students and benchmarks they must pass in order to participate in the proposed program;
- The robustness of these benchmarks in helping to ensure students are retained and finish the program successfully; and
- The accessibility of your program is to your target populations, both geographically in terms of transportation and any costs they may incur in order to participate.

4.C. Program Support (4 points)

- Support and resources that have been secured to help ensure the proposed program will be able to place graduates in jobs;
- The quality of the system in place to track graduates of the program and the length of time the applicant plans to track their graduates; and
- The number and quality of any hiring incentives the applicant plans on using to market graduates to employers and place them in jobs.

4.D. Program Sustainability (2 points)

- The quality and extent of the resources or partnerships that have been acquired or entered into and the likelihood these will sustain the program once EPA funds have been expended and the grant is closed

5. PROGRAMMATIC CAPABILITY (20 points)

In evaluating an applicant's response to this criterion, in addition to the information provided by the applicant, EPA may consider relevant information from other sources including information from EPA files and/or from other federal or non-federal grantors to verify or supplement information provided by the applicant.

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- The ability of your organization to successfully manage and complete the project, considering your programmatic and administrative capacity;
- Successful performance under past and/or current federally and/or non-federally funded assistance agreements;
- Resolution of any audit findings; and
- A reasonable plan to track and measure project progress.

Specifically, this criterion will evaluate the quality and extent to which you clearly, concisely, and realistically address the following in the proposal:

5.A. Grant Management System (4 points)

- How efficient and effective of a system the applicant has in place to management and administer this grant, including information regarding a program manager or dedicated staff assigned to help run the proposed program
- If necessary expertise is not readily available within your organization, how well thought out of a plan you have in place for acquiring such expertise and ensuring they have the necessary knowledge and experience demonstrating they are qualified.

5.B. Organizational Experience (8 points)

- How efficient and effective your organization is at working with the local community of your proposed target area; and
- How efficient or effective your organization is at providing training and developing a local workforce.

5.C. Audit Findings (2 points)

- Whether the applicant has any adverse audit findings, and if they do, how the applicant has corrected, or is correcting, the adverse audit findings and the likelihood that these findings will not continue to be a problem.

5.D. Past Performance and Accomplishments (6 points)

If the applicant has received an EPA EWDJT or a Brownfields Job Training Grant in the past, or is a current grantee, they should respond to 5.D.i in its entirety. If the applicant has never received an EPA EWDJT or Brownfields Job Training Grant, but has received other federal or non-federal assistance agreement, they should respond to 5.D.ii in its entirety.

If the applicant has never received any type of federal or non-federal assistance agreement, they should provide a response to such effect. The applicant will receive a neutral score (3 points) for this criterion, however failure to respond to this criterion may result in zero points for this criterion.

i) Current or Past EPA EWDJT Grant Recipients

- Demonstrated ability to successfully manage past EPA EWDJT or Brownfields Job Training Grant(s) and proven success throughout the different phases of work under the grant.
 - Funds drawn down in a timely and appropriate manner; explanation of need for additional funds if you have an open grant with funds remaining. Provide information on whether you have been able to submit quarterly reports in a timely manner as well as on going ACRES reporting. (3 points)
 - Demonstration of success towards achieving expected results; proven compliance with the work plan, schedule, and terms and conditions. Provided accomplishment data that demonstrates success of program (or an explanation of issues encountered that may have hindered meeting program goals) including the number of individuals you trained and placed versus what goals were set in your approved work plan and your program's placement rate. (3 points)

ii) Has Not Received an EPA EWDJT Grant; Recipient of Other Federal or Non-Federal Assistance Agreements

- Demonstrated ability to successfully manage federal or non-federal grant(s), and the performance of all phases of work under each grant.
 - Demonstrated ability to successfully complete and be in compliance with the work plan, including schedule, progress, grant/project goals, and terms and conditions. (3 points)
 - Demonstrated success in meeting and complying with reporting requirements, including quarterly reporting, technical reports, final reports, and data entry into required systems such as ACRES, as applicable. (3 points)

6. COMMUNITY AND EMPLOYER PARTNERSHIPS (26 points)

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- Actions or plans to effectively involve and inform residents, community groups, and employers from and around the target area in the development and/or execution of your training program;
- Thoughtful integration of the needs of the community into the program and foraged partnerships that will help enhance the success of students; and
- Relevant roles of community organizations, local environmental entities, and employers and affirms their involvement to the program through commitment letters.

Specifically, this criterion will evaluate the quality and extent to which you clearly, concisely, and realistically address the following in the proposal:

6.A. Collaboration with Entities Involved with Local Remediation Activities and Environmental Projects (6 points)

- The extent to which the applicant collaborates with entities performing environmental work in and around the target area including, but not limited to, brownfields assessment, revolving loan fund, cleanup, and area-wide planning grantees, Superfund site cleanup contractors, EPA-funded state or tribal regulated corrective action or landfill closure projects, recycling facility operators, or personnel from city-operated wastewater treatment facilities in your community;
- The quality of the partnership(s) and benefits these partnerships may bring to your program and graduates;
- The number and types of entities which have made commitments to support your program; and
- The quality and applicability of the information provided in letters of support from entities which have made any commitments, detailing the depth and degree to which they intend on being involved in your program. Letters of support provided in the attachments should be consistent with the commitments or statements made within the narrative of the proposal.

6.B. Community Partnership Building (8 points)

- The extent to which the applicant collaborates with the affected community in your proposed job training program, including efforts made to notify and involve the local community, and/or hold any public comment sessions in the during the development of your proposal;
 - e.g., local community groups, workforce investment boards (WIBs), One Stop Centers, and academic institutions located in or near the target community
- The level of involvement of these organizations in your program and benefit it will bring to your program and graduates;

- Commitments made to support your program and letters of support from community partners; and
- The quality and applicability of the information provided in letters of support from community partners which have made any commitments, detailing the depth and degree to which they intend on being involved in your program. Letters of support provided in the attachments should be consistent with the commitments or statements made within the narrative of the proposal.

6.C. Employer Involvement (12 points)

- The extent to which the applicant collaborates with employers within or near the target area and, if applicable, any employer partnerships that have resulted in previous graduates of your job training program being hired;
- The depth and degree of employer involvement in the design of your program including, but not limited to, meeting dates, advisory council participation, and curriculum development;
- Level of involvement and commitment from employer partners that will enhance a graduate's chance of success including, but not limited to, commitments to interview students, hire graduates, provide on-the-job training, and/or mentoring; and
- The quality and applicability of the information provided in letters of support from employers who have made any commitments, detailing the depth and degree to which they intend on being involved in your program. Letters of support provided in the attachments should be consistent with the commitments or statements made within the narrative of the proposal. Commitments accompanied by letters of support affirming these commitments may garner more points.

7. LEVERAGING (3 points)

Your proposal will be evaluated, on the quality and extent to which it demonstrates:

- Additional funds, in-kind services, and or other resources, beyond EPA funds awarded, which will be obtained and used to support the proposed job training program;
- The overall applicability and benefit these additional resources will bring to the program; and
- Whether the leveraged resources are firm (have already been committed or confirmed), or if they are an anticipated leveraged resource. Firm leveraged funding and resources with garner more points.

V.B. Other Factors

The EPA Selection Official may consider the following other factors, in addition to the evaluation results based on the criteria above, as appropriate, in making final funding decisions. In your proposal, applicants should provide a summary on whether and how any of these “other factors” apply to their EWDJT project. Applicants must also complete and submit the Other Factors Checklist and attach supporting documentation as needed, as described in Appendix 3, as part of their proposal submission. Failure to do so may affect EPA’s ability to consider these other factors during selection decisions. The EPA may verify this information prior to selection and consider this information during the evaluation process.

- Fair distribution of funds between urban and non-urban areas, including an equitable distribution of funds to “micro” communities (those communities with populations of 10,000 or less). EPA strongly encourages non-urban communities, including micro-communities, to apply;
- A balanced distribution of funds among EPA’s 10 Regions and among states and territories;
- Fair distribution of funds between new applicants and previous job training grant recipients; (“New” applicants are also defined as organizations that received EPA brownfields job training grant funding in 2008 or prior to 2008);
- Whether the applicant is a federally recognized Indian Tribe or United States Territory, or is an organization that will primarily serve tribal or territorial residents;
- Whether the applicant is located within, or includes, a county experiencing “persistent poverty” where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.
- Whether the applicant is a member of an Urban Waters partnership project, including, but not limited to, recipients of EPA Urban Waters Grants and members of the Urban Waters Learning Network; and
- Proposals that seek to serve veterans.

Applicants must complete and submit the Other Factors Checklist (Appendix 3) as part of their attachments. Failure to do so may affect EPA’s ability to consider these other factors during selection decisions. The EPA may verify this information prior to selection, request additional documentation from the applicant, and consider this information during the evaluation process.

V.C. Review and Selection Process

Proposals initially will be reviewed by the appropriate EPA Regional Office to determine eligibility. All proposals that pass the threshold criteria review will then be evaluated by national evaluation panels comprised of EPA and other federal agency staff knowledgeable about the training activities listed in the RFP.

Recommendations for selection based on completed evaluations will be referred to the Headquarters Selection Official, who is responsible for the final selection of grant recipients. Proposals will be selected for award by the Selection Official based on ranking scores, the availability of funds, and consideration of “other factors” as referenced in [Section V.B.](#)

V.D. Additional Provisions for Applicants Incorporated into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation including the clause on Reporting and Use of Information Concerning Recipient Integrity and Performance can be found at [EPA Solicitation Clauses](#). These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in Section 7 of this solicitation to obtain the provisions.

SECTION VI – AWARD ADMINISTRATION INFORMATION

VI.A. Award Notices

EPA Regions will notify applicants who fail threshold eligibility requirements within 15 calendar days of the Agency's determination of ineligibility. EPA will notify applicants who have not been selected for award based on the ranking criteria and other factors within 15 calendar days of EPA's final decision on selections for this competition.

EPA anticipates notification to successful applicants will be made via telephone or postal mail by Spring 2018. The notification will be sent to the chief executive or the project contact listed in the proposal. This notification, which informs the applicant that its proposal has been selected and is being recommended for award, is not an authorization to begin work. The official notification of an award will be made by Regional Grants Management Officials (GMOs). Applicants are cautioned that only a grants officer is authorized to bind the Government to the expenditure of funds; selection does not guarantee an award will be made. For example, statutory authorization, funding or other issues discovered during the award process may affect the ability of EPA to make an award to an applicant. The award notice, signed by an EPA grants officer, is the authorizing document and will be provided through electronic or postal mail. The successful applicant may need to prepare and submit additional documents and forms (e.g., work plan), which must be approved by EPA, before the grant can officially be awarded. The time between notification of selection and award of a grant can take up to 90 days or longer.

VI.B. Administrative and National Policy Requirements

1. Funding will be awarded as a cooperative agreement. The applicants whose proposals are selected will be asked to submit a cooperative agreement application package to their EPA Regional office. This package will include the application (Standard Form 424), a proposed work plan, a proposed budget, and other required forms. An EPA Project Officer will work with selected grantees to finalize the budget and work plan.
2. Approved cooperative agreements will include terms and conditions that will be binding on the grant recipient. Terms and conditions specify what grantees must do to ensure that grant-related and program-related requirements are met. A listing and description of general EPA regulations applicable to the award of assistance agreements may be viewed at www.epa.gov/grants.

3. An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards completing the project activities described in the work-plan in a timely manner. The assistance agreement will include terms and conditions implementing this requirement.

VI.C. Reporting Requirements

During the life of the cooperative agreement, recipients are required to submit progress reports to the EPA Project Officer within 30 days after each reporting period. The reporting period (e.g., quarterly, annually) is set forth in the terms and conditions of the cooperative agreement. These reports shall cover work status, work progress, difficulties encountered, an accounting of financial expenditures, preliminary data results, anticipated activities, and any changes of key personnel involved with the project.

Grant recipients will be required to register and enter output data on the Job Training Reporting Form electronically through the EPA's online database called the Assessment, Cleanup, and Redevelopment Exchange System (ACRES). Failure to comply with the reporting requirements may result in an early termination of the grant and return of grant funds.

At the end of the cooperative agreement, a final project report also is required. The final report will summarize accomplishments, expenditures, outcomes, outputs, lessons learned, any other resources leveraged during the project and how they were used.

VI.D. Additional Provisions for Applicants Incorporated into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to DUNS, SAM, copyrights, disputes, and administrative capability, can be found at www.epa.gov/grants. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

VI.E. Programmatic Requirements

1. Under the Government Performance and Results Act, the EPA reports on the many benefits of funding. One such measure provides information on the number of individuals placed in full-time employment as a result of the use of grant funds. As many of these activities occur beyond the grant period, please note that the EPA may contact you well after the grant period of performance to collect this information. Reasonable efforts must be made to report this information to the EPA.
2. Grant recipients will be required to have in place a system for tracking graduates of their program for a minimum of one year following the close of the grant. Grantees are anticipated to have completed training by the end of the second year of their award where the third year of the grant is devoted to placement, tracking, and reporting. Any placements

that take place following the close of the grant and final expenditure of grant funds must be reported to the EPA Project Officer and recorded in the Job Training Reporting Form and ACRES – the EPA’s on-line reporting database - with the “quarterly report” described above. Failure to do so may affect an applicant’s ability receive future Environmental Workforce Development and Job Training Grant funding.

3. All grantees who are awarded funding under this solicitation must meet sufficient progress as referenced in the grant terms and conditions. The term “sufficient progress” means the grantee has within the first year of receiving the grant award: established a training program and begun marketing the program; hired all key personnel and procured a contractor (if applicable); and has completed the first round of training.

VI.F. Disputes

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (February 3, 2005) which can be found at www.epa.gov/grants/dispute-resolution-procedures. Copies of these procedures may also be requested by contacting the person listed in [Section VII](#) of the announcement.

SECTION VII - AGENCY CONTACTS

EPA Headquarters Contact: Rachel Congdon; 1200 Pennsylvania Ave. N.W.; Mail Code: 5105T; Washington, D.C. 20460. Phone: (202) 566-1564. E-mail: congdon.rachel@epa.gov.

EPA Regional Job Training Coordinators

Regions and States		Address and Phone Number
EPA Region 1 Chris Lombard	CT, ME, MA, NH, RI, VT	5 Post Office Square Suite 100, Mail Code OSRR-07-3 Boston, MA 02109-3912 Phone: (617) 918-1305 e-mail: lombard.chris@epa.gov
EPA Region 2 Schenine Mitchell	NJ, NY, PR, VI	290 Broadway, 18th Floor New York, NY 10007 Phone: (212) 637-3283 e-mail: mitchell.schenine@epa.gov
EPA Region 3 Jeff Barnett	DE, DC, MD, PA, VA, WV	1650 Arch Street (3HS51) Philadelphia, PA 19103-2029 Phone: (215) 814-3246 e-mail: barnett.jeff@epa.gov
EPA Region 4 Bushra Jawaid	AL, FL, GA, KY, MS, NC, SC, TN	61 Forsyth Street (SNFC, EPA Mail Room) Atlanta, GA 30303 Phone: (404) 562-8569 e-mail: jawaid.bushra@epa.gov

EPA Region 5 Linda Morgan	IL, IN, MI, MN, OH, WI	77 West Jackson Boulevard (SE-4J) Chicago, IL 60604-3507 Phone: (312) 886-4747 e-mail: morgan.linda@epa.gov
EPA Region 5 Craig Mankowski		77 West Jackson Boulevard (SE-4J) Chicago, IL 60604-3507 Phone: (312) 886-9493 e-mail: mankowski.craig@epa.gov
EPA Region 6 Rita Ware	AR, LA, NM, OK, TX	1445 Ross Avenue, Suite 1200 (6SF-VB) Dallas, TX 75202-2733 Phone: (214) 665-6409 e-mail: ware.rita@epa.gov
EPA Region 7 Alma Moreno Lahm	IA, KS, MO, NE	EPA Region 7 Superfund Division 11201 Renner Boulevard Lenexa, KS 66219 Phone: (913) 551-7380 e-mail: moreno-lahm.alma@epa.gov
EPA Region 8 Christina Wilson	CO, MT, ND, SD, UT, WY	1595 Wynkoop Street (EPR-B) Denver, CO 80202-1129 Phone: (303) 312-6706 e-mail: wilson.christina@epa.gov
EPA Region 9 Nova Blazej	AZ, CA, HI, NV, AS, GU	75 Hawthorne Street (SFD 6-1) San Francisco, CA 94105 Phone: (415) 972-3846 e-mail: blazej.nova@epa.gov
EPA Region 9 Noemi Emeric- Ford		USEPA Southern California Field Office 600 Wilshire Blvd.; Mail Code: SFD-6-1 Los Angeles, CA 90017 Phone: (213) 244-1821 e-mail: emeric-ford.noemi@epa.gov
EPA Region 10 Robert Tan	AK, ID, OR, WA	1200 6 th Avenue, Suite 900 (ECL-112) Seattle, WA 98101 Phone: (206) 553-2580 e-mail: tan.robert@epa.gov

Appendix 1

Prohibitions on Use of Funds

Funds awarded under this competitive opportunity are intended for Environmental Workforce Development and Job Training Grant activities and may not be used for:

1. A penalty or fine;
2. Federal cost-share requirement (for example, a cost share required by other federal funds);
3. A response cost at a brownfield site for which the recipient of the grant or loan is potentially liable under CERCLA Section 107;
4. A cost of compliance with any federal law, excluding the cost of compliance with laws applicable to the cleanup; or
5. The payment of an administrative cost. In implementing the administrative cost prohibition, EPA has made a distinction between prohibited administrative costs and eligible programmatic costs.

A. Administrative Costs. Prohibited administrative costs are direct costs including those in the form of salaries, benefits, contractual costs, supplies, and data processing charges incurred to comply with most provisions of the “Uniform Administrative Requirements for Grants” contained in 2 CFR 200 and 1500. Direct costs for grant administration are ineligible even if the grantee or subgrantee is required to carry out the activity under the grant agreement.

Ineligible grant administration costs include expenses for:

- 1) Preparation of applications for brownfields grants and sub-grants, including EWDJT grants;
- 2) Record retention required under 2 CFR 1500.6;
- 3) Record-keeping associated with supplied and equipment purchases required under 2 CFR 200.313;
- 4) Preparing revisions and changes in the budgets, scopes of work, program plans and other activities required under 2 CFR 200.308;
- 5) Maintaining and operating financial management systems required under 2 CFR 200.302;
- 6) Preparing payment requests and handling payments under 2 CFR 200.305;
- 7) Non-federal audits required under 2 CFR 200, Subpart F; and
- 8) Close out under 2 CFR 200.343.

B. Programmatic Costs. EPA has determined that the administrative cost prohibition does not apply to “programmatic” costs, (i.e., costs for activities that are integral to achieving the purpose of the grant), even if the Agency considered the costs to be “administrative.”

1. The prohibition does not apply to direct costs of training. For example, costs for instructor(s)' salaries, program management salaries (to the extent that such costs are included in the scope of work for the job training grant), training materials (e.g. textbooks, equipment, and classroom supplies), necessary travel and transportation

expenses, and medical tests required to qualify for hazardous substances related work are programmatic, not administrative.

2. The EPA has determined that the administrative cost prohibition does not apply to “programmatic” costs, (i.e. costs for activities that are integral to achieving the purpose of the grant), even if the Agency considered the costs to be “administrative” under the prior Brownfields Program.
 - (A) The prohibition does not apply to direct costs of training. For example, costs for instructors’ salaries, program management salaries (to the extent that such costs are included in the scope of work for environmental workforce development and job training grants), materials (e.g. textbooks, equipment, and classroom supplies), necessary travel and transportation expenses, and medical tests required to qualify for hazardous substances related work are programmatic, not administrative.
 - (B) Costs for performance and financial reporting required under 2 CFR 200 and 1500 are eligible programmatic costs.
 - (C) Clerical costs may be eligible as programmatic costs if supported by time records demonstrating that clerical personnel performed programmatic functions (e.g. student registration, copying course materials for use by trainees) under the cooperative agreement and these costs are not included in the CAR’s indirect cost pool.
3. If your organization intends to provide non-competitive subgrants to other nonprofit or governmental organizations, you should discuss the process you will follow with your grant Project Officer to ensure that these agreements meet the standards for financial assistance.

For further information on these prohibitions, contact your EPA Regional Job Training Coordinator listed in [Section VII](#).

Appendix 2

Grants.gov Proposal Submission Instructions

A. Requirement to Submit Through Grants.gov and Limited Exception Procedures

Applicants, except as noted below, must apply electronically through www.grants.gov under this funding opportunity based on the www.grants.gov instructions in this announcement. If an applicant does not have the technical capability to apply electronically through www.grants.gov because of limited or no Internet access which prevents them from being able to upload the required application materials to www.grants.gov, the applicant must contact OGDWaivers@epa.gov or the address listed below in writing (e.g., by hard copy, email) at *least 15 calendar days prior to the submission deadline under this announcement* to request approval to submit their application materials through an alternate method.

Mailing Address:

OGD Waivers
c/o Amanda Schulz
USEPA Headquarters
William Jefferson Clinton Building
1200 Pennsylvania Ave., N.W.
Mail Code: 3903R
Washington, DC 20460

Courier Address:

OGD Waivers
c/o Amanda Schulz
Ronald Reagan Building
1300 Pennsylvania Ave., N.W.
Rm # 51267
Washington, DC 20004

In the request, the applicant must include the following information:

- Funding Opportunity Number (FON)
- Organization Name and DUNS
- Organization's Contact Information (email address and phone number)
- Explanation of how they lack the technical capability to apply electronically through www.grants.gov because of 1) limited Internet access or 2) no Internet access which prevents them from being able to upload the required application materials through www.grants.gov.

EPA will only consider alternate submission exception requests based on the two reasons stated above and will timely respond to the request -- all other requests will be denied. If an alternate submission method is approved, the applicant will receive documentation of this approval and further instructions on how to apply under this announcement. Applicants will be required to submit the documentation of approval with any initial application submitted under the alternative method. In addition, any submittal through an alternative method must comply with all applicable requirements and deadlines in the announcement including the submission deadline and requirements regarding proposal content and page limits (although the documentation of approval of an alternate submission method will not count against any page limits).

If an exception is granted, it is valid for submissions to EPA for the remainder of the entire calendar year in which the exception was approved and can be used to justify alternative submission methods for application submissions made through December 31st of the calendar

year in which the exception was approved (e.g., if the exception was approved on March 1, 2017, it is valid for any competitive or non-competitive application submission to EPA through December 31, 2017). Applicants need only request an exception once in a calendar year and all exceptions will expire on December 31st of that calendar year. Applicants must request a new exception from required electronic submission through www.grants.gov for submissions for any succeeding calendar year. For example, if there is a competitive opportunity issued on December 1, 2017, with a submission deadline of January 15, 2018, the applicant would need a new exception to submit through alternative methods beginning January 1, 2018.

Please note that the process described in this section is only for requesting alternate submission methods. All other inquiries about this announcement must be directed to the Regional Brownfields Contact listed in [Section VII](#). Queries or requests submitted to the email address identified above for any reason other than to request an alternate submission method will not be acknowledged or answered.

B. Submission Instructions

The electronic submission of your application must be made by the Authorized Organization Representative (AOR) of your institution who is registered with www.grants.gov and is authorized to sign applications for federal assistance. For more information on the registration requirements that must be completed in order to submit an application through www.grants.gov, go to www.grants.gov and click on “Applicants” on the top of the page and then go to the “Get Registered” link on the page. If your organization is not currently registered with www.grants.gov, please encourage your office to designate an AOR and ask that individual to begin the registration process as soon as possible. Please note that the registration process also requires that your organization have a DUNS number and a current registration with the System for Award Management (SAM) and the process of obtaining both could take a month or more. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through www.grants.gov and should ensure that all such requirements have been met well in advance of the submission deadline. Registration on www.grants.gov, www.sam.gov, and DUNS number assignment is FREE.

Applicants need to ensure that the AOR who submits the application through www.grants.gov and whose DUNS number is listed on the application is an AOR for the applicant listed on the application. Additionally, the DUNS number listed on the application must be registered to the applicant organization’s SAM account. If not, the application may be deemed ineligible.

To begin the application process under this grant announcement, go to www.grants.gov and click on “Applicants” on the top of the page and then “Apply for Grants” from the dropdown menu and then follow the instructions accordingly. Please note: to apply through www.grants.gov, you must use Adobe Reader software and download the compatible Adobe Reader version. For more information about Adobe Reader, to verify compatibility, or to download the free software, please visit <http://www.grants.gov/web/grants/support/technical-support/software/adobe-reader-compatibility.html>.

You may also be able to access the application package for this announcement by searching for the opportunity on www.grants.gov. Go to www.grants.gov and then click on “Search Grants” at the top of the page and enter the Funding Opportunity Number, **EPA-OLEM-OBLR-17-10**, or

the CFDA number that applies to the announcement (**CFDA 66.815**), in the appropriate field and click the “Search” button. Alternatively, you may be able to access the application package by clicking on the “Package” button at the top right of the synopsis page for the announcement on www.grants.gov. To find the synopsis page, go to www.grants.gov and click “Browse Agencies” in the middle of the page and then go to “Environmental Protection Agency” to find the EPA funding opportunities.

Please note that Grants.gov is strongly encouraging users to sign up for and use their “[Workspace](#)” feature when applying for opportunities. Grants.gov will be phasing out the “legacy” application process, so EPA recommends that all applicants begin using Workspace as soon as possible so they are prepared when the “legacy” application process is no longer available.

Proposal Submission Deadline: Your organization’s AOR must successfully submit your complete application package electronically to EPA through www.grants.gov **no later than November 27, 2017, 11:59 p.m. ET**. Please allow for enough time to successfully submit your application process and allow for unexpected errors that may require you to resubmit.

Please submit *all* of the application materials described below using the www.grants.gov application package that you downloaded using the instructions above. For additional instructions on completing and submitting the electronic application package, click on the “Show Instructions” tab that is accessible within the application package itself.

Applications submitted through www.grants.gov will be time and date stamped electronically. If you have not received a confirmation of receipt from EPA (not from www.grants.gov) within 30 days of the proposal deadline, please contact Rachel Congdon at congdon.rachel@epa.gov. Failure to do so may result in your proposal not being reviewed.

Application Materials

The following forms and documents are mandatory under this announcement.

1. Application for Federal Assistance (Standard Form 424)
2. Transmittal Letter and Narrative Proposal. See [Section IV.C](#) for details on the content of the Transmittal Letter and Narrative Proposal, and the associated page limits.
3. Required Attachments. See [Section IV.C](#) of this announcement.

C. Technical Issues with Submission

1. Once the application package has been completed, the “Submit” button should be enabled. If the “Submit” button is not active, please call www.grants.gov for assistance at 1-800-518-4726. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a www.grants.gov representative by calling 606-545-5035. Applicants should save the completed application package with two different file names before providing it to the AOR to avoid having to re-create the package should submission problems be experienced or a revised application needs to be submitted.

2. Submitting the application. The application package must be transferred to www.grants.gov by an AOR. The AOR should close all other software before attempting to submit the application package. Click the “submit” button of the application package. Your Internet browser will launch and a sign-in page will appear. **Note: Minor problems are not uncommon with transfers to www.grants.gov. It is essential to allow sufficient time to ensure that your application is submitted to www.grants.gov BEFORE the due date.** The www.grants.gov support desk operates 24 hours a day, seven days a week, except federal holidays.

A successful transfer will end with an on-screen acknowledgement. For documentation purposes, print or screen capture this acknowledgement. If a submission problem occurs, reboot the computer – turning the power off may be necessary – and re-attempt the submission.

Note: www.grants.gov issues a “case number” upon a request for assistance.

3. Transmission difficulties. If transmission difficulties that result in a late transmission, no transmission, or rejection of the transmitted application are experienced, and following the above instructions do not resolve the problem so that the application is submitted to www.grants.gov by the deadline date and time, follow the guidance below. The Agency will make a decision concerning acceptance of each late submission on a case-by-case basis. All emails, as described below, are to be sent to Rachel Congdon (congdon.rachel@epa.gov) with the FON in the subject line. If you are unable to email, contact Rachel Congdon (202-566-1564). Be aware that EPA will only consider accepting applications that were unable to transmit due to www.grants.gov or relevant www.sam.gov system issues or for unforeseen exigent circumstances, such as extreme weather interfering with Internet access. Failure of an applicant to submit timely because they did not properly or timely register in www.sam.gov or www.grants.gov is not an acceptable reason to justify acceptance of a late submittal.
 - (a) If you are experiencing problems resulting in an inability to upload the application to www.grants.gov, it is essential to call www.grants.gov for assistance at 1-800-518-4726 before the application deadline. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a www.grants.gov representative by calling 606-545-5035. Be *sure* to obtain a case number from www.grants.gov. If the problems stem from unforeseen exigent circumstances unrelated to www.grants.gov, such as extreme weather interfering with Internet access, contact Rachel Congdon (202-566-1564).
 - (b) Unsuccessful transfer of the application package: If a successful transfer of the application cannot be accomplished even with assistance from www.grants.gov due to electronic submission system issues or unforeseen exigent circumstances, send an email message to congdon.rachel@epa.gov prior to the application deadline. The email message must document the problem and include the www.grants.gov case number as well as the entire application in PDF format as an attachment.
 - (c) www.grants.gov rejection of the application package: If a notification is received from www.grants.gov stating that the application has been rejected for reasons other than late

submittal promptly send an email to Rachel Congdon (congdon.rachel@epa.gov) with the FON in the subject line within one business day of the closing date of this solicitation. The email should include any materials provided by www.grants.gov and attach the entire application in PDF format.

Appendix 3

Other Factors Checklist

Name of Applicant: _____

Please identify with an **X** any of the items below which may apply to your proposed Environmental Workforce Development and Job Training Grant project area as described in your proposal. Also, provide the page number and where the information is located within your proposal on how you meet the factor on the line provided next to each factor. EPA may verify these disclosures and supporting information prior to selection and may consider this information during the evaluation process.

- ☐ Fair distribution of funds between urban and non-urban areas, including an equitable distribution of funds to “micro” communities (those communities with populations of 10,000 or less). Provide your total population count below.

- ☐ Fair distribution of funds between new applicants and previous job training grant recipients; (“New” applicants are also defined as organizations that received EPA brownfields job training grant funding in 2009 or prior to 2009) Indicate whether or not you have ever received EPA job training grant funding before, and if so, in what year(s) did you receive funding?

- ☐ Whether the applicant is a federally recognized Indian Tribe or United States Territory, or is an organization that will primarily serve tribal or territorial residents.

- ☐ Whether the applicant is located within, or includes, a county experiencing “persistent poverty” where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.

- ☐ Whether the applicant is a member of an Urban Waters partnership project, including, but not limited to, recipients of EPA Urban Waters Grants and members of the Urban Waters Learning Network.

- ☐ Proposals that seek to serve veterans.

To: Bilal, Kari[Bilal.Kari@epa.gov]
Cc: Hogan, Joanne[Hogan.Joanne@epa.gov]; Askew, Wendel[Askew.Wendel@epa.gov]; Wilbur, Jennifer[Wilbur.Jennifer@epa.gov]; Morey, Debra[Morey.Debi@epa.gov]; Drummond, James[Drummond.James@epa.gov]
From: Binder, Bruce
Sent: Mon 8/14/2017 2:15:24 PM
Subject: FW: Public Affairs Review (OLEM 17-07, 17-08, 17-09)
[17-08.docx](#)
[17-09.docx](#)

Kari, you need to see if OGC is ok with these changes.

From: Konkus, John
Sent: Friday, August 11, 2017 9:20 AM
To: Bilal, Kari <Bilal.Kari@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: RE: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

Please see attached. Thanks for your diligence!

From: Bilal, Kari
Sent: Friday, August 11, 2017 9:10 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: FW: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

Hi John,

I've done a comparison of the documents returned for edits (17-08 and 17-09) and am unable to determine what edits are required.

Would you please re-send both documents with the required edits highlighted or using tracked changes?

Thank you!

From: Konkus, John
Sent: Thursday, August 10, 2017 1:30 PM
To: Bilal, Kari <Bilal.Kari@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: RE: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

17-07 is good. 17-08 (page 31) and 17-09 (pages 8 and 31) have edits as indicated attached.
Thank you.

From: Bilal, Kari
Sent: Thursday, August 10, 2017 1:03 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

John,

The attached draft RFPs have been received in OLEM/ARMS for review and approval, before public release. In accordance with the “**Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations**,” we are submitting the drafts for OPA review and comment, prior to further action.

Questions/Comments on the drafts may be directed to: Kari Bilal /
bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

For OLEM grants assistance & information:
<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:
<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

OVERVIEW

AGENCY: ENVIRONMENTAL PROTECTION AGENCY (EPA)

TITLE: FY18 Guidelines for Brownfields Revolving Loan Fund Grants

ACTION: Request for Proposals (RFP)

RFP NO: EPA-OLEM-OBLR-XX-XX

CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NO.: 66.818

DATES: The closing date and time for receipt of proposals is {60 days after posting}, 11:59 p.m. Eastern Time (ET). Proposals must be submitted through www.grants.gov. Proposals received after 11:59 ET on {60 days after posting} will not be considered. Please refer to Section IV.B., *Due Date and Submission Instructions*, for further instructions.

SUMMARY: The Small Business Liability Relief and Brownfields Revitalization Act (“Brownfields Law”, P.L. 107-118) requires the U.S. Environmental Protection Agency (EPA) to publish guidance for grants to assess and clean up brownfield sites. EPA’s Brownfields Program provides funds to empower states, communities, tribes, and nonprofits to prevent, inventory, assess, clean up, and reuse brownfield sites.

Under these guidelines, EPA is seeking proposals for **Revolving Loan Fund Grants only**. If you are interested in requesting funding for Assessment Grants and/or Cleanup Grants, please refer to announcement EPA-OLEM-OBLR-XX-XX (Assessment Grant Guidelines) or EPA-OLEM-OBLR-XX-XX (Cleanup Grant Guidelines) posted separately on www.grants.gov and www.epa.gov/brownfields/apply-brownfields-grant-funding.

For the purposes of these guidelines, the term “grant” refers to the cooperative agreement that EPA will award to a successful applicant. Please refer to Section II.C. for a description of EPA’s anticipated substantial involvement in the cooperative agreements awarded under these guidelines.

EPA urges applicants to review the Frequently Asked Questions, which can be found at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

In addition, prior to naming a contractor or subawardee in your proposal, please carefully review Section IV.F. of these guidelines.

FUNDING/AWARDS: The total funding available under the national competitions for Assessment, Revolving Loan Fund, and Cleanup Grants is estimated at \$50 million subject to the availability of funds and other applicable considerations. EPA may expend up to 25 percent of the amount appropriated for Brownfields Grants on sites contaminated with petroleum. EPA anticipates awarding an estimated 198 grants among all three grant types. Under this competitive

opportunity, EPA anticipates awarding an estimated 15 Revolving Loan Fund Grants for an estimated \$9 million.

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SECTION I. - FUNDING OPPORTUNITY DESCRIPTION

The Comprehensive Environmental Response, Compensation and Liability Act (CERCLA or the Superfund Law) was amended by the Small Business Liability Relief and Brownfields Revitalization Act (Brownfields Law) to include section 104(k), which provides federal financial assistance for brownfields revitalization, including grants for assessment, cleanup, and revolving loan funds.

A **brownfield site is defined** as real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of hazardous substances, pollutants, contaminants, controlled substances, petroleum or petroleum products, or is mine-scarred land.

A critical part of EPA's assessment and cleanup efforts is to ensure that residents living in communities historically affected by economic disinvestment, health disparities, and environmental contamination have an opportunity to reap the benefits from brownfields redevelopment. EPA's Brownfields Program has a rich history rooted in environmental justice and is committed to helping communities revitalize brownfield properties, mitigate potential health risks, and restore economic vitality.

As described in Section V. of this announcement, proposals will be evaluated based on the extent to which the applicant demonstrates: economic and environmental needs of the target area; a vision for the reuse and redevelopment of brownfield sites and the capability to achieve that vision; reasonable and eligible tasks; appropriate use of grant funding; incorporation of equitable and sustainable approaches; community engagement, partnerships and leveraged resources to complete the project; economic, environmental, health, and social benefits associated with the reuse and redevelopment of brownfield sites; and other factors.

I.A. Description of Grant

Revolving Loan Fund (RLF) Grants provide funding to a grant recipient to capitalize a RLF program. RLF programs provide loans and subgrants to eligible entities to carry out cleanup activities at brownfield sites contaminated with hazardous substances and/or petroleum.

Revolving loan funds generally are used to provide no-interest or low-interest loans for eligible brownfields cleanups and other eligible programmatic costs necessary to manage the RLF. An RLF grant recipient must use 50% or more of the awarded funds for loans. RLF grantees may not subgrant to themselves. However, the RLF grant recipient may subgrant to other coalition members. Subgrants are limited to \$200,000 per site. Entities receiving RLF subgrants must own the site that is the subject of the subgrant. An RLF grant recipient cannot make a loan or a subgrant to a party potentially liable for the contamination at the brownfield site under CERCLA §107, nor may the RLF grant recipient make a loan or subgrant to clean up a site that it is potentially liable for under CERCLA §107.

Some features of the RLF Grants are:

- RLF programs are designed to operate for many years (possibly decades) and as such, they require long-term resource commitments by the RLF Grant recipients and reporting to the EPA, even after the RLF Grant is closed.
- Recipients need to have a strong understanding of real estate financing principles and approaches, including loan underwriting, loan servicing and credit analysis.
- Recipients need to have the ability to market the RLF program on an on-going basis during the performance period of the grant, and after the close out of the RLF Grant.
- Recipients commit to properly manage the program income generated by their RLF program in perpetuity, unless they terminate the agreement and return the program income to EPA.
 - Majority of program income is generated from the repayments of loans issued by the RLF program.
 - Loan repayment terms can be short- or long-term, i.e., few years to decades; hence, the program income can be generated over several years.
 - Program income must be used in accordance with the terms and conditions of the cooperative agreement. EPA prefers that the program income be used for future loans.
 - Program income must be maintained in an interest-bearing account.
 - Recipients must manage program income after closeout of the grant, including required Annual Reporting after closeout for the first five years, and every five years thereafter until the agreement is terminated. The termination of the cooperative agreement occurs when there is no remaining program income or the recipient elects to close the RLF program and return the remaining amount to EPA. Once the cooperative agreement is terminated, recipients must retain the program records for an additional three years.

Sites where hazardous substances and petroleum contamination are distinguishable must meet eligibility requirements for both types of funding. If the hazardous substances and petroleum are not easily distinguishable, the site must meet eligibility requirements for the predominant contaminant. Sites eligible for hazardous substance funding are those properties with the presence of hazardous substances, pollutants, or contaminants; sites that are contaminated with controlled substances; or sites that are mine-scarred lands. The proposal must indicate the dollar amount of funding requested for each type of contamination.

Eligible RLF applicants may apply as individual entities or as RLF Coalitions comprised of two or more entities. RLF applicants may apply for up to \$1,000,000. The performance period for RLF Grants is five years. Refer to Section VI. for a list of certain grant and programmatic requirements.

RLF Coalition Grants

RLF Grant proposals may be submitted by one “lead” eligible entity on behalf of a coalition of eligible entities to create a “pool” of grant funds. (See Section III.A. for a list of entities eligible to apply for an RLF Grant). A coalition is a group of two or more eligible entities that submits one grant proposal under the name of one of the coalition participants who will be the grant recipient, if selected. Coalition members may not have the same jurisdiction (for example,

different departments in the same county) unless they are separate legal entities (for example, a city and a redevelopment agency). The grant recipient must administer the grant, be accountable to EPA for proper expenditure of the funds, and be the point of contact for the other coalition members.

Coalition members may not be members of other RLF Coalitions, nor submit an RLF proposal as an individual applicant, in the same grant competition cycle. A coalition member wishing to apply as a separate applicant must withdraw from the coalition to be eligible for individual RLF funds. RLF Coalitions may submit only one proposal with requested grant funding of up to \$1,000,000.

Please note that once the “lead” eligible entity submits the proposal, it becomes the applicant, and the coalition members may not substitute another eligible entity as the lead eligible entity after the deadline for submitting proposals has passed.

A Memorandum of Agreement (MOA) documenting the coalition’s site selection process must be in place prior to the expenditure of any funds that have been awarded to the coalition. The coalition members should identify and establish relationships necessary to achieve the project’s goal. A process for successful execution of the project’s goal, to include a description and role of each coalition member, should be established along with the MOA. The purpose of the MOA is for coalition members to agree internally on the distribution of funds and the mechanisms for implementing the cleanup work.

Cost Share Requirement

The Brownfields Law requires applicants to provide a 20 percent cost share for RLF Grants. For example, a \$1,000,000 RLF Grant will require a \$200,000 cost share. The cost share, which may be in the form of a contribution of money, labor, material, or services, must be for eligible and allowable costs under the grant and cannot include administrative costs, as described in the Brownfields Frequently Asked Questions (FAQs) at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf. Applicants may request a waiver of the 20 percent cost share requirement based on hardship. EPA will consider hardship waiver requests on a case-by-case basis and will approve such requests on a limited basis. Refer to threshold criterion in Section III.B.4. for additional information.

RLF Grant Option Summary

	Individual Entity	Coalition
Maximum amount of funding request	Up to \$1,000,000 for hazardous substances, or petroleum, or combination of both types of funding	
20% cost share	Required; may request hardship waiver	
Project Period	5 years	

For more information on a range of brownfields funding topics, please refer to the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf. If you do not

have access to the Internet, you can contact your Regional Brownfields Contact listed in Section VII.

I.B. Uses of Grant Funds

In addition to direct costs associated with the cleanup of a brownfield site:

1. Grant funds may be used for direct costs associated with programmatic management of the grant, such as required performance reporting, environmental monitoring of cleanup work, and funds management.

All costs charged to RLF Grants must be consistent with the applicable 2 CFR 200 Subpart E.

2. A local government (as defined in 2 CFR 200.64, *Local Government*, and summarized in Section III.A. of these guidelines) may use up to 10 percent of its grant funds for any of the following activities:
 - a. health monitoring of populations exposed to hazardous substances, pollutants, or contaminants from a brownfield site; and
 - b. monitoring and enforcement of any institutional control used to prevent human exposure to any hazardous substance, pollutant, or contaminant from a brownfield site.
3. A portion of the brownfields grant or loan may be used to purchase environmental insurance.

See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for additional information on purchasing environmental insurance.

Grant funds cannot be used for the payment of:

1. proposal preparation costs;
2. a penalty or fine;
3. a federal cost-share requirement (for example, a cost share required by other federal funds);
4. administrative costs, such as indirect costs of grant administration, with the exception of financial and performance reporting costs;
5. a response cost at a brownfield site for which the recipient of the grant or loan is potentially liable under CERCLA §107;
6. a cost of compliance with any federal law, excluding the cost of compliance with laws applicable to the cleanup; or
7. unallowable costs (e.g., lobbying and fundraising) under 2 CFR Part 220, 225, or 230, as applicable.

See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for additional information on ineligible grant activities and ineligible costs.

I.C. EPA Strategic Plan Linkage

EPA's 2014-2018 Strategic Plan defines goals, objectives and sub-objectives for protecting human health and the environment. Brownfields Revolving Loan Fund Grants will support progress toward Goal 3 (Cleaning Up Communities and Advancing Sustainable Development), Objective 3.1 (Promote Sustainable and Livable Communities). Specifically, these grants will help sustain, clean up and restore communities and the ecological systems that support them by providing funds to assess and clean up brownfield sites. EPA will negotiate workplans with recipients to collect information about the hazardous substances, pollutants and petroleum contaminants addressed and the amount of land made safe for communities' economic and ecological use. View EPA's Strategic Plan at <http://www.epa.gov/planandbudget/strategicplan>.

I.D. Measuring Environmental Results: Anticipated Outputs/Outcomes

Pursuant to EPA Order 5700.7, "Environmental Results under EPA Assistance Agreements," EPA requires that all grant applicants and recipients adequately address environmental outputs and outcomes. View EPA's Order 5700.7A1 at www.epa.gov/sites/production/files/2015-03/documents/epa_order_5700_7a1.pdf.

EPA must report on the success of its Brownfields Program through measurable outputs and outcomes, such as the number of sites cleaned up, number of jobs created and amount of funding leveraged. Applicants are required to describe how funding will help EPA achieve environmental outputs and outcomes in their responses to the ranking criteria (Sections IV.C.3.2., *Program Description and Feasibility of Success* and IV.C.3.4., *Program Benefits*). Outputs and outcomes specific to each project will be identified as deliverables in the negotiated workplan if the proposal is selected for award. Grantees will be expected to report progress toward the attainment of expected project outputs and outcomes during the project performance period. Outputs and Outcomes are defined as follows.

1. **Outputs:** The term "outputs" refers to an environmental activity, effort and/or associated work products related to an environmental goal or objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during the project period. The expected outputs for the grants awarded under these guidelines are cleaned-up brownfield sites. Other outputs may include the number of community meetings held and/or the number of tanks pulled.
2. **Outcomes:** The term "outcomes" refers to the result, effect, or consequence that will occur from carrying out the activities under the grant. Outcomes may be environmental, behavioral, health-related, or programmatic; must be qualitative or quantitative; and may not necessarily be achievable during the project period. Expected outcomes of Brownfields Grants include the number of jobs created and funding leveraged through the economic reuse of sites; the number of acres made ready for reuse or acres of greenspace created for communities; and whether the project will minimize exposure to hazardous substances and other contamination.

I.E. Linking to Sustainable and Equitable Development Outcomes, and Supporting Environmental Justice

Under the Program Benefits ranking criterion in Section IV.C.3.4., applicants should discuss how their proposed Brownfield RLF program will advance and incorporate sustainable and equitable practices. The proposal will be evaluated on the extent to which it will lead to sustainable and equitable development outcomes and will address environmental justice challenges as discussed below. EPA encourages applicants to provide specific examples of how the proposed Brownfields RLF program will work to remove economic, environmental and social barriers to make sustainable and equitable brownfields reuse of the highest priority.

Linking Brownfield RLF Approaches to Sustainable and Equitable Development Outcomes

Applicants should incorporate sustainable and equitable reuse approaches into their proposed Brownfield RLF program. Sustainable and equitable approaches can ensure brownfields are reused in ways that:

- contribute to greener and healthier homes, buildings, and neighborhoods;
- mitigate environmental conditions through effective deconstruction and remediation strategies which address solid and hazardous waste, and improve air and water quality;
- improve access by residents to greenspace, recreational property, transit, schools, other nonprofit uses (e.g., libraries, health clinics, youth centers, etc.), and healthy and affordable food;
- improve employment and affordable housing opportunities for local residents;
- reduce toxicity, illegal dumping, and blighted vacant parcels; and
- retain residents who have historically lived within the area affected by brownfields.

Sustainable development practices facilitate environmentally-sensitive brownfields cleanup and redevelopment while also helping to make communities more attractive, economically stronger, and more socially diverse. While ensuring consistency with community-identified priorities, sustainable development approaches encourage brownfield site reuse in ways that provide new jobs, commercial opportunities, open-space amenities, and/or social services to an existing neighborhood. Brownfield site preparation strategies that prevent contaminant exposure through green building design, materials recycling, enable urban agricultural reuse, promote walkability to/around the site and contribute to community walkability, and on-site stormwater management through green infrastructure, among other approaches, can contribute to sustainable development outcomes.

Equitable development occurs when intentional strategies are put in place to ensure that low-income and minority communities not only participate in but also benefit from, decisions that shape their neighborhoods and regions. There are many different approaches that promote equitable development, such as ensuring a mix of housing types across a range of incomes; access to fresh food; access to jobs; and access to local capital. Programs or policies can be put in place to help ensure creation or integration of affordable housing; local or first-source hiring; minority contracting; inclusionary zoning (where a percentage of new housing is designated as affordable housing); healthy food retailers in places where they do not exist (e.g. food deserts); co-operative ownership models where local residents come together to run a community-owned, jointly owned business enterprise; rent control or community land trusts (to help keep property

affordable for residents); supportive local entrepreneurial activities; and adherence to equal lending opportunities.

Linking Brownfield RLF Approaches to Environmental Justice

Environmental justice can be supported through sustainable and equitable development approaches. EPA defines environmental justice as the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. EPA has this goal for all communities and persons across the nation. Environmental justice will be achieved when everyone enjoys the same degree of protection from environmental and health hazards and equal access to the decision-making process to have a healthy environment in which to live, learn, and work.¹

SECTION II. - AWARD INFORMATION

II.A. What is the Amount of Available Funding?

The total estimated funding available under the national competition for Assessment, RLF and Cleanup Grants is estimated at \$50 million subject to the availability of funds, quality of proposals, and other applicable considerations. A separate announcement is posted for the Assessment and Cleanup Grant competitions. EPA may expend up to 25 percent of the amount appropriated for Brownfields Grants on sites contaminated with petroleum. EPA anticipates awarding an estimated 198 grants among all three grant types. Under this announcement, EPA anticipates awarding an estimated 15 RLF Grants for a total amount of approximately \$9 million in funding.

In addition, EPA reserves the right to award additional grants under this competition should additional funding become available. Any additional selections for awards will be made no later than six months from the date of the original selection decision. EPA reserves the right to reject all proposals and make no awards under this announcement or make fewer awards than anticipated.

In appropriate circumstances, EPA reserves the right to partially fund proposals by funding discrete portions or phases of proposed projects. To maintain the integrity of the competition and selection process, EPA, if it decides to partially fund a proposal, will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal, or portion thereof, was evaluated and selected for award.

II.B. What is the Project Period for Awards Resulting from this Solicitation?

The project period for RLF Grants is up to five years.

¹ For more information please visit www.epa.gov/environmentaljustice.

II.C. Substantial Involvement

The Brownfield RLF Grant will be awarded in the form of a cooperative agreement. Cooperative agreements permit the EPA Project Officer to be substantially involved in overseeing the work performed by the selected recipients. Although EPA will negotiate precise terms and conditions relating to substantial involvement as part of the award process, the anticipated substantial federal involvement for this project may include:

- close monitoring of the recipient's performance to verify the results;
- collaborating during the performance of the scope of work;
- in accordance with 2 CFR 200.317 and 2 CFR 200.318, as appropriate, review of proposed procurements;
- reviewing qualifications of key personnel (EPA will not select employees or contractors employed by the award recipient);
- reviewing and commenting on reports prepared under the cooperative agreement (the final decision on the content of reports rests with the recipient);
- reviewing sites to verify they meet applicable site eligibility criteria; and
- monitoring use of program income after the cooperative agreement project period ends.

SECTION III. - ELIGIBILITY INFORMATION and THRESHOLD CRITERIA

III.A. Who Can Apply?

The following information indicates which entities are eligible to apply for a Revolving Loan Fund (RLF) Grant. Nonprofit organizations are not eligible to apply for an RLF Grant unless the entity is included as a "General Purpose Unit of Local Government" as defined below.

- General Purpose Unit of Local Government. [For purposes of the EPA Brownfields Grant Program, a "local government" is defined as stated under 2 CFR 200.64.: Local government means a county, municipality, city, town, township, local public authority (including any public and Indian housing agency under the United States Housing Act of 1937), school district, special district, intrastate district, council of governments (whether or not incorporated as a nonprofit corporation under state law), any other regional or interstate government entity, or any agency or instrumentality of a local government.]
- Land Clearance Authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government.
- Government Entity Created by State Legislature.
- Regional Council or group of General Purpose Units of Local Government.
- Redevelopment Agency that is chartered or otherwise sanctioned by a state.
- State.
- Indian tribe other than in Alaska. (The exclusion of Alaskan Tribes from Brownfields Grant eligibility is statutory at CERCLA §104(k)(1). Intertribal Consortia, comprised of eligible Indian tribes, are eligible for funding in accordance with EPA's policy for funding intertribal consortia published in the *Federal Register* on November 4, 2002, at 67 Fed. Reg. 67181.

This policy also may be obtained from your Regional Brownfields Contact listed in Section VII.)

- Alaska Native Regional Corporation, Alaska Native Village Corporation, and Metlakatla Indian Community. (Alaska Native Regional Corporations and Alaska Native Village Corporations are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following). For more information, please refer to Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.)

III.B. Threshold Criteria for RLF Grants

This section contains the threshold eligibility criteria that ensure applicants are eligible to receive RLF Grants. Threshold criteria are pass/fail and include certain requests for information identified below. The information you submit will be used by EPA solely to make site eligibility determinations for Brownfields Grants and is not legally binding for other purposes including federal, state, or tribal enforcement actions. Only those proposals that pass all the threshold criteria will be evaluated against the evaluation criteria in Section V.A. of this announcement.

Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

If a proposal is submitted that includes any ineligible tasks or activities, that portion of the proposal will be ineligible for funding and may, depending on the extent to which it affects the proposal, render the entire proposal ineligible for funding.

Your responses to these items are required and must be included as an attachment to the Narrative Proposal you submit to EPA. See Section IV.C. for a complete list of required documents that must be submitted.

EPA staff will respond to questions regarding threshold eligibility criteria, administrative issues related to the submission of the proposal, and requests for clarification about this announcement. In order to maintain the integrity of the competition process, EPA staff cannot meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. EPA's limitations on staff involvement with grant applicants are described in EPA's Assistance Agreement Competition Policy (EPA Order 5700.5A1).

For purposes of the threshold eligibility review, EPA, if necessary, may seek clarification of applicant information and/or consider information from other sources, including EPA files.

Proposals must substantially comply with the proposal submission instructions and requirements set forth in Section IV. of this announcement or they will be rejected. Pages in excess of the page limits described in Section IV. for the Cover Letter and Narrative Proposal, and attachments not specifically required, will not be reviewed.

In addition, proposals must be submitted through www.grants.gov as stated in Section IV. of this announcement (except in the limited circumstances where another mode of submission is specifically allowed for as explained in Appendix 2) on or before the proposal submission

deadline. Applicants are responsible for following the submission instructions in Section IV. of this announcement to ensure that their proposal is submitted in a timely manner.

Proposals received after the submission deadline will be considered late and deemed ineligible without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical problems associated with www.grants.gov or relevant www.sam.gov system issues. An applicant's failure to timely submit their proposal through www.grants.gov because they did not timely or properly register in www.sam.gov or www.grants.gov will not be considered an acceptable reason to consider a late submission.

EPA will verify that the Data Universal Number System (DUNS) number listed on the application is the correct DUNS number for the applicant's organization. If the correct DUNS number is not included on the application, the application may be deemed ineligible.

1. **Applicant Eligibility**

Provide information that demonstrates how you are an eligible entity for an RLF Grant as specified in Section III.A., *Who Can Apply?* For entities other than cities, counties, tribes, or states, attach documentation of your eligibility (e.g., resolutions, statutes, etc.).

RLF Coalitions must document how all coalition members are eligible entities. All coalition members must submit a letter to the grant applicant (lead coalition member) in which they agree to be part of the coalition. An active Memorandum of Agreement that includes a description and role of each coalition member may serve in place of the individual coalition members' letters. Attach the document(s) to your proposal.

2. **Description of Jurisdiction**

EPA awards RLF Grants to clean up sites that are located within the jurisdiction of the applicant as defined in the application. This does not preclude applicants from targeting specific communities or areas within the jurisdiction in their marketing, outreach, and cleanup activities. Applicants must provide a description of the boundaries of their jurisdiction (e.g., the city limits of The City of ABC).

3. **Oversight Structure and Legal Authority to Manage a Revolving Loan Fund**

Please note that you will be required to comply with all applicable federal and state laws and ensure that the cleanup protects human health and the environment.

- a. Describe how you will oversee cleanup at sites. Indicate whether you plan to require loan or subgrant recipients to enroll in a state or tribal response program. If you do not plan to require loan or subgrant recipients to enroll in a state or tribal response program, or an appropriate state or tribal response program is not available, you will be required to consult with EPA to ensure cleanups are protective of human health and the environment. Therefore, if you do not plan to require loan or subgrant recipients to enroll in a state or tribal response program, provide a description of the technical expertise you have to conduct, manage, and oversee the cleanup and/or whether you plan to acquire additional technical expertise. If you do plan to acquire additional technical expertise, discuss how you will comply with the competitive procurement provisions of the procurement

standards of 2 CFR 200.317 through 200.326 and ensure that this technical expertise is in place prior to beginning cleanup activities.

- b. Provide a legal opinion from your counsel that demonstrates:
 - (1) you have legal authority to access and secure sites in the event of an emergency or default of a loan agreement or non-performance under a subgrant; and
 - (2) you have legal authority to perform the actions necessary to manage a revolving loan fund. At a minimum, legal authority must include the ability to hold funds, make loans, enter into loan agreements, and collect repayments.

This opinion must cite the relevant state law(s) or local ordinance(s) that allow you access to sites and the authority to manage an RLF. Attach your counsel's legal opinion.

Note: For RLF Coalitions, the lead applicant must have the broader jurisdiction, authority, and/or program capacity to ensure adequate program performance of coalition members, borrowers, and/or subgrantees, if warranted.

4. **Statutory Cost Share (See also Section IV.E on Leveraging)**

RLF Grant recipients are required by the Brownfields Law to provide a 20 percent cost share.¹ This cost share is calculated as 20 percent of the total federal RLF funds awarded. For example, if EPA awards you \$700,000 of federal cleanup funds, you must provide a cost share of an additional \$140,000. The cost share may be in the form of a contribution of money, labor, material, or services from a non-federal source. If the cost share is in the form of a contribution of labor, material, or other services, it must be incurred for an eligible and allowable expense under the grant and not for ineligible expenses, such as administrative costs (see Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for a discussion of prohibited costs).

RLF Grant applicants may petition EPA to waive the cost share requirement if it would place an undue hardship on the applicant. EPA will consider hardship waiver requests on a case-by-case basis and will approve such requests on an extremely limited basis. In considering such requests, EPA will look for indicators such as low per-capita income, unemployment rate significantly above the national average, or unemployment or economic adjustment problems resulting from severe short-term or long-term changes in economic conditions.

In your proposal:

¹ Applicants for an RLF Grant may use fees from borrowers, interest on loans, and other "program income excluding loan principal repayment" to meet the cost share requirement. However, if an RLF Grant applicant plans to use anticipated program income for cost share, the applicant also must demonstrate how alternative sources for obtaining money, labor, material, or services can be used to meet its cost share requirement if program income is less than anticipated during the performance period of the grant. Recipients of RLF Grants may not use repayments of loan principal to meet the cost share requirement.

- i) Demonstrate how you will meet the required cost share, including the sources of the funding or services, as required for this RLF grant.
- ii) If you are requesting a hardship waiver of the cost share, provide an explanation for the basis of your request as part of your proposal. This explanation must be submitted on a separate page, titled “Hardship Waiver Request.” Your explanation should include the following information: the unemployment rate; per capita income; data demonstrating substantial out-migration or population loss, if relevant; data demonstrating underemployment, that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit, if relevant; information regarding military base closures or realignments, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions, if relevant; local natural or other major disasters or emergencies, if relevant; information regarding extraordinary depletion of natural resources, if relevant; closure or restructuring of industrial firms and negative effects of changing trade patterns, if relevant; whether you are located in a President-Declared Disaster area (declared within 18 months of the submission date for your proposal); whether you have exhausted effective taxing (for governmental entities only) and borrowing capacity. Also, your explanation should include whether the proposed project could still proceed if the cost share waiver was not approved.

Where available, applicants must supply data derived from the most recent American Community Survey (“ACS”) published by the U.S. Census Bureau. In cases where such data are not available, applicants may provide data from other sources (including data available from the Census Bureau and the Bureaus of Economic Analysis, Labor Statistics, Indian Affairs, or other federal sources). In cases where no federal data are available, applicants may submit the most recent data available through their state, tribal, or local government. Cite all data sources provided.

Successful applicants will be notified at the time of the grant announcement if their cost share waiver request was approved. Approval of a cost share waiver does not increase the amount of funding which will be provided by EPA in the grant award. Rather, approval of the cost share waiver will relieve the applicant of the responsibility for providing the cost share amount for the grant award.

SECTION IV. - PROPOSAL SUBMISSION INFORMATION

IV.A. How to Obtain a Proposal Package

A copy of these guidelines can be obtained from the EPA Brownfields Program website at www.epa.gov/brownfields/apply-brownfields-grant-funding or through www.grants.gov.

IV.B. Due Date and Submission Instructions

Your organization’s Authorized Organization Representative (AOR) must submit your complete application package electronically to EPA through www.grants.gov. Proposals must be received

no later than 11:59 p.m. ET on **{60 days after posting}**. Please allow enough time to successfully submit your application package and allow for unexpected errors that may require you to resubmit. Occasionally, technical and other issues arise when using www.grants.gov.

Proposals received after 11:59 p.m. ET **{60 days after posting}**, will not be considered for funding.

In order to submit a proposal¹ through www.grants.gov, you must:

1. Have an active DUNS number,
2. Have an active System for Award Management (SAM) account in www.sam.gov,
3. Be registered in www.grants.gov, and
4. Be designated as your organization's AOR.

The registration process for all of the above items **may take a month or more to complete.**

The electronic submission of your application must be made by the official representative (AOR) of your institution who is registered with www.grants.gov and is authorized to sign applications for federal assistance. Refer to Appendix 2 for specific instructions on how to apply through www.grants.gov.

After signing and successfully submitting the application package, within 24 to 48 hours the AOR should receive notification emails from www.grants.gov with the following subject lines:

- 1. GRANT##### Grants.gov Submission Receipt**
- 2. GRANT##### Grants.gov Submission Validation Receipt for Application**

If the AOR did not receive either notification emails listed above, contact the www.grants.gov Help Desk at 1-800-518-4726. The Help Desk is open 24/7 (except federal holidays).

After the application package is retrieved out of the www.grants.gov system by EPA, the AOR should receive the following notification emails from www.grants.gov:

- 3. GRANT##### Grants.gov Grantor Agency Retrieval Receipt for Application**
- 4. GRANT##### Grants.gov Agency Tracking Number Assignment for Application**

In the event that you experience difficulties transmitting the proposal through www.grants.gov, please refer to the procedures in Appendix 2.

If you do not have the technical capability to apply electronically through www.grants.gov because of limited or no Internet access which prevents you from being able to upload the required application materials to www.grants.gov, please refer to the procedures in Appendix 2.

¹ Note that the terms "proposal" and "application" mean the same thing for the purposes of this competition. The files that you submit through www.grants.gov as your Brownfields proposal is what is known as an application package in www.grants.gov.

IV.C. Content and Form of Proposal Submission

Refer to Section I.A. for information on the types of RLF Grants and amount of funding that may be requested. Each proposal must stand on its own merits based on the responses to the relevant criteria for the type of grant submitted and must not reference responses to criteria in another proposal.

All proposal materials must be in English. The Cover Letter and Narrative Proposal must be typed, on letter-sized (8.5 x 11-inch) paper, and **use standard Times New Roman, Arial, or Calibri fonts with a 12-point font size and 1-inch margins**. While these guidelines establish the font and minimum type size requirements, applicants are advised that readability is very important.

✔ Standard Form 424, Application for Federal Assistance (no page limit - see Section IV.C.1.)	
✔ Cover Letter (2-page limit) (see Section IV.C.2.)	
✔ Cover Letter Attachments: <ul style="list-style-type: none">○ Other Factors Checklist (located in Appendix 3), if applicable (see Section IV.C.2.g.)○ Letter from the state or tribal environmental authority (see Section IV.C.2.h.)	
✔ The Narrative Proposal, which includes the responses to ranking criteria (15-page limit) (see Section IV.C.3.)	
✔ Narrative Proposal Attachments: <ul style="list-style-type: none">○ Documentation indicating committed leveraged resources, if applicable (see Section IV.C.3.2.c.)○ Letters of Commitment from all community organizations identified in the <i>Partnerships with Community Organizations</i> ranking criterion (see Section IV.C.3.3.c.ii.)	
✔ Threshold Criteria Responses Attachments: (see Section III.B.) <ul style="list-style-type: none">○ Documentation of applicant eligibility if other than city, county, state, or tribe (see Section III.B.1.)○ Letters of commitment from each RLF Coalition member or an active Memorandum of Agreement, if applicable (see Section III.B.1.)○ Description of Jurisdiction (see Section III.B.2.)○ Oversight Structure and Legal Authority to Manage a RLF (see Section III.B.3)<ul style="list-style-type: none">▪ Description of cleanup oversight (see Section III.B.3.a.)▪ Legal opinion establishing that the applicant has authority to (1) access and secure sites in the event of an emergency or default of a loan agreement or non-performance under a subgrant; and (2) to make loans and accept payments of fees, interest, and principal (see Section III.B.3.b.)○ Statutory Cost Share (see Section III.B.4.)○ Justification for RLF cost share waiver, if applicable (see Section III.B.4.)	

The checklist above reflects the documents required for proposals. All proposals must contain a completed and signed SF-424; a Cover Letter; a Narrative Proposal, limited to 15 typed pages; and required attachments, as listed below. Extraneous materials, including photos, graphics and attachments not listed, will not be considered.

1. Standard Form (SF) - 424, Application for Federal Assistance

www.grants.gov will automatically prompt applicants to submit the SF-424 form.

2. Cover Letter

The cover letter shall identify the applicant and a contact for communication with EPA. The cover letter, including the applicant identification information, shall not exceed two pages. Any pages submitted over the page limit will not be considered. The cover letter must be on the applicant's official letterhead and signed by an official with the authority to commit your organization to the proposed project. Applicants are to submit separate cover letters for each proposal they submit. EPA does not consider information in cover letters to be responses to the ranking criteria. Each cover letter should be addressed to the Regional Brownfields Contact listed in Section VII. and include the items listed below.

- a. Applicant Identification Provide the name and full address of the entity applying for funds. This is the agency or organization that will receive the grant and be accountable to EPA for the proper expenditure of funds.
- b. Funding Requested
 - i) Grant Type Indicate "RLF".
 - ii) Federal Funds Requested \$ _____ and whether you are requesting a cost-share waiver (refer to funding limitations for RLF Grants).
 - iii) Contamination Indicate "Hazardous Substances," "Petroleum" or both.
Note: If both, provide a breakdown of the amount of funding you are requesting by contaminant type (e.g., for an overall grant request of \$700,000, the breakdown might be \$600,000 hazardous substances and \$100,000 petroleum).
- c. Location City, county, and state or reservation, tribally owned lands, tribal fee lands, etc., of the brownfields community(ies) that you propose to serve. For RLF Coalition Grants, list all jurisdictions covered under the proposal.
- d. Contacts
 - i) Project Director Provide name, phone number, email address, and mailing address of the Project Director assigned to this proposed project. This person should be the main point of contact for the project, and should be the person responsible for the project's day-to-day operations. The Project Director may be contacted if other information is needed.
 - ii) Chief Executive/Highest Ranking Elected Official Provide the name, phone number, email address, and mailing address of the applicant's Chief Executive or highest ranking elected official. For example, if your organization is a municipal form of

government, provide this information for the Mayor or County Commissioner. Otherwise, provide this information for your organization's Executive Director or President. These individuals may be contacted if other information is needed.

e. Population

- i) Provide the general population of your jurisdiction and the jurisdictions of any coalition partners, if applicable.
- ii) If you are not a municipal form of government, provide the population of the municipality of the identified target area(s). Tribes must provide the number of tribal/non-tribal members affected. Your jurisdiction's population can be found at www.census.gov/.
- iii) Affirm whether or not your jurisdiction is located within, or includes, a county experiencing "persistent poverty" where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.

f. Other Factors Checklist Attach the "Other Factors" Checklist in Appendix 3 to the Cover Letter identifying which, if any, of the items are applicable to your proposal. The "Other Factors" Checklist does not count towards the two-page limit for this section.

g. Letter from the State or Tribal Environmental Authority

For an applicant other than a state or tribal environmental authority, attach a current letter from the appropriate state or tribal environmental authority acknowledging that the applicant plans to conduct RLF activities and is planning to apply for FY18 federal brownfields grant funds. Letters regarding proposals from prior years are not acceptable.

If you are applying for multiple types of grants, you need to receive only one letter acknowledging the relevant grant activities. However, you must provide a copy of this letter as an attachment to each proposal. Please note that general correspondence and documents evidencing state involvement with the project (i.e., state enforcement orders or state notice letters) are not acceptable. Coordinate early with your state or tribal environmental authority in order to allow adequate time for you to obtain the acknowledgment letter and attach it to your proposal.

The letter from the state or tribal authority does not count towards the two-page limit for this section.

3. The Narrative Proposal/Ranking Criteria

The narrative proposal (including citations) shall not exceed 15 single-spaced pages. Any pages submitted over the page limit will not be evaluated.

The narrative proposal must include clear, concise, and factual responses to all ranking criteria and sub-criteria. Proposals must provide sufficient detail to allow for an evaluation of the merits of the proposal. If a criterion does not apply, clearly state this. **Any criterion left**

unanswered may result in zero points given for that criterion. Responses to the criteria should include the criteria number and title but need not restate the entire text of the criteria.

1. COMMUNITY NEED

This section of your proposal provides the context for your project. The needs defined in this section should provide the foundation for your later discussion of the brownfields program, planned community engagement and partnerships, and the ways the project will ultimately benefit your community.

a. **Target Area and Brownfields**

Community and Target Area Descriptions

Include a brief description of your city, town, or geographic area to provide the proposal reviewers background on its cultural and industrial history that establishes the context for your brownfield challenges.

Within this larger geographic area, identify and describe the specific target area(s) where you plan to perform RLF activities, such as a neighborhood, district, corridor, census tract, or other locality. Depending on the scope and design of your program, one or more target areas may be presented.

Demographic Information and Indicators of Need

Provide and compare census-based demographic data as requested in the table below. Use additional rows or text, as needed, to include other data or information, which provide a compelling explanation for why you selected the target area. Responses should clearly identify sources of information used.

Sample Format for Demographic Information (supplement as appropriate for each target area)

	Target Area (e.g., Census Tract)	City/Town or County	Statewide	National
Population:				316,127,513 ¹
Unemployment:				8.3% ¹
Poverty Rate:				15.5 % ¹
Percent Minority:				37.8% ²
Median Household Income:				\$53,889 ¹
Other: <i>Include other relevant data as needed in additional rows</i>				
¹ Data are from the 2011-2015 American Community Survey 5-Year Estimates available on American FactFinder at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml				
² Data are from the 2011-2015 American Community Survey 5-Year Estimates available on American FactFinder at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml . Note, the percent minority is derived from the HISPANIC OR LATINO AND RACE population table (i.e., the sum of the Hispanic or Latino (of any race), Black of African American alone, American Indian and Alaska Native alone, Asian alone, Native Hawaiian and Other Pacific Islander alone, Some other race alone and two or more races percentages).				

For resources to gather demographic information, please go the FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf

Description of the Brownfields

Describe the actual brownfield sites in your target area(s) and highlight at least one site that is a priority. Include information in your description of your brownfield sites:

- proximity to residents in the target area;
- nature and extent of your brownfields (such as past land uses and site activities, potentially related environmental issues or contaminants, and current conditions); and
- real or perceived negative environmental impacts associated with the brownfield sites.

b. Welfare, Environmental, and Public Health Impacts

Please provide information on the welfare impacts in your target area(s).

Welfare Impacts

Discuss the welfare issues experienced by the target area. (For example: blight, safety concerns, lack of access to community services, lack of transportation services, etc.)

Please provide information on the environmental and public health impacts in your community(ies).

Cumulative Environmental Issues

Excluding the brownfield sites discussed earlier, provide a summary (using available information) of other various cumulative environmental issues (e.g. siting of power plants, incinerators, industry, landfills, congested highways, or other sources of air, water and land pollution) or other environmental justice concerns which may be present (such as existing sources of pollution which overburden the residents within the target area).

Cumulative Public Health Impacts

- Discuss the public health impacts from cumulative sources, including brownfield sites discussed earlier.
- Provide information describing the threats to sensitive populations who are potentially subject to environmental exposures, including exposures from brownfields. (Please refer to FAQs for information on sensitive populations at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.)

c. Financial Need

i. Economic Conditions

Describe why you, as the applicant, need this funding and are unable to draw on other sources of funding. Explain how a small population, low income, or other factors of the target area prevent you from funding this work.

Describe how local economic conditions may have been made worse due to industrial decline, plant closures, natural disasters, or other significant economic disruptions.

ii. Economic Effects of Brownfields

Describe the key economic effects of the brownfields discussed earlier on the target area (e.g. reduced tax base, lost business opportunities, depressed property values, burden on municipal services, etc.). To the extent that this discussion may include quantitative estimates and statistics, clearly cite the sources of such data.

2. PROJECT DESCRIPTION AND FEASIBILITY OF SUCCESS

This section of your proposal describes your program and how it will be implemented. This section should demonstrate the feasibility of the program you will fund with this grant, and the extent to which the grant will stimulate the availability of other funds for environmental remediation, the subsequent reuse of the brownfield sites, and revitalization of the target area(s).

Refer to Section VI.D., *Brownfields Programmatic Requirements*, for EPA's expectations of projects funded with Brownfields RLF Grants.

a. Program Description and Marketing Strategy

i. Program Description

Describe your RLF program's proposed loan and subgrant products and how your RLF program will:

- structure and maintain a competent team to ensure an effective program (for coalition proposals, implement an effective governance structure amongst coalition partners);
- select borrowers/subgrantees and projects;
- ensure projects align efforts with target area's community's land use and revitalization plans and make use of existing infrastructure;
- structure and administer loans and subgrants, and facilitate financial underwriting;
- incorporate reasonable and prudent lending practices;
- maximize resources for lending and provide gap financing to address high-risk sites in vulnerable communities;
- incorporate innovative approaches to encourage the funds to revolve and be sustained after the cooperative agreement is closed; and
- be properly maintained and report to EPA so long as program income exists, even after the 5-year project period (Note: this requires a long-term commitment of resources).

ii. Marketing Strategy

Describe your program's marketing strategy including:

- the types of applicants and projects you are targeting;
- what you have already done to gauge interest or market your program;
- specific projects and applicants that you have already identified as likely RLF loan or subgrant candidates; and

- how you will continue to market your program to ensure you reach potential borrowers/subgrantees.

b. Task Descriptions and Budget Table

Task Descriptions

List the tasks required to implement the proposed program, distinguishing between the work you and your contractors will be performing under each grant-funded task.

Describe and enumerate specific outputs from the project, which may include, but are not limited to, loan/subgrants awarded, cleanup plans, community involvement plans, final Analysis of Brownfields Cleanup Alternative documents, administrative records, and cleanup completion report or letter. (Refer to Section I.D.1. for an explanation of outputs.)

Provide a cost estimate for each grant-funded task. Describe the basis for how each line item cost estimate was developed under each budget category shown in the table below. Applicants requesting hazardous substances and petroleum funding in the same proposal must distinguish hazardous substances related tasks from petroleum related tasks. Where appropriate, present unit costs and quantify work products (e.g., provide loans to two eligible entities at a cost of \$400,000 each for a total of \$800,000). Explain all costs, especially those costs that appear to be atypical (i.e., unusually high or low).

Discuss the specific activities and tasks that will be covered by the cost share. Cost share activities must be eligible activities under the grant. (Note: The cost share is calculated as 20 percent of the total federal cleanup funds requested.)

Do not include tasks for activities that are ineligible uses of funds under EPA's RLF Grant (e.g., land acquisition; building demolition that is not necessary to clean up contamination at the site; or administrative costs, such as indirect costs). Please refer to the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for additional examples of ineligible uses of funds. For questions not covered by the FAQs, contact your Regional Brownfields Contact listed in Section VII.

Budget Table

The table format below can be used to present how you plan to allocate EPA grant funds to the specific tasks described above. Specify the costs by budget category. **INCLUDE ONLY EPA GRANT FUNDS AND REQUIRED COST SHARE IN THIS TABLE.** Activities not supported by the grant (e.g. in-kind contributions) **should not** be included in the budget table.

Applicants requesting hazardous substances and petroleum funding in the same proposal must provide either two separate budget tables, or two separate line items

within one budget table, which distinguish hazardous substances funds from petroleum funds.

Note: EPA encourages the use of the table format below and replacing the task number outlined in the table with the actual title of the task.

Sample Format for Budget

Funding Type	Budget Categories	Program Tasks (\$) (programmatic costs only)				Total
		[Task 1]	[Task 2]	[Task 3]	[Task 4]	
Hazardous Substance Funds (HS)	Personnel					
	Fringe Benefits					
	Travel ¹					
	Equipment ²					
	Supplies					
	Contractual					
	Loans (must be at least 50% of the amount requested)					
	Subgrants					
	Other (specify) _____					
	Subtotal					
Petroleum Funds (P)	Personnel					
	Fringe Benefits					
	Travel ¹					
	Equipment ²					
	Supplies					
	Contractual					
	Loans (must be at least 50% of the amount requested)					
	Subgrants					
	Other (specify) _____					
	Subtotal:					
Federal Funding Subtotal (HS + P)						
Cost Share³						
Total Budget						

¹ Travel to brownfield-related training conferences is an acceptable use of these grant funds.

² EPA defines equipment as items that cost \$5,000 or more with a useful life of more than one year. Items costing less than \$5,000 are considered supplies. Generally, equipment is not required for RLF Grants.

³ Applicants must include the cost share in the budget even if applying for a cost share waiver. If the applicant is successful and the cost share waiver is approved, it will be removed in pre-award negotiation.

Reminder: Administrative costs, such as indirect costs, of grant administration with the exception of financial and performance reporting costs are ineligible grant activities.

c. Ability to Leverage

List other sources of funding or resources that you have, or may be seeking, to leverage to ensure:

1. the success of your RLF Program (if any additional work or services are necessary to carry out the program, such as in-kind staff hours, during the 5-year period of performance); and
2. the revitalization of brownfield sites cleaned up with this funding (e.g., additional cleanup, demolition, and redevelopment activities).

Attach documentation that substantiate secured commitments of leveraged funding.

Sample Format for Leveraging Resources (supplement as appropriate using additional rows or text).

Source	Purpose/Role	Amount (\$)	Status (Secured resource with attached documentation, pending, or potential resource)
<i>E.g. City of X, Community Development Dept.</i>	<i>In-kind services towards the management of the cooperative agreement</i>	<i>\$10,000</i>	<i>Secured resource</i>
<i>E.g., Local developer</i>	<i>Funding to remediate sites A & B</i>	<i>\$100,000</i>	<i>Pending resource</i>

If you are not yet able to identify sources of leveraged funding needed for this program, then provide a recent example where you, or your project partners, have successfully leveraged resources to achieve an environmental or revitalization goal of your community (preferably related to a brownfield site or related project). See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for more information on how to demonstrate leveraging commitments.

Note: Selected applicants are expected to abide by their proposed leveraging commitments during the EPA grant performance period; failure to do so may affect the legitimacy of the award. See also discussion of leveraging and voluntary cost share in Section IV.E.

Leveraging commitments are not the cost share match; do not include these leveraged resources in the budget table.

3. **COMMUNITY ENGAGEMENT AND PARTNERSHIPS**

This section of your proposal explains how your proposed community engagement plan will meet the needs of the community in the target area identified in the Community Need (Section IV.C.3.1.) portion of your proposal. It identifies the stakeholders and coordination needed with partners to achieve the benefits discussed in the Program Benefits section (Section IV.C.3.4.).

a. Engaging the Community

- Discuss your plan for involving the community in the target area and other stakeholders (such as neighborhood organizations, citizen groups, borrowers, and developers) in the planning and implementation of your program (which may include project planning, cleanup decisions and reuse planning).
- Discuss how you will seek out and consider concerns that local residents may have with regard to health, safety, and community disruption potentially posed by the proposed cleanup activities.
- Describe how you will ensure the proposed cleanup activities are conducted in a manner that is protective of the sensitive populations and nearby residents identified earlier.
- Describe your plan for communicating the progress of your project to community members. Also, describe how the identified communication plans are appropriate and effective for the community(ies) in the target area(s).

Note: Applicants may address this criterion by various means that show meaningful public engagement where information is shared and views and input are actively solicited, including public meetings, webinars, use of media, and Internet forums.

b. Partnerships with Government Agencies

Local/State/Tribal Environmental Authority

Identify and provide information on the agency which runs the relevant brownfields, voluntary cleanup or similar program at the local/state/tribal level (i.e., the environmental agency and/or health agency), and describe the role(s) they will have to ensure your cleanup meets applicable standards or otherwise is protective of human health and the environment.

Other Governmental Partnerships

Identify and provide information on other relevant federal, state, and/or local governmental agencies with which you will partner during your RLF program (e.g., DOT, HUD, a health agency), and describe the role(s) and relevancy they will have to ensure your brownfield project is successful.

c. Partnerships with Community Organizations

Community Organization Descriptions & Roles

Include a description of each community organization involved in your program, as well as their role in and commitments to the planning and implementation of the program.

If there are no community organizations in your community, then state this and discuss how the community is engaged and will continue to be involved in your project.

Note: Community organizations do **not** include local government departments, the local planning department/district/office, local contractors, the mayor's office, or other elected officials. See FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for more information about community organizations.

The number of partners is not as important as the contributions and the relevance of their organization.

EPA may conduct reference checks to ensure that organizations identified are supportive and involved with the brownfield project.

Letters of Commitment

Attach to the proposal current letters from all of the community organizations you have discussed. These letters must discuss their support for the program, and describe and affirm their roles and commitments to the planning and implementation of the program.

If there are no community organizations in your community, then provide documentation to demonstrate that the community is engaged and will continue to be involved in your project. This can be done by attaching support or commitment letters from residents, letters from residents to the editors of local newspapers, attendance lists at public meetings concerning the project, comments from local citizens received on the plans and implementation of the program, etc.

Note: Letters of commitment and supporting documentation must be addressed to the applicant and be included with the applicant's proposal package. Letters sent directly to EPA will not be considered.

Subawards to Community Organizations: If you intend to fund a community organization with a subaward¹, please review Section IV.F. carefully.

d. Partnerships with Workforce Development Programs

Describe planned efforts to promote local hiring and procurement or link members of the community to potential employment opportunities in brownfields assessment, cleanup, or redevelopment related to your proposed projects. Such efforts may

¹ Funding may be used to provide subawards of financial assistance, which includes using subawards to fund partnerships, provided the recipient complies with applicable requirements for subawards including those contained in 2 CFR Part 200 and EPA's Subaward Policy (<https://www.epa.gov/grants/epa-solicitation-clauses#contractssubawards>).

include, but are not limited to, partnering with local workforce development entities or Brownfields job training grantees. A list of Brownfields job training grantees is available in the Brownfields Grant Fact Sheet Tool at cfpub.epa.gov/bf_factsheets/.

4. PROGRAM BENEFITS

This section of your proposal describes the anticipated outcomes and benefits expected from your program in the context of the needs you discussed in the Community Need section (Section IV.C.3.1.).

a. Welfare, Environmental, and Public Health Benefits

Describe the future welfare, environmental, and public health benefits anticipated from this program and how these benefits will address the challenges and sensitive populations discussed in the Community Need section of your narrative (Section IV.C.3.1.).

Additionally, describe how this RLF Grant will increase sustainable and equitable development opportunities that help remove economic, environmental, and social barriers, and advance environmental justice within the jurisdiction.

b. Economic and Community Benefits

Relative to challenges identified in the Community Need section and your program proposed in the Program Description section, discuss potential outcomes and the economic benefits, non-economic benefits, and other community benefits (be specific and provide quantitative estimates when possible), which may be achieved through the redevelopment of sites cleaned up under this Program, and how these benefits align with community revitalization plans.

Economic benefits may include increased employment and expanded tax base. Non-economic and community benefits may include areas redeveloped for uses such as parks, recreation areas, greenways, environmental buffers and other not-for-profit, governmental or charitable organization spaces.

5. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE

This section of your proposal demonstrates that your organization (“the applicant”/lead coalition member) has programmatic capability (experience, knowledge and resources, or ability to obtain them) and a reasonable approach necessary to ensure successful completion of all required aspects of this program and grant.

a. Audit Findings

Describe any adverse audit findings. If you have had problems with the administration of any grants (e.g., compliance reporting, expenditure of funds), please describe how you have corrected, or are correcting, the problems. If you have not, please affirm that you have not had any adverse audit findings. Respond to this

criterion regardless of whether or not you have had a federal or non-federal assistance agreement. *(Failure to address this section may result in zero points for this factor.)*

b. Programmatic Capability

Describe the organizational structure you will utilize to ensure sound financial management and program management including cleanup activities that are conducted appropriately, timely and successful expenditure of funds, and completion of all technical, administrative and financial requirements of the program and grant. Specifically describe how key program roles – such as that of the financial and/or program manager, Qualified Environmental Professional (QEP), and other team members will work together to successfully implement your program, as described Program Description (Section IV.C.3.2.a.i.). Include a brief discussion of the key staff including their roles, expertise, qualifications, and experience.

Describe the system(s) you have in place to appropriately acquire any additional expertise and resources (e.g. contractors or subawardees) required per grant requirements to successfully implement the program and its loan and subgrant candidate projects. Please refer to Section IV.F. regarding contractors and subawards.

c. Measuring Environmental Results: Anticipated Outputs/Outcomes

Discuss how you plan to track, measure and evaluate your progress in achieving project/program outcomes, outputs and project/program results. (Refer to Section I.D.1. for an explanation of outputs.)

d. Past Performance and Accomplishments

If you have ever received an EPA Brownfields Grant (including Assessment, Cleanup, Revolving Loan Fund, and 128(a) grants, but excluding Targeted Brownfields Assessments, Area-Wide Planning grants, Environmental Workforce Development & Job Training grants, and subawards from another Brownfields grantee), please respond to **item i.** below.

If you have never received an EPA Brownfields Grant, but have received other federal or non-federal assistance agreements (such as a grant or cooperative agreement), please respond to **item ii.** below.

If you have never received any type of federal or non-federal assistance agreements, please indicate this in response to **item iii.** below.

i. Currently or Has Ever Received an EPA Brownfields Grant

Identify and provide information regarding each of your current and most recent EPA brownfields grant(s) (but no more than five). Demonstrate how you successfully managed the grant(s), and successfully performed all phases of work under each grant by providing information on the items listed below.

1. Accomplishments

Describe the accomplishments (including specific outputs and outcomes) of your grant funded program, including at minimum, the number of sites assessed and/or cleaned up. Discuss whether these outputs and outcomes were accurately reflected in the Assessment, Cleanup and Redevelopment Exchange System (ACRES) at the time of this proposal submission, and if not, please explain why.

2. Compliance with Grant Requirements

Discuss your compliance with the workplan, schedule and terms and conditions. Include whether you have made, or are making, progress towards achieving the expected results of the grant in a timely manner. If not, discuss what corrective measures you took, or are taking, and how the corrective measures were effective, documented and communicated.

Discuss your history of timely and acceptable quarterly performance and grant deliverables, as well as ongoing ACRES reporting.

For all open EPA Brownfields grant(s) indicate the grant period (start and end date), if there are funds remaining, and the plan to expend funds by the end of the grant period.

For all closed EPA Brownfields grant(s), indicate if there were funds remaining at the time of closure, the amount of remaining funds, and a brief explanation of why the funds were not expended.

– OR –

ii. Has Not Received an EPA Brownfields Grant but has Received Other Federal or Non-Federal Assistance Agreements

Identify and describe each of your current and/or most recent federally and non-federally funded grants (no more than five) that are most similar in size, scope, and relevance to the proposed project. Demonstrate how you successfully managed the grant(s), and successfully performed all phases of work under each grant by providing the following information.

1. Purpose and Accomplishments

Describe the awarding agency/organization, amount of funding, and purpose of the grant(s) you have received.

Discuss the accomplishments (including specific outputs and outcomes) of the project supported by these grants, including specific measures of success for the project supported by each type of grant received.

2. **Compliance with Grant Requirements**

Describe your compliance with the workplan, schedule and terms and conditions. Include whether you made, or are making, progress towards achieving the expected results of the grant in a timely manner. If not, discuss what corrective measures you took, or are taking, and how the corrective measures were effective, documented and communicated.

Discuss your history of timely and acceptable reporting, as required by the awarding agency/organization.

– OR –

iii. **Has Never Received Any Type of Federal or Non-Federal Assistance Agreements**

Affirm that your organization has never received any type of federal or non-federal assistance agreement (grant). *(Failure to indicate anything in response may result in zero points for this factor.)*

4. Threshold Criteria Responses

Review Section III.B., Threshold Criteria for RLF Grants and attach responses to your proposal.

IV.D. Intergovernmental Review

Executive Order 12372, Intergovernmental Review of Federal Programs, may be applicable to awards resulting from this announcement. EPA implemented the Executive Order in 40 CFR Part 29. EPA may require applicants selected for funding to provide a copy of their application to their State Point of Contact (SPOC) for review as provided at 40 CFR 29.7 and 40 CFR 29.8. The SPOC list can be found on the Intergovernmental Review (SPOC List) page at www.whitehouse.gov/omb/grants_spoc/. EPA may require successful applicants from states that do not have a SPOC to provide a copy of their application for review to directly affected state, area-wide, regional and local government entities as provided at 40 CFR 29.7 and 40 CFR 29.8. These reviews are not required before submitting an application. Only applicants that EPA selects for funding under this announcement are subject to the Intergovernmental Review requirement. Note, this effort is separate from the required state environmental letter attachment (see Section IV.C.2.h.). Contact your Regional Brownfields Contact listed in Section VII. for assistance.

IV.E. Voluntary Cost Share/Leveraging

Leveraging is generally when an applicant proposes to provide its own additional funds/resources or those from third-party sources to support or complement the project they are awarded under the competition which are above and beyond the EPA grant funds awarded. Any leveraged funds/resources, and their source, must be identified in the proposal. Leveraged funds and resources may take various forms as noted below.

Voluntary cost share is a form of leveraging. Voluntary cost sharing is when an applicant voluntarily proposes to legally commit to provide costs or contributions to support the project when a cost share is not required or when it is beyond the required cost share requirements. Applicants who propose to use a voluntary cost share must include the costs or contributions for the voluntary cost share in the project budget on the Standard Form 424. If an applicant proposes a voluntary cost share, the following apply:

- A voluntary cost share is subject to the match provisions in the grant regulations (2 CFR 200.306).
- A voluntary cost share may only be met with eligible and allowable costs.
- The recipient may not use other sources of federal funds to meet a voluntary cost share unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost share requirement on a federal grant.
- The recipient is legally obligated to meet any proposed voluntary cost share that is included in the approved project budget. If the proposed voluntary cost share does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR 200 and/or 1500, as applicable.

Other leveraged funding/resources that are not identified as a voluntary cost share. This form of leveraging may be met by funding from another federal grant, from an applicant's own resources, or resources from other third party sources. This form of leveraging should not be included in the budget and the costs need not be eligible and allowable project costs under the EPA assistance agreement. While this form of leveraging should not be included in the budget, the grant workplan should include a statement indicating that the applicant is expected to produce the proposed leveraging consistent with the terms of the announcement and the applicant's proposal. If applicants propose to provide this form of leveraging, EPA expects them to make the effort to secure the leveraged resources described in their proposals. If the proposed leveraging does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR Parts 200 or 1500.

IV.F. Additional Provisions for Applicants Incorporated Into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to confidential business information, contracts and subawards under grants, and proposal assistance and communications, can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION V. - PROPOSAL REVIEW INFORMATION

V.A. Evaluation Criteria

If your proposal passes the threshold eligibility review (see Section III.B.), your responses and the information you provide in response to Section IV.3. (Narrative Proposal/Ranking Criteria) will be evaluated per the criteria below and scored by a national evaluation panel. Your proposal may be assigned up to 100 points.

Criteria (Maximum Points per Criterion)
<p style="text-align: center;"><u>1. COMMUNITY NEED (15 Points)</u></p> <p>Your proposal will be evaluated on the quality and extent to which it:</p> <ul style="list-style-type: none">- demonstrates a compelling picture of need in the community, and specifically, the identified target area(s); and- makes a clear connection between the public health, welfare, environmental, and/or economic challenges faced by the community and/or target area and the presence of brownfield sites and other cumulative environmental issues. <p>EPA anticipates selecting proposals from communities experiencing significant socio-economic challenges (e.g., high percent low-income, high percent poverty, increased health disparities).</p> <p>RLF Coalition proposals should demonstrate how the grant will serve coalition partners.</p> <p>Specifically, these criteria will evaluate your proposal on:</p> <p>1.a. Target Area and Brownfields (5 points)</p> <p><u>Community and Target Area Descriptions</u> The depth and degree of brownfield challenges confronting your city/town/geographic area and the specific area where you plan to perform RLF activities.</p> <p><u>Demographic Information and Indicators of Need</u> The relevancy of the data sources used and the extent to which they conclusively demonstrate the compelling need of the community, based on demographic information on your target area(s) as compared to larger geographic areas (e.g. city, county, state, and national).</p> <p><u>Description of the Brownfields</u> The extent of impacts, including negative environmental impacts, due to actual brownfield sites in your target area(s), and the degree to which you prioritized the sites in close proximity to residents within the target area(s).</p> <p>1.b. Welfare, Environmental, and Public Health Impacts (5 points)</p>

Welfare Impacts

The severity of the welfare issues experienced by the target area, and the degree to which these issues connect to the presence of brownfield sites.

Cumulative Environmental Issues

The extent to which the community experiences various cumulative environmental issues or other environmental justice concerns which may be present, and the degree to which these issues/concerns impact the community.

Cumulative Public Health Impacts

The extent to which the community experiences public health impacts from cumulative sources and brownfield sites identified in the proposal, and the degree to which these sources impact the community.

The extent to which sensitive populations are potentially subject to environmental exposure, including brownfields.

1.c. Financial Need (5 points)

1.c.i. Economic Conditions (3 points)

The degree to which this funding is needed, the extent of the applicant's inability to draw on other sources of funding, and the degree of significant economic disruptions that have impacted the local economic conditions.

1.c.ii. Economic Effects of Brownfields (2 points)

The extent to which the brownfields in the target area have negatively affected the economy, and the relevancy of data sources used for this analysis.

2. PROGRAM DESCRIPTION AND FEASIBILITY OF SUCCESS (30 Points)

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- how well your RLF program will further the target community's land use and revitalization plans or vision;
- a reasonable approach and methodology to achieve RLF program goals and expend funds in a timely and effective manner;
- a realistic basis for program costs; and
- the availability of, and access to, sufficient resources to ensure that projects funded by RLF loans and subgrants achieve their planned cleanup and redevelopment goals.

Specifically, these criteria will evaluate your proposal on:

2.a. RLF Program Description and Marketing Strategy (15 points)

2.a.i. Program Description (10 points)

The degree to which the project demonstrates a well-defined strategy and a viable plan to develop and implement a successful RLF program.

The degree to which there is a sound methodology for selecting borrowers/subgrantees, and the extent to which the cleanup projects align with target area(s) community land use and revitalization plans and make use of existing infrastructure.

The extent to which loan analysis and executing loan agreements are understood. The comprehensiveness of your approach to structure and administer loans and subgrants, and facilitate financial underwriting that incorporates reasonable and prudent lending practices.

The degree to which the RLF program will be preserved, including an appropriate and reasonable plan or strategy to revolve the funds, even after the cooperative agreement is closed.

2.a.ii. Marketing Strategy (5 points)

The effectiveness of program marketing approach that will result in the targeted types of cleanup projects and utilize the RLF funds within the five year grant project period.

The degree to which local needs and available market are understood, including the basis for marketing to specific applicants and the process for identifying potential cleanup projects.

The extent to which approaches designed to attract potential borrowers/subgrantees are innovative and appropriate.

2.b. Task Descriptions and Budget Table (10 points)

Task Descriptions

The extent to which the activities and tasks support the overall project and the approach to implementing the proposed program is reasonable.

The eligibility of proposed tasks under EPA's RLF Grant Program.

The appropriateness of the budget and how efficiently the grant funds will be used.

- The extent to which the majority of grant funds and cost share are allocated for tasks directly associated with issuing loans and subgrants and the associated environmental cleanup.
- The degree to which grant funds are allocated towards the loan pool to preserve the longevity and sustainability of the RLF Program.
- *A RLF Coalition proposal* will be evaluated to the extent the grant funds will address sites located in each coalition member's jurisdiction.

The extent to which the cost estimates are clearly explained, realistic, and are presented for each **grant-funded** task.

The extent to which you clearly explain and differentiate between the work you and your contractors will be performing under each grant-funded task and hazardous substances and petroleum funding (when requested in the same proposal).

The quality of the specific project outputs, how closely the outputs correlate with the described project, and how likely the outputs identified will be achieved.

Budget Table

The degree to which the budget table only includes eligible and allowable EPA RLF Grant funds and cost share activities, clearly distinguishes any hazardous substances funds from petroleum funds (when appropriate), adds up correctly, and clearly correlates with work discussed in the Task Descriptions section.

2.c. Ability to Leverage (5 points)

If any additional work or services are necessary to carry out the RLF program, the extent to which identified leveraging resource(s) contributes to the successful completion of the cooperative agreement during the 5-year period of performance.

The relevancy and degree to which the leveraging resource(s) will contribute towards the successful redevelopment of sites cleaned up with this funding.

Note, proposals with secured, significant, and relevant leveraged funding for the RLF Program/candidate project(s) may earn full points for this criterion. Proposals without secured, significant, and relevant leveraged funding will not receive full points for this criterion.

3. COMMUNITY ENGAGEMENT AND PARTNERSHIPS (22 Points)

Your proposal will be evaluated on the quality and extent to which it:

- demonstrates actions or plans to effectively involve and inform the target community and relevant stakeholders;
- identifies the relevancy of the local/state/tribal environmental authority to the project;
- identifies roles of other relevant governmental partnerships; and
- identifies the relevant roles of community organizations and affirms their involvement in the project through commitment letters.

Specifically, these criteria will evaluate your proposal on:

3.a. Engaging the Community (7 points)

The extent to which it includes a high-quality plan for involving the community and other stakeholders in the target area in the planning and implementation of your program. The degree to which your plan will enable you to:

- effectively achieve meaningful community engagement;

- effectively seek out and consider concerns that local residents may have with regard to health, safety, and community disruption potentially posed by the proposed cleanup activities;
- ensure the proposed cleanup activities are conducted in a manner that is protective of the sensitive populations and nearby residents identified earlier; and
- conduct effective and appropriate outreach to ensure the community(ies) in the target area are aware and involved in the progress of the project.

3.b. Partnerships with Government Agencies (6 points)

Local/State/Tribal Environmental Authority

The degree to which you are effectively engaging and partnering with the agency which runs the relevant brownfields, voluntary cleanup or another similar program at the local/state/tribal level, and the extent to which the partnership will contribute to the success of your RLF program.

Other Governmental Partnerships

The inclusion of all relevant partners and degree to which you are effectively engaging and partnering with federal, other state, and/or local governmental agencies that may be relevant to your RLF program, the relevancy of their roles, and the extent these partnerships will contribute to the success of your RLF program.

3.c. Partnerships with Community Organizations (7 points)

Community Organization Descriptions & Roles

The inclusion of all relevant partners and the relevancy of the organizations' contributions to your project, how varied and specific their roles in and commitments are to the planning and implementation of the project, and the extent these partnerships will contribute to the success of your RLF program.

If there are no community organizations in your community, the strength and meaningfulness of your engagement with the community throughout the project.

Letters of Commitment

The extent to which letters are included from each community organization listed in the narrative and affirm the organization's support, role, and commitment to the planning and implementation of the project.

If there are no community organizations in your community, the extent to which there is a clear description and documentation of how the community is engaged and will continue to be involved in your project such as support letters from residents, letters from residents to the editors of local newspapers, attendance lists at public meetings concerning the project, comments from local citizens received on the plans and implementation of the project, etc.

3.d. Partnerships with Workforce Development Programs (2 points)

The degree to which your plan will promote local hiring, local procurement or links members of the community to potential employment opportunities in brownfields assessment, cleanup, or redevelopment related to your proposed projects in a meaningful way.

4. PROJECT BENEFITS (13 Points)

Your proposal will be evaluated on the quality and extent to which it:

- demonstrates the potential of the RLF program, to realize significant outcomes and benefits to the public health, welfare and environment of the community;
- increases sustainable and equitable development opportunities that help to remove economic, environmental and social barriers;
- contributes to the community plan for the revitalization of brownfield sites; and
- stimulates economic or non-economic benefits.

Specifically, these criteria will evaluate your proposal on:

4.a. Welfare, Environmental, and Public Health Benefits (8 points)

The extent to which this improves the welfare, environmental, and public health of the community, as anticipated from the outcomes of the RLF program, including the degree to which the benefits:

- address challenges and sensitive populations you discussed in the Community Need section of your narrative; and
- contribute to increased sustainable, equitable and environmentally just redevelopment within the jurisdiction.

4.b. Economic and Community Benefits (5 points)

The quality of the specific program outcomes; the degree to which outcomes include quantitative and qualitative measures; the extent to which these outcomes address the challenges identified in the Community Need section and correlate with the described projects/program; and the likelihood the outcomes will be achieved through the redevelopment of sites cleaned up under this grant.

5. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE (20 Points)

In evaluating an applicant's response to this criterion, in addition to the information provided by the applicant, EPA may consider relevant information from other sources including information from EPA files and/or from other federal or non-federal grantors to verify or supplement information provided by the applicant.

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- resolution of any audit findings;

- the ability of your organization (as the applicant/lead coalition member) to successfully manage and complete the project, considering your programmatic and administrative capacity;
- a reasonable plan to track and measure project progress; and
- successful performance under past and/or current federally and/or non-federally funded assistance agreements.

Specifically, these criteria will evaluate your proposal on:

5.a. Audit Findings (2 points)

The degree to which the applicant has any adverse audit findings and how they have corrected, or are correcting, the findings.

5.b. Programmatic Capability (10 points)

The efficiency and effectiveness of your organizational structure to:

- ensure the timely and successful expenditure of funds;
- complete all technical, administrative and financial requirements of the RLF grant;
- acquire any additional expertise and resources (e.g. contractors or sub-awardees) required to successfully complete the project; and
- comply with reporting requirements and proper management of program income after the grant has closed.

The degree of expertise, qualifications, and experience of key staff, and the degree to which the proposed team members have sufficient professional experience and relevant qualifications to run the RLF program.

5.c. Measuring Environmental Results: Anticipated Outputs and Outcomes (2 points)

The adequacy of your plan to track, measure and evaluate your progress in achieving project outcomes, outputs and project results.

5.d. Past Performance and Accomplishments (6 points)

5.d.i. Currently or Has Ever Received an EPA Brownfields Grant (6 points)

The degree to which your organization has demonstrated ability to successfully manage past EPA Brownfield Grant(s) and successfully perform of all phases of work under each grant.

5.d.i.1. Accomplishments (3 points)

The quality of the accomplishments (including specific outputs and outcomes) of your grant funded program, including at minimum, the number of sites assessed and/or cleaned up, and whether these outputs and outcomes were accurately reflected in the Assessment, Cleanup and Redevelopment Exchange System (ACRES) at the time of this proposal submission, and if not, why.

5.d.i.2. Compliance with Grant Requirements (3 points)

The extent of compliance with the workplan, schedule and terms and conditions, and whether progress was made, or is being made, towards achieving the expected results of the grant in a timely manner. If expected results were not achieved, whether the measures taken to correct the situation were reasonable and appropriate.

A demonstrated history of timely and acceptable quarterly performance and grant deliverables, as well as ongoing ACRES reporting.

The likelihood all remaining funds will be expended by the end of the period of performance.

The extent to which funds from any open EPA Brownfield grant(s) can support the tasks/activities described in this proposal.

For all closed EPA Brownfields grants, the accuracy of your description of funds that remained at the time of closure, including the amount and the reasons these funds were not expended during the period of performance.

– OR –

5.d.ii. Has Not Received an EPA Brownfields Grant but has Received Other Federal or Non-Federal Assistance Agreements (6 points)

The degree to which your organization has demonstrated ability to successfully manage federal or non-federal grant(s), and perform of all phases of work under each grant.

5.d.ii.1. Purpose and Accomplishments (3 points)

The extent to which similar past federal or non-federal grants are identified (in terms of size, scope and relevance) and the degree to which sufficient information was provided to make that determination.

The quality of the accomplishments (including specific outputs and outcomes) of the project supported by these grants, including specific measures of success for the project supported by each type of grant received.

5.d.ii.2. Compliance with Grant Requirements (3 points)

The extent of compliance with the workplan, schedule and terms and conditions, and whether progress was made, or is being made, towards achieving the expected results of the grant in a timely manner. If expected results were not achieved, whether the measures taken to correct the situation were reasonable and appropriate.

A demonstrated history of timely and acceptable reporting, as required by the awarding agency/organization.

– OR –

5.d.iii. Has Never Received Any Type of Federal or Non-Federal Assistance Agreements (3 points)

Whether you clearly affirm that your organization has never received any type of federal or non-federal assistance agreement (grant).

V.B. Considerations and Other Factors

In making final selection recommendations from among the most highly ranked applicants, EPA's Selection Official may consider the following factors if appropriate. In their proposals, applicants should provide a summary on whether and how any of these other factors apply:

- fair distribution of funds between urban and non-urban areas, including an equitable distribution to "micro" communities (those communities with populations of 10,000 or less). EPA strongly encourages non-urban communities, including "micro" communities, to apply;
- the jurisdiction is located within, or includes, a county experiencing "persistent poverty" where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates;
- the distribution of funds among EPA's ten Regions and among the states and territories;
- compliance with the 25 percent statutory petroleum funding allocation;
- whether the applicant is a federally-recognized Indian tribe or United States territory or whether the project is assisting a tribe or territory;
- whether target brownfield sites are impacted by mine-scarred land;
- demonstrated firm leveraging commitments for facilitating brownfield project completion by identifying in the proposal the amounts and contributors of resources including documentation that ties directly to the project; and/or
- whether the applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.

V.C. Review and Selection Process

Timely submitted proposals will initially be reviewed by the appropriate EPA Regional Office to determine compliance with the applicable threshold criteria for RLF Grants (Section III.B.). All proposals that pass the threshold criteria review will be evaluated by national evaluation panels chosen for their expertise in the range of activities associated with the brownfield RLFs. The national evaluation panels will be composed of EPA staff and potentially other federal agency representatives. Eligible proposals will be evaluated based on the criteria described in Section V.A. and ranking lists of applicants will be developed.

For selection purposes, EPA's Office of Brownfields and Land Revitalization (OBLR) will prepare two ranked lists of eligible proposals.

One list will be comprised of "new applicants" defined as:

- applicants who have never received an EPA Brownfields Grant, or
- applicants who were awarded a Brownfields Grant that closed in 2009 or earlier.

A second list will be comprised of "existing and recent recipients" defined as:

- applicants who have an open Brownfields Grant, or
- applicants who were awarded a Brownfields Grant that closed in 2010 or later.

The Agency intends to use approximately 50% of the total amount of funding available under this announcement for grants to “new applicants.” This percentage is an estimate and is subject to change based on funding levels, the quality of proposals received and other applicable considerations.

The Office of Brownfields and Land Revitalization (OBLR) will provide both lists to the Selection Official, who is responsible for further consideration of the proposals and final selection of grant recipients. Proposals will be selected for award based on their evaluated point scores, the regional priority issue described above, the availability of funds, and as appropriate, the other factors described in Section V.B.

V.D. Additional Provisions For Applicants Incorporated Into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation including the clause on Reporting and Use of Information Concerning Recipient Integrity and Performance can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION VI. - AWARD ADMINISTRATION INFORMATION

VI.A. Award Notices

EPA Regions will notify applicants who fail threshold eligibility requirements within 15 calendar days of the Agency’s determination of ineligibility. EPA will notify applicants who have not been selected for award based on the evaluation criteria and other factors within 15 calendar days of EPA’s final decision on selections for this competition.

EPA anticipates notification to successful applicants will be made via telephone, email, or postal mail by Spring 2018. The notification will be sent to the original signer of the proposal or the project contact listed in the proposal. This notification, which informs the applicant that its proposal has been selected and is being recommended for award, is not an authorization to begin work. The official notification of an award will be made by Regional Grants Management Official for regional awards. Applicants are cautioned that only a grants officer is authorized to bind the Government to the expenditure of funds; selection does not guarantee an award will be made. For example, statutory authorization, funding or other issues discovered during the award process may affect the ability of EPA to make an award to an applicant. The award notice, signed by an EPA grants officer, is the authorizing document and will be provided through email or postal mail. The successful applicant may need to prepare and submit additional documents and forms (e.g., workplan), which must be approved by EPA, before the grant can officially be awarded. The time between notification of selection and award of a grant can take up to 90 days or longer.

VI.B. Administrative and National Policy Requirements

1. Funding will be awarded as a cooperative agreement. The applicants whose proposals are selected will be asked to submit a cooperative agreement application package to their EPA Regional Office. This package will include the application (Standard Form 424), a proposed workplan, a proposed budget, and other required forms. An EPA Project Officer will work with you to finalize the budget and workplan. It is EPA's expectation that the selected applicants will complete the award process within six months of the announcement.
2. Approved cooperative agreements will include terms and conditions (including any applicable Davis Bacon requirements) that will be binding on the grant recipient. Terms and conditions specify what grantees must do to ensure that grant-related and Brownfields Program-related requirements are met. Applicants also will be required to submit progress reports in accordance with grant regulations found in 2 CFR 200.328.

VI.C. Reporting Requirements

During the life of the cooperative agreement, recipients are required to submit progress reports to the EPA Project Officer within 30 days after each reporting period. The reporting period (i.e., quarterly, annually) is identified in the terms and conditions of the cooperative agreement. These reports cover work status, work progress, difficulties encountered, an accounting of financial expenditures, preliminary data results, anticipated activities, and any changes of key personnel involved with the project. Site-specific accomplishments are reported on Property Profile Forms and can be submitted electronically to EPA's ACRES reporting system. Information provided in the quarterly reports and submitted in ACRES helps EPA monitor the community's progress with implementing their project and also directly supports the continuation of the Brownfields Program by highlighting measurable site-specific accomplishments to the public and Congress.

At the end of the cooperative agreement, a final project report also is required. The final report will summarize accomplishments, expenditures, outcomes, outputs, lessons learned, and any other resources leveraged during the project and how they were used.

VI.D. Brownfields Programmatic Requirements

Brownfields grantees must comply with all applicable federal and state laws to ensure that the assessment and cleanup protect human health and the environment. Brownfields grantees also must comply with the program's technical requirements, which may include, but are not limited to, the following requirements below.

1. Quality Assurance (QA) Requirements

When environmental samples are collected as part of any brownfields cooperative agreement (e.g., assessment and site characterization, cleanup verification sampling, post-cleanup confirmation sampling), recipients shall submit to EPA for approval a Quality Assurance Project Plan (QAPP) prior to the collection of environmental samples. The QAPP must document quality assurance practices sufficient to produce data adequate to meet project objectives and minimize data loss. Compliance with the Quality Assurance requirements is an eligible use of funds for RLF Grants.

2. Historic Properties or Threatened and Endangered Species

If historic properties or threatened or endangered (T&E) species may be impacted by the assessment or cleanup of a site, the requirements of the National Historic Preservation Act (NHPA) or the Endangered Species Act (ESA) may apply, respectively. Grantees are required to consult with EPA prior to conducting any on-site activity (such as invasive sampling or cleanup) that may affect historic properties or T&E species to ensure that the requirements of Section 106 of NHPA and Section 7(a)(2) of the ESA are met. Grantees should plan for these consultation requirements.

3. Environmental Cleanup Responsibilities

Cleanup and RLF grant recipients must complete the following mandatory activities in connection with cleanups conducted with brownfields funding. These activities are all eligible costs.

EPA anticipates that the majority of the cleanups will be performed through state voluntary cleanup programs (VCPs). As such, the state programs may call the documents listed below by different names. It is EPA's intent that documents generated to meet the state's VCP requirements can serve to meet the mandatory requirements listed below provided they cover the same elements and include the necessary information.

a. **Analysis of Brownfield Cleanup Alternatives (ABCA)**

Prepare an **analysis of brownfield cleanup alternatives**, considering site characteristics, surrounding environment, land-use restrictions, potential future uses, and cleanup goals. The ABCA must be signed by an authorized representative of the grant recipient and the ABCA must include:

- i) information about the site and contamination issues (e.g., exposure pathways, identification of contaminant sources, etc.), cleanup standards, applicable laws, alternatives considered, and the proposed cleanup;
- ii) effectiveness, ability to implement, and the cost of the proposed cleanup;
- iii) evaluate the resilience of the remedial options in light of reasonably foreseeable changing climate conditions (e.g., sea level rise, increased frequency and intensity of flooding and/or extreme weather events, etc.);
- iv) an analysis of reasonable alternatives including no action. For cleanup of brownfield petroleum-only sites, an analysis of cleanup alternatives must include considering a range of proven cleanup methods including identification of contaminant sources, exposure pathways, and an evaluation of corrective measures. The cleanup method chosen must be based on this analysis; and
- v) the alternatives may consider the degree to which they reduce greenhouse gas discharges, reduce energy use or employ alternative energy sources, reduce volume of wastewater generated/disposed, reduce volume of materials taken to landfills, and recycle and re-use materials generated during the cleanup process to the maximum extent practicable.

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b. Community Relations and Public Involvement in Cleanup Activities

Recipients must prepare a **site-specific community relations plan** describing how the recipient plans to satisfy the public involvement requirements below. The plan must be submitted to EPA before providing notice to the general community regarding the ABCA. At a minimum, public involvement for cleanup activities requires:

- i) **notice of the ABCA's** or its equivalent's **availability** to the general community and the opportunity for the public to provide comments (written or oral) on the ABCA;
- ii) preparation of **written responses** to significant and appropriate **comments**, and documentation of any changes to the cleanup plan; and
- iii) preparation of an **administrative record** and **notification** to the public of its **availability** for inspection at a location convenient to the targeted population and general public. The administrative record must contain the documents that form the basis for the selection and implementation of a cleanup plan. Documents in the administrative record shall include the ABCA, site investigation reports, the cleanup plan, cleanup standards used, responses to public comments, and verification that shows that cleanups are complete.

c. Implementation and Completion of Cleanup Activities

Recipients **shall ensure the adequacy of each cleanup** in protecting human health and the environment as it is implemented. Regarding occupational safety and health, brownfields cleanups must comply with either all applicable General Industry standards (29 CFR Part 1910) or all applicable Construction standards (29 CFR Part 1926), depending on work operations at the site. In addition, if a site is determined to be a "hazardous waste site," that site must comply with the Hazardous Waste Operations and Emergency Response (HAZWOPER) standard 29 CFR. §1910.120.

In the event of an incomplete cleanup, the recipient shall ensure that the site is secure and notify the appropriate state agency and the U.S. EPA to ensure an orderly transition should additional activities become necessary.

Recipients shall ensure that the **successful completion** of the cleanup is properly **documented**. This must be done through a final report or letter from a qualified environmental professional, or other documentation provided by a state or tribe that shows the cleanup is complete. This documentation needs to be included as part of the administrative record.

4. Sufficient Progress

EPA will evaluate whether the recipient has made sufficient progress 2 years from the date of award. For purposes of the RLF Grants, "sufficient progress in implementing a cooperative agreement" means that the grantee has made loan(s) and/or subgrant(s). Alternatively, sufficient progress may also be demonstrated by a combination of all the following: hiring of all key personnel, the establishment and advertisement of the RLF, and the development of one or more potential loans/subgrants. If EPA determines that the recipient has not made

sufficient progress, the recipient must implement a corrective action plan approved by EPA. Failure to comply with the reporting requirements may result in an early termination of the grant and return of grant funds to EPA.

5. Collection of Post-Grant Information

Under the Government Performance and Results Act, EPA reports on the many benefits of brownfields funding. One such measure provides information on additional resources leveraged as a result of using Brownfields Grant funds. These leveraged, non-EPA funds may include additional cleanup funds or redevelopment funding from other federal agencies, state, tribal, and local governments, or private organizations. As many of these activities occur beyond the grant period, please note that EPA may contact you well after the grant period of performance to collect this information.

In addition, RLF grant recipients are also required to report annually for the first five years, and thereafter every five years to EPA after closeout of the cooperative agreement as long as program income exists.

6. Protection of Nearby and Sensitive Populations

Grantees are required to protect all nearby populations, including sensitive populations in the target community from contaminants during cleanup work conducted on brownfield sites under this grant. Activities include implementing procedures necessary to mitigate any potential exposure from the contamination.

VI.E. Use of Funds

An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards completing the project activities described in the workplan in a timely manner. The assistance agreement will include terms and conditions implementing this requirement.

VI.F. Disputes

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. Copies of these procedures may also be requested by contacting the person listed in Section VII. of the announcement.

VI.G. Additional Provisions for Applicants Incorporated Into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to DUNS, SAM, copyrights, disputes, and administrative capability, can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION VII. – AGENCY CONTACTS – Regional Brownfields Contacts

REGIONAL CONTACTS & STATES		ADDRESS
EPA Region 1 Frank Gardner Gardner.Frank@epa.gov Phone (617) 918-1278	CT, ME, MA, NH, RI, VT	5 Post Office Square Suite 100, Mail code: OSRR7-2 Boston, MA 02109-3912
EPA Region 2 Lya Theodoratos Theodoratos.Lya@epa.gov Phone (212) 637-3260	NJ, NY, PR, VI	290 Broadway; 18th Floor New York, NY 10007
EPA Region 3 Felicia Fred Fred.Felicia@epa.gov Phone (215) 814-5524	DE, DC, MD, PA, VA, WV	1650 Arch Street Mail Code 3HS51 Philadelphia, PA 19103
EPA Region 4 Barbara Alfano Alfano.Barbara@epa.gov Phone (404) 562-8923	AL, FL, GA, KY, MS, NC, SC, TN	Atlanta Federal Center 61 Forsyth Street, S.W. 10th FL Atlanta, GA 30303-8960
EPA Region 5 Jan Pels Pel.Jan@epa.gov Phone (312) 886-3009	IL, IN, MI, MN, OH, WI	77 West Jackson Boulevard Mail Code SE-7J Chicago, IL 60604-3507
EPA Region 6 Paul Johnson Johnson.Paul@epa.gov Phone (214) 665-2246	AR, LA, NM, OK, TX	1445 Ross Avenue Suite 1200 (6SF-VB) Dallas, TX 75202-2733
EPA Region 7 Susan Klein Klein.Susan@epa.gov Phone (913) 551-7786	IA, KS, MO, NE	11201 Renner Blvd Lenexa, KS 66219
EPA Region 8 Danny Heffernan heffernan.daniel@epa.gov Phone (303) 312-7074	CO, MT, ND, SD, UT, WY	1595 Wynkoop Street (EPR-B) Denver, CO 80202-1129
EPA Region 9 Noemi Emeric-Ford Emeric-Ford.Noemi@epa.gov Phone (213) 244-1821	AZ, CA, HI, NV, Pacific Island Territories	75 Hawthorne Street, SFD6-1 San Francisco, CA 94105
EPA Region 10 Susan Morales Morales.Susan@epa.gov Phone (206) 553-7299 Fax (206) 553-0124	AK, ID, OR, WA	1200 Sixth Avenue, Suite 900 Mailstop: ECL-112 Seattle, WA 98101

Appendix 1

Information on Sites Eligible for Brownfields Funding Under CERCLA §104(k)

1.1. Introduction

The information provided in this Appendix will be used by EPA in determining the eligibility of any property for brownfields grant funding. The Agency is providing this information to assist you in developing your proposal for funding under CERCLA §104(k) and to apprise you of information that EPA will use in determining the eligibility of any property for brownfields grant funding.

This information is used by EPA solely to make applicant and site eligibility determinations for Brownfields grants and is not legally binding for other purposes including federal, state, or tribal enforcement actions.

1.2. General Definition of Brownfield Site

The Brownfields Law defines a “Brownfield Site” as:

“...real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant.” Brownfield sites include all “real property,” including residential, as well as commercial and industrial properties.

1.3. Additional Areas Specifically Eligible for Funding

The Brownfields Law also identifies three additional types of properties that are specifically eligible for funding:

1. Sites contaminated by **controlled substances**.
2. Sites contaminated by **petroleum or a petroleum product**.
3. **Mine-scarred lands**.

See below for guidance on determining the scope of each of these three types of sites. Applicants should identify properties included within their funding proposals that fall within the scope of any of the following three areas.

1.3.1. Contamination by Controlled Substance

Sites eligible for funding include real property, including residential property, that is contaminated by a controlled substance. A “controlled substance” is defined under the Controlled Substances Act as “a drug or other substance, or immediate precursor, included in Schedule I, II, III, IV, or V of Part B of this title (21 USC Section 812). The term does not include distilled spirits, wine, malt beverages, or tobacco...” For example, sites eligible for brownfields funding may include private residences formerly used for the manufacture and/or

distribution of methamphetamines or other illegal drugs where there is a presence or potential presence of controlled substances or pollutants, contaminants, or hazardous substances (e.g., red phosphorous, kerosene, acids).

1.3.2. Contamination by Petroleum or Petroleum Product

Petroleum-contaminated sites must meet certain requirements to be eligible for brownfields funding. Petroleum is defined under CERCLA as “crude oil or any fraction thereof which is not otherwise specifically listed or designated as a hazardous substance under that section.”

For a petroleum-contaminated site(s) that otherwise meets the definition of a brownfield site to be eligible for funding, EPA or the state must determine:

1. The site is “relatively low risk” compared with other “petroleum-only” sites in the state; and
2. There is no viable responsible party.
3. The site will not be assessed, investigated, or cleaned up by a person that is potentially liable for cleaning up the site.
4. The site must not be subject to a corrective action order under the Resource Conservation and Recovery Act (RCRA) §9003(h).

Site-specific assessment or cleanup grant proposals for petroleum-contaminated sites must provide information in their proposal indicating whether the site meets each of the criteria listed above. If EPA awards an applicant a revolving loan fund grant, the state or EPA must make the same determinations for site(s) that will be cleaned up under a loan or subgrant. These criteria are explained below.

Please note that states may, but are not required to, use this guidance to determine whether sites contaminated by petroleum or petroleum products are eligible for brownfields grant funding. States may apply their own laws and regulations, if applicable, to eligibility determinations under this section.

Note: A petroleum eligibility determination by EPA or a state under CERCLA section 101(39)(D) for the purpose of brownfields funding does not release any party from obligations under any federal or state law or regulation, or under common law, and does not impact or limit EPA or state enforcement authorities against any party.

“Relatively Low Risk”

Applicants whose brownfield site(s) include properties or portions of properties contaminated with petroleum or petroleum products must provide information in their proposal indicating that the property represents a relatively low risk (compared to other petroleum-only sites). EPA’s view is that the following types of petroleum-contaminated sites are high-risk sites, or are not of “relatively low risk”:

1. “High risk” sites currently being cleaned up using LUST Trust Fund monies.
2. Any petroleum-contaminated site that currently is subject to a response under the Oil Pollution Act (OPA).

Note: Any site that does not fall under any of the provisions listed above would be considered to be of relatively low risk for purposes of determining eligibility for a brownfields grant.

“A Site for Which There is No Viable Responsible Party”

EPA or the state is required to determine that there is no viable responsible party that can address the petroleum contamination at the site. If EPA, or the state, identifies a party that is responsible for the activities contemplated by the grant proposal, and that party is financially viable, then the site is not eligible for funding and EPA cannot award the grant. This analysis is twofold - EPA or the state must first determine whether a responsible party exists and, if a responsible party is identified, then determine whether that party is viable for the activities identified in the grant proposal. Applicants are responsible for providing information in their proposal that demonstrates that the activities for which they seek funding have no viable responsible party.

A petroleum-contaminated site may be determined to have no responsible party if the site was last acquired (regardless of whether the site is owned by the applicant) through tax foreclosure, abandonment, or equivalent government proceedings, and that the site meets the criteria in (1) below. Any petroleum-contaminated site not acquired by a method listed above will be determined to have a responsible party if the site fails to meet the criteria in both (1) and (2) below.

1. No responsible party has been identified for the site through:
 - a. an unresolved judgment rendered in a court of law or an administrative order that would require any party (including the applicant) to conduct the activities (including assessment, investigation or cleanup) contemplated by the grant proposal;
 - b. an unresolved enforcement action by federal or state authorities that would require any party (including the applicant) to conduct the activities (including assessment, investigation, or cleanup) contemplated by the grant proposal; or
 - c. an unresolved citizen suit, contribution action, or other third party claim brought against the current or immediate past owner for the site that would, if successful, require the activities (including assessment, investigation, or cleanup) contemplated by the grant proposal to be conducted.
2. The current and immediate past owner did not dispense or dispose of, or own the subject property during the dispensing or disposal of, any contamination at the site, did not exacerbate the contamination at the site, and took reasonable steps with regard to the contamination at the site.¹ For purposes of the grant program and these Guidelines only, the current owner is the entity that will own the property at the time of proposal submission. For RLF Grants, the current owner must be the applicant.

¹ For purposes of determining petroleum brownfield grant eligibility, “reasonable steps with regard to contamination at the site” includes, as appropriate: stopping continuing releases, preventing threatened future releases, and preventing or limiting human, environmental, or natural resource exposure to earlier petroleum or petroleum product releases. Reasonable steps are discussed in more detail on pages 9-12 of EPA’s March 6, 2003, “*Common Elements*” guidance.

If no responsible party is identified above, then the petroleum-contaminated site may be eligible for funding. If a responsible party is identified above, EPA or the state must next determine whether that party is viable. If any such party is determined to be viable, then the petroleum-contaminated site is not eligible for funding.

If there is a responsible party for the site, the applicant should explain in its application what steps it took to determine a responsible party's financial status, and why the information presented indicates that the responsible party is not viable. A state making the "viable responsible party" determination for the applicant may use the standards contained in this Appendix or its own standard. If a state is not making the determination or a tribe is the applicant, EPA will follow the standard set forth in this Appendix. Note that any viability determination made by EPA is for purposes of the CERCLA Section 104(k) grant program only.

EPA will consider a party to be viable if the party is financially capable of conducting the activity (i.e., assessment, investigation, or cleanup) identified in the grant proposal.

Generally, EPA will consider ongoing businesses or companies (corporations, LLCs, partnerships, etc.) and government entities to be viable. EPA will generally deem a defunct or insolvent company and an individual responsible party to be not viable. EPA will apply these assumptions to its petroleum grant viability determinations, unless there is information suggesting that the assumption is not appropriate in a particular case (e.g., if there is information that an individual has adequate financial resources to address contamination at a site, or if there is information indicating an ongoing business is not, in fact, viable). An applicant should indicate if one of the above assumptions applies and provide support for the assertion. In circumstances not covered by one of the above assumptions, the applicant should explain why the responsible party is not viable.

An applicant seeking to determine the financial status (i.e., the viability) of a responsible party should consider consulting the following resources and any other resources it may deem to be useful to make this determination:

1. **Responsible Party:** Ask the responsible party for its financial information (tax returns, bank statements, financial statements, insurance policies designed to address environmental liabilities, etc.), especially if the responsible party is still associated with the site or is the applicant, and, therefore, will receive the benefit of the grant. An applicant that is a responsible party and claiming it is not viable should provide conclusive information, such as an INDIPAY or MUNIPAY analysis, on its inability to pay for the assessment or cleanup.
2. **Federal, State, and Local Records:** Federal, state, and local (i.e., county and city) records often provide information on the status of a business. An applicant that is a state or local government should at the very least search its own records for information on a responsible party. Examples of such resources include regulatory records (e.g., state hazardous waste records), Secretary of State databases, and property/land records.
3. **Public and Commercial Financial Databases:** Applicants also may obtain financial data from publicly available and commercial sources. Listed below are examples of sources for

financial data that applicants may consider. Please note that some commercial sources may charge fees. EPA does not endorse the use of any specific sources, and EPA will accept reliable data from other sources as part of a proposal for funding.

Examples of sources: Lexis/Nexus, Dun & Bradstreet reports, Hoover's Business Information, Edgar Database of Corporate Information, Thomas Register of American Manufacturers, The Public Register, Corporate Annual Reports, Internet search engines (e.g. Google, Ask).

"Cleaned Up by a Person Not Potentially Liable"

Brownfields funding may be awarded for the assessment and cleanup of petroleum-contaminated sites provided they meet the requests below.

1. The applicant has not dispensed or disposed of or owned the property during the dispensing or disposal of petroleum or petroleum product at the site, and
2. The applicant did not exacerbate the contamination at the site and took reasonable steps with regard to the contamination at the site.

"Is not subject to any order issued under §9003(h) of the Resource Conservation and Recovery Act (RCRA)"

Proposals that include requests for an assessment or direct cleanup grant to address petroleum-contaminated sites must not be subject to a corrective action order under RCRA §9003(h). If EPA awards an applicant a revolving loan fund grant, the state or EPA must make the same determination for site(s) that will be cleaned up under a loan or subgrant.

1.3.3. Mine-Scarred Lands

Mine-scarred lands are eligible for brownfields funding. EPA's view is that "mine-scarred lands" are those lands, associated waters, and surrounding watersheds where extraction, beneficiation, or processing of ores and minerals (including coal) has occurred. For the purposes of this section, the definition of extraction, beneficiation, and processing is the definition found at 40 CFR 261.4(b)(7).

Mine-scarred lands include abandoned coal mines and lands scarred by strip mining.

Examples of coal mine-scarred lands may include, but are not limited to:

- abandoned surface coal mine areas;
- abandoned deep coal mines;
- abandoned coal processing areas;
- abandoned coal refuse areas;
- acid or alkaline mine drainage; and
- associated waters affected by abandoned coal mine (or acid mine) drainage or runoff, including stream beds and adjacent watersheds.

Examples of non-coal hard rock mine-scarred lands may include, but are not limited to:

- abandoned surface and deep mines;
- abandoned waste rock or spent ore piles;

- abandoned roads constructed wholly or partially of waste rock or spent ore;
- abandoned tailings, disposal ponds, or piles;
- abandoned ore concentration mills;
- abandoned smelters;
- abandoned cyanide heap leach piles;
- abandoned dams constructed wholly or partially of waste rock, tailings, or spent ore;
- abandoned dumps or dump areas used for the disposal of waste rock or spent ore;
- acid or alkaline rock drainage; and
- waters affected by abandoned metal mine drainage or runoff, including stream beds and adjacent watersheds.

1.4. Sites Not Eligible for Brownfields Funding

The following three types of properties are not eligible for brownfields funding under the Brownfields Law, even on a property-specific basis. Applicants should not include these types of sites in the funding proposals.

- 1) Facilities listed or proposed for listing on the National Priorities List (NPL).
- 2) Facilities subject to unilateral administrative orders, court orders, administrative orders on consent, or judicial consent decrees issued to or entered into by parties under CERCLA.
- 3) Facilities that are subject to the jurisdiction, custody, or control of the U.S. government. Facilities owned by, or under the custody or control of, the federal government are not eligible for brownfields funding. EPA's view is that this exclusion may not extend to:
 - a. privately-owned, Formerly Used Defense Sites (FUDS);
 - b. privately-owned, Formerly Utilized Sites Remedial Action Program (FUSRAP) properties; and
 - c. other former federal properties that have been disposed of by the U.S. government.

Note that land held in trust by the U.S. government for an Indian tribe is not excluded from funding eligibility. In addition, eligibility for brownfields funding does not alter a private owner's ability to cost recover from the federal government in cases where the previous federal government owner remains liable for environmental damages.

1.5. Particular Classes of Sites Eligible for Brownfields Funding Only With Property-Specific Determinations

The following special classes of property are generally ineligible brownfield sites unless EPA makes a "Property-Specific Determination" and determines they are eligible for funding. These include:

- properties subject to planned or ongoing removal actions under CERCLA;
- properties with facilities that have been issued or entered into a unilateral administrative order, a court order, an administrative order on consent, or judicial consent decree or to which a permit has been issued by the United States or an authorized state under RCRA, FWPCA, TSCA, or SDWA;

- properties with facilities subject to RCRA corrective action (§3004(u) or §3008(h)) to which a corrective action permit or order has been issued or modified to require the implementation of corrective measures;
- properties that are land disposal units that have submitted a RCRA closure notification or that are subject to closure requirements specified in a closure plan or permit;
- properties where there has been a release of polychlorinated biphenyls (PCBs) and all or part of the property is subject to TSCA remediation; and
- properties that include facilities receiving monies for cleanup from the LUST Trust Fund.

EPA's approval of Property-Specific Determinations will be based on whether or not awarding a grant will protect human health and the environment and either promote economic development or enable the property to be used for parks, greenways, and similar recreational or nonprofit purposes. Property-Specific Determination requests should be attached to your proposal and do not count toward the 15-page limit. See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for more information on how to prepare and submit a Property-Specific Determination.

1.5.1. Facilities Subject to CERCLA Removal Actions

Properties (including parcels of properties) where there are removal actions may not receive funding, unless EPA makes a property-specific determination of funding eligibility.

EPA's view is that a removal may be identified by the occurrence of one of the following events, whichever occurs first in time: EPA issues an action memo; EPA issues an Engineering Evaluation/Cost Analysis approval memo; EPA mobilizes onsite; EPA issues a notice of federal interest to one or more potentially responsible parties (PRPs), which in emergencies may be made verbally; or EPA takes other actions that are consistent with a removal.

Once a removal action is complete, a property is eligible for brownfields funding without having to obtain a property-specific funding determination. EPA's view is that, solely for the purposes of eligibility to receive brownfields funding, a removal is complete when the actions specified in the action memorandum are met, or when the contractor has demobilized and left the site (as documented in the "pollution report" or POLREP). Applicants applying for brownfields funding for sites at which removal actions are complete must include documentation of the action being complete with their funding proposal.

Parcels of facilities not affected by removal action at the same property may apply for brownfields funding and may be eligible for brownfields funding on a property-specific basis. Property-specific funding decisions will be made in coordination with the on-scene coordinator (OSC) to ensure that all removals and cleanup activities at the property are conducted in safe and protective manners and to ensure that the OSC retains the ability to address all risks and contamination.

Please note that if a federal brownfields-funded site assessment results in identifying the need for a new removal action, the grantee may continue to expend brownfields funds on additional grant-

related activities. However, any additional expenditure of federal brownfields funds and any additional site assessment activities should be conducted in coordination with the OSC for the site.

1.5.2. Facilities to which a permit has been issued by the United States or an authorized state under the Resource Conservation and Recovery Act (RCRA), the Federal Water Pollution Control Act, the Toxic Substances Control Act, or the Safe Drinking Water Act

Generally, in cases where a property or a portion of a property is permitted under the Resource Conservation and Recovery Act, Section §1321 of the Clean Water Act, the Safe Drinking Water Act, and/or the Toxic Substances and Control Act, the property, or portion of the property, may not receive funding without a property-specific determination. Therefore, applicants should review the following guidance regarding which types of permitted facilities may not receive funding unless EPA makes a property-specific determination to provide funding. Applicants should note that the exclusion for permitted facilities does not extend to facilities with National Pollutant Discharge Elimination System (NPDES) permits issued under the authorities of the Federal Water Pollution Control Act, but is limited to facilities issued permits under the authorities of the Oil Pollution Act (i.e., §1321 of FWPCA).

In cases where one or more portions of a property are not eligible for funding, the applicant should identify the specific permit and situation that causes the property to be excluded. In addition, the applicant must include, within the proposal, documentation that federal brownfields funding for the assessment or cleanup of the property will further the goals established for property-specific funding determinations as described in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

In some cases, a facility may not have a permit or order because it is not in compliance with federal or state environmental laws requiring that it obtain a permit or the facility has failed to notify EPA of its regulatory status. Such facilities are not eligible for brownfields funding. For example, a RCRA treatment unit operator is required to obtain a permit and/or notify EPA of its operation. An operator that fails to fulfill those obligations will likely not have a permit or order as EPA will be unaware of its existence. Therefore, it is EPA's view that such facilities are ineligible to receive brownfields funds as a result of their failure to comply with a basic regulatory requirement. Additional guidance on the eligibility of RCRA-permitted facilities, including facilities under administrative or court orders, including corrective action orders, is provided in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

1.5.3. RCRA Sites

RCRA Facilities that are Eligible for Funding

EPA's view is that the following types of RCRA facilities are eligible for brownfields funding and do not require Property-Specific Determinations:

- a. RCRA interim status facilities that are not subject to any administrative or judicial order or consent decree;
- b. RCRA interim status facilities that are subject to administrative or judicial orders that do **not** include corrective action requirements or any other cleanup provisions (e.g., RCRA §3008(a) orders without provisions requiring the owner/operator to address contamination); and

- c. parcels of RCRA facilities that are not under the scope of a RCRA permit or administrative or judicial order.

RCRA Facilities that Require Property-Specific Determinations

EPA's view is that the following types of RCRA facilities **may not receive funding without a property-specific determination**:

- a. RCRA-permitted facilities;
- b. RCRA interim status facilities with administrative orders requiring the facility to conduct corrective action or otherwise address contamination, including facilities with orders issued under the authorities of RCRA §3008(a), §3008(h), §3013, and §7003;
- c. facilities under court order or under an administrative order on consent or judicial consent decree under RCRA or CERCLA that require the facility to conduct corrective action or otherwise address contamination at the facility; and
- d. land disposal units that have notified EPA or an authorized state of their intent to close and have closure requirements specified in closure plans or permits.

1.5.4. Land disposal units that have filed a closure notification under Subtitle C of RCRA and to which closure requirements have been specified in a closure plan or permit

RCRA hazardous waste landfills that have submitted closure notifications, as required under 40 CFR 264.112(d) or 265.112(d), generally will not be funded. This may include permitted facilities that have filed notification of closure and for which EPA and/or an authorized state is proceeding with final closure requirements for the facility. For interim status facilities, this is done through approval of a closure plan submitted with closure notification. For permitted facilities, this is routinely done as a modification to the permit, requested by the facility at the time of closure notification.

Please note that RCRA hazardous waste landfills that have submitted closure notifications may be eligible for brownfields funding with a Property-Specific Determination.

1.5.5. Sites Contaminated with PCBs

The Brownfields Law excludes from funding eligibility portions of facilities where there has been a release of PCBs that are subject to remediation under TSCA.

EPA's view is that all portions of properties **are eligible** for brownfield site assessment grants, except where EPA has initiated an involuntary action with any person to address PCB contamination. Also, it is EPA's view that all portions of properties **are eligible** for cleanup and RLF grants, except where EPA has an ongoing action against a disposer to address PCB contamination. However, any portion of a property where EPA has initiated an involuntary action with any person to address PCB contamination and portions of properties where EPA has an ongoing action against a disposer to address PCB contamination will require a Property-Specific Determination to be eligible for brownfields funding, including:

- there is a release (or disposal) of any waste meeting the definition of "PCB remediation waste" at 40 CFR 761.3; **and**
- at which EPA has initiated an involuntary action with any person to address the PCB contamination. Such involuntary actions could include:

- enforcement action for illegal disposal;
- Regional Administrator's order to characterize or remediate a spill or old disposal (40 CFR 761.50(b)(3));
- penalty for violation of TSCA remediation requirements;
- superfund removal action; or
- remediation required under RCRA §3004(u) or §3004(v).

PCBs may be remediated under any one of the following provisions under TSCA:

- a. section 761.50(b)(3), the directed characterization, remediation, or disposal action;
- b. section 761.61(a), the self-implementing provision;
- c. an approval issued under §761.61(c), the risk-based provision;
- d. section 761.61(b) to the level of PCB quantification (i.e., 1 ppm in soil);
- e. an approval issued under §761.77, the coordinated approval provision;
- f. section 761.79, the decontamination provision;
- g. an existing EPA PCB Spill Cleanup Policy; or
- h. any future policy or guidance addressing PCB spill cleanup or remediation specifically addressing the remediation of PCBs at brownfield sites.

1.5.6. LUST Trust Fund Sites

The Brownfields Law requires a Property-Specific Determination for funding at those sites (or portions of properties) for which assistance for response activity has been obtained under Subtitle I of RCRA from the LUST Trust Fund. EPA's view is that this provision may exclude UST sites where money is being spent on actual assessment and/or cleanup of UST/petroleum contamination.

However, in cases where the state agency has used LUST Trust Fund money for state program oversight activities on an UST site, but has not expended LUST Trust Funds for specific assessment and/or cleanup activities at the site, the site would be eligible for brownfields funding and does not need a Property-Specific Determination. Such sites may receive brownfields funding on a property-specific basis, if it is determined that brownfields funding will protect human health and the environment and the funding will promote economic development or enable the creation of, preservation of, or addition to greenspace (see guidance on documenting eligibility for property-specific funding determinations provided in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf).

Examples of sites receiving LUST Trust Fund monies that EPA would consider to be good candidates to receive Brownfields Grants or loans include:

- a. all UST fields pilots (50 pilots);
- b. sites (or portions of properties) where an assessment was completed using LUST Trust Fund monies and the state has determined that the site is a low-priority UST site, and therefore, additional LUST Trust Fund money cannot be provided for the cleanup of petroleum contamination, but the site still needs some cleanup and otherwise is a good candidate for economic revitalization; and
- c. sites (or portions of properties) where LUST Trust Fund money was spent for emergency activities, but then the site was determined to be ineligible for further expenditures of LUST

Trust Funds, yet the site needs additional funding for continued assessment and/or cleanup that will contribute to economic revitalization of the site.

1.6. Eligible Response Sites/Enforcement Issues

The Brownfields Law limits EPA's enforcement and cost recovery authorities at "eligible response sites" where a response action is conducted in compliance with a state response program. Section 101(40) of CERCLA defines an "eligible response site" by referencing the general definition of a "brownfield site" in §101(39)(A) and incorporating the exclusions at §101(39)(B). The Law places further limitations on the types of properties included within the definition of an eligible response site, but grants EPA the authority to include within the definition of eligible response site, and on a property-specific basis, some properties that are otherwise excluded from the definition. Such property-specific determinations must be based upon a finding that limits an enforcement will be appropriate, after consultation with state authorities, and will protect human health and the environment and promote economic development or facilitate the creation of, preservation, or addition to a park, a greenway, undeveloped property, recreational property, or other property used for nonprofit purposes. While the criteria appear similar to those for determining eligibility for funding on a property-specific basis, the determinations are distinct, will be made through a separate process, and may not be based on the same information requested in this document for property-specific funding determinations.

Also, please note that in providing funding for brownfield sites, and given that a limited amount of funding is available for Brownfields Grants, EPA's goal is to not provide brownfields funding to sites where EPA has a planned or ongoing enforcement action. While EPA does not intend that the existence of a planned or ongoing enforcement action will necessarily disqualify a site from receipt of brownfields funding, EPA does believe it is necessary that EPA be aware of the existence of any such action in making funding decisions. As a result, EPA will conduct an investigation to evaluate whether a site is, or will be, subject to an enforcement action under CERCLA or other federal environmental statutes. EPA is requesting that applicants identify ongoing or anticipated environmental enforcement actions related to the brownfield site for which funding is sought.

Appendix 2

www.grants.gov Proposal Submission Instructions

A. Requirement to Submit Through www.grants.gov and Limited Exception Procedures

Applicants, except as noted below, must apply electronically through www.grants.gov under this funding opportunity based on the www.grants.gov instructions in this announcement. If an applicant does not have the technical capability to apply electronically through www.grants.gov because of limited or no Internet access which prevents them from being able to upload the required application materials to www.grants.gov, the applicant must contact OGDWaivers@epa.gov or the address listed below in writing (e.g., by hard copy, email) at *least 15 calendar days prior to the submission deadline under this announcement* to request approval to submit their application materials through an alternate method.

Mailing Address:

OGD Waivers
c/o Barbara Perkins
USEPA Headquarters
William Jefferson Clinton Building
1200 Pennsylvania Ave., N.W.
Mail Code: 3903R
Washington, DC 20460

Courier Address:

OGD Waivers
c/o Barbara Perkins
Ronald Reagan Building
1300 Pennsylvania Ave., N.W.
Rm # 51267
Washington, DC 20004

In the request, the applicant must include the following information:

- Funding Opportunity Number (FON)
- Organization Name and DUNS
- Organization's Contact Information (email address and phone number)
- Explanation of how they lack the technical capability to apply electronically through www.grants.gov because of 1) limited Internet access or 2) no Internet access which prevents them from being able to upload the required application materials through www.grants.gov.

EPA will only consider alternate submission exception requests based on the two reasons stated above and will timely respond to the request -- all other requests will be denied. If an alternate submission method is approved, the applicant will receive documentation of this approval and further instructions on how to apply under this announcement. Applicants will be required to submit the documentation of approval with any initial application submitted under the alternative method. In addition, any submittal through an alternative method must comply with all applicable requirements and deadlines in the announcement including the submission deadline and requirements regarding proposal content and page limits (although the documentation of approval of an alternate submission method will not count against any page limits).

If an exception is granted, it is valid for submissions to EPA for the remainder of the entire calendar year in which the exception was approved and can be used to justify alternative submission methods for application submissions made through December 31st of the calendar year in which the exception was approved (e.g., if the exception was approved on March 1, 2017,

it is valid for any competitive or non-competitive application submission to EPA through December 31, 2017). Applicants need only request an exception once in a calendar year and all exceptions will expire on December 31st of that calendar year. Applicants must request a new exception from required electronic submission through www.grants.gov for submissions for any succeeding calendar year. For example, if there is a competitive opportunity issued on December 1, 2017, with a submission deadline of January 15, 2018, the applicant would need a new exception to submit through alternative methods beginning January 1, 2018.

Please note that the process described in this section is only for requesting alternate submission methods. All other inquiries about this announcement must be directed to the Regional Brownfields Contact listed in Section VII. Queries or requests submitted to the email address identified above for any reason other than to request an alternate submission method will not be acknowledged or answered.

B. Submission Instructions

The electronic submission of your application must be made by the Authorized Organization Representative (AOR) of your institution who is registered with www.grants.gov and is authorized to sign applications for federal assistance. For more information on the registration requirements that must be completed in order to submit an application through www.grants.gov, go to www.grants.gov and click on “Applicants” on the top of the page and then go to the “Get Registered” link on the page. If your organization is not currently registered with www.grants.gov, please encourage your office to designate an AOR and ask that individual to begin the registration process as soon as possible. Please note that the registration process also requires that your organization have a DUNS number and a current registration with the System for Award Management (SAM) and the process of obtaining both could take a month or more. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through www.grants.gov and should ensure that all such requirements have been met well in advance of the submission deadline. Registration on www.grants.gov, www.sam.gov, and DUNS number assignment is FREE.

Applicants need to ensure that the AOR who submits the application through www.grants.gov and whose DUNS number is listed on the application is an AOR for the applicant listed on the application. Additionally, the DUNS number listed on the application must be registered to the applicant organization’s SAM account. If not, the application may be deemed ineligible.

To begin the application process under this grant announcement, go to www.grants.gov and click on “Applicants” on the top of the page and then “Apply for Grants” from the dropdown menu and then follow the instructions accordingly. Please note: to apply through www.grants.gov, you must use Adobe Reader software and download the compatible Adobe Reader version. For more information about Adobe Reader, to verify compatibility, or to download the free software, please visit <https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html>.

You may also be able to access the application package for this announcement by searching for the opportunity on www.grants.gov. Go to www.grants.gov and then click on “Search Grants” at the top of the page and enter the Funding Opportunity Number, **EPA-OLEM-OBRL-XX-XX**,

or the CFDA number that applies to the announcement (**CFDA 66.818**), in the appropriate field and click the “Search” button.

Please note that www.grants.gov is strongly encouraging users to sign up for and use their “Workspace” feature when applying for opportunities. www.grants.gov will be phasing out the “legacy” application process, so EPA recommends that all applicants begin using “Workspace” as soon as possible so they are prepared when the “legacy” application process is no longer available.

Proposal Submission Deadline: Your organization’s AOR must successfully submit your complete application package electronically to EPA through www.grants.gov **no later than {60 days after posting}, 11:59 p.m. ET**. Please allow for enough time to successfully submit your application process and allow for unexpected errors that may require you to resubmit.

Please submit *all* of the application materials described below using the www.grants.gov application package that you downloaded using the instructions above. For additional instructions on completing and submitting the electronic application package, click on the “Show Instructions” tab that is accessible within the application package itself.

Applications submitted through www.grants.gov will be time and date stamped electronically. If you have not received a confirmation of receipt from EPA (not from www.grants.gov) within 30 days of the proposal deadline, please contact Jerry Minor-Gordon at minor-gordon.jerry@epa.gov. Failure to do so may result in your proposal not being reviewed.

Application Materials

The following forms and documents are mandatory under this announcement.

1. Application for Federal Assistance (Standard Form 424)
2. Cover Letter and Narrative Proposal. See Section IV.C. for details on the content of the Cover Letter and Narrative Proposal, and the associated page limits.
3. Required Attachments. See Section IV.C. of this announcement.

C. Technical Issues with Submission

1. Once the application package has been completed, the “Submit” button should be enabled. If the “Submit” button is not active, please call www.grants.gov for assistance at 1-800-518-4726. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a www.grants.gov representative by calling 606-545-5035. Applicants should save the completed application package with two different file names before providing it to the AOR to avoid having to re-create the package should submission problems be experienced or a revised application needs to be submitted.
2. Submitting the application. The application package must be transferred to www.grants.gov by an AOR. The AOR should close all other software before attempting to submit the application package. Click the “submit” button of the application package. Your Internet

browser will launch and a sign-in page will appear. **Note: Minor problems are not uncommon with transfers to www.grants.gov. It is essential to allow sufficient time to ensure that your application is submitted to www.grants.gov BEFORE the due date identified in Section IV. of this solicitation.** The www.grants.gov support desk operates 24 hours a day, seven days a week, except federal holidays.

A successful transfer will end with an on-screen acknowledgment. For documentation purposes, print or screen capture this acknowledgment. If a submission problem occurs, reboot the computer – turning the power off may be necessary – and re-attempt the submission.

Note: www.grants.gov issues a “case number” upon a request for assistance.

3. Transmission difficulties. If transmission difficulties that result in a late transmission, no transmission, or rejection of the transmitted application are experienced, and following the above instructions do not resolve the problem so that the application is submitted to www.grants.gov by the deadline date and time, follow the guidance below. The Agency will make a decision concerning acceptance of each late submission on a case-by-case basis. All emails, as described below, are to be sent to Jerry Minor-Gordon (minor-gordon.jerry@epa.gov) with the FON in the subject line. If you are unable to email, contact Jerry Minor-Gordon (202-566-1817). Be aware that EPA will only consider accepting applications that were unable to transmit due to www.grants.gov or relevant www.sam.gov system issues or for unforeseen exigent circumstances, such as extreme weather interfering with Internet access. Failure of an applicant to submit timely because they did not properly or timely register in www.sam.gov or www.grants.gov is not an acceptable reason to justify acceptance of a late submittal.
 - (a) If you are experiencing problems resulting in an inability to upload the application to www.grants.gov, it is essential to call www.grants.gov for assistance at 1-800-518-4726 before the application deadline. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a www.grants.gov representative by calling 606-545-5035. Be *sure* to obtain a case number from www.grants.gov. If the problems stem from unforeseen exigent circumstances unrelated to www.grants.gov, such as extreme weather interfering with Internet access, contact Jerry Minor-Gordon (202-566-1817).
 - (b) Unsuccessful transfer of the application package: If a successful transfer of the application cannot be accomplished even with assistance from www.grants.gov due to electronic submission system issues or unforeseen exigent circumstances, send an email message to minor-gordon.jerry@epa.gov prior to the application deadline. The email message must document the problem and include the www.grants.gov case number as well as the entire application in PDF format as an attachment.
 - (c) www.grants.gov rejection of the application package: If a notification is received from www.grants.gov stating that the application has been rejected for reasons other than late submittal promptly send an email to Jerry Minor-Gordon (minor-gordon.jerry@epa.gov) with the FON in the subject line within one business day of the closing date of this

solicitation. The email should include any materials provided by www.grants.gov and attach the entire application in PDF format.

Please note that successful submission through www.grants.gov or via email does not necessarily mean your application is eligible for award.

Appendix 3 RLF Other Factors Checklist

Name of Applicant: _____

Please identify (with an **X**) which, if any of the below items apply to your community or your project as described in your proposal. To be considered for an Other Factor, you must include the page number where each applicable factor is discussed in your proposal. EPA will verify these disclosures prior to selection and may consider this information during the selection process. If this information is not clearly discussed in your narrative proposal or in any other attachments, it will not be considered during the selection process.

Other Factor	Page #
<i>None of the Other Factors are applicable.</i>	
Community population is 10,000 or less.	
The jurisdiction is located within, or includes, a county experiencing “persistent poverty” where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.	
Applicant is, or will assist, a federally recognized Indian tribe or United States territory.	
Target brownfield sites are impacted by mine-scarred land.	
Applicant demonstrates firm leveraging commitments for facilitating brownfield project completion, by identifying in the proposal the amounts and contributors of resources and including documentation that ties directly to the project.	
Applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.	

OVERVIEW

AGENCY: ENVIRONMENTAL PROTECTION AGENCY (EPA)

TITLE: FY18 Guidelines for Brownfields Cleanup Grants

ACTION: Request for Proposals (RFP)

RFP NO: EPA-OLEM-OBLR-XX-XX

CATALOG OF FEDERAL DOMESTIC ASSISTANCE (CFDA) NO.: 66.818

DATES: The closing date and time for receipt of proposals is {60 days after posting}, 11:59 p.m. Eastern Time (ET). Proposals must be submitted through www.grants.gov. Proposals received after 11:59 ET on {60 days after posting} will not be considered. Please refer to Section IV.B., *Due Date and Submission Instructions*, for further instructions.

SUMMARY: The Small Business Liability Relief and Brownfields Revitalization Act (“Brownfields Law”, P.L. 107-118) requires the U.S. Environmental Protection Agency (EPA) to publish guidance for grants to assess and clean up brownfield sites. EPA’s Brownfields Program provides funds to empower states, communities, tribes, and nonprofits to prevent, inventory, assess, clean up, and reuse brownfield sites.

Under these guidelines, EPA is seeking proposals for **Cleanup Grants only**. If you are interested in requesting funding for Assessment Grants and/or Revolving Loan Fund Grants, please refer to announcement EPA-OLEM-OBLR-XX-XX (Assessment Grant Guidelines) or EPA-OLEM-OBLR-XX-XX (Revolving Loan Fund Grant Guidelines) posted separately on www.grants.gov and www.epa.gov/brownfields/apply-brownfields-grant-funding.

For the purposes of these guidelines, the term “grant” refers to the cooperative agreement that EPA will award to a successful applicant. Please refer to Section II.C. for a description of EPA’s anticipated substantial involvement in the cooperative agreements awarded under these guidelines.

EPA urges applicants to review the Frequently Asked Questions, which can be found at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

In addition, prior to naming a contractor or subawardee in your proposal, please carefully review Section IV.F. of these guidelines.

FUNDING/AWARDS: The total funding available under the national competitions for Assessment, Revolving Loan Fund, and Cleanup Grants is estimated at \$50 million subject to the availability of funds and other applicable considerations. EPA may expend up to 25 percent of the amount appropriated for Brownfields Grants on sites contaminated with petroleum. EPA anticipates awarding an estimated 198 grants among all three grant types. Under this competitive

opportunity, EPA anticipates awarding an estimated 38 Cleanup Grants for an estimated \$7.5 million.

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SECTION I. - FUNDING OPPORTUNITY DESCRIPTION

The Comprehensive Environmental Response, Compensation and Liability Act (CERCLA or the Superfund Law) was amended by the Small Business Liability Relief and Brownfields Revitalization Act (Brownfields Law) to include section 104(k), which provides federal financial assistance for brownfields revitalization, including grants for assessment, cleanup, and revolving loan funds.

A **brownfield site is defined** as real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of hazardous substances, pollutants, contaminants, controlled substances, petroleum or petroleum products, or is mine-scarred land.

A critical part of EPA's assessment and cleanup efforts is to ensure that residents living in communities historically affected by economic disinvestment, health disparities, and environmental contamination have an opportunity to reap the benefits from brownfields redevelopment. EPA's Brownfields Program has a rich history rooted in environmental justice and is committed to helping communities revitalize brownfield properties, mitigate potential health risks, and restore economic vitality.

As described in Section V. of this announcement, proposals will be evaluated based on the extent to which the applicant demonstrates: economic and environmental needs of the target area; a vision for the reuse and redevelopment of brownfield sites and the capability to achieve that vision; reasonable and eligible tasks; appropriate use of grant funding; incorporation of equitable and sustainable approaches; community engagement, partnerships and leveraged resources to complete the project; economic, environmental, health, and social benefits associated with the cleanup, reuse and redevelopment of brownfield sites; and other factors.

I.A. Description of Grant

Cleanup Grants provide funding to carry out cleanup activities on brownfield sites owned by the applicant.

NEW:

- Applicants may request funding to address either a single brownfield site, or multiple brownfield sites, within each proposal.
- An applicant may request up to \$200,000 in each proposal.
- An applicant can submit up to three cleanup proposals.

Applicants that exceed the maximum number of proposals allowable for Cleanup Grants will be contacted, prior to review of any of the proposals by EPA, to determine which proposals the applicant will withdraw from the competition. An applicant cannot propose an alternate site(s) if the site(s) identified in the proposal is determined by EPA to be ineligible for brownfields funding.

An applicant may request up to \$200,000 to address hazardous substances and/or petroleum contamination at one or more site(s). If the site is co-mingled with both hazardous substances

and petroleum contamination and the hazardous substances and petroleum-contaminated areas of the site are distinguishable, the proposal must address both eligibility criteria and indicate the dollar amount of funding requested for each type of contamination. If the petroleum and hazardous substances are co-mingled and not easily distinguishable, the applicant must indicate which contaminant is predominant and respond to the appropriate site eligibility criteria. (Contact your Regional Brownfields Contact listed in Section VII. for more information.)

The performance period for Cleanup Grants is three years. Refer to Section VI. for a list of certain grant and programmatic requirements.

An applicant must be the sole owner of the sites(s) that is the subject of its Cleanup Grant proposal and must own the site(s) by {60 days after posting}, in order to be eligible to receive a cleanup grant. For the purposes of eligibility determinations in these guidelines only, the term “own” means fee simple title through a legal document (for example a recorded deed); unless EPA approves a different ownership arrangement. **(Note, EPA strongly recommends contacting the Regional Brownfields Contact listed in Section VII. to ensure the proposed site(s) is eligible for funding.)** EPA will find applicants that do not meet this requirement by {60 days after posting} ineligible. The grantee must retain ownership of the sites(s) throughout the period of performance of the grant. Refer to threshold criterion Section III.B.2. and FAQs for additional information.

The Brownfields Law requires applicants to provide a 20 percent cost share for Cleanup Grants. For example, a \$200,000 cleanup grant will require a \$40,000 cost share. The cost share, which may be in the form of a contribution of money, labor, material, or services, must be for eligible and allowable costs under the grant and cannot include administrative costs, as described in the Brownfields Frequently Asked Questions (FAQs) at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf. Applicants may request a waiver of the 20 percent cost share requirement based on hardship. EPA will consider hardship waiver requests on a case-by-case basis and will approve such requests on a limited basis. Refer to threshold criterion in Section III.B.11. for additional information.

Cleanup Grant applicants must have an ASTM E1903-11 Phase II site assessment report(s) or equivalent site investigation report(s) complete that indicates a basic understanding of what contaminants need to be cleaned up on each site, even if further Phase II assessment work is required prior to proposal submission. Refer to threshold criterion Section III.B.6. for additional information.

Cleanup Grant Option Summary

	Single Site	Multi-Site
Number of sites included in each cleanup grant proposal	One	More than one
Number of <u>proposals</u> that EPA will accept per applicant	3 total <i>For example: 3 single site proposals OR 3 multi-site proposals OR a 1 single site + 2 multi-site proposals, etc.</i> Note, a site can only be proposed <u>once</u> in the competition cycle.	

	Single Site	Multi-Site
Maximum amount of funding request	Up to \$200,000 for hazardous substances, or petroleum, or combination of both types of funding	
20% cost share	Required; may request hardship waiver	
Sole ownership of site(s) by applicant	Required for all sites	
Phase II or equivalent reports		
Analysis of Brownfield Cleanup Alternatives		
Project Period	3 years	

For more information on a range of brownfields funding topics, please refer to the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf. If you do not have access to the Internet, you can contact your Regional Brownfields Contact listed in Section VII.

I.B. Uses of Grant Funds

In addition to direct costs associated with the cleanup of a brownfield site:

1. Grant funds may be used for direct costs associated with programmatic management of the grant, such as required performance reporting, cleanup oversight, and environmental monitoring of cleanup work.

All costs charged to Cleanup Grants must be consistent with the applicable 2 CFR 200 Subpart E.

2. A local government (as defined in 2 CFR 200.64, *Local Government*, and summarized in Section III.A. of these guidelines) may use up to 10 percent of its grant funds for any of the following activities:
 - a. health monitoring of populations exposed to hazardous substances, pollutants, or contaminants from a brownfield site; and
 - b. monitoring and enforcement of any institutional control used to prevent human exposure to any hazardous substance, pollutant, or contaminant from a brownfield site.
3. A portion of the brownfields grant may be used to purchase environmental insurance.

See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for additional information on purchasing environmental insurance.

Grant funds cannot be used for the payment of:

1. proposal preparation costs;
2. a penalty or fine;

3. a federal cost-share requirement (for example, a cost share required by other federal funds);
4. administrative costs, such as indirect costs of grant administration, with the exception of financial and performance reporting costs;
5. a response cost at a brownfield site for which the recipient of the grant or loan is potentially liable under CERCLA §107;
6. a cost of compliance with any federal law, excluding the cost of compliance with laws applicable to the cleanup; or
7. unallowable costs (e.g., lobbying and fundraising) under 2 CFR Part 220, 225, or 230, as applicable.

See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for additional information on ineligible grant activities and ineligible costs.

I.C. EPA Strategic Plan Linkage

EPA's 2014-2018 Strategic Plan defines goals, objectives and sub-objectives for protecting human health and the environment. Brownfields Cleanup Grants will support progress toward Goal 3 (Cleaning Up Communities and Advancing Sustainable Development), Objective 3.1 (Promote Sustainable and Livable Communities). Specifically, these grants will help sustain, clean up and restore communities and the ecological systems that support them by providing funds to assess and clean up brownfield sites. EPA will negotiate workplans with recipients to collect information about the hazardous substances, pollutants and petroleum contaminants addressed and the amount of land made safe for communities' economic and ecological use. View EPA's Strategic Plan at <http://www.epa.gov/planandbudget/strategicplan>.

I.D. Measuring Environmental Results: Anticipated Outputs/Outcomes

Pursuant to EPA Order 5700.7, "Environmental Results under EPA Assistance Agreements," EPA requires that all grant applicants and recipients adequately address environmental outputs and outcomes. View EPA's Order 5700.7A1 at www.epa.gov/sites/production/files/2015-03/documents/epa_order_5700_7a1.pdf.

EPA must report on the success of its Brownfields Program through measurable outputs and outcomes, such as the number of sites cleaned up, number of jobs created and amount of funding leveraged. Applicants are required to describe how funding will help EPA achieve environmental outputs and outcomes in their responses to the ranking criteria (Sections IV.C.3.2., *Project Description and Feasibility of Success* and IV.C.3.4., *Project Benefits*). Outputs and outcomes specific to each project will be identified as deliverables in the negotiated workplan if the proposal is selected for award. Grantees will be expected to report progress toward the attainment of expected project outputs and outcomes during the project performance period.

Outputs and Outcomes are defined as follows.

1. **Outputs:** The term “outputs” refers to an environmental activity, effort and/or associated work products related to an environmental goal or objective that will be produced or provided over a period of time or by a specified date. Outputs may be quantitative or qualitative but must be measurable during the project period. The expected outputs for the grants awarded under these guidelines are cleaned-up brownfield sites. Other outputs may include the number of community meetings held and/or the number of tanks pulled.
2. **Outcomes:** The term “outcomes” refers to the result, effect, or consequence that will occur from carrying out the activities under the grant. Outcomes may be environmental, behavioral, health-related, or programmatic; must be qualitative or quantitative; and may not necessarily be achievable during the project period. Expected outcomes of Brownfields Grants include the number of jobs created and funding leveraged through the economic reuse of sites; the number of acres made ready for reuse or acres of greenspace created for communities; and whether the project will minimize exposure to hazardous substances and other contamination.

I.E. Linking to Sustainable and Equitable Development Outcomes, and Supporting Environmental Justice

Under the Project Description ranking criterion in Section IV.C.3.2., applicants should discuss how their proposed Brownfield Cleanup project will advance and incorporate sustainable and equitable practices. The proposal will be evaluated on the extent to which it will lead to sustainable and equitable development outcomes and will address environmental justice challenges as discussed below. EPA encourages applicants to provide specific examples of how the proposed Brownfield Cleanup project will work to remove economic, environmental and social barriers to make sustainable and equitable brownfields reuse of the highest priority.

Linking Brownfield Cleanup Approaches to Sustainable and Equitable Development Outcomes

Applicants should incorporate sustainable and equitable reuse approaches into their proposed Brownfield Cleanup projects. Sustainable and equitable approaches can ensure brownfields are reused in ways that:

- contribute to greener and healthier homes, buildings, and neighborhoods;
- mitigate environmental conditions through effective deconstruction and remediation strategies which address solid and hazardous waste, and improve air and water quality;
- improve access by residents to greenspace, recreational property, transit, schools, other nonprofit uses (e.g., libraries, health clinics, youth centers, etc.), and healthy and affordable food;
- improve employment and affordable housing opportunities for local residents;
- reduce toxicity, illegal dumping, and blighted vacant parcels; and
- retain residents who have historically lived within the area affected by brownfields.

Sustainable development practices facilitate environmentally-sensitive brownfields cleanup and redevelopment while also helping to make communities more attractive, economically stronger, and more socially diverse. While ensuring consistency with community-identified priorities, sustainable development approaches encourage brownfield site reuse in ways that provide new jobs, commercial opportunities, open-space amenities, and/or social services to an existing neighborhood. Brownfield site preparation strategies that prevent contaminant exposure through

green building design, materials recycling, enable urban agricultural reuse, promote walkability to/around the site and contribute to community walkability, and on-site stormwater management through green infrastructure, among other approaches, can contribute to sustainable development outcomes.

Equitable development occurs when intentional strategies are put in place to ensure that low-income and minority communities not only participate in but also benefit from decisions that shape their neighborhoods and regions. There are many different approaches that promote equitable development, such as ensuring a mix of housing types across a range of incomes; access to fresh food; access to jobs; and access to local capital. Programs or policies can be put in place to help ensure creation or integration of affordable housing; local or first-source hiring; minority contracting; inclusionary zoning (where a percentage of new housing is designated as affordable housing); healthy food retailers in places where they do not exist (e.g. food deserts); co-operative ownership models where local residents come together to run a community-owned, jointly owned business enterprise; rent control or community land trusts (to help keep property affordable for residents); supportive local entrepreneurial activities; and adherence to equal lending opportunities.

Linking Brownfield Cleanup Approaches to Environmental Justice

Environmental justice can be supported through sustainable and equitable development approaches. EPA defines environmental justice as the fair treatment and meaningful involvement of all people regardless of race, color, national origin, or income with respect to the development, implementation, and enforcement of environmental laws, regulations, and policies. EPA has this goal for all communities and persons across the nation. Environmental justice will be achieved when everyone enjoys the same degree of protection from environmental and health hazards and equal access to the decision-making process to have a healthy environment in which to live, learn, and work.¹

SECTION II. - AWARD INFORMATION

II.A. What is the Amount of Available Funding?

The total estimated funding available under the national competition for Assessment, RLF and Cleanup Grants is estimated at \$50 million subject to the availability of funds, quality of proposals, and other applicable considerations. A separate announcement is posted for the Assessment and RLF Grant competitions. EPA may expend up to 25 percent of the amount appropriated for Brownfields Grants on sites contaminated with petroleum. EPA anticipates awarding an estimated 198 grants among all three grant types. Under this announcement, EPA anticipates awarding an estimated 38 Cleanup Grants for a total amount of approximately \$7.5 million in funding.

In addition, EPA reserves the right to award additional grants under this competition should additional funding become available. Any additional selections for awards will be made no later than six months from the date of the original selection decision. EPA reserves the right to reject

¹ For more information please visit www.epa.gov/environmentaljustice.

all proposals and make no awards under this announcement or make fewer awards than anticipated.

In appropriate circumstances, EPA reserves the right to partially fund proposals by funding discrete portions or phases of proposed projects. To maintain the integrity of the competition and selection process, EPA, if it decides to partially fund a proposal, will do so in a manner that does not prejudice any applicants or affect the basis upon which the proposal, or portion thereof, was evaluated and selected for award.

II.B. What is the Project Period for Awards Resulting from this Solicitation?

The project period for Cleanup Grants is up to three years.

II.C. Substantial Involvement

The Brownfield Cleanup Grant will be awarded in the form of a cooperative agreement. Cooperative agreements permit the EPA Project Officer to be substantially involved in overseeing the work performed by the selected recipients. Although EPA will negotiate precise terms and conditions relating to substantial involvement as part of the award process, the anticipated substantial federal involvement for this project may include:

- close monitoring of the recipient's performance to verify the results;
- collaborating during the performance of the scope of work;
- in accordance with 2 CFR 200.317 and 2 CFR 200.318, as appropriate, review of proposed procurements;
- reviewing qualifications of key personnel (EPA will not select employees or contractors employed by the award recipient); and
- reviewing and commenting on reports prepared under the cooperative agreement (the final decision on the content of reports rests with the recipient).

SECTION III. - ELIGIBILITY INFORMATION and THRESHOLD CRITERIA

III.A. Who Can Apply?

The following information indicates which entities are eligible to apply for a Cleanup Grant.

- General Purpose Unit of Local Government. [For purposes of the EPA Brownfields Grant Program, a "local government" is defined as stated under 2 CFR 200.64.: Local government means a county, municipality, city, town, township, local public authority (including any public and Indian housing agency under the United States Housing Act of 1937), school district, special district, intrastate district, council of governments (whether or not incorporated as a nonprofit corporation under state law), any other regional or interstate government entity, or any agency or instrumentality of a local government.]
- Land Clearance Authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government.
- Government Entity Created by State Legislature.
- Regional Council or group of General Purpose Units of Local Government.
- Redevelopment Agency that is chartered or otherwise sanctioned by a state.

- State.
- Indian tribe other than in Alaska. (The exclusion of Alaskan Tribes from Brownfields Grant eligibility is statutory at CERCLA §104(k)(1). Intertribal Consortia, comprised of eligible Indian tribes, are eligible for funding in accordance with EPA’s policy for funding intertribal consortia published in the Federal Register on November 4, 2002, at 67 Fed. Reg. 67181. This policy also may be obtained from your Regional Brownfields Contact listed in Section VII.)
- Alaska Native Regional Corporation, Alaska Native Village Corporation, and Metlakatla Indian Community. (Alaska Native Regional Corporations and Alaska Native Village Corporations are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following). For more information, please refer to Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.)
- Nonprofit organizations. (For the purposes of the Brownfields Grant Program, the term “nonprofit organization” means any corporation, trust, association, cooperative, or other organization that is operated mainly for scientific, educational, service, charitable, or similar purpose in the public interest and is not organized primarily for profit; and uses net proceeds to maintain, improve, or expand the operation of the organization.)

III.B. Threshold Criteria for Cleanup Grants

This section contains the threshold eligibility criteria that ensure applicants are eligible to receive Cleanup Grants and that the proposed site(s) is eligible for funding. Threshold criteria are pass/fail and include certain requests for information identified below. The information you submit will be used by EPA solely to make site eligibility determinations for Brownfields Grants and is not legally binding for other purposes including federal, state, or tribal enforcement actions. Only those proposals that pass all the threshold criteria will be evaluated against the evaluation criteria in Section V.A. of this announcement.

Applicants deemed ineligible for funding consideration as a result of the threshold eligibility review will be notified within 15 calendar days of the ineligibility determination.

If a proposal is submitted that includes any ineligible tasks, activities, or site(s), that portion of the proposal will be ineligible for funding and may, depending on the extent to which it affects the proposal, render the entire proposal ineligible for funding.

Your responses to these items are required and must be included as an attachment to the Narrative Proposal you submit to EPA. See Section IV.C. for a complete list of required documents that must be submitted.

EPA staff will respond to questions regarding threshold eligibility criteria, administrative issues related to the submission of the proposal, and requests for clarification about this announcement. In order to maintain the integrity of the competition process, EPA staff cannot meet with individual applicants to discuss draft proposals, provide informal comments on draft proposals, or provide advice to applicants on how to respond to ranking criteria. EPA’s limitations on staff involvement with grant applicants are described in EPA’s Assistance Agreement Competition Policy (EPA Order 5700.5A1).

For purposes of the threshold eligibility review, EPA, if necessary, may seek clarification of applicant information and/or consider information from other sources, including EPA files.

Proposals must substantially comply with the proposal submission instructions and requirements set forth in Section IV. of this announcement or they will be rejected. Pages in excess of the page limits described in Section IV. for the Cover Letter and Narrative Proposal, and attachments not specifically required, will not be reviewed.

In addition, proposals must be submitted through www.grants.gov as stated in Section IV. of this announcement (except in the limited circumstances where another mode of submission is specifically allowed for as explained in Appendix 2) on or before the proposal submission deadline. Applicants are responsible for following the submission instructions in Section IV. of this announcement to ensure that their proposal is submitted in a timely manner.

Proposals received after the submission deadline will be considered late and deemed ineligible without further consideration unless the applicant can clearly demonstrate that it was late due to EPA mishandling or because of technical problems associated with www.grants.gov or relevant www.sam.gov system issues. An applicant's failure to timely submit their proposal through www.grants.gov because they did not timely or properly register in www.sam.gov or www.grants.gov will not be considered an acceptable reason to consider a late submission.

EPA will verify that the Data Universal Number System (DUNS) number listed on the application is the correct DUNS number for the applicant's organization. If the correct DUNS number is not included on the application, the application may be deemed ineligible.

1. Applicant Eligibility

Provide information that demonstrates how you are an eligible entity for a Cleanup Grant as specified in Section III.A., *Who Can Apply?* For entities other than cities, counties, tribes, or states, attach documentation of your eligibility (e.g., resolutions, statutes, etc.).

If you are a nonprofit organization, you must provide documentation, as an attachment to the proposal, indicating nonprofit status. Failure to do so may render your proposal ineligible for review.

If you are applying for multiple sites within the same proposal, your threshold criteria responses must include responses to items 2. – 11. for each site.

2. Site Ownership

To be eligible to receive a Cleanup Grant the applicant must be the sole owner of the site that is the subject of its cleanup grant proposal and must own the site by {60 days after posting}. For the purposes of Brownfields Cleanup Grant eligibility determinations, the term "own" means fee simple title through a legal document, for example, a recorded deed; unless EPA approves a different ownership agreement. **(EPA strongly recommends contacting the Regional Brownfields Contact listed in Section VII. to ensure the proposed site is eligible for funding.)** EPA will find applicants that do not meet this requirement by {60 days

after posting} ineligible. The grantee must retain ownership of the site until throughout the period of performance of the grant.

3. Basic Site Information

Identify: (a) the name of the site; (b) the address of the site, including zip code; (c) the current owner of the site; and (d) if you are not the current owner, the date you plan to acquire ownership of the site (required for cleanup grants).

4. Status and History of Contamination at the Site

Identify: (a) whether this site is contaminated by petroleum or hazardous substances; (b) the operational history and current use(s) of the site; (c) environmental concerns, if known, at the site; and (d) how the site became contaminated, and to the extent possible, describe the nature and extent of the contamination.

5. Brownfields Site Definition

To be eligible for brownfields funding, sites must meet the definition of a brownfield as described in Appendix 1. The following types of properties are not eligible for brownfields funding:

- facilities listed (or proposed for listing) on the National Priorities List (NPL);
- facilities subject to unilateral administrative orders, court orders, administrative orders on consent, or judicial consent decrees issued to or entered into by parties under CERCLA; and
- facilities that are subject to the jurisdiction, custody, or control of the U.S. government. (Note: Land held in trust by the U.S. government for an Indian tribe is eligible for brownfields funding.)

Affirm that the site is: (a) not listed or proposed for listing on the National Priorities List; (b) not subject to unilateral administrative orders, court orders, administrative orders on consent, or judicial consent decrees issued to or entered into by parties under CERCLA; and (c) not subject to the jurisdiction, custody, or control of the U.S. government. (Note: Land held in trust by the U.S. government for an Indian tribe is eligible for brownfields funding.) Please refer to CERCLA §§ 101(39)(B)(ii), (iii), and (vii) and Appendix 1.

6. Environmental Assessment Required for Cleanup Proposals

A written ASTM E1903-11 or equivalent Phase II site assessment report (a draft report is sufficient) must be completed prior to proposal submission. Equivalent reports would include site investigations or remedial action plans developed for a state cleanup program or Office of Surface Mining surveys for mine-scarred lands. Describe the type of environmental assessments conducted at your proposed site (do not attach assessment reports). Provide the date of the Phase II or equivalent report. Contact your Regional Brownfields Contact listed in Section VII. if you have questions.

7. Enforcement or Other Actions

Identify known ongoing or anticipated environmental enforcement or other actions related to the site for which brownfields funding is sought. Provide information on any inquiries, or orders from federal, state, or local government entities that the applicant is aware of

regarding the responsibility of any party (including the applicant) for the contamination, or hazardous substances at the site, including any liens. The information provided in this section may be verified, and EPA may conduct an independent review of information related to the applicant's responsibility for the contamination or hazardous substances at the site.

8. Sites Requiring a Property-Specific Determination

Certain types of sites require a property-specific determination in order to be eligible for brownfields funding. Please refer to Appendix 1, Section 1.5. and the information below to determine whether your site requires a property-specific determination. If your site requires a property-specific determination, then you must attach the information requested in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf. **If not required, affirm that the site does not need a Property-Specific Determination.**

The following special classes of property require a "Property-Specific Determination" from EPA to be eligible for brownfields funding:

- properties subject to planned or ongoing removal actions under CERCLA;
- properties with facilities that have been issued or entered into a unilateral administrative order, a court order, an administrative order on consent, or judicial consent decree or to which a permit has been issued by the United States or an authorized state under the Resource Conservation and Recovery Act (RCRA), the Federal Water Pollution Control Act (FWPCA), the Toxic Substances Control Act (TSCA), or the Safe Drinking Water Act (SDWA);
- properties with facilities subject to RCRA corrective action (§3004(u) or §3008(h)) to which a corrective action permit or order has been issued or modified to require the implementation of corrective measures;
- properties that are land disposal units that have submitted a RCRA closure notification or that are subject to closure requirements specified in a closure plan or permit;
- properties where there has been a release of polychlorinated biphenyls (PCBs) and all or part of the property is subject to TSCA remediation; and
- properties that include facilities receiving monies for cleanup from the Leaking Underground Storage Tank (LUST) Trust Fund (see Appendix 1 for a definition of LUST Trust Fund sites).

EPA's approval of Property-Specific Determinations will be based on whether or not awarding a grant will protect human health and the environment and either promote economic development or enable the property to be used for parks, greenways, and similar recreational or nonprofit purposes. Property-Specific Determination requests must be attached to your proposal and do not count toward the 15-page limit for Narrative Proposals. (See Appendix 1, for more information or contact your Regional Brownfields Contact listed in Section VII. if you think your site requires a Property-Specific Determination.)

9. Site Eligibility and Property Ownership Eligibility

Applicants eligible for brownfields funding cannot be liable for contamination on the site. Site eligibility related to liability is determined differently at sites contaminated with hazardous substances than for sites contaminated by petroleum or petroleum product.

If the site is a hazardous substance site, **please respond to all the items under (a).**

If the site is a petroleum site, **please respond to all the items under (b)**, including the requirement to provide a petroleum determination letter.

If the site is co-mingled with hazardous substances and petroleum contaminants, determine whether the predominant contaminant is hazardous substances or petroleum, and respond to the corresponding items (as noted above).

If applying for hazardous substances and petroleum funding at the same site, and the hazardous substances and petroleum contaminated areas of the site are distinguishable, the proposal **must respond to all the items under (a) and (b)**, including the requirement to provide a petroleum determination letter.

(a) Property Ownership Eligibility – Hazardous Substance Sites

For sites contaminated by hazardous substances, persons, including government entities, who may be found liable for the contamination under CERCLA (the Superfund Law) §107 are not eligible for grants. Liable parties may include all current owners and operators, former owners and operators of the site at the time of disposal of hazardous substances, and parties that arranged for, or contributed to, the disposal or treatment of hazardous substances on the site. Therefore, even owners who did not cause or contribute to the contamination may be held liable.

To be eligible for a Brownfields Cleanup Grant to address contamination at a brownfields property, eligible entities who fall within one of the categories of potentially liable parties must demonstrate that they meet one of the liability protections or defenses set forth in CERCLA by establishing that they are: (1) an innocent landowner; (2) a bona fide prospective purchaser (BFPP); (3) a contiguous property owner; or (4) a local or state government entity that acquired the property involuntarily through bankruptcy, tax delinquency, or abandonment, or by exercising its power of eminent domain. To claim protection from liability as an innocent landowner, bona fide prospective purchaser, or contiguous property owner, property owners, including state and local governments, must conduct all appropriate inquiries prior to acquiring the property. (Please note that these requirements apply to all property acquisitions, including properties acquired by donation or title transfer at zero cost.)

Because cleanup applicants must own the property for which they are seeking a grant – and because current owners of contaminated property are potentially liable under CERCLA – all applicants must demonstrate in their proposals that they are not a liable party by establishing that they meet the requirements of one of the liability protections or defenses set forth in CERCLA. For more information on these liability protections, please refer to the Brownfields Law, the April 2009 Fact Sheet entitled: “EPA Brownfields Grants, CERCLA Liability and All Appropriate Inquiries,” at <http://www.epa.gov/sites/production/files/2014-08/documents/aaifs.pdf> and the March 6, 2003 EPA guidance entitled *Interim Guidance Regarding Criteria Landowners Must Meet in Order to Qualify for Bona Fide Prospective Purchaser, Contiguous Property*

Owner, or Innocent Landowner Limitations on CERCLA (“Common Elements”) at <http://www.epa.gov/sites/production/files/documents/common-elem-guide.pdf>.

Applicants may also call the Regional Brownfields Contact listed in Section VII. with questions about eligibility.

The most common liability protection asserted by applicants is the bona fide prospective purchaser protection (BFPP). Although the statute limits eligibility for BFPP liability protection to entities that purchase property after January 11, 2002, a brownfields grant applicant can take advantage of this protection, for grant purposes only, even if it acquired a site prior to January 11, 2002. For further information, please see FAQs on All Appropriate Inquiries for more information at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf. Applicants must demonstrate that they complied with all the BFPP requirements listed below:

- All disposal of hazardous substances at the site occurred before the person acquired the site.
- The owner must not be liable in any way for contamination at the site or affiliated with a responsible party. Affiliations include familial, contractual, financial, or corporate relationships that are the result of a reorganization of a business entity with potential liability.
- The owner must have conducted all appropriate inquiries (AAI) prior to acquiring the property. AAI, typically met by conducting a Phase I environmental site assessment using the ASTM E1527-13 standard practice, must be conducted or updated within one year prior to the date the property is acquired (i.e., the date on which the entity takes title to the property). In addition, certain aspects of the AAI or Phase I site assessment must be updated, prior to property acquisition, if the activities were conducted more than six months prior to the date of acquisition. Please see the fact sheet “EPA Brownfields Grants, CERCLA Liability and All Appropriate Inquiries,” or EPA’s AAI Final Rule (70 FR 66070) at www.epa.gov/brownfields/brownfields-all-appropriate-inquiries. The owner must take appropriate care regarding any hazardous substances found at the site, including preventing future releases and exposures to hazardous substances on the site.
- The owner must provide all legally required notices and cooperate with authorized response persons in the event of discovery or release of any hazardous substances at the site.
- The owner must comply with any land-use restrictions associated with response actions at the site.

EPA grant funding may not be used to pay for response costs at a brownfield site for which the recipient of the grant is potentially liable under CERCLA §107. The following items are intended to help EPA ensure that you are not liable under CERCLA for response costs at the site designated in your proposal, or determine, if necessary, that your site is eligible for funding as a petroleum site. Please respond to the following items fully and in the order that they appear. Note, based on your responses, EPA may need to obtain additional information to make this determination.

(1) CERCLA §107 Liability

Affirm that you are not potentially liable for contamination at the site under CERCLA §107 (e.g., as a current owner or operator of a facility, an owner or operator of a facility at the time of disposal of a hazardous substance, a party that arranged for the treatment or disposal of hazardous substances, or a party that accepted hazardous substances for transport to disposal or treatment facilities at the site) by establishing that you are eligible for one of the CERCLA liability protections or defenses (see Section III.B.9.) and **explain why**.²

(2) Information on Liability and Defenses/Protections

- a. Information on the Property Acquisition You may combine responses to the following into one response, though please be sure to answer each item fully. Provide information on:
- i) how you acquired or will acquire ownership (e.g., by negotiated purchase from a private individual, by purchase or transfer from another governmental unit, by foreclosure of real property taxes, by eminent domain, or other (describe);
 - ii) the date you acquired the property;
 - iii) the nature of your ownership (fee simple) (note that you must have sole ownership of the site to be eligible for funding; unless EPA approves a different ownership arrangement);
 - iv) the name and identity of the party from whom you acquired ownership (i.e., the transferor); and
 - v) all familial, contractual, corporate, or financial relationships or affiliations you have or had with all prior owners or operators (or other potentially responsible parties) of the property (including the person or entity from which you acquired the property).
- b. Timing and/or Contribution Toward Hazardous Substances Disposal Identify whether all disposal of hazardous substances at the site occurred before you acquired the property and whether you caused or contributed to any release of hazardous substances at the site. Affirm that you have not, at any time, arranged for the disposal of hazardous substances at the site or transported hazardous substances to the site.
- c. Pre-Purchase Inquiry Describe any inquiry by you or others into the previous ownership, uses of the property, and environmental conditions conducted prior to taking ownership. Please include the items below in your description.
- i) The types of site assessments performed (e.g., ASTM E1527-13 Phase I), the dates of each assessment, and the entity for which they were performed (state whether the assessment was performed specifically for you, or if not, the name of the party that had the assessment performed and that party's relationship to you).

² Because current owners of sites are potentially liable under CERCLA, Brownfields Cleanup Grant applicants who own the site must be able to meet the requirements of one of the CERCLA landowner liability protections, such as the bona fide prospective purchaser provision (CERCLA §107(r)), the innocent landowner defense (CERCLA §107(b)(3) and 101(35)(A)), or the exclusion for state or local governments that involuntarily acquire property (CERCLA §101(20)(D)).

Please note that to be eligible for a Brownfields Grant, parties who may be potentially liable under CERCLA (which includes current owners of the property) must demonstrate they are not liable for contamination at the property. In most cases, this demonstration must include evidence that an AAI investigation, or Phase I Environmental Site Assessment in compliance with ASTM E1527-13 (or ASTM E2247-16) was conducted prior to property acquisition.

- ii) Who performed the AAI investigation or Phase I environmental site assessments and identify his/her qualifications to perform such work.
 - iii) If the original AAI investigation or Phase I environmental site assessment was conducted more than 180 days prior to the date you acquired the property, affirm that you conducted the appropriate updates in the original assessment within 180 days prior to your acquisition of the property in order to take advantage of the bona fide prospective purchaser, innocent landowner, or contiguous property owner provision.
- d. Post-Acquisition Uses Describe all uses of the property since you acquired ownership through the present, including any uses by persons or entities other than you. Please provide a timeline with the names of all current and prior users during the time of your ownership; the dates of all uses; the details of each use, including the rights or other reason pursuant to which the use was claimed or taken (e.g., lease, license, trespass); and your relationship to the current and prior users.
- e. Continuing Obligations³ Describe in detail the specific appropriate care that you exercised with respect to hazardous substances found at the site by taking **reasonable steps**⁴ to:
- i) stop any continuing releases;
 - ii) prevent any threatened future release; and
 - iii) prevent or limit exposure to any previously released hazardous substance.

Please confirm your commitment to:

- i) comply with all land-use restrictions and institutional controls;
- ii) assist and cooperate with those performing the cleanup and provide access to the property;
- iii) comply with all information requests and administrative subpoenas that have or may be issued in connection with the property; and

³ Applicants that own contaminated land should be aware that some CERCLA liability protections require that the site owner meet certain continuing obligations. For example, grantees must comply with land-use restrictions and institutional controls; take reasonable steps with respect to the hazardous substances on the property; cooperate with, assist, and allow access to authorized representatives; and comply with CERCLA information requests and subpoenas and provide legally required notices. For more information on the obligations of owners of contaminated property, see EPA's "Common Elements Reference Sheet" at <https://www.epa.gov/sites/production/files/documents/common-elem-ref.pdf>.

⁴ Please note that reasonable steps may include actions such as limiting access to the property, monitoring known contaminants, and complying with state and/or local requirements. The steps taken to prevent or limit exposure to previously released hazardous substances may depend, for example, on such things as the location of the site in relation to the public and whether the public has been known to use (or even trespass on) the site.

- iv) provide all legally required notices.

(b) Property Ownership Eligibility - Petroleum Sites

(Disregard this item if you do not have a petroleum site.)

The Brownfields Law outlines specific criteria by which petroleum sites may be eligible for Brownfields Grant funding. In addition to the basic brownfields eligibility criteria, eligibility for petroleum sites is determined by applying the criteria established by Congress: the site must be of “relatively low risk,” there can be no viable responsible party, the applicant cannot be potentially liable for cleaning up the site, and the site must not be subject to a RCRA corrective action order. If a party is identified as being responsible for the site and that party is financially viable, then the site is not eligible for brownfields grant funds (refer to Appendix 1, Section 1.3.2. for more information). Generally, petroleum site eligibility will be determined by EPA or the state, as appropriate. Where the state is unable to make the eligibility determination, EPA will make the determination. EPA will make the determination for tribes.

Non-tribal applicants must provide the information required for a petroleum site eligibility determination (listed below) to your state, so that the state can make the necessary determination on petroleum site eligibility. You must provide EPA with a copy of the state determination letter as an attachment to your proposal. If the state does not make the determination before the proposal due date or is unable to make the determination, please attach a copy of the request you sent to the state. (**Note:** You must provide EPA with the date you requested your state to make the petroleum site determination. EPA will make the petroleum site eligibility determination if a state is unable to do so following a request from an applicant.) Also in your letter to the state, please request that the state provides information regarding whether it applied EPA’s guidelines in making the petroleum determination, or if not, what standard it applied.

Tribal applicants must submit the information required for a petroleum site eligibility determination (listed below) as an attachment to your proposal. EPA will make the petroleum site eligibility determinations for tribes.

(1) Information Required for a Petroleum Site Eligibility Determination

- a. Current and Immediate Past Owners Identify the current and immediate past owner of the site. For Cleanup Grants, the applicant must be the owner.
- b. Acquisition of Site Identify when and by what method the current owner acquired the property (e.g., purchase, tax foreclosure, donation, eminent domain).
- c. No Responsible Party for the Site Identify whether the current and immediate past owner (which includes, if applicable, the applicant): (i) dispensed or disposed of petroleum or petroleum product contamination, or exacerbated the existing petroleum contamination at the site; (ii) owned the site when any dispensing or

disposal of petroleum (by others) took place; and (iii) took reasonable steps with regard to the contamination at the site.

- d. Cleaned Up by a Person Not Potentially Liable Identify whether you (the applicant) dispensed or disposed of petroleum or petroleum product, or exacerbated the existing petroleum contamination at the site, and whether you took reasonable steps with regard to the contamination at the site.
- e. Relatively Low Risk Identify whether the site is “relatively low risk” compared to other petroleum or petroleum product-only contaminated sites in the state in which the site is located, including whether the site is receiving or using Leaking Underground Storage Tank (LUST) Trust Fund monies.
- f. Judgments, Orders, or Third Party Suits Provide information that no responsible party (including the applicant) is identified for the site, through either:
 - i) a judgment rendered in a court of law or an administrative order that would require any person to assess, investigate, or clean up the site; or
 - ii) an enforcement action by federal or state authorities against any party that would require any person to assess, investigate, or clean up the site; or
 - iii) a citizen suit, contribution action, or other third-party claim brought against the current or immediate past owner, that would, if successful, require the assessment, investigation, or cleanup of the site.
- g. Subject to RCRA Identify whether the site is subject to any order under section 9003(h) of the Solid Waste Disposal Act.
- h. Financial Viability of Responsible Parties For any current or immediate past owners identified as responsible for the contamination at the site, provide information regarding whether they have the financial capability to satisfy their obligations under federal or state law to assess, investigate, or clean up the site.

Note: If no responsible party is identified in iii) or vi) above, then the petroleum-contaminated site may be eligible for funding. If a responsible party is identified above, EPA or the state must next determine whether that party is viable. If any such party is determined to be viable, then the petroleum-contaminated site may not be eligible for funding. For more information, see Appendix 1.

10. Cleanup Authority and Oversight Structure

Please note that you will be required to comply with all applicable federal and state laws and ensure that each cleanup project protects human health and the environment.

- a. Describe how you will oversee the cleanup at the site(s). Indicate whether you plan to enroll in a state or tribal response program. If you do not plan to enroll in a state or tribal response program, or an appropriate state or tribal response program is not available, you will be required to consult with EPA to ensure the cleanup is protective of human health and the environment. Therefore, if you do not plan to enroll in a state or tribal response

program, provide a description of the technical expertise you have to conduct, manage, and oversee the cleanup and/or whether you plan to acquire additional technical expertise. If you do plan to acquire additional technical expertise, discuss how you will comply with the competitive procurement provisions of 2 CFR 200.317 through 200.326 and ensure that this technical expertise is in place prior to beginning cleanup activities.

- b. Cleanup response activities often impact adjacent or neighboring properties. For example, access to neighboring properties may be necessary to conduct the cleanup, perform confirmation sampling, or monitor offsite migration of contamination. If this type of access is needed, provide your plan to acquire access to the relevant property(ies).

11. Community Notification

The applicant must provide the community with notice of its intent to apply for an EPA Brownfields Cleanup Grant and allow the community an opportunity to comment on the draft proposal. The community notification ad (or equivalent), public meeting and other requirements discussed below must be current and related to this specific proposal. Failure to demonstrate community notification will result in disqualification of the proposal.

Applicants who are proposing multiple sites within the same proposal or submitting more than one proposal may plan to have a single community notification ad (or equivalent) and meeting to address multiple sites/proposals. However, all target communities must receive the notification and be provided an opportunity to comment on the proposal(s) relevant to their community.

a. Draft Analysis of Brownfield Cleanup Alternatives

The applicant must allow the community an opportunity to comment on the draft proposal(s), which must include an attached draft Analysis of Brownfield Cleanup Alternatives (ABCA). The draft ABCA(s) must briefly summarize information about:

- the site and contamination issues, cleanup standards, and applicable laws;
- the cleanup alternatives considered (for each alternative and the alternative chosen include information on the effectiveness, the ability of the grantee to implement, the resilience ~~in light of reasonably foreseeable changing climate conditions~~, the cost, and an analysis of the reasonableness); and
- the proposed cleanup.

Applicants requesting funding for multiple sites within the same proposal must include a draft ABCA for each site.

The draft ABCA(s) submitted as part of the proposal is intended as a brief preliminary document. A suggested outline, with information that the ABCA must contain, can be found in the FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

If the proposal is selected for funding, applicants will be required to finalize the ABCA(s), and make it available for additional public review and comment as part of their pre-cleanup activities (see Section VI.D.3.a. for more information).

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b. Community Notification Ad

The applicant must publish a community notification ad in the local newspaper or an equivalent means customarily used to communicate to the target community(ies) no later than **{XX14 days before deadline}**. The community notification ad (or equivalent) must clearly state:

- that a copy of this grant proposal, including the draft ABCA(s), is available for public review and comment;
- how to comment on the draft proposal;
- where the draft proposal is located (e.g., town hall, library, website); and
- the date and time of a public meeting (must be held prior to submittal of this proposal).

All target communities must receive the notification and be provided an opportunity to comment on the proposal(s) relevant to their community.

Refer to the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for examples of acceptable community notification methods. States do not need to hold more than one meeting to satisfy this requirement.

c. Public Meeting

The applicant must hold a public meeting to discuss the draft proposal and consider public comments. A regularly scheduled community meeting where multiple topics are discussed is sufficient provided there is an opportunity to discuss the draft proposal.

From the meeting, the applicant must produce:

- a summary of the public comments received; and
- the applicant's response to those comments;
- meeting notes; and
- meeting sign-in sheets.

In addition to the public meeting, the applicant may choose to host additional outreach sessions via webinars, or other media outlets, to further engage the community and solicit comments on the proposal.

d. Submission of Community Notification Documents

You must attach to your proposal submitted to EPA:

- a copy of the draft ABCA(s);
- a copy of the ad (or equivalent) that demonstrates notification to the public and solicitation for comments on the proposal(s);
- the comments or a summary of the comments received;
- your response to the public comments;
- meeting notes or summary from the public meeting(s); and
- meeting sign-in sheets.

If one or more of the above-requested attachments are not submitted with the proposal, please explain why the requested attachment is not included.

12. Statutory Cost Share (See also IV.E. on Leveraging)

Cleanup Grant recipients are required by the Brownfields Law to provide a 20 percent cost share. This cost share is calculated as 20 percent of the total federal cleanup funds awarded. For example, if EPA awards you \$200,000 of federal cleanup funds, you must provide a cost share of an additional \$40,000. The cost share may be in the form of a contribution of money, labor, material, or services from a non-federal source. If the cost share is in the form of a contribution of labor, material, or other services, it must be incurred for an eligible and allowable expense under the grant and not for ineligible expenses, such as administrative costs (see Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for a discussion of prohibited costs).

Cleanup Grant applicants may petition EPA to waive the cost share requirement if it would place an undue hardship on the applicant. EPA will consider hardship waiver requests on a case-by-case basis and will approve such requests on an extremely limited basis. In considering such requests, EPA will look for indicators such as low per-capita income, unemployment rate significantly above the national average, or unemployment or economic adjustment problems resulting from severe short-term or long-term changes in economic conditions.

In your proposal:

- a. Demonstrate how you will meet the required cost share, including the sources of the funding or services, as required for this cleanup grant.
- b. If you are requesting a hardship waiver of the cost share, provide an explanation for the basis of your request as part of your proposal. This explanation must be submitted on a separate page, titled “Hardship Waiver Request.” Your explanation should include the following information: the unemployment rate; per capita income; data demonstrating substantial out-migration or population loss, if relevant; data demonstrating underemployment, that is, employment of workers at less than full-time or at less skilled tasks than their training or abilities permit, if relevant; information regarding military base closures or realignments, defense contractor reductions-in-force, or U.S. Department of Energy defense-related funding reductions, if relevant; local natural or other major disasters or emergencies, if relevant; information regarding extraordinary depletion of natural resources, if relevant; closure or restructuring of industrial firms and negative effects of changing trade patterns, if relevant; whether you are located in a President-Declared Disaster area (declared within 18 months of the submission date for your proposal); whether you have exhausted effective taxing (for governmental entities only) and borrowing capacity. Also, your explanation should include whether the proposed project(s) could still proceed if the cost share waiver was not approved.

Where available, applicants must supply data derived from the most recent American Community Survey (“ACS”) published by the U.S. Census Bureau. In cases where such data are not available, applicants may provide data from other sources (including data available from the Census Bureau and the Bureaus of Economic Analysis, Labor

Statistics, Indian Affairs, or other federal sources). In cases where no federal data are available, applicants may submit the most recent data available through their state, tribal, or local government. Cite all data sources provided.

Successful applicants will be notified at the time of the grant announcement if their cost share waiver request was approved. Approval of a cost share waiver does not increase the amount of funding which will be provided by EPA in the grant award. Rather, approval of the cost share waiver will relieve the applicant of the responsibility for providing the cost share amount for the grant award.

SECTION IV. - PROPOSAL SUBMISSION INFORMATION

IV.A. How to Obtain a Proposal Package

A copy of these guidelines can be obtained from the EPA Brownfields Program website at www.epa.gov/brownfields/apply-brownfields-grant-funding or through www.grants.gov.

IV.B. Due Date and Submission Instructions

Your organization's Authorized Organization Representative (AOR) must submit your complete application package electronically to EPA through www.grants.gov. Proposals must be received no later than 11:59 p.m. ET on **{60 days after posting}**. Please allow enough time to successfully submit your application package and allow for unexpected errors that may require you to resubmit. Occasionally, technical and other issues arise when using www.grants.gov

Proposals received after 11:59 p.m. ET **{60 days after posting}**, will not be considered for funding.

In order to submit a proposal⁵ through www.grants.gov, you must:

1. Have an active DUNS number,
2. Have an active System for Award Management (SAM) account in www.sam.gov,
3. Be registered in www.grants.gov, and
4. Be designated as your organization's AOR.

The registration process for all of the above items **may take a month or more to complete.**

The electronic submission of your application must be made by the official representative (AOR) of your institution who is registered with www.grants.gov and is authorized to sign applications for federal assistance. Refer to Appendix 2 for specific instructions on how to apply through www.grants.gov

⁵ Note that the terms "proposal" and "application" mean the same thing for the purposes of this competition. The files that you submit through www.grants.gov as your Brownfields proposal is what is known as an application package in www.grants.gov.

After signing and successfully submitting the application package, within 24 to 48 hours the AOR should receive notification emails from www.grants.gov with the following subject lines:

1. GRANT##### Grants.gov Submission Receipt

2. GRANT##### Grants.gov Submission Validation Receipt for Application

If the AOR did not receive either notification emails listed above, contact the www.grants.gov Help Desk at 1-800-518-4726. The Help Desk is open 24/7 (except federal holidays).

After the application package is retrieved out of the www.grants.gov system by EPA, the AOR should receive the following notification emails from www.grants.gov:

3. GRANT##### Grants.gov Grantor Agency Retrieval Receipt for Application

4. GRANT##### Grants.gov Agency Tracking Number Assignment for Application

In the event that you experience difficulties transmitting the proposal through www.grants.gov, please refer to the procedures in Appendix 2.

If you do not have the technical capability to apply electronically through www.grants.gov because of limited or no Internet access which prevents you from being able to upload the required application materials to www.grants.gov, please refer to the procedures in Appendix 2.

IV.C. Content and Form of Proposal Submission

Refer to Section I.A. for information on the number of Cleanup Grants and amount of funding that may be requested. Each proposal must stand on its own merits based on the responses to the relevant criteria for the type of grant submitted and must not reference responses to criteria in another proposal.

All proposal materials must be in English. The Cover Letter and Narrative Proposal must be typed, on letter-sized (8.5 x 11-inch) paper, and **use standard Times New Roman, Arial, or Calibri fonts with a 12-point font size and 1-inch margins**. While these guidelines establish the font and minimum type size requirements, applicants are advised that readability is very important.

The following checklist reflects the documents required for proposals. All proposals must contain a completed and signed SF-424; a Cover Letter; a Narrative Proposal, limited to 15 typed pages; and required attachments, as listed below. Extraneous materials, including photos, graphics and attachments not listed, will not be considered.

<input checked="" type="checkbox"/> Standard Form 424, Application for Federal Assistance (no page limit - see Section IV.C.1.)	
<input checked="" type="checkbox"/> Cover Letter (2-page limit) (see Section IV.C.2.)	
<input checked="" type="checkbox"/> Cover Letter Attachments: <ul style="list-style-type: none">○ Other Factors Checklist (located in Appendix 3), if applicable (see Section IV.C.2.g.)○ Letter from the state or tribal environmental authority (see Section IV.C.2.h.)	
<input checked="" type="checkbox"/> The Narrative Proposal, which includes the responses to ranking criteria (15-page limit) (see Section IV.C.3.)	

<p>📌 Narrative Proposal Attachments:</p> <ul style="list-style-type: none"> ○ Documentation indicating committed leveraged resources, if applicable (see Section IV.C.3.2.c.) ○ Letters of Commitment from all community organizations identified in the <i>Partnerships with Community Organizations</i> ranking criterion (see Section IV.C.3.3.c.ii.) 	
<p>📌 Threshold Criteria Responses Attachments: (see Section III.B.)</p> <ul style="list-style-type: none"> ○ Documentation of applicant eligibility if other than city, county, state, or tribe (see Section III.B.1.) ○ Documentation of nonprofit status, if applicable (see Section III.B.1.) ○ Site Ownership (see Section III.B.2.) ○ Basic Site Information (see Section III.B.3.) ○ Status of History of Contamination at the Site (see Section III.B.4.) ○ Affirmation that site meets the definition of a Brownfield site (see Section III.B.5.) ○ Environmental Assessment Required for Cleanup Proposals (see Section III.B.6.) ○ Enforcement or Other Actions (see Section III.B.7.) ○ Affirmation if a Property-Specific Determination is required (see Section III.B.8.) ○ Site Eligibility and Property Ownership Eligibility (see Section III.B.9.) ○ Petroleum eligibility determination information, if applicable (see Section III.B.9.(b)) ○ Cleanup Authority and Oversight Structure (see Section III.B.10.) ○ Community Notification documents (see Section III.B.11.) ○ Justification for cleanup cost share waiver, if applicable (see Section III.B.12.) 	

1. Standard Form (SF) - 424, Application for Federal Assistance

www.grants.gov will automatically prompt applicants to submit the SF-424 form.

2. Cover Letter

The cover letter shall identify the applicant and a contact for communication with EPA. The cover letter, including the applicant identification information, shall not exceed two pages. Any pages submitted over the page limit will not be considered. The cover letter must be on the applicant's official letterhead and signed by an official with the authority to commit your organization to the proposed project. Applicants are to submit separate cover letters for each proposal they submit. EPA does not consider information in cover letters to be responses to the ranking criteria. Each cover letter should be addressed to the Regional Brownfields Contact listed in Section VII. and include the items listed below.

- a. Applicant Identification Provide the name and full address of the entity applying for funds. This is the agency or organization that will receive the grant and be accountable to EPA for the proper expenditure of funds.
- b. Funding Requested
 - i) Grant Type Indicate "Single Site Cleanup" or "Multiple Site Cleanup"
 - ii) Federal Funds Requested \$ _____ and whether you are requesting a cost-share waiver (refer to funding limitations for Cleanup Grants).

- iii) Contamination Indicate “Hazardous Substances,” “Petroleum” or both.
Note: If both, provide a breakdown of the amount of funding you are requesting by contaminant type (e.g., \$150,000 hazardous substances and \$50,000 petroleum).
- c. Location City, county, and state or reservation, tribally owned lands, tribal fee lands, etc., of the brownfields property(ies).
- d. Property Information Property name and complete site address, including zip code.
(If you are requesting funding for multiple sites within the same proposal, include the property information for each site.)
- e. Contacts
 - i) Project Director Provide name, phone number, email address, and mailing address of the Project Director assigned to this proposed project. This person should be the main point of contact for the project, and should be the person responsible for the project’s day-to-day operations. The Project Director may be contacted if other information is needed.
 - ii) Chief Executive/Highest Ranking Elected Official Provide the name, phone number, email address, and mailing address of the applicant’s Chief Executive or highest ranking elected official. For example, if your organization is a municipal form of government, provide this information for the Mayor or County Commissioner. Otherwise, provide this information for your organization’s Executive Director or President. These individuals may be contacted if other information is needed.
- f. Population
 - i) Provide the general population of your jurisdiction.
 - ii) If you are not a municipal form of government, provide the population of the municipality of the identified target area(s). Tribes must provide the number of tribal/non-tribal members affected. Your jurisdiction’s population can be found at www.census.gov/.
 - iii) Affirm whether or not your jurisdiction is located within, or includes, a county experiencing “persistent poverty” where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.
- g. Other Factors Checklist Attach the “Other Factors” Checklist in Appendix 3 to the Cover Letter identifying which, if any, of the items are applicable to your proposal. The “Other Factors” Checklist does not count towards the two-page limit for this section.
- h. Letter from the State or Tribal Environmental Authority
For an applicant other than a state or tribal environmental authority, attach a current letter from the appropriate state or tribal environmental authority acknowledging that the applicant plans to conduct cleanup activities at one or more sites and is planning to apply

for FY18 federal brownfields grant funds. Letters regarding proposals from prior years are not acceptable.

If you are applying for multiple sites or types of grants, you need to receive only one letter acknowledging the relevant grant activities. However, you must provide a copy of this letter as an attachment to each proposal. Please note that general correspondence and documents evidencing state involvement with the project (i.e., state enforcement orders or state notice letters) are not acceptable. Coordinate early with your state or tribal environmental authority in order to allow adequate time for you to obtain the acknowledgment letter and attach it to your proposal.

The letter from the state or tribal authority does not count towards the two-page limit for this section.

3. The Narrative Proposal/Ranking Criteria

The narrative proposal (including citations) shall not exceed 15 single-spaced pages. Any pages submitted over the page limit will not be evaluated.

The narrative proposal must include clear, concise, and factual responses to all ranking criteria and sub-criteria. Proposals must provide sufficient detail to allow for an evaluation of the merits of the proposal. If a criterion does not apply, clearly state this. **Any criterion left unanswered may result in zero points given for that criterion.** Responses to the criteria should include the criteria number and title but need not restate the entire text of the criteria.

If you are applying for multiple sites within the same proposal, your ranking criteria responses must include information on each site.

1. COMMUNITY NEED

This section of your proposal provides the context for your project. The needs defined in this section should provide the foundation for your later discussion of the brownfield project, planned community engagement and partnerships, and the ways the project will ultimately benefit your community.

a. Target Area and Brownfields

Community and Target Area Descriptions

Include a brief description of your city, town, or geographic area to provide the proposal reviewers background on its cultural and industrial history that establishes the context for your brownfield challenges.

Within this larger geographic area, identify and describe the specific target area(s) where you plan to perform site cleanup activities, such as a neighborhood, district, corridor, census tract, or other locality.

Demographic Information and Indicators of Need

Provide and compare census-based demographic data as requested in the table below. Use additional rows or text, as needed, to include other data or information, which

provide a compelling explanation for why you selected the target area(s). Responses should clearly identify sources of information used.

Sample Format for Demographic Information (supplement as appropriate for each target area)

	Target Area (e.g., Census Tract)	City/Town or County	Statewide	National
Population:				316,127,513 ¹
Unemployment:				8.3% ¹
Poverty Rate:				15.5 % ¹
Percent Minority:				37.8% ²
Median Household Income:				\$53,889 ¹
Other: <i>Include other relevant data as needed in additional rows</i>				
¹ Data are from the 2011-2015 American Community Survey 5-Year Estimates available on American FactFinder at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml				
² Data are from the 2011-2015 American Community Survey 5-Year Estimates available on American FactFinder at https://factfinder.census.gov/faces/nav/jsf/pages/community_facts.xhtml . Note, the percent minority is derived from the HISPANIC OR LATINO AND RACE population table (i.e., the sum of the Hispanic or Latino (of any race), Black of African American alone, American Indian and Alaska Native alone, Asian alone, Native Hawaiian and Other Pacific Islander alone, Some other race alone and two or more races percentages).				

For resources to gather demographic information, please go the FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf

Description of the Brownfields

Describe the brownfield property(ies) to be cleaned up under this grant, as well as its proximity to, and its adverse impact on the residents in the target area. If there are other brownfield sites nearby which may also be affecting the target area(s), provide similar information about those brownfields as well in order to give the proposal reviewers an understanding of the overall brownfields challenges being faced.

Provide information about the nature and extent of your brownfield(s) such as past land uses and site activities, potentially related environmental contaminants, and current conditions. Discuss the real or perceived negative environmental impacts associated with the brownfield site(s).

b. Welfare, Environmental, and Public Health Impacts

Please provide information on the welfare impacts in your target area(s).

Welfare Impacts

Discuss the welfare issues experienced by the target area(s). (For example: blight, safety concerns, lack of access to community services, lack of transportation services, etc.)

Please provide information on the environmental and public health impacts in your community(ies).

Cumulative Environmental Issues

Excluding the brownfield site(s) discussed earlier, provide a summary (using available information) of other various cumulative environmental issues (e.g. siting of power plants, incinerators, industry, landfills, congested highways, or other sources of air, water and land pollution) or other environmental justice concerns which may be present (such as existing sources of pollution which overburden the residents within the target area).

Cumulative Public Health Impacts

- Discuss the public health impacts from cumulative sources, including brownfield site(s) discussed earlier.
- Provide information describing the threats to sensitive populations who are potentially subject to environmental exposures, including exposures from brownfields. (Please refer to FAQs for information on sensitive populations at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.)

c. Financial Need

i. Economic Conditions

Describe why you, as the applicant, need this funding and are unable to draw on other sources of funding. Explain how a small population, low income, or other factors of the target area prevent you from funding this work.

Describe how local economic conditions may have been made worse due to industrial decline, plant closures, natural disasters, or other significant economic disruptions.

ii. Economic Effects of Brownfields

Describe the key economic effects of the brownfield sites(s) discussed earlier on the target area(s) (e.g. reduced tax base, lost business opportunities, depressed property values, burden on municipal services, etc.). To the extent that this discussion may include quantitative estimates and statistics, clearly cite the sources of such data.

2. PROJECT DESCRIPTION AND FEASIBILITY OF SUCCESS

This section of your proposal describes your project and how it will be implemented. This section should demonstrate the feasibility of the project you will fund with this grant and the extent to which the grant will stimulate the availability of other funds for additional cleanup, the subsequent reuse of the brownfield site(s), and revitalization of the target area(s).

Refer to Section VI.D., *Brownfields Programmatic Requirements*, for EPA's expectations of projects funded with Brownfields Cleanup Grants.

a. Project Description

i. Existing Conditions

Describe the existing conditions of the subject property(ies), including the extent of contamination, current uses, and any buildings or structures on the site(s).

ii. Proposed Cleanup Plan

Describe the proposed cleanup plan(s) and cleanup methods that are being considered for each site, such as contaminated soil removal, treatment, or containment. *Note: This description can use the same language as submitted in the draft ABCA attachment.*

iii. Alignment with Revitalization Plans

Describe the overall project that will be funded by this grant, how it aligns with the target area's land use and revitalization plans, and how you will incorporate equitable development, sustainable practices, or environmental justice approaches as described in Section I.E. of these guidelines.

Describe the redevelopment strategy(ies), or projected redevelopment, of the subject property(ies), including specific redevelopment plans which are already in place. Also discuss how you will make use of existing infrastructure (water, sewer, transportation, etc.).

b. Task Descriptions and Budget Table

Task Descriptions

List the tasks required to implement the proposed project, distinguishing between the work you and your contractors will be performing under each grant-funded task.

Describe and enumerate specific outputs from the project, which may include, but are not limited to, cleanup plans, community involvement plans, final Analysis of Brownfields Cleanup Alternative documents, administrative records, and cleanup completion report or letter. (Refer to Section I.D.1. for an explanation of outputs.)

Provide a cost estimate for each **grant-funded** task. Describe the basis for how each line item cost estimate was developed under each budget category shown in the table below. Applicants requesting hazardous substances and petroleum funding in the same proposal must distinguish hazardous substances related tasks from petroleum related tasks. Where appropriate, present unit costs and quantify work products (e.g., Contractual Costs: five tank pulls at a cost of \$13,000 per tank for a total of \$65,000). Explain all costs, especially those costs that appear to be atypical (i.e., unusually high or low).

Discuss the specific activities and tasks that will be covered by the cost share. Cost share activities must be eligible activities under the grant. (Note: The cost share is calculated as 20 percent of the total federal cleanup funds requested.)

Do not include tasks for activities that are ineligible uses of funds under EPA's Cleanup Grant (e.g., land acquisition; building demolition that is not necessary to clean up contamination at the site; or administrative costs, such as indirect costs). Please refer to the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for additional examples of ineligible uses of funds. For questions not covered by the FAQs, contact your Regional Brownfields Contact listed in Section VII.

Budget Table

The table format below can be used to present how you plan to allocate EPA grant funds to the specific tasks described above. Specify the costs by budget category. **INCLUDE ONLY EPA GRANT FUNDS AND REQUIRED COST SHARE IN THIS TABLE.** Activities not supported by the grant (e.g. in-kind contributions) **should not** be included in the budget table.

Applicants requesting a combination of hazardous substances and petroleum funding in the same proposal may present combined total figures in this table. The relative breakdown/proportions of the two types of funding can be explained via footnotes. Alternately, applicants may choose to provide two separate budget tables, or two separate line items within one budget table, which distinguish hazardous substances funds from petroleum funds.

Note: EPA encourages the use of the table format below and replacing the task number outlined in the table with the actual title of the task.

Sample Format for Budget

Budget Categories	Project Tasks (\$) (programmatic costs only)				Total
	(Task 1)	(Task 2)	(Task 3)	(Task 4)	
Personnel					
Fringe Benefits					
Travel ¹					
Equipment ²					
Supplies					
Contractual					
Other (include subawards) (specify) _____					
Total Federal Funding (not to exceed \$200,000)					
Cost Share (20% of requested federal funds)³					
Total Budget					

¹ Travel to brownfield-related training conferences is an acceptable use of these grant funds.

² EPA defines equipment as items that cost \$5,000 or more with a useful life of more than one year. Items costing less than \$5,000 are considered supplies. Generally, equipment is not required for Cleanup Grants.

³ Applicants must include the cost share in the budget even if applying for a cost share waiver. If the applicant is successful and the cost share waiver is approved, it will be removed in pre-award negotiation.

Reminder: Administrative costs, such as indirect costs, of grant administration with the exception of financial and performance reporting costs are ineligible grant activities.

c. Ability to Leverage

List other sources of funding or resources that you have, or may be seeking, to leverage to ensure for each site:

1. the success of this grant (if any additional work or services are necessary to carry out the project, such as in-kind staff hours, during the 3-year period of performance); and
2. the revitalization of the property to be cleaned up with this funding (e.g., additional cleanup, demolition, and redevelopment activities).

Attach documentation that substantiate secured commitments of leveraged funding.

Sample Format for Leveraging Resources (supplement as appropriate using additional rows or text).

Source	Purpose/Role	Amount (\$)	Status (Secured resource with attached documentation, pending, or potential resource)
<i>E.g. City of X, Community Development Dept.</i>	<i>In-kind services towards the management of the cooperative agreement</i>	<i>\$10,000</i>	<i>Secured resource</i>
<i>E.g., Local developer</i>	<i>Funding to remediate sites A & B</i>	<i>\$100,000</i>	<i>Pending resource</i>

If you are not yet able to identify sources of leveraged funding needed for this project, then provide a recent example where you, or your project partners, have successfully leveraged resources to achieve an environmental or revitalization goal of your community (preferably related to a brownfield site or related project). See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for more information on how to demonstrate leveraging commitments.

Note: Selected applicants are expected to abide by their proposed leveraging commitments during the EPA grant performance period; failure to do so may affect the legitimacy of the award. See also discussion of leveraging and voluntary cost share in Section IV.E.

Leveraging commitments are not the cost share match; do not include these leveraged resources in the budget table.

3. COMMUNITY ENGAGEMENT AND PARTNERSHIPS

This section of your proposal explains how your proposed community engagement plan will meet the needs of the community in the target area identified in the Community Need (Section IV.C.3.1.) portion of your proposal. It identifies the stakeholders and coordination needed with partners to achieve the benefits discussed in the Project Benefits section (Section IV.C.3.4.).

a. Engaging the Community

- Discuss your plan for involving the community in the target area(s) and other stakeholders (such as neighborhood organizations, citizen groups, borrowers, and developers) in the planning and implementation of your project (which may include project planning, cleanup decisions and reuse planning).
- Discuss how you will seek out and consider concerns that local residents may have with regard to health, safety, and community disruption potentially posed by the proposed cleanup activities.
- Describe how you will ensure the proposed cleanup activities are conducted in a manner that is protective of the sensitive populations and nearby residents identified earlier.
- Describe your plan for communicating the progress of your project to community members. Also, describe how the identified communication plans are appropriate and effective for the community(ies) in the target area(s).

Note: Applicants may address this criterion by various means that show meaningful public engagement where information is shared and views and input are actively solicited, including public meetings, webinars, use of media, and Internet forums.

b. Partnerships with Government Agencies

Identify and provide information on the agency which runs the relevant brownfields, voluntary cleanup or similar program at the local/state/tribal level (i.e., the environmental agency and/or health agency), and describe the role(s) they will have to ensure your cleanup meets applicable standards or otherwise is protective of human health and the environment. If applicable, discuss the role(s) the state or local health agencies will play in your project.

c. Partnerships with Community Organizations

Community Organization Descriptions & Roles

Include a description of each community organization involved in your project, as well as their role in and commitments to the planning and implementation of the project.

If there are no community organizations in your community, then state this and discuss how the community is engaged and will continue to be involved in your project.

Note: Community organizations do **not** include local government departments, the local planning department/district/office, local contractors, the mayor's office, or other elected officials. See FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for more information about community organizations.

The number of partners is not as important as the contributions and the relevance of their organization.

EPA may conduct reference checks to ensure that organizations identified are supportive and involved with the brownfield project.

Letters of Commitment

Attach to the proposal current letters from all of the community organizations you have discussed. These letters must discuss their support for the project, and describe and affirm their roles and commitments to the planning and implementation of the project.

If there are no community organizations in your community, then provide documentation to demonstrate that the community is engaged and will continue to be involved in your project. This can be done by attaching support or commitment letters from residents, letters from residents to the editors of local newspapers, attendance lists at public meetings concerning the project, comments from local citizens received on the plans and implementation of the project, etc.

Note: Letters of commitment and supporting documentation must be addressed to the applicant and be included with the applicant's proposal package. Letters sent directly to EPA will not be considered.

Subawards to Community Organizations: If you intend to fund a community organization with a subaward, please review Section IV.F. carefully.

d. Partnerships with Workforce Development Programs

Describe planned efforts to promote local hiring and procurement or link members of the community to potential employment opportunities in brownfields assessment, cleanup, or redevelopment related to your proposed projects. Such efforts may include, but are not limited to, partnering with local workforce development entities or Brownfields job training grantees. A list of Brownfields job training grantees is available in the Brownfields Grant Fact Sheet Tool at cfpub.epa.gov/bf_factsheets/.

4. PROJECT BENEFITS

This section of your proposal describes the anticipated outcomes and benefits expected from your project(s) in the context of the needs you discussed in the Community Need section (Section IV.C.3.1.).

a. Welfare, Environmental, and Public Health Benefits

Describe the future welfare, environmental, and public health benefits anticipated from this grant (or broader project), and how these benefits will address the challenges and sensitive populations discussed in the Community Need section of your narrative (Section IV.C.3.1.).

b. Economic and Community Benefits

Relative to challenges identified in the Community Need section and your project proposed in the Project Description section, discuss potential outcomes and the economic benefits, non-economic benefits, and other community benefits (be specific and provide quantitative estimates when possible), which may be achieved through the redevelopment of sites cleaned up under this grant, and how these benefits align with community revitalization plans.

Economic benefits may include increased employment and expanded tax base. Non-economic and community benefits may include areas redeveloped for uses such as parks, recreation areas, greenways, environmental buffers and other not-for-profit, governmental or charitable organization spaces.

5. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE

This section of your proposal demonstrates that your organization (“the applicant”) has programmatic capability (experience, knowledge and resources, or ability to obtain them) and a reasonable approach necessary to ensure successful completion of all required aspects of this project and grant.

a. Audit Findings

Describe any adverse audit findings. If you have had problems with the administration of any grants (e.g., compliance reporting, expenditure of funds), please describe how you have corrected, or are correcting, the problems. If you have not, please affirm that you have not had any adverse audit findings. Respond to this criterion regardless of whether or not you have had a federal or non-federal assistance agreement. *(Failure to address this section may result in zero points for this factor.)*

b. Programmatic Capability

Describe the organizational structure you will utilize to ensure the timely and successful expenditure of funds and completion of all technical, administrative and financial requirements of the project and grant. Include a brief discussion of the key staff including their roles, expertise, qualifications and experience.

Describe the system(s) you have in place to appropriately acquire any additional expertise and resources (e.g. contractors or subawardees) required per grant requirements to successfully complete the project. Please refer to Section IV.F. regarding contractors and subawards.

c. Measuring Environmental Results: Anticipated Outputs/Outcomes

Discuss how you plan to track, measure and evaluate your progress in achieving project outcomes, outputs and project results. (Refer to Section I.D.1. for an explanation of outputs.)

d. Past Performance and Accomplishments

If you have ever received an EPA Brownfields Grant (including Assessment, Cleanup, Revolving Loan Fund, and 128(a) grants, but excluding Targeted Brownfields Assessments, Area-Wide Planning grants, Environmental Workforce Development & Job Training grants, and subawards from another Brownfields grantee), please respond to **item i.** below.

If you have never received an EPA Brownfields Grant, but have received other federal or non-federal assistance agreements (such as a grant or cooperative agreement), please respond to **item ii.** below.

If you have never received any type of federal or non-federal assistance agreements, please indicate this in response to **item iii.** below.

i. Currently or Has Ever Received an EPA Brownfields Grant

Identify and provide information regarding each of your current and most recent EPA brownfields grant(s) (but no more than five). Demonstrate how you successfully managed the grant(s), and successfully performed all phases of work under each grant by providing information on the items listed below.

1. Accomplishments

Describe the accomplishments (including specific outputs and outcomes) of your grant funded program, including at minimum, the number of sites assessed and/or cleaned up. Discuss whether these outputs and outcomes were accurately reflected in the Assessment, Cleanup and Redevelopment Exchange System (ACRES) at the time of this proposal submission, and if not, please explain why.

2. Compliance with Grant Requirements

Discuss your compliance with the workplan, schedule and terms and conditions. Include whether you have made, or are making, progress towards achieving the expected results of the grant in a timely manner. If not, discuss what corrective measures you took, or are taking, and how the corrective measures were effective, documented and communicated.

Discuss your history of timely and acceptable quarterly performance and grant deliverables, as well as ongoing ACRES reporting.

For all open EPA Brownfields grant(s) indicate the grant period (start and end date), if there are funds remaining, and the plan to expend funds by the end of the grant period.

For all closed EPA Brownfields grant(s), indicate if there were funds remaining at the time of closure, the amount of remaining funds, and a brief explanation of why the funds were not expended.

— OR —

ii. Has Not Received an EPA Brownfields Grant but has Received Other Federal or Non-Federal Assistance Agreements

Identify and describe each of your current and/or most recent federally and non-federally funded grants (no more than five) that are most similar in size, scope, and relevance to the proposed project. Demonstrate how you successfully managed the grant(s), and successfully performed all phases of work under each grant by providing the following information.

1. Purpose and Accomplishments

Describe the awarding agency/organization, amount of funding, and purpose of the grant(s) you have received.

Discuss the accomplishments (including specific outputs and outcomes) of the project supported by these grants, including specific measures of success for the project supported by each type of grant received.

2. Compliance with Grant Requirements

Describe your compliance with the workplan, schedule and terms and conditions. Include whether you made, or are making, progress towards achieving the expected results of the grant in a timely manner. If not, discuss what corrective measures you took, or are taking, and how the corrective measures were effective, documented and communicated.

Discuss your history of timely and acceptable reporting, as required by the awarding agency/organization.

— OR —

iii. Has Never Received Any Type of Federal or Non-Federal Assistance Agreements

Affirm that your organization has never received any type of federal or non-federal assistance agreement (grant). *(Failure to indicate anything in response may result in zero points for this factor.)*

4. Threshold Criteria Responses

Review Section III.B., Threshold Criteria for Cleanup Grants and attach responses to your proposal.

IV.D. Intergovernmental Review

Executive Order 12372, Intergovernmental Review of Federal Programs, may be applicable to awards resulting from this announcement. EPA implemented the Executive Order in 40 CFR Part 29. EPA may require applicants selected for funding to provide a copy of their application to their State Point of Contact (SPOC) for review as provided at 40 CFR 29.7 and 40 CFR 29.8. The SPOC list can be found on the Intergovernmental Review (SPOC List) page at www.whitehouse.gov/omb/grants_spoc/. EPA may require successful applicants from states that do not have a SPOC to provide a copy of their application for review to directly affected state, area-wide, regional and local government entities as provided at 40 CFR 29.7 and 40 CFR 29.8. These reviews are not required before submitting an application. Only applicants that EPA selects for funding under this announcement are subject to the Intergovernmental Review requirement. Note, this effort is separate from the required state environmental letter attachment (see Section IV.C.2.h.). Contact your Regional Brownfields Contact listed in Section VII. for assistance.

IV.E. Voluntary Cost Share/Leveraging

Leveraging is generally when an applicant proposes to provide its own additional funds/resources or those from third-party sources to support or complement the project they are awarded under the competition which are above and beyond the EPA grant funds awarded. Any leveraged funds/resources, and their source, must be identified in the proposal. Leveraged funds and resources may take various forms as noted below.

Voluntary cost share is a form of leveraging. Voluntary cost sharing is when an applicant voluntarily proposes to legally commit to provide costs or contributions to support the project when a cost share is not required or when it is beyond the required cost share requirements. Applicants who propose to use a voluntary cost share must include the costs or contributions for the voluntary cost share in the project budget on the Standard Form 424. If an applicant proposes a voluntary cost share, the following apply:

- A voluntary cost share is subject to the match provisions in the grant regulations (2 CFR 200.306).
- A voluntary cost share may only be met with eligible and allowable costs.
- The recipient may not use other sources of federal funds to meet a voluntary cost share unless the statute authorizing the other federal funding provides that the federal funds may be used to meet a cost share requirement on a federal grant.
- The recipient is legally obligated to meet any proposed voluntary cost share that is included in the approved project budget. If the proposed voluntary cost share does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR 200 and/or 1500, as applicable.

Other leveraged funding/resources that are not identified as a voluntary cost share. This form of leveraging may be met by funding from another federal grant, from an applicant's own resources, or resources from other third party sources. This form of leveraging should not be included in the budget and the costs need not be eligible and allowable project costs under the EPA assistance agreement. While this form of leveraging should not be included in the budget, the grant workplan should include a statement indicating that the applicant is expected to produce the proposed leveraging consistent with the terms of the announcement and the applicant's proposal. If applicants propose to provide this form of leveraging, EPA expects them to make the effort to secure the leveraged resources described in their proposals. If the proposed leveraging does not materialize during grant performance, then EPA may reconsider the legitimacy of the award and/or take other appropriate action as authorized by 2 CFR Parts 200 or 1500.

IV.F. Additional Provisions for Applicants Incorporated Into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to confidential business information, contracts and subawards under grants, and proposal assistance and communications, can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION V. - PROPOSAL REVIEW INFORMATION

V.A. Evaluation Criteria

If your proposal passes the threshold eligibility review (see Section III.B.), your responses and the information you provide in response to Section IV.3. (Narrative Proposal/Ranking Criteria) will be evaluated per the criteria below and scored by a national evaluation panel. **If you are requesting funding for multiple sites within the same proposal, reviewers will evaluate information presented for each cleanup project described in the narrative.** Your proposal may be assigned up to 100 points.

Criteria (Maximum Points per Criterion)
<p style="text-align: center;"><u>1. COMMUNITY NEED (15 Points)</u></p> <p>Your proposal will be evaluated on the quality and extent to which it:</p> <ul style="list-style-type: none"> - demonstrates a compelling picture of need in the community, and specifically, the identified target area; and - makes a clear connection between the public health, welfare, environmental, and/or economic challenges faced by the community and/or target area and the presence of brownfield sites and other cumulative environmental issues.

EPA anticipates selecting proposals from communities experiencing significant socio-economic challenges (e.g., high percent low-income, high percent poverty, increased health disparities).

Specifically, these criteria will evaluate your proposal on:

1.a. Target Area and Brownfields (7 points)

Community and Target Area Descriptions

The depth and degree of brownfield challenges confronting your city/town/geographic area and the specific area where you plan to perform cleanup activities.

Demographic Information and Indicators of Need

The relevancy of the data sources used and the extent to which they conclusively demonstrate the compelling need of the community, based on demographic information on your target area(s) as compared to larger geographic areas (e.g. city, county, state, and national).

Description of the Brownfields

The extent of impacts, including negative environmental impacts, due to actual brownfield sites in your target area(s), and the degree to which you prioritized the sites in close proximity to residents within the target area(s).

1.b. Welfare, Environmental, and Public Health Impacts (4 points)

Welfare Impacts

The severity of the welfare issues experienced by the target area(s), and the degree to which these issues connect to the presence of brownfield sites.

Cumulative Environmental Issues

The extent to which the community experiences various cumulative environmental issues or other environmental justice concerns which may be present, and the degree to which these issues/concerns impact the community.

Cumulative Public Health Impacts

The extent to which the community experiences public health impacts from cumulative sources and brownfield sites identified in the proposal, and the degree to which these sources impact the community.

The extent to which sensitive populations are potentially subject to environmental exposure, including brownfield sites.

1.c. Financial Need (4 points)

1.c.i. Economic Conditions (2 points)

The degree to which this funding is needed, the extent of the applicant's inability to draw on other sources of funding, and the degree of significant economic disruptions that have impacted the local economic conditions.

1.c.ii. Economic Effects of Brownfields (2 points)

The extent to which the brownfields in the target area have negatively affected the economy, and the relevancy of data sources used for this analysis.

2. PROJECT DESCRIPTION AND FEASIBILITY OF SUCCESS (30 Points)

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- how well your project will further the target community's land use and revitalization plans or vision;
- how your project will incorporate equitable or sustainable practices;
- a reasonable approach and methodology to achieve project goals and expend funds in a timely and effective manner;
- a realistic basis for project costs; and
- the availability of, and access to, sufficient resources to bring the site cleaned up under this grant to reuse.

Specifically, these criteria will evaluate your proposal on:

2.a. Project Description (18 points)

2.a.i. Existing Conditions (3 points)

The depth and degree of the existing conditions of the subject property(ies) including the extent of contamination.

2.a.ii. Proposed Cleanup Plan (10 points)

The quality and reasonableness of the proposed cleanup plan(s), including the appropriateness of the cleanup methods being considered.

2.a.iii. Alignment with Revitalization Plans (5 points)

The extent to which the cleanup of the property(ies) aligns with the target area's land use and revitalization plans and will incorporate equitable development, sustainable practices, or advance environmental justice in a meaningful way.

The feasibility of the redevelopment strategy(ies) of the subject property(ies), including specific redevelopment plans which are already in place, and the degree to which this strategy makes use of existing infrastructure.

2.b. Task Descriptions and Budget Table (7 points)

Task Descriptions

The extent to which the activities and tasks support the overall project, and the approach to implementing the proposed project is reasonable.

The eligibility of proposed tasks under EPA's Cleanup Grant Program.

The appropriateness of the budget and how efficiently the grant funds will be used. The extent to which the majority of grant funds and cost share are allocated for tasks directly associated with environmental remediation.

The extent to which the cost estimates are clearly explained, realistic, and are presented for each **grant-funded** task.

The extent to which you clearly explain and differentiate between the work you and your contractors will be performing under each grant-funded task and hazardous substances and petroleum funding (when requested in the same proposal).

The quality of the specific project outputs, how closely the outputs correlate with the described project, and how likely the outputs identified will be achieved.

Budget Table

The degree to which the budget table only includes eligible and allowable EPA Cleanup Grant funds and cost share activities, clearly distinguishes any hazardous substances funds from petroleum funds (when appropriate), adds up correctly, and clearly correlates with work discussed in the Task Descriptions section.

2.c. Ability to Leverage (5 points)

If any additional work or services are necessary to carry out the project, the extent to which identified leveraging resource(s) contributes to the successful completion of the cooperative agreement during the 3-year period of performance.

The relevancy and degree to which the leveraging resource(s) will contribute towards the successful redevelopment of sites cleaned up with this funding.

Note, proposals with secured, significant, and relevant leveraged funding for the cleanup project(s) may earn full points for this criterion. Proposals without secured, significant, and relevant leveraged funding will not receive full points for this criterion.

3. COMMUNITY ENGAGEMENT AND PARTNERSHIPS (20 Points)

Your proposal will be evaluated on the quality and extent to which it:

- demonstrates actions or plans to effectively involve and inform residents, community groups or representatives directly affected by the site, as well as other relevant stakeholders;
- demonstrates how sensitive populations and nearby residents will be protected during the cleanup;

- identifies how a partnership with the state/tribal environmental authority will ensure the cleanup is protective of human health and the environment, or if not applicable, explains how the state/tribal environmental authority will ensure the cleanup is protective;
- identifies roles of other relevant governmental partnerships, including health agencies if applicable; and
- identifies the relevant roles of community organizations and affirms their involvement in the project through commitment letters.

Specifically, these criteria will evaluate your proposal on:

3.a. Engaging the Community (8 points)

The extent to which it includes a high-quality plan for involving the community and other stakeholders in the target area(s) in the planning and implementation of your program. The degree to which your plan will enable you to:

- effectively achieve meaningful community engagement;
- effectively seek out and consider concerns that local residents may have with regard to health, safety, and community disruption potentially posed by the proposed cleanup activities;
- ensure the proposed cleanup activities are conducted in a manner that is protective of the sensitive populations and nearby residents identified earlier; and
- conduct effective and appropriate outreach to ensure the community(ies) in the target area are aware and involved in the progress of the project.

3.b. Partnerships with Government Agencies (5 points)

The degree to which you are effectively engaging and partnering with the agency which runs the relevant brownfields, voluntary cleanup or another similar program at the local/state/tribal level and, if applicable, the state or local health agencies, and the extent to which the partnership will contribute to the success of your brownfield project, and ensure it meets applicable standards.

3.c. Partnerships with Community Organizations (5 points)

Community Organization Descriptions & Roles

The inclusion of all relevant partners and the relevancy of the organizations' contributions to your project, how varied and specific their roles in and commitments are to the planning and implementation of the project, and the extent these partnerships will contribute to the success of your brownfield project.

If there are no community organizations in your community, the strength and meaningfulness of your engagement with the community throughout the project.

Letters of Commitment

The extent to which letters are included from each community organization listed in the narrative, and affirm the organization's support, role, and commitment to the planning and implementation of the project.

If there are no community organizations in your community, the extent to which there is a clear description and documentation of how the community is engaged and will continue to be involved in your project such as support letters from residents, letters from residents to the editors of local newspapers, attendance lists at public meetings concerning the project, comments from local citizens received on the plans and implementation of the project, etc.

3.d. Partnerships with Workforce Development Programs (2 points)

The degree to which your plan will promote local hiring, local procurement or links members of the community to potential employment opportunities in brownfields assessment, cleanup, or redevelopment related to your proposed projects in a meaningful way.

4. PROJECT BENEFITS (15 Points)

Your proposal will be evaluated on the quality and extent to which it:

- demonstrates the potential of the project(s), or the development plan for the project area(s), to realize significant outcomes and benefits to the public health, welfare and environment of the community;
- contributes to the community plan for the revitalization of brownfield sites; and
- stimulates economic or non-economic benefits.

Specifically, these criteria will evaluate your proposal on:

4.a. Welfare, Environmental, and Public Health Benefits (8 points)

The extent to which this improves the welfare, environmental, and public health of the community, as anticipated from this grant (or broader project), including the degree to which the benefits address challenges and sensitive populations you discussed in the Community Need section of your narrative.

4.b. Economic and Community Benefits (7 points)

The quality of the specific project outcomes; the degree to which outcomes include quantitative and qualitative measures; the extent to which these outcomes address the challenges identified in the Community Need section and correlate with the described project; and the likelihood the outcomes will be achieved through the redevelopment of sites cleaned up under this grant.

5. PROGRAMMATIC CAPABILITY AND PAST PERFORMANCE (20 Points)

In evaluating an applicant's response to this criterion, in addition to the information provided by the applicant, EPA may consider relevant information from other sources including information from EPA files and/or from other federal or non-federal grantors to verify or supplement information provided by the applicant.

Your proposal will be evaluated on the quality and extent to which it demonstrates:

- resolution of any audit findings;
- the ability of your organization (as the applicant/lead coalition member) to successfully manage and complete the project, considering your programmatic and administrative capacity;
- a reasonable plan to track and measure project progress; and
- successful performance under past and/or current federally and/or non-federally funded assistance agreements.

Specifically, these criteria will evaluate your proposal on:

5.a. Audit Findings (2 points)

The degree to which the applicant has any adverse audit findings and how they have corrected, or are correcting, the findings.

5.b. Programmatic Capability (10 points)

The efficiency and effectiveness of your organizational structure to:

- ensure the timely and successful expenditure of funds;
- complete all technical, administrative and financial requirements of the grant; and
- acquire any additional expertise and resources (e.g. contractors or sub-awardees) required to successfully complete the project.

The degree of expertise, qualifications, and experience of key staff involved in this project.

5.c. Measuring Environmental Results: Anticipated Outputs and Outcomes (2 points)

The adequacy of your plan to track, measure and evaluate your progress in achieving project outcomes, outputs and project results.

5.d. Past Performance and Accomplishments (6 points)

5.d.i. Currently or Has Ever Received an EPA Brownfields Grant (6 points)

The degree to which your organization has demonstrated ability to successfully manage past EPA Brownfield Grant(s) and successfully perform of all phases of work under each grant.

5.d.i.1. Accomplishments (3 points)

The quality of the accomplishments (including specific outputs and outcomes) of your grant funded program, including at minimum, the number of sites assessed and/or cleaned up, and whether these outputs and outcomes were accurately reflected in the Assessment, Cleanup and Redevelopment Exchange System (ACRES) at the time of this proposal submission, and if not, why.

5.d.i.2. Compliance with Grant Requirements (3 points)

The extent of compliance with the workplan, schedule and terms and conditions, and whether progress was made, or is being made, towards achieving the expected results of the grant in a timely manner. If expected results were not achieved, whether the measures taken to correct the situation were reasonable and appropriate.

A demonstrated history of timely and acceptable quarterly performance and grant deliverables, as well as ongoing ACRES reporting.

The likelihood all remaining funds will be expended by the end of the period of performance.

The extent to which funds from any open EPA Brownfield grant(s) can support the tasks/activities described in this proposal.

For all closed EPA Brownfields grants, the accuracy of your description of funds that remained at the time of closure, including the amount and the reasons these funds were not expended during the period of performance.

– OR –

5.d.ii. Has Not Received an EPA Brownfields Grant but has Received Other Federal or Non-Federal Assistance Agreements (6 points)

The degree to which your organization has demonstrated ability to successfully manage federal or non-federal grant(s), and perform of all phases of work under each grant.

5.d.ii.1. Purpose and Accomplishments (3 points)

The extent to which similar past federal or non-federal grants are identified (in terms of size, scope and relevance) and the degree to which sufficient information was provided to make that determination.

The quality of the accomplishments (including specific outputs and outcomes) of the project supported by these grants, including specific measures of success for the project supported by each type of grant received.

5.d.ii.2. Compliance with Grant Requirements (3 points)

The extent of compliance with the workplan, schedule and terms and conditions, and whether progress was made, or is being made, towards achieving the expected results of the grant in a timely manner. If expected results were not achieved, whether the measures taken to correct the situation were reasonable and appropriate.

A demonstrated history of timely and acceptable reporting, as required by the awarding agency/organization.

– OR –

5.d.iii. Has Never Received Any Type of Federal or Non-Federal Assistance Agreements (3 points)

Whether you clearly affirm that your organization has never received any type of federal or non-federal assistance agreement (grant).

V.B. Other Factors

In making final selection recommendations from among the most highly ranked applicants, EPA's Selection Official may consider the following factors if appropriate. In their proposals, applicants should provide a summary on whether and how any of these other factors apply:

- fair distribution of funds between urban and non-urban areas including an equitable distribution to "micro" communities (those communities with populations of 10,000 or less). EPA strongly encourages non-urban communities, including "micro" communities to apply;
- the jurisdiction is located within, or includes, a county experiencing "persistent poverty" where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates;
- the distribution of funds among EPA's ten Regions and among the states and territories;
- compliance with the 25 percent statutory petroleum funding allocation;
- whether the applicant is a federally recognized Indian tribe or United States territory or whether the project is assisting a tribe or territory;
- whether target brownfield site(s) are impacted by mine-scarred land;
- demonstrated firm leveraging commitments for facilitating brownfield project completion, by identifying in the proposal the amounts and contributors of resources, including documentation that ties directly to the project; and/or
- whether the applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.

V.C. Review and Selection Process

Timely submitted proposals will initially be reviewed by the appropriate EPA Regional Office to determine compliance with the applicable threshold criteria for Cleanup Grants (Section III.B.). All proposals that pass the threshold criteria review will be evaluated by national evaluation panels chosen for their expertise in the range of activities associated with the brownfield cleanups. The national evaluation panels will be composed of EPA staff and potentially other federal agency representatives. Eligible proposals will be evaluated based on the criteria described in Section V.A. and a ranking list of applicants will be developed.

The Office of Brownfields and Land Revitalization (OBLR) will provide the list to the Selection Official, who is responsible for further consideration of the proposals and final selection of grant

recipients. Proposals will be selected for award based on their evaluated point scores, the availability of funds, and as appropriate, the other factors described in Section V.B.

V.D. Additional Provisions For Applicants Incorporated Into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation including the clause on Reporting and Use of Information Concerning Recipient Integrity and Performance can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION VI. - AWARD ADMINISTRATION INFORMATION

VI.A. Award Notices

EPA Regions will notify applicants who fail threshold eligibility requirements within 15 calendar days of the Agency's determination of ineligibility. EPA will notify applicants who have not been selected for award based on the evaluation criteria and other factors within 15 calendar days of EPA's final decision on selections for this competition.

EPA anticipates notification to successful applicants will be made via telephone, email, or postal mail by Spring 2018. The notification will be sent to the original signer of the proposal or the project contact listed in the proposal. This notification, which informs the applicant that its proposal has been selected and is being recommended for award, is not an authorization to begin work. The official notification of an award will be made by Regional Grants Management Official for regional awards. Applicants are cautioned that only a grants officer is authorized to bind the Government to the expenditure of funds; selection does not guarantee an award will be made. For example, statutory authorization, funding or other issues discovered during the award process may affect the ability of EPA to make an award to an applicant. The award notice, signed by an EPA grants officer, is the authorizing document and will be provided through email or postal mail. The successful applicant may need to prepare and submit additional documents and forms (e.g., workplan), which must be approved by EPA, before the grant can officially be awarded. The time between notification of selection and award of a grant can take up to 90 days or longer.

VI.B. Administrative and National Policy Requirements

1. Funding will be awarded as a cooperative agreement. The applicants whose proposals are selected will be asked to submit a cooperative agreement application package to their EPA Regional Office. This package will include the application (Standard Form 424), a proposed workplan, a proposed budget, and other required forms. An EPA Project Officer will work with you to finalize the budget and workplan. It is EPA's expectation that the selected applicants will complete the award process within six months of the announcement.

2. Approved cooperative agreements will include terms and conditions (including any applicable Davis Bacon requirements) that will be binding on the grant recipient. Terms and conditions specify what grantees must do to ensure that grant-related and Brownfields Program-related requirements are met. Applicants also will be required to submit progress reports in accordance with grant regulations found in 2 CFR 200.328.

VI.C. Reporting Requirements

During the life of the cooperative agreement, recipients are required to submit progress reports to the EPA Project Officer within 30 days after each reporting period. The reporting period (i.e., quarterly, annually) is identified in the terms and conditions of the cooperative agreement. These reports cover work status, work progress, difficulties encountered, an accounting of financial expenditures, preliminary data results, anticipated activities, and any changes of key personnel involved with the project. Site-specific accomplishments are reported on Property Profile Forms and can be submitted electronically to EPA's ACRES reporting system. Information provided in the quarterly reports and submitted in ACRES helps EPA monitor the community's progress with implementing their project and also directly supports the continuation of the Brownfields Program by highlighting measurable site-specific accomplishments to the public and Congress.

At the end of the cooperative agreement, a final project report also is required. The final report will summarize accomplishments, expenditures, outcomes, outputs, lessons learned, and any other resources leveraged during the project and how they were used.

VI.D. Brownfields Programmatic Requirements

Brownfields grantees must comply with all applicable federal and state laws to ensure that the assessment and cleanup protect human health and the environment. Brownfields grantees also must comply with the program's technical requirements, which may include, but are not limited to, the following requirements below.

1. Quality Assurance (QA) Requirements

When environmental samples are collected as part of any brownfields cooperative agreement (e.g., assessment and site characterization, cleanup verification sampling, post-cleanup confirmation sampling), recipients shall submit to EPA for approval a Quality Assurance Project Plan (QAPP) prior to the collection of environmental samples. The QAPP must document quality assurance practices sufficient to produce data adequate to meet project objectives and minimize data loss. Compliance with the Quality Assurance requirements is an eligible use of funds for Cleanup Grants.

2. Historic Properties or Threatened and Endangered Species

If historic properties or threatened or endangered (T&E) species may be impacted by the assessment or cleanup of a site, the requirements of the National Historic Preservation Act (NHPA) or the Endangered Species Act (ESA) may apply, respectively. Grantees are required to consult with EPA prior to conducting any on-site activity (such as invasive sampling or cleanup) that may affect historic properties or T&E species to ensure that the

requirements of Section 106 of NHPA and Section 7(a)(2) of the ESA are met. Grantees should plan for these consultation requirements.

3. Environmental Cleanup Responsibilities

Cleanup and RLF grant recipients must complete the following mandatory activities in connection with all cleanups conducted with brownfields funding. These activities are all eligible costs.

EPA anticipates that the majority of the cleanups will be performed through state voluntary cleanup programs (VCPs). As such, the state programs may call the documents listed below by different names. It is EPA's intent that documents generated to meet the state's VCP requirements can serve to meet the mandatory requirements listed below provided they cover the same elements and include the necessary information.

a. **Analysis of Brownfield Cleanup Alternatives (ABCA)**

Prepare an **analysis of brownfield cleanup alternatives**, considering site characteristics, surrounding environment, land-use restrictions, potential future uses, and cleanup goals for each site included in your proposal. The ABCA must be signed by an authorized representative of the grant recipient and the ABCA must include:

- i) information about the site and contamination issues (e.g., exposure pathways, identification of contaminant sources, etc.), cleanup standards, applicable laws, alternatives considered, and the proposed cleanup;
- ii) effectiveness, the ability to implement, and the cost of the proposed cleanup;
- iii) ~~evaluate the resilience of the remedial options in light of reasonably foreseeable changing climate conditions (e.g., sea level rise, increased frequency and intensity of flooding and/or extreme weather events, etc.);~~
- iv) an analysis of reasonable alternatives including no action. For cleanup of brownfield petroleum-only sites, an analysis of cleanup alternatives must include considering a range of proven cleanup methods including identification of contaminant sources, exposure pathways, and an evaluation of corrective measures. The cleanup method chosen must be based on this analysis; and
- v) the alternatives may consider the degree to which they reduce greenhouse gas discharges, reduce energy use or employ alternative energy sources, reduce volume of wastewater generated/disposed, reduce volume of materials taken to landfills, and recycle and re-use materials generated during the cleanup process to the maximum extent practicable.

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b. **Community Relations and Public Involvement in Cleanup Activities**

Recipients must prepare a **community relations plan** specific to the single or multiple sites included in your proposal that describes how the recipient plans to satisfy the public involvement requirements below. The plan must be submitted to EPA before providing notice to the general community regarding the ABCA(s). At a minimum, public involvement for cleanup activities requires:

- i) **notice of the ABCA's** or its equivalent's **availability** to the general community and the opportunity for the public to provide comments (written or oral) on the ABCA;
- ii) preparation of **written responses** to significant and appropriate **comments**, and documentation of any changes to the cleanup plan; and
- iii) preparation of an **administrative record** and **notification** to the public of its **availability** for inspection at a location convenient to the targeted population and general public. The administrative record must contain the documents that form the basis for the selection and implementation of a cleanup plan. Documents in the administrative record shall include the ABCA, site investigation reports, the cleanup plan, cleanup standards used, responses to public comments, and verification that shows that cleanups are complete.

c. Implementation and Completion of Cleanup Activities

Recipients **shall ensure the adequacy of each cleanup** in protecting human health and the environment as it is implemented. Regarding occupational safety and health, brownfields cleanups must comply with either all applicable General Industry standards (29 CFR Part 1910) or all applicable Construction standards (29 CFR Part 1926), depending on work operations at the site. In addition, if a site is determined to be a "hazardous waste site," that site must comply with the Hazardous Waste Operations and Emergency Response (HAZWOPER) standard 29 CFR. §1910.120.

In the event of an incomplete cleanup, the recipient shall ensure that the site is secure and notify the appropriate state agency and the U.S. EPA to ensure an orderly transition should additional activities become necessary.

Recipients shall ensure that the **successful completion** of the cleanup is properly **documented**. This must be done through a final report or letter from a qualified environmental professional, or other documentation provided by a state or tribe that shows the cleanup is complete. This documentation needs to be included as part of the administrative record.

4. Sufficient Progress

EPA will evaluate whether the recipient has made sufficient progress 18 months from the date of award. For purposes of the Cleanup Grants, "sufficient progress in implementing a cooperative agreement" means that an appropriate remediation plan is in place, institutional control development, if necessary, has commenced, initial community involvement activities have taken place, relevant state or tribal pre-cleanup requirements are being addressed, and a solicitation for remediation services has been issued. If EPA determines that the recipient has not made sufficient progress, the recipient must implement a corrective action plan approved by EPA. Failure to comply with the reporting requirements may result in an early termination of the grant and return of grant funds to EPA.

5. Collection of Post-Grant Information

Under the Government Performance and Results Act, EPA reports on the many benefits of brownfields funding. One such measure provides information on additional resources

leveraged as a result of using Brownfields Grant funds. These leveraged, non-EPA funds may include additional cleanup funds or redevelopment funding from other federal agencies, state, tribal, and local governments, or private organizations. As many of these activities occur beyond the grant period, please note that EPA may contact you well after the grant period of performance to collect this information.

6. Protection of Nearby and Sensitive Populations

Grantees are required to protect all nearby populations, including sensitive populations in the target community from contaminants during cleanup work conducted on the brownfield site(s) under this grant. Activities include implementing procedures necessary to mitigate any potential exposure from the contamination.

VI.E. Use of Funds

An applicant that receives an award under this announcement is expected to manage assistance agreement funds efficiently and effectively and make sufficient progress towards completing the project activities described in the workplan in a timely manner. The assistance agreement will include terms and conditions implementing this requirement.

VI.F. Disputes

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005) which can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. Copies of these procedures may also be requested by contacting the person listed in Section VII. of the announcement.

VI.G. Additional Provisions for Applicants Incorporated Into the Solicitation

Additional provisions that apply to this solicitation and/or awards made under this solicitation, including but not limited to those related to DUNS, SAM, copyrights, disputes, and administrative capability, can be found in the EPA Solicitation Clauses at www.epa.gov/grants/epa-solicitation-clauses. These, and the other provisions that can be found at the website link, are important, and applicants must review them when preparing proposals for this solicitation. If you are unable to access these provisions electronically at the website above, please communicate with the EPA contact listed in this solicitation to obtain the provisions.

SECTION VII. – AGENCY CONTACTS – Regional Brownfields Contacts

REGIONAL CONTACTS & STATES		ADDRESS
EPA Region 1 Frank Gardner Gardner.Frank@epa.gov Phone (617) 918-1278	CT, ME, MA, NH, RI, VT	5 Post Office Square Suite 100, Mail code: OSRR7-2 Boston, MA 02109-3912
EPA Region 2 Lya Theodoratos Theodoratos.Lya@epa.gov Phone (212) 637-3260	NJ, NY, PR, VI	290 Broadway; 18th Floor New York, NY 10007
EPA Region 3 Felicia Fred Fred.Felicia@epa.gov Phone (215) 814-5524	DE, DC, MD, PA, VA, WV	1650 Arch Street Mail Code 3HS51 Philadelphia, PA 19103
EPA Region 4 Barbara Alfano Alfano.Barbara@epa.gov Phone (404) 562-8923	AL, FL, GA, KY, MS, NC, SC, TN	Atlanta Federal Center 61 Forsyth Street, S.W. 10th FL Atlanta, GA 30303-8960
EPA Region 5 Jan Pels Pel.Jan@epa.gov Phone (312) 886-3009	IL, IN, MI, MN, OH, WI	77 West Jackson Boulevard Mail Code SE-7J Chicago, IL 60604-3507
EPA Region 6 Paul Johnson Johnson.Paul@epa.gov Phone (214) 665-2246	AR, LA, NM, OK, TX	1445 Ross Avenue Suite 1200 (6SF-VB) Dallas, TX 75202-2733
EPA Region 7 Susan Klein Klein.Susan@epa.gov Phone (913) 551-7786	IA, KS, MO, NE	11201 Renner Blvd Lenexa, KS 66219
EPA Region 8 Danny Heffernan heffernan.daniel@epa.gov Phone (303) 312-7074	CO, MT, ND, SD, UT, WY	1595 Wynkoop Street (EPR-B) Denver, CO 80202-1129
EPA Region 9 Noemi Emeric-Ford Emeric-Ford.Noemi@epa.gov Phone (213) 244-1821	AZ, CA, HI, NV, Pacific Island Territories	75 Hawthorne Street, SFD6-1 San Francisco, CA 94105
EPA Region 10 Susan Morales Morales.Susan@epa.gov Phone (206) 553-7299 Fax (206) 553-0124	AK, ID, OR, WA	1200 Sixth Avenue, Suite 900 Mailstop: ECL-112 Seattle, WA 98101

Appendix 1

Information on Sites Eligible for Brownfields Funding Under CERCLA §104(k)

1.1. Introduction

The information provided in this Appendix will be used by EPA in determining the eligibility of any property for brownfields grant funding. The Agency is providing this information to assist you in developing your proposal for funding under CERCLA §104(k) and to apprise you of information that EPA will use in determining the eligibility of any property for brownfields grant funding.

This information is used by EPA solely to make applicant and site eligibility determinations for Brownfields grants and is not legally binding for other purposes including federal, state, or tribal enforcement actions.

1.2. General Definition of Brownfield Site

The Brownfields Law defines a “Brownfield Site” as:

“...real property, the expansion, redevelopment, or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant.” Brownfield sites include all “real property,” including residential, as well as commercial and industrial properties.

1.3. Additional Areas Specifically Eligible for Funding

The Brownfields Law also identifies three additional types of properties that are specifically eligible for funding:

1. Sites contaminated by **controlled substances**.
2. Sites contaminated by **petroleum or a petroleum product**.
3. **Mine-scarred lands**.

See below for guidance on determining the scope of each of these three types of sites. Applicants should identify properties included within their funding proposals that fall within the scope of any of the following three areas.

1.3.1. Contamination by Controlled Substance

Sites eligible for funding include real property, including residential property, that is contaminated by a controlled substance. A “controlled substance” is defined under the Controlled Substances Act as “a drug or other substance, or immediate precursor, included in Schedule I, II, III, IV, or V of Part B of this title (21 USC Section 812). The term does not include distilled spirits, wine, malt beverages, or tobacco...” For example, sites eligible for brownfields funding may include private residences formerly used for the manufacture and/or

distribution of methamphetamines or other illegal drugs where there is a presence or potential presence of controlled substances or pollutants, contaminants, or hazardous substances (e.g., red phosphorous, kerosene, acids).

1.3.2. Contamination by Petroleum or Petroleum Product

Petroleum-contaminated sites must meet certain requirements to be eligible for brownfields funding. Petroleum is defined under CERCLA as “crude oil or any fraction thereof which is not otherwise specifically listed or designated as a hazardous substance under that section.”

For a petroleum-contaminated site(s) that otherwise meets the definition of a brownfield site to be eligible for funding, EPA or the state must determine:

1. The site is “relatively low risk” compared with other “petroleum-only” sites in the state; and
2. There is no viable responsible party.
3. The site will not be assessed, investigated, or cleaned up by a person that is potentially liable for cleaning up the site.
4. The site must not be subject to a corrective action order under the Resource Conservation and Recovery Act (RCRA) §9003(h).

Site-specific assessment or cleanup grant proposals for petroleum-contaminated sites must provide information in their proposal indicating whether the site meets each of the criteria listed above. If EPA awards an applicant a revolving loan fund grant, the state or EPA must make the same determinations for site(s) that will be cleaned up under a loan or subgrant. These criteria are explained below.

Please note that states may, but are not required to, use this guidance to determine whether sites contaminated by petroleum or petroleum products are eligible for brownfields grant funding. States may apply their own laws and regulations, if applicable, to eligibility determinations under this section.

Note: A petroleum eligibility determination by EPA or a state under CERCLA section 101(39)(D) for the purpose of brownfields funding does not release any party from obligations under any federal or state law or regulation, or under common law, and does not impact or limit EPA or state enforcement authorities against any party.

“Relatively Low Risk”

Applicants whose brownfield site(s) include properties or portions of properties contaminated with petroleum or petroleum products must provide information in their proposal indicating that the property represents a relatively low risk (compared to other petroleum-only sites). EPA’s view is that the following types of petroleum-contaminated sites are high-risk sites, or are not of “relatively low risk”:

1. “High risk” sites currently being cleaned up using LUST Trust Fund monies.
2. Any petroleum-contaminated site that currently is subject to a response under the Oil Pollution Act (OPA).

Note: Any site that does not fall under any of the provisions listed above would be considered to be of relatively low risk for purposes of determining eligibility for a brownfields grant.

“A Site for Which There is No Viable Responsible Party”

EPA or the state is required to determine that there is no viable responsible party that can address the petroleum contamination at the site. If EPA, or the state, identifies a party that is responsible for the activities contemplated by the grant proposal, and that party is financially viable, then the site is not eligible for funding and EPA cannot award the grant. This analysis is twofold - EPA or the state must first determine whether a responsible party exists and, if a responsible party is identified, then determine whether that party is viable for the activities identified in the grant proposal. Applicants are responsible for providing information in their proposal that demonstrates that the activities for which they seek funding have no viable responsible party.

A petroleum-contaminated site may be determined to have no responsible party if the site was last acquired (regardless of whether the site is owned by the applicant) through tax foreclosure, abandonment, or equivalent government proceedings, and that the site meets the criteria in (1) below. Any petroleum-contaminated site not acquired by a method listed above will be determined to have a responsible party if the site fails to meet the criteria in both (1) and (2) below.

1. No responsible party has been identified for the site through:
 - a. an unresolved judgment rendered in a court of law or an administrative order that would require any party (including the applicant) to conduct the activities (including assessment, investigation or cleanup) contemplated by the grant proposal;
 - b. an unresolved enforcement action by federal or state authorities that would require any party (including the applicant) to conduct the activities (including assessment, investigation, or cleanup) contemplated by the grant proposal; or
 - c. an unresolved citizen suit, contribution action, or other third party claim brought against the current or immediate past owner for the site that would, if successful, require the activities (including assessment, investigation, or cleanup) contemplated by the grant proposal to be conducted.
2. The current and immediate past owner did not dispense or dispose of, or own the subject property during the dispensing or disposal of, any contamination at the site, did not exacerbate the contamination at the site, and took reasonable steps with regard to the contamination at the site.¹ For purposes of the grant program and these Guidelines only, the current owner is the entity that will own the property at the time of grant submission. For Cleanup Grants, the current owner must be the applicant.

¹ For purposes of determining petroleum brownfield grant eligibility, “reasonable steps with regard to contamination at the site” includes, as appropriate: stopping continuing releases, preventing threatened future releases, and preventing or limiting human, environmental, or natural resource exposure to earlier petroleum or petroleum product releases. Reasonable steps are discussed in more detail on pages 9-12 of EPA’s March 6, 2003, “*Common Elements*” guidance.

If no responsible party is identified above, then the petroleum-contaminated site may be eligible for funding. If a responsible party is identified above, EPA or the state must next determine whether that party is viable. If any such party is determined to be viable, then the petroleum-contaminated site is not eligible for funding.

If there is a responsible party for the site, the applicant should explain in its application what steps it took to determine a responsible party's financial status, and why the information presented indicates that the responsible party is not viable. A state making the "viable responsible party" determination for the applicant may use the standards contained in this Appendix or its own standard. If a state is not making the determination or a tribe is the applicant, EPA will follow the standard set forth in this Appendix. Note that any viability determination made by EPA is for purposes of the CERCLA Section 104(k) grant program only.

EPA will consider a party to be viable if the party is financially capable of conducting the activity (i.e., assessment, investigation, or cleanup) identified in the grant proposal.

Generally, EPA will consider ongoing businesses or companies (corporations, LLCs, partnerships, etc.) and government entities to be viable. EPA will generally deem a defunct or insolvent company and an individual responsible party to be not viable. EPA will apply these assumptions to its petroleum grant viability determinations, unless there is information suggesting that the assumption is not appropriate in a particular case (e.g., if there is information that an individual has adequate financial resources to address contamination at a site, or if there is information indicating an ongoing business is not, in fact, viable). An applicant should indicate if one of the above assumptions applies and provide support for the assertion. In circumstances not covered by one of the above assumptions, the applicant should explain why the responsible party is not viable.

An applicant seeking to determine the financial status (i.e., the viability) of a responsible party should consider consulting the following resources and any other resources it may deem to be useful to make this determination:

1. **Responsible Party:** Ask the responsible party for its financial information (tax returns, bank statements, financial statements, insurance policies designed to address environmental liabilities, etc.), especially if the responsible party is still associated with the site or is the applicant, and, therefore, will receive the benefit of the grant. An applicant that is a responsible party and claiming it is not viable should provide conclusive information, such as an INDIPAY or MUNIPAY analysis, on its inability to pay for the assessment or cleanup.
2. **Federal, State, and Local Records:** Federal, state, and local (i.e., county and city) records often provide information on the status of a business. An applicant that is a state or local government should at the very least search its own records for information on a responsible party. Examples of such resources include regulatory records (e.g., state hazardous waste records), Secretary of State databases, and property/land records.
3. **Public and Commercial Financial Databases:** Applicants also may obtain financial data from publicly available and commercial sources. Listed below are examples of sources for

financial data that applicants may consider. Please note that some commercial sources may charge fees. EPA does not endorse the use of any specific sources, and EPA will accept reliable data from other sources as part of a proposal for funding.

Examples of sources: Lexis/Nexus, Dun & Bradstreet reports, Hoover's Business Information, Edgar Database of Corporate Information, Thomas Register of American Manufacturers, The Public Register, Corporate Annual Reports, Internet search engines (e.g. Google, Ask).

"Cleaned Up by a Person Not Potentially Liable"

Brownfields funding may be awarded for the assessment and cleanup of petroleum-contaminated sites provided they meet the requests below.

1. The applicant has not dispensed or disposed of or owned the property during the dispensing or disposal of petroleum or petroleum product at the site; and
2. The applicant did not exacerbate the contamination at the site and took reasonable steps with regard to the contamination at the site.

"Is not subject to any order issued under §9003(h) of the Resource Conservation and Recovery Act (RCRA)"

Proposals that include requests for an assessment or direct cleanup grant to address petroleum-contaminated sites must not be subject to a corrective action order under RCRA §9003(h). If EPA awards an applicant a revolving loan fund grant, the state or EPA must make the same determination for site(s) that will be cleaned up under a loan or subgrant.

1.3.3. Mine-Scarred Lands

Mine-scarred lands are eligible for brownfields funding. EPA's view is that "mine-scarred lands" are those lands, associated waters, and surrounding watersheds where extraction, beneficiation, or processing of ores and minerals (including coal) has occurred. For the purposes of this section, the definition of extraction, beneficiation, and processing is the definition found at 40 CFR 261.4(b)(7).

Mine-scarred lands include abandoned coal mines and lands scarred by strip mining.

Examples of coal mine-scarred lands may include, but are not limited to:

- abandoned surface coal mine areas;
- abandoned deep coal mines;
- abandoned coal processing areas;
- abandoned coal refuse areas;
- acid or alkaline mine drainage; and
- associated waters affected by abandoned coal mine (or acid mine) drainage or runoff, including stream beds and adjacent watersheds.

Examples of non-coal hard rock mine-scarred lands may include, but are not limited to:

- abandoned surface and deep mines;
- abandoned waste rock or spent ore piles;

- abandoned roads constructed wholly or partially of waste rock or spent ore;
- abandoned tailings, disposal ponds, or piles;
- abandoned ore concentration mills;
- abandoned smelters;
- abandoned cyanide heap leach piles;
- abandoned dams constructed wholly or partially of waste rock, tailings, or spent ore;
- abandoned dumps or dump areas used for the disposal of waste rock or spent ore;
- acid or alkaline rock drainage; and
- waters affected by abandoned metal mine drainage or runoff, including stream beds and adjacent watersheds.

1.4. Sites Not Eligible for Brownfields Funding

The following three types of properties are not eligible for brownfields funding under the Brownfields Law, even on a property-specific basis. Applicants should not include these types of sites in the funding proposals.

- 1) Facilities listed or proposed for listing on the National Priorities List (NPL).
- 2) Facilities subject to unilateral administrative orders, court orders, administrative orders on consent, or judicial consent decrees issued to or entered into by parties under CERCLA.
- 3) Facilities that are subject to the jurisdiction, custody, or control of the U.S. government. Facilities owned by, or under the custody or control of, the federal government are not eligible for brownfields funding. EPA's view is that this exclusion may not extend to:
 - a. privately-owned, Formerly Used Defense Sites (FUDS);
 - b. privately-owned, Formerly Utilized Sites Remedial Action Program (FUSRAP) properties; and
 - c. other former federal properties that have been disposed of by the U.S. government.

Note that land held in trust by the U.S. government for an Indian tribe is not excluded from funding eligibility. In addition, eligibility for brownfields funding does not alter a private owner's ability to cost recover from the federal government in cases where the previous federal government owner remains liable for environmental damages.

1.5. Particular Classes of Sites Eligible for Brownfields Funding Only With Property-Specific Determinations

The following special classes of property are generally ineligible brownfield sites unless EPA makes a "Property-Specific Determination" and determines they are eligible for funding. These include:

- properties subject to planned or ongoing removal actions under CERCLA;
- properties with facilities that have been issued or entered into a unilateral administrative order, a court order, an administrative order on consent, or judicial consent decree or to which a permit has been issued by the United States or an authorized state under RCRA, FWPCA, TSCA, or SDWA;

- properties with facilities subject to RCRA corrective action (§3004(u) or §3008(h)) to which a corrective action permit or order has been issued or modified to require the implementation of corrective measures;
- properties that are land disposal units that have submitted a RCRA closure notification or that are subject to closure requirements specified in a closure plan or permit.
- properties where there has been a release of PCBs and all or part of the property is subject to TSCA remediation; and
- properties that include facilities receiving monies for cleanup from the LUST Trust Fund.

EPA's approval of Property-Specific Determinations will be based on whether or not awarding a grant will protect human health and the environment and either promote economic development or enable the property to be used for parks, greenways, and similar recreational or nonprofit purposes. Property-Specific Determination requests should be attached to your proposal and do not count toward the 15-page limit. See the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf for more information on how to prepare and submit a Property-Specific Determination.

1.5.1. Facilities Subject to CERCLA Removal Actions

Properties (including parcels of properties) where there are removal actions may not receive funding, unless EPA makes a property-specific determination of funding eligibility.

EPA's view is that a removal may be identified by the occurrence of one of the following events, whichever occurs first in time: EPA issues an action memo; EPA issues an Engineering Evaluation/Cost Analysis approval memo; EPA mobilizes onsite; EPA issues a notice of federal interest to one or more potentially responsible parties (PRPs), which in emergencies may be made verbally; or EPA takes other actions that are consistent with a removal.

Once a removal action is complete, a property is eligible for brownfields funding without having to obtain a property-specific funding determination. EPA's view is that, solely for the purposes of eligibility to receive brownfields funding, a removal is complete when the actions specified in the action memorandum are met, or when the contractor has demobilized and left the site (as documented in the "pollution report" or POLREP). Applicants applying for brownfields funding for sites at which removal actions are complete must include documentation of the action being complete with their funding proposal.

Parcels of facilities not affected by removal action at the same property may apply for brownfields funding and may be eligible for brownfields funding on a property-specific basis. Property-specific funding decisions will be made in coordination with the on-scene coordinator (OSC) to ensure that all removals and cleanup activities at the property are conducted in safe and protective manners and to ensure that the OSC retains the ability to address all risks and contamination.

Please note that if a federal brownfields-funded site assessment results in identifying the need for a new removal action, the grantee may continue to expend brownfields funds on additional grant-

related activities. However, any additional expenditure of federal brownfields funds and any additional site assessment activities should be conducted in coordination with the OSC for the site.

1.5.2. Facilities to which a permit has been issued by the United States or an authorized state under the Resource Conservation and Recovery Act (RCRA), the Federal Water Pollution Control Act, the Toxic Substances Control Act, or the Safe Drinking Water Act

Generally, in cases where a property or a portion of a property is permitted under the Resource Conservation and Recovery Act, Section §1321 of the Clean Water Act, the Safe Drinking Water Act, and/or the Toxic Substances and Control Act, the property, or portion of the property, may not receive funding without a property-specific determination. Therefore, applicants should review the following guidance regarding which types of permitted facilities may not receive funding unless EPA makes a property-specific determination to provide funding. Applicants should note that the exclusion for permitted facilities does not extend to facilities with National Pollutant Discharge Elimination System (NPDES) permits issued under the authorities of the Federal Water Pollution Control Act, but is limited to facilities issued permits under the authorities of the Oil Pollution Act (i.e., §1321 of FWPCA).

In cases where one or more portions of a property are not eligible for funding, the applicant should identify the specific permit and situation that causes the property to be excluded. In addition, the applicant must include, within the proposal, documentation that federal brownfields funding for the assessment or cleanup of the property will further the goals established for property-specific funding determinations as described in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

In some cases, a facility may not have a permit or order because it is not in compliance with federal or state environmental laws requiring that it obtain a permit or the facility has failed to notify EPA of its regulatory status. Such facilities are not eligible for brownfields funding. For example, a RCRA treatment unit operator is required to obtain a permit and/or notify EPA of its operation. An operator that fails to fulfill those obligations will likely not have a permit or order as EPA will be unaware of its existence. Therefore, it is EPA's view that such facilities are ineligible to receive brownfields funds as a result of their failure to comply with a basic regulatory requirement. Additional guidance on the eligibility of RCRA-permitted facilities, including facilities under administrative or court orders, including corrective action orders, is provided in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf.

1.5.3. RCRA Sites

RCRA Facilities that are Eligible for Funding

EPA's view is that the following types of RCRA facilities are eligible for brownfields funding and do not require Property-Specific Determinations:

- a. RCRA interim status facilities that are not subject to any administrative or judicial order or consent decree;
- b. RCRA interim status facilities that are subject to administrative or judicial orders that do **not** include corrective action requirements or any other cleanup provisions (e.g., RCRA §3008(a) orders without provisions requiring the owner/operator to address contamination); and

- c. parcels of RCRA facilities that are not under the scope of a RCRA permit or administrative or judicial order.

RCRA Facilities that Require Property-Specific Determinations

EPA's view is that the following types of RCRA facilities **may not receive funding without a property-specific determination**:

- a. RCRA-permitted facilities;
- b. RCRA interim status facilities with administrative orders requiring the facility to conduct corrective action or otherwise address contamination, including facilities with orders issued under the authorities of RCRA §3008(a), §3008(h), §3013, and §7003;
- c. facilities under court order or under an administrative order on consent or judicial consent decree under RCRA or CERCLA that require the facility to conduct corrective action or otherwise address contamination at the facility; and
- d. land disposal units that have notified EPA or an authorized state of their intent to close and have closure requirements specified in closure plans or permits.

1.5.4. Land disposal units that have filed a closure notification under Subtitle C of RCRA and to which closure requirements have been specified in a closure plan or permit

RCRA hazardous waste landfills that have submitted closure notifications, as required under 40 CFR 264.112(d) or 265.112(d), generally will not be funded. This may include permitted facilities that have filed notification of closure and for which EPA and/or an authorized state is proceeding with final closure requirements for the facility. For interim status facilities, this is done through approval of a closure plan submitted with closure notification. For permitted facilities, this is routinely done as a modification to the permit, requested by the facility at the time of closure notification.

Please note that RCRA hazardous waste landfills that have submitted closure notifications may be eligible for brownfields funding with a Property-Specific Determination.

1.5.5. Sites Contaminated with PCBs

The Brownfields Law excludes from funding eligibility portions of facilities where there has been a release of PCBs that are subject to remediation under TSCA.

EPA's view is that all portions of properties **are eligible** for brownfield site assessment grants, except where EPA has initiated an involuntary action with any person to address PCB contamination. Also, it is EPA's view that all portions of properties **are eligible** for cleanup and RLF grants, except where EPA has an ongoing action against a disposer to address PCB contamination. However, any portion of a property where EPA has initiated an involuntary action with any person to address PCB contamination and portions of properties where EPA has an ongoing action against a disposer to address PCB contamination will require a Property-Specific Determination to be eligible for brownfields funding, including:

- there is a release (or disposal) of any waste meeting the definition of "PCB remediation waste" at 40 CFR 761.3; **and**
- at which EPA has initiated an involuntary action with any person to address the PCB contamination. Such involuntary actions could include:

- enforcement action for illegal disposal;
- Regional Administrator's order to characterize or remediate a spill or old disposal (40 CFR 761.50(b)(3));
- penalty for violation of TSCA remediation requirements;
- superfund removal action; or
- remediation required under RCRA §3004(u) or §3004(v).

PCBs may be remediated under any one of the following provisions under TSCA:

- a. section 761.50(b)(3), the directed characterization, remediation, or disposal action;
- b. section 761.61(a), the self-implementing provision;
- c. an approval issued under §761.61(c), the risk-based provision;
- d. section 761.61(b) to the level of PCB quantification (i.e., 1 ppm in soil);
- e. an approval issued under §761.77, the coordinated approval provision;
- f. section 761.79, the decontamination provision;
- g. an existing EPA PCB Spill Cleanup Policy; or
- h. any future policy or guidance addressing PCB spill cleanup or remediation specifically addressing the remediation of PCBs at brownfield sites.

1.5.6. LUST Trust Fund Sites

The Brownfields Law requires a Property-Specific Determination for funding at those sites (or portions of properties) for which assistance for response activity has been obtained under Subtitle I of RCRA from the LUST Trust Fund. EPA's view is that this provision may exclude UST sites where money is being spent on actual assessment and/or cleanup of UST/petroleum contamination.

However, in cases where the state agency has used LUST Trust Fund money for state program oversight activities on an UST site, but has not expended LUST Trust Funds for specific assessment and/or cleanup activities at the site, the site would be eligible for brownfields funding and does not need a Property-Specific Determination. Such sites may receive brownfields funding on a property-specific basis, if it is determined that brownfields funding will protect human health and the environment and the funding will promote economic development or enable the creation of, preservation of, or addition to greenspace (see guidance on documenting eligibility for property-specific funding determinations provided in the Brownfields FAQs at www.epa.gov/sites/production/files/2017-07/documents/fy18-arc-faqs.pdf).

Examples of sites receiving LUST Trust Fund monies that EPA would consider to be good candidates to receive Brownfields Grants or loans include:

- a. all UST fields pilots (50 pilots);
- b. sites (or portions of properties) where an assessment was completed using LUST Trust Fund monies and the state has determined that the site is a low-priority UST site, and therefore, additional LUST Trust Fund money cannot be provided for the cleanup of petroleum contamination, but the site still needs some cleanup and otherwise is a good candidate for economic revitalization; and
- c. sites (or portions of properties) where LUST Trust Fund money was spent for emergency activities, but then the site was determined to be ineligible for further expenditures of LUST

Trust Funds, yet the site needs additional funding for continued assessment and/or cleanup that will contribute to economic revitalization of the site.

1.6. Eligible Response Sites/Enforcement Issues

The Brownfields Law limits EPA's enforcement and cost recovery authorities at "eligible response sites" where a response action is conducted in compliance with a state response program. Section 101(40) of CERCLA defines an "eligible response site" by referencing the general definition of a "brownfield site" in §101(39)(A) and incorporating the exclusions at §101(39)(B). The Law places further limitations on the types of properties included within the definition of an eligible response site, but grants EPA the authority to include within the definition of eligible response site, and on a property-specific basis, some properties that are otherwise excluded from the definition. Such property-specific determinations must be based upon a finding that limits an enforcement will be appropriate, after consultation with state authorities, and will protect human health and the environment and promote economic development or facilitate the creation of, preservation, or addition to a park, a greenway, undeveloped property, recreational property, or other property used for nonprofit purposes. While the criteria appear similar to those for determining eligibility for funding on a property-specific basis, the determinations are distinct, will be made through a separate process, and may not be based on the same information requested in this document for property-specific funding determinations.

Also, please note that in providing funding for brownfield sites, and given that a limited amount of funding is available for Brownfields Grants, EPA's goal is to not provide brownfields funding to sites where EPA has a planned or ongoing enforcement action. While EPA does not intend that the existence of a planned or ongoing enforcement action will necessarily disqualify a site from receipt of brownfields funding, EPA does believe it is necessary that EPA be aware of the existence of any such action in making funding decisions. As a result, EPA will conduct an investigation to evaluate whether a site is, or will be, subject to an enforcement action under CERCLA or other federal environmental statutes. EPA is requesting that applicants identify ongoing or anticipated environmental enforcement actions related to the brownfield site for which funding is sought.

Appendix 2

www.grants.gov Proposal Submission Instructions

A. Requirement to Submit Through www.grants.gov and Limited Exception Procedures

Applicants, except as noted below, must apply electronically through www.grants.gov under this funding opportunity based on the www.grants.gov instructions in this announcement. If an applicant does not have the technical capability to apply electronically through www.grants.gov because of limited or no Internet access which prevents them from being able to upload the required application materials to www.grants.gov, the applicant must contact OGDWaivers@epa.gov or the address listed below in writing (e.g., by hard copy, email) at *least 15 calendar days prior to the submission deadline under this announcement* to request approval to submit their application materials through an alternate method.

Mailing Address:

OGD Waivers
c/o Barbara Perkins
USEPA Headquarters
William Jefferson Clinton Building
1200 Pennsylvania Ave., N.W.
Mail Code: 3903R
Washington, DC 20460

Courier Address:

OGD Waivers
c/o Barbara Perkins
Ronald Reagan Building
1300 Pennsylvania Ave., N.W.
Rm # 51267
Washington, DC 20004

In the request, the applicant must include the following information:

- Funding Opportunity Number (FON)
- Organization Name and DUNS
- Organization's Contact Information (email address and phone number)
- Explanation of how they lack the technical capability to apply electronically through www.grants.gov because of 1) limited Internet access or 2) no Internet access which prevents them from being able to upload the required application materials through www.grants.gov.

EPA will only consider alternate submission exception requests based on the two reasons stated above and will timely respond to the request -- all other requests will be denied. If an alternate submission method is approved, the applicant will receive documentation of this approval and further instructions on how to apply under this announcement. Applicants will be required to submit the documentation of approval with any initial application submitted under the alternative method. In addition, any submittal through an alternative method must comply with all applicable requirements and deadlines in the announcement including the submission deadline and requirements regarding proposal content and page limits (although the documentation of approval of an alternate submission method will not count against any page limits).

If an exception is granted, it is valid for submissions to EPA for the remainder of the entire calendar year in which the exception was approved and can be used to justify alternative submission methods for application submissions made through December 31st of the calendar

year in which the exception was approved (e.g., if the exception was approved on March 1, 2017, it is valid for any competitive or non-competitive application submission to EPA through December 31, 2017). Applicants need only request an exception once in a calendar year and all exceptions will expire on December 31st of that calendar year. Applicants must request a new exception from required electronic submission through www.grants.gov for submissions for any succeeding calendar year. For example, if there is a competitive opportunity issued on December 1, 2017, with a submission deadline of January 15, 2018, the applicant would need a new exception to submit through alternative methods beginning January 1, 2018.

Please note that the process described in this section is only for requesting alternate submission methods. All other inquiries about this announcement must be directed to the Regional Brownfields Contact listed in Section VII. Queries or requests submitted to the email address identified above for any reason other than to request an alternate submission method will not be acknowledged or answered.

B. Submission Instructions

The electronic submission of your application must be made by the Authorized Organization Representative (AOR) of your institution who is registered with www.grants.gov and is authorized to sign applications for federal assistance. For more information on the registration requirements that must be completed in order to submit an application through www.grants.gov, go to www.grants.gov and click on “Applicants” on the top of the page and then go to the “Get Registered” link on the page. If your organization is not currently registered with www.grants.gov, please encourage your office to designate an AOR and ask that individual to begin the registration process as soon as possible. Please note that the registration process also requires that your organization have a DUNS number and a current registration with the System for Award Management (SAM) and the process of obtaining both could take a month or more. Applicants must ensure that all registration requirements are met in order to apply for this opportunity through www.grants.gov and should ensure that all such requirements have been met well in advance of the submission deadline. Registration on www.grants.gov, www.sam.gov, and DUNS number assignment is FREE.

Applicants need to ensure that the AOR who submits the application through www.grants.gov and whose DUNS number is listed on the application is an AOR for the applicant listed on the application. Additionally, the DUNS number listed on the application must be registered to the applicant organization’s SAM account. If not, the application may be deemed ineligible.

To begin the application process under this grant announcement, go to www.grants.gov and click on “Applicants” on the top of the page and then “Apply for Grants” from the dropdown menu and then follow the instructions accordingly. Please note: to apply through www.grants.gov, you must use Adobe Reader software and download the compatible Adobe Reader version. For more information about Adobe Reader, to verify compatibility, or to download the free software, please visit <https://www.grants.gov/web/grants/applicants/adobe-software-compatibility.html>.

You may also be able to access the application package for this announcement by searching for the opportunity on www.grants.gov. Go to www.grants.gov and then click on “Search Grants” at the top of the page and enter the Funding Opportunity Number, **EPA-OLEM-OBLR-XX-XX**, or the CFDA number that applies to the announcement (**CFDA 66.818**), in the appropriate field and click the “Search” button.

Please note that www.grants.gov is strongly encouraging users to sign up for and use their “Workspace” feature when applying for opportunities. www.grants.gov will be phasing out the “legacy” application process, so EPA recommends that all applicants begin using “Workspace” as soon as possible so they are prepared when the “legacy” application process is no longer available.

Proposal Submission Deadline: Your organization’s AOR must successfully submit your complete application package electronically to EPA through www.grants.gov **no later than {60 days after posting}, 11:59 p.m. ET**. Please allow for enough time to successfully submit your application process and allow for unexpected errors that may require you to resubmit.

Please submit *all* of the application materials described below using the www.grants.gov application package that you downloaded using the instructions above. For additional instructions on completing and submitting the electronic application package, click on the “Show Instructions” tab that is accessible within the application package itself.

Applications submitted through www.grants.gov will be time and date stamped electronically. If you have not received a confirmation of receipt from EPA (not from www.grants.gov) within 30 days of the proposal deadline, please contact Jerry Minor-Gordon at minor-gordon.jerry@epa.gov. Failure to do so may result in your proposal not being reviewed.

Application Materials

The following forms and documents are mandatory under this announcement.

1. Application for Federal Assistance (Standard Form 424)
2. Cover Letter and Narrative Proposal. See Section IV.C. for details on the content of the Cover Letter and Narrative Proposal, and the associated page limits.
3. Required Attachments. See Section IV.C. of this announcement.

C. Technical Issues with Submission

1. Once the application package has been completed, the “Submit” button should be enabled. If the “Submit” button is not active, please call www.grants.gov for assistance at 1-800-518-4726. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a www.grants.gov representative by calling 606-545-5035. Applicants should save the completed application package with two different file names before providing it to the AOR to avoid having to re-create the package should submission problems be experienced or a revised application needs to be submitted.

2. Submitting the application. The application package must be transferred to www.grants.gov by an AOR. The AOR should close all other software before attempting to submit the application package. Click the “submit” button of the application package. Your Internet browser will launch and a sign-in page will appear. **Note: Minor problems are not uncommon with transfers to www.grants.gov. It is essential to allow sufficient time to ensure that your application is submitted to www.grants.gov BEFORE the due date identified in Section IV. of this solicitation.** The www.grants.gov support desk operates 24 hours a day, seven days a week, except federal holidays.

A successful transfer will end with an on-screen acknowledgment. For documentation purposes, print or screen capture this acknowledgment. If a submission problem occurs, reboot the computer – turning the power off may be necessary – and re-attempt the submission.

Note: www.grants.gov issues a “case number” upon a request for assistance.

3. Transmission difficulties. If transmission difficulties that result in a late transmission, no transmission, or rejection of the transmitted application are experienced, and following the above instructions do not resolve the problem so that the application is submitted to www.grants.gov by the deadline date and time, follow the guidance below. The Agency will make a decision concerning acceptance of each late submission on a case-by-case basis. All emails, as described below, are to be sent to Jerry Minor-Gordon (minor-gordon.jerry@epa.gov) with the FON in the subject line. If you are unable to email, contact Jerry Minor-Gordon (202-566-1817). Be aware that EPA will only consider accepting applications that were unable to transmit due to www.grants.gov or relevant www.sam.gov system issues or for unforeseen exigent circumstances, such as extreme weather interfering with Internet access. Failure of an applicant to submit timely because they did not properly or timely register in www.sam.gov or www.grants.gov is not an acceptable reason to justify acceptance of a late submittal.
 - (a) If you are experiencing problems resulting in an inability to upload the application to www.grants.gov, it is essential to call www.grants.gov for assistance at 1-800-518-4726 before the application deadline. Applicants who are outside the U.S. at the time of submittal and are not able to access the toll-free number may reach a www.grants.gov representative by calling 606-545-5035. Be *sure* to obtain a case number from www.grants.gov. If the problems stem from unforeseen exigent circumstances unrelated to www.grants.gov, such as extreme weather interfering with Internet access, contact Jerry Minor-Gordon (202-566-1817).
 - (b) Unsuccessful transfer of the application package: If a successful transfer of the application cannot be accomplished even with assistance from www.grants.gov due to electronic submission system issues or unforeseen exigent circumstances, send an email message to minor-gordon.jerry@epa.gov prior to the application deadline. The email message must document the problem and include the www.grants.gov case number as well as the entire application in PDF format as an attachment.

- (c) www.grants.gov rejection of the application package: If a notification is received from www.grants.gov stating that the application has been rejected for reasons other than late submittal promptly send an email to Jerry Minor-Gordon (minor-gordon.jerry@epa.gov) with the FON in the subject line within one business day of the closing date of this solicitation. The email should include any materials provided by www.grants.gov and attach the entire application in PDF format.

Please note that successful submission through www.grants.gov or via email does not necessarily mean your application is eligible for award.

Appendix 3 Cleanup Other Factors Checklist

Name of Applicant: _____

Please identify (with an **X**) which, if any of the below items apply to your community or your project as described in your proposal. To be considered for an Other Factor, you must include the page number where each applicable factor is discussed in your proposal. EPA will verify these disclosures prior to selection and may consider this information during the selection process. If this information is not clearly discussed in your narrative proposal or in any other attachments, it will not be considered during the selection process.

Other Factor	Page #
<i>None of the Other Factors are applicable.</i>	
Community population is 10,000 or less.	
The jurisdiction is located within, or includes, a county experiencing “persistent poverty” where 20% or more of its population has lived in poverty over the past 30 years, as measured by the 1990 and 2000 decennial censuses and the most recent Small Area Income and Poverty Estimates.	
Applicant is, or will assist, a federally recognized Indian tribe or United States territory.	
Target brownfield sites are impacted by mine-scarred land.	
Applicant demonstrates firm leveraging commitments for facilitating brownfield project completion, by identifying in the proposal the amounts and contributors of resources and including documentation that ties directly to the project.	
Applicant is a recipient of an EPA Brownfields Area-Wide Planning grant.	

To: Bilal, Kari[Bilal.Kari@epa.gov]
From: Binder, Bruce
Sent: Thur 8/10/2017 8:25:44 PM
Subject: FW: Public Affairs Review (OLEM 17-07, 17-08, 17-09)
[17-08.docx](#)
[17-09.docx](#)

Kari, you will need to ask him to send his comments again because I don't think they were attached. Thanks.

From: Konkus, John
Sent: Thursday, August 10, 2017 1:30 PM
To: Bilal, Kari <Bilal.Kari@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: RE: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

17-07 is good. 17-08 (page 31) and 17-09 (pages 8 and 31) have edits as indicated attached. Thank you.

From: Bilal, Kari
Sent: Thursday, August 10, 2017 1:03 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

John,

The attached draft RFPs have been received in OLEM/ARMS for review and approval, before public release. In accordance with the “**Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations**,” we are submitting the drafts for OPA review and comment, prior to further action.

Questions/Comments on the drafts may be directed to: Kari Bilal /

bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

For OLEM grants assistance & information:
<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:
<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations

The Office of the Administrator has directed that all competitive grant solicitations be reviewed by the Office of Public Affairs (OPA) before they are posted on [Grants.gov](https://www.grants.gov) and before there is any external engagement (e.g., discussions with external stakeholders regarding priorities or other aspects of the competition) relating to the solicitation. This protocol establishes the process for OPA review.

1. **Program Office Initiates OPA Review Process:** When a program office has a final draft of a solicitation that would otherwise be ready for review through the Next Generation Grants System (NGGS) by the Office of Grants and Debarment's Grants Competition Advocate's Office (GCA's Office) they must first send it to John Konkus, Deputy Associate Administrator for Public Affairs in OPA, for review and approval before they transmit it through NGGS for GCA review.¹
 - a. Program offices must submit a copy of the draft solicitation via email to John Konkus at konkus.john@epa.gov. They must also copy Bruce Binder, Senior Associate Director for Grants Competition, on the email and all subsequent email communications with John Konkus regarding the solicitation, at binder.bruce@epa.gov.
 - b. The email to John Konkus must include the name, email address, and telephone number for the program office's point of contact (POC) to respond to any OPA questions or comments on the solicitation.
2. **OPA Reviews Solicitation:** John Konkus will review the solicitation within 3 business days of receiving it from the program office.
 - a. If he has any concerns, comments, or questions on the solicitation, he will contact the POC listed in the email. If he has any competition or legal concerns he may also contact the GCA's Office.
 - b. The program office will work directly with John Konkus to resolve any issues on the solicitation. The program office may seek assistance from the GCA's Office and/or OGC/ORC as necessary to resolve any issues.
 - c. If John Konkus has no concerns, or his concerns have been addressed, he will contact the POC to communicate OPA's approval of the solicitation.
 - d. After receiving OPA approval, program offices may engage in appropriate external outreach with the grant community regarding the solicitation consistent with the Assistance Agreement Competition Policy and GCA guidance. However, if this engagement results in any substantive changes to the draft solicitation approved by OPA, the program office must resubmit the solicitation to John Konkus for another review (see Step 1).
3. **Program Office Submits OPA-Approved Solicitation for GCA and OGC/ORC Review:** Once OPA has approved the solicitation, the program office must submit the opportunity to the GCA's Office for review via NGGS as is the current practice. The GCA's Office will forward it to OGC/ORC for review as appropriate.
 - a. The program office must include a statement in the comments field of the "Work Flow" section of the NGGS opportunity indicating that OPA has approved the solicitation (and the date of the approval) and/or may attach any written approval received from John Konkus in the "Work Flow" section of the opportunity in NGGS.
 - b. The program office must attach a copy of any comments or revisions made by John Konkus to the solicitation in the "Work Flow" section of the NGGS opportunity.
 - c. If during their review of the solicitation the GCA's Office and/or OGC/ORC raise any comments or concerns with the solicitation that impact or relate to any comments from OPA, they will work with OPA and the program office to resolve the issues.
4. **Solicitation is Posted:** Program offices may post their solicitation on their website only after receiving approval from OPA and the GCA's Office (and OGC/ORC when applicable). The GCA's Office will then post it on [Grants.gov](https://www.grants.gov) consistent with the established process.

¹ Program offices may still work with the GCA's Office and OGC/ORC when developing the solicitation to address any competition or legal issues with the competition prior to sending it to OPA for review.

To: Schulz, Amanda[schulz.amanda@epa.gov]
From: Binder, Bruce
Sent: Thur 8/10/2017 5:14:45 PM
Subject: FW: Public Affairs Review (OLEM 17-07, 17-08, 17-09)
[17-07.docx](#)
[17-08.docx](#)
[17-09.docx](#)

3 more

From: Bilal, Kari
Sent: Thursday, August 10, 2017 1:03 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>
Subject: Public Affairs Review (OLEM 17-07, 17-08, 17-09)

John,

The attached draft RFPs have been received in OLEM/ARMS for review and approval, before public release. In accordance with the “**Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations**,” we are submitting the drafts for OPA review and comment, prior to further action.

Questions/Comments on the drafts may be directed to: Kari Bilal /
bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

For OLEM grants assistance & information:
<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:
<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

To: Schulz, Amanda[schulz.amanda@epa.gov]
From: Binder, Bruce
Sent: Wed 10/11/2017 10:03:05 PM
Subject: Fwd: Request for OPA Review of Competitive Grant Solicitation for Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for the Small Watershed and the Innovative and Nutrient Sediment Reduction Grant Programs
[Protocol for OPA Review of Draft Competitive Grant Solicitations 2017 0....docx](#)
[ATT00001.htm](#)
[RFP 18-01aAA INSR-SWG RFP Clean 10-11-2017.docx](#)
[ATT00002.htm](#)

Pls add to chart. Thx

Bruce S. Binder
U.S. Environmental Protection Agency
Senior Associate Director For Grants Competition
202-510-8318

Begin forwarded message:

From: "Edward, James" <edward.james@epa.gov>
Date: October 11, 2017 at 3:54:19 PM EDT
To: "Konkus, John" <konkus.john@epa.gov>
Cc: "Binder, Bruce" <Binder.Bruce@epa.gov>, "White, Lisa" <WHITE.LISA@EPA.GOV>, "Krakowiak, John" <Krakowiak.John@epa.gov>, "DiPasquale, Nicholas" <dipasquale.nicholas@epa.gov>, "Esher, Diana" <Esher.Diana@epa.gov>, "Hindin, Rebecca" <Hindin.Rebecca@epa.gov>, "Smith, William (Region 3)" <smith.william@epa.gov>
Subject: Request for OPA Review of Competitive Grant Solicitation for Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for the Small Watershed and the Innovative and Nutrient Sediment Reduction Grant Programs

John:

Pursuant to the attached Protocol, we are requesting your review and approval of the attached Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for both the Small Watershed and the Innovative and Nutrient Sediment Reduction Grant Programs. Please contact me or Rebecca Hindin if you have any questions or comments....Thanks Jim

#BayStrong

Jim Edward

Deputy Director

Chesapeake Bay Program Office

US EPA Region III

410 Severn Ave.

Annapolis MD 21403

edward.james@epa.gov

410-267-5705

From: Polk, Denise

Sent: Tuesday, August 08, 2017 5:56 PM

To: OCFO-SROs <OCFO_SROs@epa.gov>; DAA-Career <DAACareer@epa.gov>; Grants JROs <Grants_JROs@epa.gov>; Grants GMOs <Grants_GMOs@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Konkus, John <konkus.john@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>

Subject: OPA Review for Competitive Solicitations

Greetings,

The Office of the Administrator has requested an opportunity to review competitive solicitations prior to posting on [Grants.gov](https://www.epa.gov/grants). Effective immediately, Headquarters and Regional program offices are to provide the Office of Public Affairs (OPA) a draft copy of any competitive solicitation that they plan to issue prior to forwarding it to the Grants Competition Advocate's Office for review in Next Generation Grants System (NGGS). The draft solicitations are to be sent via email to Mr. John Konkus, Deputy Associate Administrator for Public Affairs, along with a designated point of contact for any questions OPA may have about the solicitation. Additionally, please copy Bruce Binder on all communications between John Konkus and the program offices.

Once the program office receives approval from OPA to proceed with the solicitation, they must then forward it to the GCA's office via NGGS for GCA and OGC/ORC review as appropriate. Please note that when the program offices forward it for GCA review in NGGS, they must indicate that it has been reviewed and approved by OPA for issuance, and also provide to the GCA a copy of any OPA comments on the solicitation.

If the GCA's office or OGC/ORC have substantive comments on the solicitation, they will work with the program office and OPA to reconcile them. In addition, program offices cannot engage in any manner with non-EPA parties regarding the solicitation, or its contents, (e.g. asking for comments on draft solicitations or suggestions for program priorities) prior to OPA approval of the solicitation.

If you have any questions, call Bruce Binder, Liz January, or Val Swan-Townsend of the GCA's office. For further details concerning implementation of this new review process, please see the attached protocol, which will soon be issued as an Interim Policy Notice.

Thanks for your assistance and cooperation.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
Cc: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Williams, Michael[Williams.Michael@epa.gov]; Durand, Jessica[Durand.Jessica@epa.gov]; Jones, Laurice[Jones.Laurice@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 11/14/2017 8:02:01 PM
Subject: RE: Grants and Reports Awaiting Clearance

Everything looks good to go!

From: Polk, Denise
Sent: Tuesday, November 14, 2017 3:00 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Williams, Michael <Williams.Michael@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: Grants and Reports Awaiting Clearance
Importance: High

Greetings , John

OGD is still awaiting clearance on the following items:

- 11/7 Nonprofit and University Report (attached)
- Region 4 grant below (special review requested on 11/7-email attached)

Fund Package	Region	Grant Family	Ship Description	Program Code	Compliance Code	Applicant Name	Applicant Type	Project Start Date	Project End Date	Project Title	Project Description
10/30/2007	0000	05	Region 4 Award	Environmental Justice Small Grant Program (EQ)	01	Chattahoochee Riverkeeper Inc.	Non Profit	11/20/2017	11/30/2018	Environmental Justice Small Grants Program for Community Research	This action approves an award in the amount of \$30,000 to Chattahoochee Riverkeeper, Incorporation. to assist with addressing water quality concerns through data collection and by

involving participants
in education
initiatives.

Please review and let me know if we can move forward with these awards. Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: konkus.john@epa.gov
Sent: Wed 12/27/2017 12:53:11 PM
Subject: Fwd: OGD Weekly Grant Reports
Pending Grants Report - New Supplemental and Incremental Filter 5 PCs - All Regions and HQ 12-25-2017.xlsx
ATT00001.htm

Ex. 5 - Deliberative Process

The rest under New Actions can move forward.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

Begin forwarded message:

From: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Date: December 27, 2017 at 7:32:18 AM EST
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: "Bell, Matthew" <Bell.Matthew@epa.gov>, "Cooper, Marian" <Cooper.Marian@epa.gov>, "Etheredge, William" <Etheredge.William@epa.gov>, "Flynn, Mike" <Flynn.Mike@epa.gov>, "Jones, Laurice" <Jones.Laurice@epa.gov>, "Milazzo, Julie" <Milazzo.Julie@epa.gov>, "Neal, Kerry" <neal.kerry@epa.gov>, "Polk, Denise" <Polk.Denise@epa.gov>, "Sanders, LaTonya" <Sanders.Latonya@epa.gov>, "Showman, John" <Showman.John@epa.gov>, "Sylvester, Kenneth" <Sylvester.Kenneth@epa.gov>, "Vizian, Donna" <Vizian.Donna@epa.gov>, "Durand, Jessica" <Durand.Jessica@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached is the weekly New, Supplemental and Incremental Amendment Pending Grant Actions Report. There is no Congressional Notification report for today.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New, Supplemental and Incremental Amendment Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists individual New Awards and Supplemental and Incremental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	William Etheredge, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Michael Williams, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Daily

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

Pending New Monetary Awards - All Regions and HQ

Report Last Refresh Date: 12/25/17

Funding Package Date	Record Type	Grant Family	AAShip Description	Program Code Description (Code)
12/4/2017	New Award	00905118	Region 9	Air Pollution Control Program Support (A)
12/11/2017	New Award	00939318	Region 9	State Public Water System Supervision (F)
12/4/2017	New Award	00986918	Region 9	Air Pollution Control Program Support (A)
11/21/2017	New Award	83926401	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/24/2017	New Award	83927601	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)

11/30/2017	New Award	83928701	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/29/2017	New Award	83930001	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/21/2017	New Award	83936401		Compliance Assistance-Support for Services to the Regulated Community and Other Assistance Providers (CC)
12/18/2017	New Award	00E02332	Region 5	National Clean Diesel Funding Assistance Program (B) (DE)
12/21/2017	New Award	01F40701	Region 6	National Clean Diesel Funding Assistance Program (B) (DE)
12/7/2017	New Award	99T70901	Region 9	The San Francisco Bay Water Quality Improvement Fund (W9)

Competition Code	Applicant Name	Applicant Type	Project Start Date	Project End Date	Amount
P	Monterey Bay Unified APCD	Special District	10/1/2017	9/30/2018	\$228,252.00
P	HI Dept of Health	State	1/1/2018	12/31/2019	\$43,500.00
P	San Joaquin Valley Unified APCD	Special District	10/30/2017	9/30/2018	\$1,467,517.00
C	University of Maryland - Baltimore Co.	State Institution of Higher Learning	1/1/2018	12/31/2018	\$15,000.00
C	University of Southern California	State Institution of Higher Learning	1/1/2018	12/31/2018	\$15,000.00

C	Purdue University	Private University	2/1/2018	1/31/2019	\$15,000.00
C	University of Massachusetts Lowell	State Institution of Higher Learning	2/1/2018	1/31/2019	\$15,000.00
C	National Center for Manufacturing Sciences Inc.	Not for Profit	12/1/2017	12/1/2022	\$350,000.00
C	Minnesota Environmental Initiative	Not for Profit	1/1/2018	12/31/2018	\$573,178.00
C	City of Houston dba Houston Municipal Airport System		1/1/2018	12/31/2019	\$1,032,104.00
C	CoNapa - County of Napa	County	1/1/2018	12/31/2021	\$822,000.00

Project Title

FY18 Air Pollution Control Program

Public Water System Supervision Program Grant

FY18 Air Pollution Control Program

Sustainable Nutrient Recovery from Urine

Human-Building Integration

Development of An Economical Grain Storage System

Green Fertilizers from Crustacean Shell Waste

Manage the Compliance Assistance Center Program

Project Green Fleet: Clean Diesel in Goods Movement & Public Fleets

Electrically-Powered Shuttle Buses

Napa River Restoration: Oakville to Oak Knoll Sites 11-13



Project Description

The purpose of this program is to provide continuing support for activities which include strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air and emissions, rulemaking, operating permits and all other program-related activities. This program will protect and improve the air quality in the Monterey Bay Area and reduce the risks to human health and the environment.

This assistance agreement provides partial federal funding in the amount of \$228,252.00.

The objectives of this project are to ensure the full and effective administration of the public water system supervision program and to help ensure the delivery of a water supply that meets all requirements of the National Primary Drinking Water Regulations.

This assistance agreement provides partial funding in the amount of \$43,500.

The purpose of this program is to provide continuing support for activities which include strategic planning and evaluation, compliance assistance, developing state implementation plans, monitoring air and emissions, rule making, operating permits and all other program related activities. In addition, projects under this program will be implemented to reduce criteria air emissions with possible additional reductions from greenhouse gases that occur from high emitting sources. These projects will result in significant, near- and long-term emissions reductions in the San Joaquin Valley, with a particular focus on environmental justice communities. This program will protect and improve the air quality in the state of California and reduce the risks to human health and the environment.

This team project aim is to more efficiently recover nutrients from urine. The recovered nutrients can be used in the agricultural field as additives to fertilizers. Through this technology, there is less nutrient waste in waterways and the agricultural industry benefits from the recovered nutrients

This team plans on saving energy within buildings by creating a light control system that is able to draw information from human reactions (like the size of eye pupils). The technology will result in less energy use, which in turns produces less toxic air pollutants. It will also lead to better building designs in the future.

This project assists decision-makers to considering new local resources for addressing global food insecurity. The team is developing a system powered by solar and hydroenergy for storing harvested grains during rainy seasons in rural regions of Africa. This system can be implemented in domestic rural areas facing similar situations during harvest season. Grain processing can be an environmentally taxing procedure and this new storage system can improve air quality by reducing the gas emissions typically released during conventional grain processing.

The objective of this project is to develop and demonstrate a hydrothermal system that produces renewable fertilizer from seafood wastes. This technology can provide an added revenue stream for farmers and will reduce the amount of waste being landfilled. The green fertilizer produced from this technology can help the agricultural economy and curbs the need for sending seafood waste to landfills.

The Compliance Assistance Centers provide web-based user friendly "first-stop-shops" where regulated entities can find comprehensive, easy-to-understand information pre-packaged to fit their special needs. The grantee will partner with EPA, industry groups, environmental groups, and other interested parties to support the continued operation and maintenance of the Center Program. The grantee will maintain a web portal for all Centers, support the development of new web-based tools to support the understanding of and compliance with regulatory obligations, and seek effective approaches and partnerships to maintain the Centers.

The Environmental Initiative (EI) will improve air quality and protect public health by reducing diesel emissions by re-powering Tier-I towboat engines to EPA certified Tier-III; and replacing Class 8 trucks, a front-end loader, aerial lifts, and street sweeper to Tier IV emission standard. This work will be completed in close proximity to diverse residential populations and commercial activity in St Paul, Minnesota. The projected lifetime pollution reductions from this project are: 180.5 tons of Nitrogen Oxides and 8.7 tons of Particulate Matter 2.5.

The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas. This funding provides retrofit technology that significantly reduces emissions through implementation of a certified engine configuration, verified technology, or emerging technology for diesel vehicles or equipment owned by local governments, or by private fleets contracted to perform work for local governments. The Project entails the purchase of four electrically-powered shuttle buses to replace four diesel-powered shuttle buses to be used at the George Bush Intercontinental Airport in Houston, Texas.

The Napa River Oakville ? Oak Knoll Restoration project, led by Napa County, will implement the Napa River sediment TMDL by improving water quality and habitat for salmonids and other wildlife. In addition, the restored and enhanced riparian area and wetlands will provide sustainable flood protection and increased resiliency to storm events. This project implements water quality and habitat actions listed under the SFEP CCMP. The project goals and activities will benefit the fish, wildlife, and humans that depend on a thriving, healthy San Francisco Bay.

This assistance agreement provides full federal funding in the amount of \$822,000.

All Pending Monetary Amendments - All Regions and HQ

Report Last Refresh Date: 12/25/17

Funding Package Date	Record Type	Grant Family	AAShip Description	Program Code Description (Code)
11/21/2017	Amendment	00605017	Region 6	WPC State and Interstate Program Support (Section 106) (I)
12/7/2017	Amendment	00F34501	Region 6	Water Infrastructure Grants as Authorized by EPA Appropriations (XP)

and HQ

Applicant Name	Applicant Type	Project Start Date	Project End Date	Amount
Arkansas Department of Environmental Quality	State	10/1/2017	9/30/2018	\$385,359.00
St. Tammany Parish Government	County	10/1/2011	10/1/2018	\$266,339.00

Project Title

ADEQ FY18 106 Surface & Groundwater

Bayou Chinchuba Detention Pond



Project Description

The base funding is to assist the Arkansas Department of Environmental Quality in administering the State's base water quality and ground water programs. This project aims to prevent, reduce, and eliminate water pollution through monitoring, standard-setting, TMDL development, permitting, surface and ground water enforcement and compliance activities, program management and other water quality-related activities.

Construction of a 17 acre stormwater detention basin. This award is appropriately provided as assistance and not an acquisition since it is for stormwater infrastructure improvement intended to better control stormwater and control flooding in St. Tammany Parish, LA. The environmental benefits expected from this project are the protection of human health and safety by better controlling stormwater.

To: Jackson, Ryan[jackson.ryan@epa.gov]; Bowman, Liz[Bowman.Liz@epa.gov]; Davis, Patrick[davis.patrick@epa.gov]; Kelly, Albert[kelly.albert@epa.gov]
From: Konkus, John
Sent: Wed 9/20/2017 1:27:25 PM
Subject: FYI Good grant solicitation example

In our effort to formulate grant solicitations that focus on the core work of the Agency using real-time information to develop solutions to real environmental problems, we added the following line highlighted below in the “other factors” section of a \$3M FY18 Environmental Workforce Development and Job training Grant that should be posted on grants.gov soon.

“The EPA Selection Official may consider the following other factors, in addition to the evaluation results based on the criteria above, as appropriate, in making final funding decisions ... The needs of communities adversely affected by natural disasters (2013 or later), including, but not limited to, recent hurricanes in the United States and Caribbean and recent wildfires in the Western United States.”

This small addition will allow communities in effected areas to gain a leg-up should they apply for this grant.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

To: Konkus, John[konkus.john@epa.gov]
Cc: Binder, Bruce[Binder.Bruce@epa.gov]; Miles, Nicole[Miles.Nicole@epa.gov]; Wilbur, Jennifer[Wilbur.Jennifer@epa.gov]
From: Bilal, Kari
Sent: Thur 9/14/2017 9:04:30 PM
Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)
[EWDJT OPA Reesponse.docx](#)

Hi John,

Per our telcon, I've forwarded your comments on the draft RFP to the PO and herewith share OBLR formal responses.

Specifically:

Ex. 5 - Deliberative Process

Attached is a revised version of the draft EWDJT RFP with OPA's comments incorporated. With these edits, please advise if we may move forward with ARMS/GCA/OGC reviews of the draft.

Thank you!

Kari

202-566-1891

From: Konkus, John

Sent: Thursday, September 14, 2017 2:22 PM

To: Bilal, Kari <Bilal.Kari@epa.gov>

Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>

Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)

Kari: Per our phone conversation, here are my only two comments:

Ex. 5 - Deliberative Process

Thank you,

John

From: Bilal, Kari

Sent: Thursday, September 7, 2017 4:49 PM

To: Konkus, John <konkus.john@epa.gov>

Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>

Subject: Public Affairs Review (EPA-OLEM-OBLR-17-10)

John,

The attached draft RFP was received in OLEM/ARMS for review and approval, before public release. In accordance with the “**Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations**,” we are submitting the draft for OPA review and comment, prior to further action.

Questions/Comments on the draft may be directed to: Kari Bilal /
bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

For OLEM grants assistance & information:
<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:
<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

To: Binder, Bruce[Binder.Bruce@epa.gov]; Wilbur, Jennifer[Wilbur.Jennifer@epa.gov]; Konkus, John[konkus.john@epa.gov]; Bilal, Kari[Bilal.Kari@epa.gov]
Cc: Lloyd, David[Lloyd.DavidR@epa.gov]; Miles, Nicole[Miles.Nicole@epa.gov]; Overmeyer, Patricia[Overmeyer.Patricia@epa.gov]; Lentz, Rachel[Lentz.Rachel@epa.gov]
From: Congdon, Rachel
Sent: Mon 9/18/2017 8:35:45 PM
Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)
Draft EWDJT Guidelines.docx

Okay. It now reads "...the United States and Caribbean..."

Rachel Congdon

U.S. Environmental Protection Agency | Office of Brownfields and Land Revitalization

Phone: (202) 566-1564 | Email: congdon.rachel@epa.gov | Follow [OLEM on Twitter!](#)



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with Pre-Conference Training December 4th

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Cc: Lloyd, David <Lloyd.DavidR@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Overmeyer, Patricia <Overmeyer.Patricia@epa.gov>; Lentz, Rachel <Lentz.Rachel@epa.gov>
Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)

Ex. 5 - Deliberative Process

From: Wilbur, Jennifer
Sent: Monday, September 18, 2017 4:32 PM

To: Binder, Bruce <Binder.Bruce@epa.gov>; Konkus, John <konkus.john@epa.gov>; Congdon, Rachel <Congdon.Rachel@epa.gov>; Bilal, Kari <Bilal.Kari@epa.gov>
Cc: Lloyd, David <Lloyd.DavidR@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Overmeyer, Patricia <Overmeyer.Patricia@epa.gov>; Lentz, Rachel <Lentz.Rachel@epa.gov>
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Please let me know if you have any additional comment.

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Hi Kari,

Ex. 5 - Deliberative Process

When assistance agreements are awarded competitively, EPA's Competition Policy requires that the competitive process be fair and impartial, that all applicants be evaluated only on the criteria stated in the announcement, and that no applicant receive an unfair competitive advantage.

While I certainly hope that communities affected by the recent hurricanes are able to take advantage of this competitive funding opportunity, we recognize that there have been many other communities throughout the US that have been impacted by severe weather events. All communities affected trying to rebuild and revitalize should be given the opportunity to train local unemployed residents and place them in local environmental jobs.

Please let me know if OPA has any additional questions or concerns.

Thanks,

Rachel Congdon

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Cc: Lloyd, David <Lloyd.DavidR@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>

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Thanks!

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Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)

Ex. 5 - Deliberative Process

From: Bilal, Kari

Sent: Thursday, September 14, 2017 5:05 PM

To: Konkus, John <konkus.john@epa.gov>

Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>

Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)

Hi John,

Per our telcon, I've forwarded your comments on the draft RFP to the PO and herewith share OBLR formal responses.

Specifically:

Ex. 5 - Deliberative Process

Ex. 5 - Deliberative Process

Attached is a revised version of the draft EWDJT RFP with OPA's comments incorporated. With these edits, please advise if we may move forward with ARMS/GCA/OGC reviews of the draft.

Thank you!

Kari

202-566-1891

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Sent: Thursday, September 14, 2017 2:22 PM

To: Bilal, Kari <Bilal.Kari@epa.gov>

Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>

Subject: RE: Public Affairs Review (EPA-OLEM-OBLR-17-10)

Kari: Per our phone conversation, here are my only two comments:

Ex. 5 - Deliberative Process

Thank you,

John

From: Bilal, Kari

Sent: Thursday, September 7, 2017 4:49 PM

To: Konkus, John <konkus.john@epa.gov>

Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miles, Nicole <Miles.Nicole@epa.gov>; Wilbur, Jennifer <Wilbur.Jennifer@epa.gov>

Subject: Public Affairs Review (EPA-OLEM-OBLR-17-10)

John,

The attached draft RFP was received in OLEM/ARMS for review and approval, before public release. In accordance with the “**Protocol for Office of Public Affairs Review of Draft Competitive Grant Solicitations**,” we are submitting the draft for OPA review and comment, prior to further action.

Questions/Comments on the draft may be directed to: Kari Bilal /
bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

For OLEM grants assistance & information:
<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:

<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]
Cc: Polk, Denise[Polk.Denise@epa.gov]; Konkus, John[konkus.john@epa.gov]
From: Konkus, John
Sent: Tue 9/5/2017 4:28:34 PM
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Please see direction on the grants below. The rest can move forward. Also, can you please give me an updated spreadsheet showing all the grant currently on hold for review including these below? Thank you.

NEW AWARDS: **Ex. 5 - Deliberative Process**

8/15/2017	2017-369780-1	Surveys- C	Natural Not 6/1/2017	2017-369780-1	Reducing GHG Emissions Through Energy Efficiency	Natural Resources Defense Council will pursue energy efficiency opportunities in the residential and commercial building sectors through the use of voluntary and market-based strategies. Depending on the specific market under the grant they will help develop and introduce new more efficient components or products ("pull new product to the market"); or jump-start the sales and market share of the best existing energy efficient products and practices. The long term goal of this work is to create lasting, sustainable change in the market which will in turn result in significant energy savings, reductions in greenhouse gas emissions, and reduced utility bills.
		Award of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	Resources for Defense Profit Council			

AMENDMENTS: No to this one please....

7/17/2017	2017-365890-1	Science to of Achieve Research Results and (STAR) Development Program (RD)	Georgia Tech Research Corporation Higher Learning	State 1/1/2017	2017-365890-1	Nitrogen/Wildfire Emissions & Climate/Land Use	This grant supports research to develop climate change related linkages among land use change, emissions and deposition of reactive nitrogen and air, soil and water quality. Computer models will assess climate and air quality in
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an historic period (2006-2010) and a future period (2048-2052) for expected emissions, land use changes, and potential climate changes. The project will develop an integrated, air/water quality modeling system for investigating the combined effects of land use and control policies in a changing environment.

Ex. 5 - Deliberative Process

7/19/2016	2/6/2016	Office of Research and Development Consolidated Research (CR)	University of Virginia	State of Virginia	7/1/2016	7/1/2016	Development/Application of Nitrogen Footprint	Release of nitrogen to the environment from human activities have lead to a host of environmental and human health concerns. This external N release is a consequence of human needs for food, fuel and fiber, and there is a role that individuals, institutions and communities can play in reducing N release to the environment, in addition to the traditional government regulatory framework. Researchers have recently developed important nitrogen footprint tools, including individual and institutional tools. These tools go beyond personal consumption patterns to capture the reactive N (Nr) released from the food served at dining facilities and as a result of powering buildings, labs, and hospitals. Ideally these tools would have a strong scientific base, but also would be relatively easy for decision-makers to use and understand. Institutions such as universities and colleges can use a nitrogen footprint tool to improve
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their sustainability by quantifying and reducing their nitrogen impact.

No to this one please...

7/17/2016	2016-2019	Office of Science to Achieve Results and Development Program (STAR) Program (RD)	Columbia Private R2 - Columbia University in the City of New York	11/2016-12/2018	\$1,200,000	Identifying risks from Changing U.S. PM2.5	This grant supports research to assess relationships between air pollution meteorology and particulate matter (PM). The project will lead to analyses of daily PM and its co-occurrence with air pollution meteorology for 2005 to 2065 within several U.S. regions (e.g., NE, SE, SW, NW, Midwest) to isolate the role of climate change from changing emissions of PM and precursors.
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Ex. 5 - Deliberative Process

5/31/2016	2016-2019	Office of Surveys- of Air Studies- and Investigations Radiation Demonstration and Special Purpose Activities relating to the Clean Air Act (XA)	International Council for Clean Profit Transportation	Not 8/1/2016-2019	\$1,420,000	2018 CCAC Global Green Freight Initiative	The project will support and enhance both the Climate and Clean Air Coalition/United Nations Environment Program's "Black Carbon Emissions Reductions from Heavy Duty Vehicles and Engines: Green Freight Initiative (Part 1 and Part 2)" as well as the Climate Change Working Group, part of the Strategic and Economic Dialogue with the US and China. This includes areas such as technology assessment, driver training, and other best practices for green freight programs.
-----------	-----------	---	---	-------------------	-------------	---	--

No to this one please...

8/28/2016	2016-2019	Office of Surveys- of Air Studies- and Investigations Radiation Demonstration and Special Purpose Activities relating to the Clean Air Act (XA)	Massachusetts Institute of Technology	Not 11/2016-2019	\$1,000,000	Integrated Assessment of Climate Change Mitigation, Impacts and Adaptation	This project will apply the Massachusetts Institute of Technology (MIT) Integrated Global Systems Model (IGSM) framework to provide an integrated assessment of greenhouse gases with a focus on climate change mitigation, impacts and
-----------	-----------	---	---------------------------------------	------------------	-------------	--	---

adaptation within the United States, as well as how climate impacts may affect mitigation options.

Ex. 5 - Deliberative Process

8/8/2017	8/8/2017	Office of Research and Development Consolidated Hill Research (CR)	University State of North Carolina of Chapel Hill Learning	4/1/2018	4/1/2018	Human Health Effects of Environment Pollutants	This on-site cooperative agreement will use advanced and unique systems located in the EPA Human Studies Facility at Chapel Hill to expose healthy and diseased human volunteers, as well as respiratory tract cells from these volunteers, to a wide range of pollutants, while using a variety of physiological, molecular, social and clinical techniques to detect pollutants effects.
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Ex. 5 - Deliberative Process

8/25/2017	8/25/2017	Office of Surveys- of the Studies- Administration the and Special States Purpose Grants within the Office of the Administrator (X5)	Environmental Council offor Profit	1/1/2018	1/1/2018	US Omnibus Cooperative Agreement	This comprehensive nationwide project covers a wide array of topics including: air & water quality, drinking water, toxics, planning & resource allocation, data management, compliance & enforcement, solid & hazardous waste, emerging contaminants, climate change, energy & energy efficiency, public & environmental health, state capacity building, alignment of state & EPA priorities, civil rights, EJ, oversight, partnership enhancement, legal relationships, performance measures, streamlining of state environmental business processes, innovations, new technologies, and pollution prevention.
-----------	-----------	---	------------------------------------	----------	----------	----------------------------------	---

Ex. 5 - Deliberative Process

8/29/2016 11:59 AM	North American Development Bank	Not Profit	10/1/2016 10:00 AM	Mexico Water and Wastewater Infrastructure	The Border Environment Infrastructure Fund (BEIF) program will be used to provide construction and transition assistance to eligible potable water and wastewater infrastructure projects. The projects identified in the workplan address first time drinking water and wastewater treatment service to improve human health and the environment.
--------------------	---------------------------------	------------	--------------------	--	--

-----Original Message-----

From: OGD_Grant_Reports

Sent: Tuesday, September 5, 2017 8:39 AM

To: Konkus, John <konkus.john@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Good Morning,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thanks,

Amanda Schulz

National Policy, Training, and Compliance Division Office of Grants and Debarment U.S.
Environmental Protection Agency

Phone: 202-564-7412

To: Binder, Bruce[Binder.Bruce@epa.gov]; Konkus, John[konkus.john@epa.gov]; Bilal, Kari[Bilal.Kari@epa.gov]
Cc: Lloyd, David[Lloyd.DavidR@epa.gov]; Miles, Nicole[Miles.Nicole@epa.gov]; Wilbur, Jennifer[Wilbur.Jennifer@epa.gov]; Overmeyer, Patricia[Overmeyer.Patricia@epa.gov]; Lentz, Rachel[Lentz.Rachel@epa.gov]
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202-566-1891

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Sent: Thursday, September 7, 2017 4:49 PM
To: Konkus, John <konkus.john@epa.gov>
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Questions/Comments on the draft may be directed to: Kari Bilal /
bilal.kari@epa.gov / 202-566-1891

Thank you!

Kari L. Bilal | Junior Resource Official
OLEM/OAA/IO/OPM/ARMS
202-566-1891

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<http://intranet.epa.gov/olem/grants/index.html>

For OLEM competitive grant opportunities:
<http://www.epa.gov/grants/office-land-and-emergency-management-grants-and-funding>

To: Jackson, Ryan[jackson.ryan@epa.gov]; Mandy_Gunasekara@epw.senate.gov[Mandy_Gunasekara@epw.senate.gov]; Greenwalt, Sarah[greenwalt.sarah@epa.gov]; Forsgren, Lee[Forsgren.Lee@epa.gov]; Beck, Nancy[Beck.Nancy@epa.gov]; Kelly, Albert[kelly.albert@epa.gov]; Davis, Patrick[davis.patrick@epa.gov]; Darwin, Veronica[darwin.veronica@epa.gov]; Yamada, Richard (Yujiro)[yamada.richard@epa.gov]; Traylor, Patrick[traylor.patrick@epa.gov]; Baptist, Erik[baptist.erik@epa.gov]; Flynn, Mike[Flynn.Mike@epa.gov]; Vizian, Donna[Vizian.Donna@epa.gov]
Cc: Bowman, Liz[Bowman.Liz@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/16/2017 2:42:36 PM
Subject: RE: [Revised EPA Strategic Plan Linkage Draft 10 JFD 8-15-17.docx](#)
[GAP Guiding Principles Draft Memo 080817.docx](#)

Thank you Ryan and Team. Peeling the onion back another layer, we are working to address how grant solicitations are written in the first place. Offices have been using several forms of "guidance" to draft solicitations, including a reliance on the 2014-2018 strategic plan which was implemented by the past administration. Some programs use additional internal guidance which we've found also focuses on the goals and policies of the past administration. To address how grants are written at the front end of the process, we have worked with the Office of Grants and Debarment, especially Denise Polk who is copied, to come up with the two documents attached.

- 1) A draft protocol for the entire agency to address how grant solicitations can be written without relying per se on the old strategic plan, while the new strategic plan is being written and reviewed.
- 2) A draft memo from Jane Nishida to her program and to the regions, directing them to use the new administration's goals and priorities as guidance.

Jane's memo, once approved, will serve as a template for all acting AAs and acting RAs to use to issue similar guidance to their respective grant writers.

Our long term goal is to be able to ensure grant solicitations are written in an appropriate way and that we are able to review and approve all grant solicitations before they are posted. This will allow for less back end oversight and keep the process moving in a smooth way. Within one grant cycle we should see that all grants being awarded have been written using our guidance and approved by us at the front end of the process. Until then, please continue to identify draft solicitations in your program offices and/or regional offices and forward them along so we can review, edit if necessary and approve before they are "put on the street".

Thank you all again for your help with this project.

John Konkus

-----Original Message-----

From: Jackson, Ryan
Sent: Tuesday, August 15, 2017 2:10 PM
To: Mandy_Gunasekara@epw.senate.gov; Greenwalt, Sarah <greenwalt.sarah@epa.gov>; Forsgren, Lee <Forsgren.Lee@epa.gov>; Beck, Nancy <Beck.Nancy@epa.gov>; Kelly, Albert <kelly.albert@epa.gov>; Davis, Patrick <davis.patrick@epa.gov>; Darwin, Veronica <darwin.veronica@epa.gov>; Yamada, Richard (Yujiro) <yamada.richard@epa.gov>; Traylor, Patrick <traylor.patrick@epa.gov>; Baptist, Erik <baptist.erik@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>
Cc: Konkus, John <konkus.john@epa.gov>; Bowman, Liz <Bowman.Liz@epa.gov>
Subject:

I could use your help with grant solicitations your program offices and the regions are working up to place in grants.gov

These need to be reviewed by OPA (John Konkus) before they go live.

Will you instruct your program office and the regions to provide you with a list and description of the grant solicitations they are working up?

Ryan Jackson
Chief of Staff
U.S. EPA
(202) 564-6999

To: Polk, Denise[Polk.Denise@epa.gov]
Cc: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Wed 9/20/2017 1:27:37 PM
Subject: RE: Puget Sound

Thank you!

From: Polk, Denise
Sent: Wednesday, September 20, 2017 9:25 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: Re: Puget Sound

Greetings, John,

Region 10 was notified. I will follow-up this morning. They are a few hours behind so it may be early noon before I hear back. I will be in touch on this matter as soon as I hear back. Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

On Sep 20, 2017, at 9:08 AM, Konkus, John <konkus.john@epa.gov> wrote:

Denise: Checking in on this. Thank you!

From: Polk, Denise
Sent: Wednesday, September 13, 2017 11:34 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Puget Sound

Yes, that was a mistake. R5 was on my mind. I'm sorry. R10 has already been notified.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)
Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Wednesday, September 13, 2017 11:33 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: Puget Sound

Puget Sound is in R10.

From: Polk, Denise
Sent: Wednesday, September 13, 2017 11:22 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Puget Sound

Ok, I will work with R5 and the other appropriate regions on this. Thanks.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Wednesday, September 13, 2017 9:48 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: Puget Sound
Importance: High

Denise: I am very interested in promoting this grant below. I would also like to gather all Puget Sound related awards and upcoming solicitations and put them in one file to keep them all organized.

Thank you,

01JCEBPA\$3,541,000 Puget This Base
0 R10 Puget Sound Grant is for
Sound Partnerships Puget
Partnerships National Sound
Estuary National

Programs
Base Program.
Programs
This
backbone
organization
role includes:
Program
level
financial
management;
researching
funding
opportunities;
providing
program
match for
local and
tribal
capacity
grants;
demonstrating
sound fiscal
management
practices;
Administering
the
Partnership's
Boards and
partners in
the
development
of the Action
Agenda;
Supporting
direct public
engagement;
Coordinating
and
implementing
a strategic
science
program to
support
Puget Sound
ecosystem
recovery;
Ecosystem
Assessment
and
Monitoring;
and
Reporting on
outputs and
outcomes.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Thur 9/28/2017 4:29:17 PM
Subject: FW: OGD Daily Grant Reports
Congressional Notification Daily Report Previous Day 092817.xlsx
Upcoming Competition Report 2017 Oct.xlsx
Pending Grants Report - New and Supplemental - 2017-09-28.xlsx

I'm reviewing the competition report.

All on the pending grants approved.

All on the congressional report approved BUT this one below seems to need an updated description:

8359600X5 3	EPA HQ	\$520,000.009/27/2017	Environmental Council of the States	ECOS Omnibus Cooperative Agreement	This comprehensive nationwide project covers a wide array of topics including: air & water quality, drinking water, toxics, planning & resource allocation, data management, compliance & enforcement, solid & hazardous waste, emerging contaminants, climate change, energy & energy efficiency, public & environmental health, state capacity building, alignment of state & EPA priorities, civil rights, EJ, oversight, partnership enhancement, legal relationships, performance measures, streamlining of state environmental business processes, innovations, new technologies, and pollution prevention.
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From: OGD_Grant_Reports
Sent: Thursday, September 28, 2017 11:59 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders,

LaTonya <Sanders.Latonya@epa.gov>

Subject: OGD Daily Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; 2) the daily Congressional Notification report; and 3) the monthly Upcoming Competition Report.

Because this is the last week of the fiscal year and we recognize that many grant actions are being created on a daily basis, please be advised that OGD will be submitting daily Pending Reports to OPA for review in order to process actions more quickly. Therefore, until Friday 9/29/2017, you will receive the Pending Report with the Congressional Notification Report on a daily basis, as well as a determination for any actions that receive concurrence to move forward or holds related to each report.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental	William Etheredge, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday

	Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u> Bruce Binder <u>binder.bruce@epa.gov</u>
Upcoming Competition Report	The purpose of this report is to show information on all competitive grant funding opportunity announcements expected to be posted by headquarters and regional program offices in the next month.	Daily Monthly, at the end of the month

Thank you,

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

Congressional Notification Daily Report for Awards Signed
9/27/17

Grant No	Program Code	Awarding Region Code	EPA Amount This Action: This	Award Date	Applicant Name
83675301-2	X7	EPA HQ	\$28,005.00	9/27/2017	Purdue University

83582601-3	RD	EPA HQ	\$53,290.00	9/27/2017	Board of Trustees University of Illinois - Urbana-Champaign
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83587001-3	XA	EPA HQ	\$60,000.00	9/27/2017	The Regents of the University of CA - Davis
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83577501-3	X1	EPA HQ	\$163,896.00	9/27/2017	Northern Arizona University
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83590301-4	WH	EPA HQ	\$230,000.00	9/27/2017	United States Endowment For Forestry And Communities Inc
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83922101-0	OS	EPA HQ	\$299,962.00	9/27/2017	Rutgers The State Univ of NJ
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83619601-1	X7	EPA HQ	\$300,000.00	9/27/2017	Association of Clean Water Administrators
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83596001-3	X5	EPA HQ	\$520,000.00	9/27/2017	Environmental Council of the States
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99171122-0	CE	EPA R1	\$600,000.00	9/27/2017	University of New Hampshire
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00J88701-4	DS	EPA R10	\$25,000.00	9/27/2017	OR Dept. of Environ. Quality
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00J43706-1	RP	EPA R10	\$35,000.00	9/27/2017	Orutsarmiut Traditional Native Council
01J30501-0	A	EPA R10	\$53,338.00	9/27/2017	Confederated Tribes of the Chehalis Reservation
97004603-6	V	EPA R10	\$67,052.00	9/27/2017	Confederated Tribes of Siletz Indians
01J36501-0	NE	EPA R10	\$91,000.00	9/27/2017	Wilderness Science Education
00J80401-3	TX	EPA R10	\$148,458.00	9/27/2017	Nez Perce Tribe
00J67701-6	DI	EPA R10	\$165,000.00	9/27/2017	Confederated Tribes and Bands of the Yakama Nation

00J12802-5	BG	EPA R10	\$296,462.00	9/27/2017	Tulalip Tribes of Washington
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98055709-2	A	EPA R10	\$332,019.00	9/27/2017	Lane Regional Air Protection Agency
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01J35501-0	DS	EPA R10	\$340,614.00	9/27/2017	ID Dept. of Environmental Quality
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00051118-0	E	EPA R10	\$445,050.00	9/27/2017	Oregon Dept of Agriculture
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97240817-2	L	EPA R2	\$27,339.00	9/27/2017	Seneca Nation of Indians
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96266117-0	EQ	EPA R2	\$30,000.00	9/27/2017	Cooper's FerryPartnership
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96266417-0	NE	EPA R2	\$80,607.00	9/27/2017	University of Puerto Rico at Arecibo
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96269117-0	RP	EPA R2	\$110,079.00	9/27/2017	Seneca Nation of Indians
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96266617-0	BF	EPA R2	\$200,000.00	9/27/2017	Camden Redevelopment Agency
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96266717-0	BF	EPA R2	\$350,000.00	9/27/2017	Camden Redevelopment Agency
99201823-0	LC	EPA R2	\$365,000.00	9/27/2017	NYS Dept of Environmental Conservation
96290201-4	PM	EPA R2	\$523,645.00	9/27/2017	New Jersey Dept of Environmental Protection
96263917-0	LI	EPA R2	\$1,400,000.00	9/27/2017	New York City Dept. of Environmental Protection
00214818-0	A	EPA R2	\$7,889,620.00	9/27/2017	NYS Dept of Environmental Conservation

96336601-5	CB	EPA R3	\$470,000.00	9/27/2017	MD Dept of Natural Resources
00D67317-0	X7	EPA R4	\$14,988.00	9/27/2017	North Carolina State University
00D51417-1	L	EPA R4	\$21,000.00	9/27/2017	Mississippi Band Of Choctaw Indians
00D52117-0	MX	EPA R4	\$22,162.00	9/27/2017	MobileCounty - Mobile County Master Gardener Association
00D66117-0	K1	EPA R4	\$102,572.00	9/27/2017	University of Georgia Research Foundation Inc
00D66517-0	X7	EPA R4	\$109,394.00	9/27/2017	Trustees of Indiana University
00D31515-3	BG	EPA R4	\$318,000.00	9/27/2017	Seminole Tribe of Florida

96446917-1	LS	EPA R4	\$429,000.00	9/27/2017	TN Dept of Environment and Conservation
00E02326-0	XA	EPA R5	\$25,000.00	9/27/2017	Reach Out of Montgomery County Inc
00E02283-0	EQ	EPA R5	\$30,000.00	9/27/2017	Near Northwest Neighborhood Inc.
00E67504-0	K1	EPA R5	\$46,362.00	9/27/2017	Leech Lake Band of Ojibwe
00E02206-0	1	EPA R5	\$50,000.00	9/27/2017	Pine River Citizen Task Force

96248411-1	RP	EPA R5	\$63,564.00	9/27/2017	Leech Lake Band of Ojibwe
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00548918-0	BG	EPA R5	\$189,775.00	9/27/2017	Illinois Department of Agriculture
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02E01496-0	BG	EPA R5	\$212,300.00	9/27/2017	Pokagon Band of Potawatomi Indians
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98503613-0	BG	EPA R5	\$212,300.00	9/27/2017	Hannahville Indian Community Council
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00537218-0	BG	EPA R5	\$219,775.00	9/27/2017	Minnesota Department of Agriculture
00E02327-0	V	EPA R5	\$285,992.00	9/27/2017	Illinois Environmental Protection Agency
00E66604-0	DS	EPA R5	\$394,838.00	9/27/2017	Michigan Dept of Environmental Quality
98568811-6	BG	EPA R5	\$536,924.00	9/27/2017	Minnesota Pollution Control Agency
00E02220-0	GL	EPA R5	\$599,905.00	9/27/2017	Chicago Park District

00E02298-0	BF	EPA R5	\$600,000.00	9/27/2017	Green Era Educational NPP
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00591717-0	C9	EPA R5	\$2,094,400.00	9/27/2017	Wisconsin Department of Natural Resources
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97550717-4	BG	EPA R5	\$2,094,400.00	9/27/2017	Wisconsin Department of Natural Resources
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01F15101-1	I	EPA R6	\$15,612.00	9/27/2017	Pueblo of Santa Clara
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01F31601-0	I	EPA R6	\$82,301.00	9/27/2017	Absentee Shawnee Tribe of Indians of Oklahoma
01F22401-1	L	EPA R6	\$208,258.00	9/27/2017	Cherokee Nation
98709715-1	PB	EPA R7	\$19,960.00	9/27/2017	Nebraska Department of Health and Human Services
97761501-0	EQ	EPA R7	\$30,000.00	9/27/2017	Clinton Substance Abuse Council
99720420-1	PB	EPA R7	\$31,796.00	9/27/2017	Missouri Department of Health and Senior Services
97761901-0	TX	EPA R7	\$70,882.00	9/27/2017	Sac & Fox Tribe of Mississippi in Iowa
97762801-0	TX	EPA R7	\$80,000.00	9/27/2017	Kickapoo Tribe in Kansas
97762601-0	NE	EPA R7	\$91,000.00	9/27/2017	Climate and Energy Project Inc.
97735603-1	RP	EPA R7	\$107,130.00	9/27/2017	Kickapoo Tribe in Kansas

97763101-0	CD	EPA R7	\$107,424.00	9/27/2017	Mid America Regional Council
97763201-0	CD	EPA R7	\$157,686.00	9/27/2017	Curators of the University of Missouri
97763701-0	UW	EPA R7	\$160,000.00	9/27/2017	Heartland Conservation Alliance Inc.
97760701-0	DS	EPA R7	\$232,664.00	9/27/2017	Kansas Department of Health and Environment
99733113-0	BG	EPA R7	\$755,160.00	9/27/2017	Nebraska Department of Agriculture
99751617-0	FS	EPA R7	\$9,167,355.00	9/27/2017	Kansas Department of Health and Environment
20000117-0	CS	EPA R7	\$11,967,000.00	9/27/2017	Kansas Department of Health and Environment
99762917-0	FS	EPA R7	\$16,637,000.00	9/27/2017	Missouri Department of Natural Resources
29000122-0	CS	EPA R7	\$36,754,000.00	9/27/2017	Missouri Department of Natural Resources
96842501-0	TX	EPA R8	\$43,650.00	9/27/2017	Spirit Lake Tribe

96843101-0	BF	EPA R8	\$200,000.00	9/27/2017	Spirit Lake Tribe
96842918-0	L	EPA R8	\$424,000.00	9/27/2017	CO Department of Labor & Employment
99876217-0	FS	EPA R8	\$8,241,000.00	9/27/2017	SD Department of Environment and Natural Resources
99T64501-0	NE	EPA R9	\$91,000.00	9/27/2017	Sierra Streams Institute

00T81118-1	BG	EPA R9	\$100,000.00	9/27/2017	Washoe Tribe of NV & CA
00T62701-5	X4	EPA R9	\$102,500.00	9/27/2017	Border Environment Cooperation Comm.
99T43401-1	GA	EPA R9	\$146,000.00	9/27/2017	Cortina Band of Wintun Indians
99T67401-0	BF	EPA R9	\$200,000.00	9/27/2017	White Mountain Apache Tribe Housing Authority
99T70001-0	DE	EPA R9	\$639,670.00	9/27/2017	Bay Area AQMD

99T70201-0	DE	EPA R9	\$692,252.00	9/27/2017	City of Phoenix
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99T69701-0	DE	EPA R9	\$1,050,000.00	9/27/2017	South Coast AQMD
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99T56001-0	DE	EPA R9	\$2,423,448.00	9/27/2017	City of Long Beach - Harbor Dept
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on Daily Report for Awards Signed
9/27/17

Project Title	Project Description
Transforming Ag Drainage to Reduce Nutrient Losses: Strengthening Collaboration to Achieve Results	Reducing nutrient losses from tile-drained land in the Mississippi River Basin will require both innovative drainage practices and strong collaborations to advance a new drainage management vision. Purdue University has been working on an eight state project, known as Transforming Drainage, to advance a set of innovative drainage retention practices (drainage water recycling, saturated buffers, controlled drainage) that store drained water in the landscape and can reduce nutrient loading from tile drained land. Purdue University propose to expand the spatial coverage of the Transforming Drainage Team, strengthen interactions with drainage professionals, and build a more direct link to state nutrient reduction strategies, through two primary objectives: 1. Expand the spatial coverage and impact of the Transforming Drainage Team to include Illinois and 2. Strengthen the capacity of state nutrient reduction strategies to achieve nutrient load reductions on drained agricultural land.
UV & Solar- Based Disinfection for Reclaimed Water	The project will determine the molecular mechanisms responsible for virus inactivation; determine factors required for effective virus inactivation by natural sunlight and UVC; and develop pond and UVC design guidelines to achieve reliable virus inactivation and elucidate trade-offs across and within dimensions of sustainability.

STEPS 2015-2018: Understanding Critical Dynamics for Sustainable Transportation	<p>The Institute of Transportation Studies at the University of California - Davis, through the study "STEPS 2015-2018: Understanding Critical Transition Dynamics for Sustainable Transportation," is to conduct a four-year multi-disciplinary research and outreach program leading to a better understanding of the critical factors and dynamics for making a transition to a sustainable transportation system.</p> <p>Research conducted under this study will produce research reports, email briefings, and blog postings. Additionally, the program will host annual symposiums and workshops to review research outputs from the program (note: EPA funding will not be used for conference funding.)</p> <p>EPA funding will be part of a consortium of sponsors that includes other federal agencies (DOT, DOE), state/local government agencies, and major automotive/energy companies.</p>
Solid Waste Management Program Tribal Support	<p>This project will fund trainings, including the Tribal Lands and Environment Forum (TLEF), for tribal co-regulators. Project One includes coordinating and providing technical assistance and research support for tribal members of the Tribal Waste and Response Assistance Program National Steering committee (TWRAP-SC) to assist in promoting preservation and restoration of lands in Indian country, improving water quality and drinking water safety, and addressing policy-analysis needs of tribes at the national level.</p>
Accelerating Freshwater Ecosystem and Watershed Conservation	<p>The purpose of this project is to accelerate and expand the strategic protection of healthy freshwater ecosystems and their watersheds across the country. The United States Endowment for Forestry and Communities will manage a cooperative agreement that will advance the protection of healthy watersheds by supporting an array of subaward projects that assess, identify, communicate the value, and demonstrate protection of these watersheds.</p>
FY2017 National Environmental Information Exchange Network Grant Program	<p>This project will utilize EPA's current continuous water monitoring exchange framework (WaterML 2.0 and SensorML formats) to share continuous water quality data and its associated metadata; will encode continuous air quality sensor data based on the same procedures and framework established for continuous water quality data; will configure data flows and test the Extensible Markup Language (XML) schema for sharing discrete seasonal water quality data through EPA's WQX system; and, will configure data flows and test existing XML schema to upload Facility Registry data and location via the Virtual Exchange Services to EPA's Facility Registry Service.</p>

Technical Assistance - Water Protection Agencies	This project will facilitate state identification and implementation of improvements to the National Pollutant Discharge Elimination System, Total Maximum Daily Load, water quality monitoring and assessment, nonpoint source, effluent guidelines and water quality standards programs to more effectively protect public health and the environment. The grantee will also promote knowledge transfer to states and state-to-state information exchange. These efforts to represent and advise on behalf of states will further a shared state/EPA strategic vision and identification of priority areas for the focus of the nation's Clean Water Act programs. These efforts also will ensure that state water regulators are fully informed of regulatory, policy, and programmatic initiatives so that they are better prepared to manage their Clean Water Act programs effectively.
ECOS Omnibus Cooperative Agreement	This comprehensive nationwide project covers a wide array of topics including: air & water quality, drinking water, toxics, planning & resource allocation, data management, compliance & enforcement, solid & hazardous waste, emerging contaminants, climate change, energy & energy efficiency, public & environmental health, state capacity building, alignment of state & EPA priorities, civil rights, EJ, oversight, partnership enhancement, legal relationships, performance measures, streamlining of state environmental business processes, innovations, new technologies, and pollution prevention.
Piscataqua Region Estuaries Partnership Year 22 Workplan	As directed by Section 320 of the Clean Water Act (CWA), this project under the National Estuary Program implements recommendations of the Piscataqua Region Estuaries Partnership (PREP) Comprehensive Conservation and Management Plan (CCMP) to restore and maintain the chemical, physical, and biological integrity of the estuary through tasks outlined in the annual workplan. Activities include assessing water quality trends, natural resources restoration, coordinating implementation of the CCMP by the states as well as federal and local agencies and monitoring the effectiveness of actions.
School Bus Replacement Project	In this project, Oregon Department of Environmental Quality (ODEQ) will oversee the purchase and installation of diesel oxidation catalysts (DOCs) on four older dump trucks that were used as haul vehicles on a former military installation on which buildings containing asbestos were demolished with the contaminated building materials widely distributed over the site.

FY 2018 ONC Tribal Response Program.	This project provides funding for the Orutsararmiut Native Council (ONC) tribal response program that includes timely survey and inventory of brownfield sites; oversight and enforcement authorities to ensure that response actions protect human health and the environment; resources to provide meaningful public involvement; mechanisms for approval of cleanup plans and verification of complete responses. Additionally, their work will involve adding dynamic features to their online inventory, and continuing to pursue derelict vessel removal.
Chehalis Tribe Indoor Air Program	This project continues to assess air pollution levels, sources and impacts on the Chehalis Reservation, increasing awareness of the causes and prevention of air pollution through education and outreach to the tribal community regarding the Federal Air Rules on Reservations under the Clean Air Act; and providing training on air pollution and related topics to Chehalis Natural Resources Department staff members; focus on woodstoves and health complaints to better understand and eventually improve local air quality. The project also focuses on indoor air quality.
EPA Cooperative Agreement - Portland Harbor Superfund Site	Cooperative Agreement for Government to Government participation of the Siletz Tribe in CERCLA activities of USEPA and State Cleanup Authority at the Portland Harbor Superfund Site.
CREST-Central Idaho Rural Environmental Stewardship Team	This project will create a sustainable Central Idaho watershed program that reaches students, trains educators, and engages the community using place-based restoration projects in schools, range and forest locations on private and public land. The applicant will target 550 K-12 public school students and teachers in six rural, mountain communities. Each student group will work on a place-based watershed education project. Also the students will share the results of their projects to other rural schools, present at several conferences and share digital storytelling videos.
Nez Perce Tribe Air Quality Project	This project furthers the ability of the Nez Perce Tribe to protect air quality in the Clearwater Airshed through air quality ? related projects involving public outreach, participation in regional/national air quality policy development, conducting air quality education, and upgrading the existing monitoring network capabilities
Yakama Direct Implementation Tribal Cooperative Agreements	The Yakama Nation will assist EPA with outreach and education of the Federal Air Rules for Indian Reservations (FARR) to Reservation Communities, compliance assurance, complaint response, source registration, and other Clean Air Act (CAA) Implementation activities with EPA guidance.

Tulalip Performance Partnership Grants (PPG)	A pilot project aimed towards building the capacity of Tribes to address Asthma related issues on Tribal Lands. Training and capacity built within this project will be beneficial to pass onto other Tribes. Continuity and growth in wetland and aquatic resource program continues planning by updating the EPA-approved Tulalip Wetland Protection Program (WPP 2013-2019). This proposal continues to build on the science-based foundation through the development of standards, recommendations, and best management practices for wetland activities. Wetlands competitive funding awarded under PA-R10-WPDG-17-01 under this action
CAA-105 Base Grant	This assistance will provide support for a continuing environmental program necessary to meet national ambient air quality standards in Lane County, Oregon. These funds will support programs that include monitoring, program planning and implementation, outreach, education, enforcement and compliance activities.
State Clean Diesel Program	This project will install 10 diesel oxidation catalysts (DOCs), 10 closed crankcase ventilators (CCV), 10 fuel operated heaters (FOH), and 20 diesel particulate filters (DPF) on miscellaneous vehicles and equipment and replace 2 buses. This project will reduce diesel engine emissions of nitrogen oxides, particulate matter, carbon monoxide, hydrocarbons, and carbon dioxide.
Pesticide - ODA FY 2018 Cooperative Agreement	This cooperative agreement is designed to continue implementation of pesticide regulatory and enforcement programs that could include, but are not limited to, activities such as compliance monitoring, enforcement, certification and training for pesticide applicators, water quality protection, worker protection, and outreach and education. This agreement will result in the enhanced protection of human health and the environment.
FY17-FY19 Seneca Nation Prevention Agreement	This agreement provides assistance to the Seneca Nation to implement its program for the prevention of leaking underground storage tanks. The objectives of this program include: (1) encouraging owners and operators to properly operate and maintain their Underground Storage Tanks; (2) ensuring owners and operators monitor Underground Storage Tanks in accordance with the regulations; and (3) developing the Nation's program to operate in lieu of the Federal program. These objectives protect the environment by preventing petroleum and hazardous substance releases.

Camden Groves to the Estuary

This project will promote a greater public awareness/understanding of local environmental issues affecting low income residents of Camden, New Jersey. Cooper's Ferry Partnership will train and engage residents about air quality concerns and local waterway contamination. Specifically, the recipient seeks to abate both direct stormwater flows and combined sewer overflows that result from excessive stormwater, and air quality concerns through tree planting and green infrastructure to reduce pathogens and environmental contaminants in the local waterways that harm the community's air quality.

Arecibo Community Environmental Education Center

This grant provide financial assistance to the University of Puerto Rico at Arecibo to establish a Community Environmental Education Center that will aim to provide the Arecibo region community with the necessary tools to develop informed, knowledgeable and responsible citizens by designing environmental activities that include collection and analysis of environmental data.

Seneca Nation of Indians Brownfield Program

The objective of this project is to assist in remediating and redeveloping brownfields sites through the creation of the Tribe's response program. Specifically, the Seneca Nation of Indians will perform an inventory of brownfields sites, conduct targeted brownfields site assessments, and create a public record system. The activities to be performed will result in increased environmental benefits, specifically cleaning up and putting back into use contaminated sites.

Brownfields Cleanup Grant -This award provides funding to the Camden Harrison Avenue Lots 13-17 Redevelopment Agency for cleanup of Hazardous Substances at the Harrison Avenue Landfill Lots 13-17 brownfields site. "Brownfields" are properties, whose expansion, redevelopment or reuse may be complicated by the presence of hazardous substances, or other pollutants or contaminants. Once the site is cleaned up Camden Redevelopment Agency and the public will benefit from the future redevelopment of the site. During the life of the project, Camden Redevelopment Agency will also involve residents and other stakeholders surrounding the sites by holding community meetings and sharing written information.

Site-Specific Hazardous BF Assessment - 7th & Kaighn Under this Cooperative Agreement, the Camden Redevelopment Agency will develop and implement a site-specific program to assess the 7th & Kaighn "brownfield" property whose expansion, redevelopment or reuse may be complicated by the presence of hazardous substances. This program will target the 7th & Kaighn site to encourage redevelopment. The Camden Redevelopment Agency will involve residents and other stakeholders surrounding the site by holding community meetings and sharing information.

Lake Champlain Management Program Grant 2017 This agreement provides support to the recipient to implement New York's portion of the Lake Champlain Management Plan. This project also provides for the continuation of the ongoing long term water quality and biological monitoring program, which detects environmental changes in the Lake. These data will assist in developing and supporting management decisions and evaluating pollution reduction implementation plans.

FFY-17/18 NJDEP PM2.5 This will provide continued funding to the New Jersey State Department of Environmental Protection to operate and maintain its air quality monitoring network which measures the levels of very fine particulate matter. This work will involve air quality sampling and generation of representative air quality data.

LIS Eutrophication Modeling The New York City Department of Environmental Protection (DEP) aims to develop an integrated water management planning and assessment model for the Long Island Sound (LIS). Project activities include: 1) support a Technical Advisory Committee (TAC) to review modeling objectives, goals and requirements, and to assess the water quality, sediment and ecosystem data that will be needed to run, calibrate, and validate the model(s); 2) develop, calibrate and validate a hydrodynamic model, conducting additional observations as needed; and 3) develop, calibrate and validate a water quality model that will be coupled to the hydrodynamic model, conducting additional observations as needed.

Section 105 Air Pollution Control Program for State Fiscal Year 2017/2018 This agreement will provide assistance to the New York State Department of Environmental Conservation in its efforts to implement air pollution control programs throughout the State of New York, including continuing development and implementation of stationary source regulations; continuing promulgation and update of enhanced mobile source regulations; improvement of emission inventories for modeling simulations; and operation of a monitoring network to collect air data. These activities are to improve and maintain the public's air quality.

CBIG III	This amendment increases federal funds by \$470,000 for continued efforts to restore water quality and habitats and decrease excess nutrients and sediment loads to the Bay watershed; updates the grant specialist to reflect Kelly Rakus, replacing Julie Dietrich; and updates the terms and conditions of the award.
Surveys-Studies-Investigation Grants and Cooperative Agreements	This action approves an award in the amount of \$14,988 to the University of North Carolina State to conduct a three day course on highly specialized hydric soil training for State partners to understand their role in regulating the deposition of fill material by administering a State Water Quality Certification under specific authority granted by the Clean Water Act under Section 401.
State Underground Storage Tanks Program	This agreement provides assistance to the Mississippi Band of the Choctaw Indians for LUST prevention assistance agreements for all aspects of the Tribal prevention program, e.g., developing inspection capacity. To help prevent future releases, the EPA will work with tribes to develop their capacity to administer UST programs.
Gulf of Mexico Program	This action approves an award in the amount of \$22,162 to Mobile County Master Gardener Association for the restoration of pollinator habitat in coastal Alabama. The project will also provide educational materials and programs to educate the public and school children about the benefits of pollinators.
State Indoor Radon Education Program	This action provides partial funding in the amount of \$102,572 to support the University of Georgia Foundation Inc. to continue developing and implementing the state Radon program. Funding will be used to continue educating the public about the dangers of indoor radon exposure and actions that can be taken to reduce elevated radon levels in homes, schools, and workplaces through a variety of approaches.
Surveys-Studies-Investigation Grants and Cooperative Agreements	This action approves an award in the amount \$109,394 to Trustees of Indiana University to design, development, test, and host their Online-Boaters course and administer its learning management system as a mandatory course for boaters in the South Florida waters in order to protect coral areas.
Performance Partnership Grants	Performance Partnership Grant funding supports tribal efforts to establish an environmental presence and to build capacity for managing environmental programs through staff training, ordinance and permitting development, compliance assistance, education, outreach, water quality monitoring and assessment and standards compliance and evaluation, and non-point source restoration and prevention.

Leaking Underground Storage Tank Trust Fund Program	This recommendation approves an additional amount of \$429,000 to assist the State of Tennessee in the further assessment and/or closure of sites contaminated by leaking USTs containing petroleum.
Riverside Community Paramedic Program	Reach Out of Montgomery County Inc. will implement the Riverside Community Paramedic Program. The goals of the program are to reduce respiratory emergency management service (EMS) runs, expand a preventative health program with area hospitals, and improve respiratory health in Riverside, Ohio. Nurses and EMS staff will educate residents on indoor air pollutants including radon, carbon monoxide, asthma triggers, as well as other home and personal safety issues. Reach Out will also partner with other health organizations to fully integrate a Community Paramedic Program.
Community Health Outreach	The aim of this project is to address high rates of lead exposure among children in the Near Northwest Neighborhood of South Bend. By partnering with the local health department, the organization will provide lead education and screening opportunities to 75 percent of families in the neighborhood with children under the age of 7. Outreach and education will be conducted through a variety of efforts including going door-to-door, holding public meetings, and engaging with local schools and churches. In December 2016, it was reported that 31.3% of children in this Census tract tested over the blood level of 5 micrograms per deciliter. In addition, over 48% of the residents in NNN live below the poverty line.
Radon Program	The Leech Lake Band will reduce community member exposure to radon gas in their residences, schools, and places of business through radon testing, mitigation assistance, promoting radon resistant new construction in Leech Lake housing projects, promoting radon awareness and providing testing and mitigation training.
Velsicol Burn Pit	This Grant Agreement to the Pine River Task Force will allow for the hiring of a Technical Advisor who will explain to the residents in the area how the U.S. Environmental Protection Agency (EPA) proposes to rectify the contamination at the Velsicol Burn Pit in St. Louis, Michigan.

Leech Lake Band of Ojibwe Tribal Response Program This project provides funding for Leech Lake Band of Ojibwe (LLBO) response program that includes timely survey and inventory of brownfield sites; oversight and enforcement authorities to ensure that response actions protect human health and the environment; resources to provide meaningful public involvement; mechanisms for approval of a cleanup plans and verification of complete responses. Additionally their work will include assessment of eligible brownfield sites, updating inventory and their HSCA (Hazardous Substances Control Act) and other Brownfields program guidance.

Performance Partnership Grant This Cooperative Agreement to the Illinois Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this Cooperative Agreement will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the State/Federal pesticide regulatory enforcement programs. The State will also address compliance assistance for regulated communities with new or problematic areas.

Pokagon Band Performance Partnership Project This Grant Agreement to the Pokagon Band of Potawatomi Indians will enable the Band to plan, develop, establish the capability for implementing environmental protection programs, and continue to implement water resource programs. This Performance Partnership Grant combines funding under the Clean Water Act, Section 106, and the General Assistance Program.

PERFORMANCE PARTNERSHIP GRANTS This Performance Partnership Grant (PPG) between the United States Environmental Protection Agency and Hannahville Indian Community provides funding for the operation of the Hannahville Indian Community's continuing environmental programs. This agreement will assist Hannahville Indian Community in improving environmental performance management of the environmental office, projects, and programs.

Pesticide Enforcement, Applicator Certification, Ground Water, and Worker Protection Programs	This Cooperative Agreement to the Minnesota Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this Cooperative Agreement will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the State/Federal pesticide regulatory enforcement programs. The State will also address compliance assistance for regulated communities with new or problematic areas.
Beloit Corporation Superfund Site	This Cooperative Agreement to the Illinois Environmental Protection Agency provides funding in the amount of \$285,992 from the Special Accounts. The funding allows the State to work on Operation & Maintenance of the Groundwater Treatment System, maintenance of residential carbon units, perform semi-annual Groundwater Sampling and preparation and submission of plans, and Discharge Monitoring Reports (DMRs).
STATE CLEAN DIESEL GRANT PROGRAM	The Michigan Department of Environmental Quality (MDEQ) will administer its program to reduce diesel emissions with projects that bring about the early replacement of diesel vehicles and equipment from public and private entities in the state of Michigan. The MDEQ will also solicit diesel engine emission reduction projects involving select eligible idle reduction technologies, involving fuel operated heaters for trucks or school buses.
Performance Partnership Grant Federal Fiscal Years 2017-2020	The Minnesota Pollution Control Agency will continue implementing a variety of environmental programs under this Performance Partnership Grant (PPG). Some of these activities include the Clean Air Act Section 105 program, surface and groundwater monitoring program under the Clean Water Act (CWA) Section 106, Hazardous Waste Management/RCRA, Toxics Substance Compliance Monitoring, CWA 319 Non-Point Source Technical Assistance, Pollution Prevention (P2) and the Multipurpose grant. This PPG enables for flexibility in directing resources to achieve maximum environmental benefit.
Controlling Invasive Plants Along Chicago's South Lakefront	The Chicago Park District will treat, remove, and control invasive plant species along Chicago's South Lakefront (77 acres will be controlled). The project includes planting native species to enhance habitat and biodiversity.

Green Era Site Cleanup at a proposed Anaerobic Digester Facility in Chicago, IL PIN-20-33-119-024

The United States Environmental Protection Agency is executing this cooperative agreement for the Green ERA nonprofit to remediate three sites in Chicago, Illinois. These three clean-up grant parcels are part of one remediation and redevelopment project. The remediation will allow the redevelopment of the site into an anaerobic digester/renewable biogas facility that will break down food waste without oxygen, generating biogas and nutrient-rich soil.

FY17 Nonpoint Source 319 Watershed Project

The purpose of the workplan is to fund qualifying Clean Water Act Section 319 Non-Point Source (NPS) implementation core activities and programs for the State of Wisconsin. The Wisconsin Department of Natural Resources (WDNR) is proposing to address NPS pollution within the State by identifying projects and activities that will promote the development and implementation of watershed-based plans, administer and provide cost-sharing grants to fund NPS Best Management Practices (BMP), and monitor and assess waters for NPS impairments.

PERFORMANCE PARTNERSHIP GRANT

This agreement provides funding for the operation of the WDNR's continuing environmental programs while giving it greater flexibility to address its highest environmental priorities, improve environmental performance, achieve administrative savings and strengthen the partnership between WDNR and EPA. This agreement funds statewide programs to: promote the development and implementation of watershed based plans and state management plans, and to address water quality improvements and impairments.

The work includes outputs and outcomes associated with managing continuing environmental programs which include activities to protect and maintain Wisconsin's water resources.

Santa Clara Pueblo CWA Sec106 FY17-18

This program assists Santa Clara Pueblo in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources. Santa Clara Pueblo will collect data to assess surface water quality to determine whether the needs regarding the quality of the water are being met, monitor any changes in the quality and/or condition of the nation's water, and understand and define the function and health of the stream ecosystem to develop a thorough watershed management program.

Absentee Shawnee Water Quality Monitoring FY18	This program assists Absentee Shawnee in establishing and maintaining adequate measures for prevention and control of surface and ground water pollution from both point and nonpoint sources. Absentee Shawnee will collect data to assess surface water quality to determine whether the needs regarding the quality of the water are being met, monitor any changes in the quality and/or condition of the nation's water, and understand and define the function and health of the stream ecosystem to develop a thorough watershed management program.
Inter-Tribal Environmental Council (ITEC) - Underground Storage Tanks (UST)	The objective of the program is to continue development of UST systems and processes that will provide adequate compliance assistance for UST activities at all ITEC member tribes and tribes in Oklahoma, including outreach and training.
Nebraska FY18 Lead Paint Certification/Enforcement Program	Funds are used to implement a comprehensive lead-based paint program to reduce the risk of childhood lead poisoning.
Clinton County Safe Medication Disposal Project	The primary purpose of the proposed project is education of the community to better understand environmental and public health issues related to the improper disposal of medication, and identify ways to address these issues at the local level.
Missouri 2018-2020 Lead Licensing and Accreditation Program	Funds will be used for the 2018 comprehensive lead-based paint program to reduce the risk of childhood lead poisoning.
Meskwaki Tribal Air Program	The Meskwaki will continue monitoring air quality through their meteorological station and conduct indoor air quality assessments in tribal homes.
Clean Air for the Kickapoo Tribe in Kansas	The Kickapoo Tribe will maintain their existing ambient air monitoring program and will educate tribal members about indoor air quality, especially asthma.
Expanding Environmental Leadership and Education in Kansas	This award funds training in environmental leadership in the state of Kansas.
Kickapoo Tribe in Kansas Fiscal Year 16-17 Tribal Response	This agreement provides funding for the Kickapoo Tribe in Kansas Tribal Response Program that includes timely survey and inventory of brownfield properties; oversight and enforcement authorities to ensure that response actions protect human health and the environment; resources to provide meaningful public involvement; mechanisms for approval of a cleanup plans and verification of complete responses. Tribal Emergency Response Plan update and participation in the Tribal Emergency Response Committee are related activities and are part of the agreement.

Building an Effective and Economical Infrastructure Plan for Metro Kansas City	<p>Promote public awareness of the value and importance of wetlands and create a plan for effective and economical infrastructure throughout the metro area.</p> <p>This provides incremental funding. Full Federal funds may be provided at a later date.</p>
Integrated Decision Support for Wetland Systems	<p>To develop an integrated wetlands assessment methodology using geographic information system to enhance the Missouri Wetland Program Plan.</p> <p>This is an increment of Federal funds. Full funding will be provided at a later date.</p>
Middle Blue River Urban Waters Ambassador	<p>The Urban Waters Middle Blue River Ambassador will promote and develop opportunities for broad scale conservation and restoration projects to improve water quality.</p>
Kansas Clean Diesel Program 2017	<p>The state will implement a school bus replacement rebate program expecting that no fewer than five buses from eligible school districts will be replaced.</p>
2018 - 2021 Nebraska Pesticide Performance Partnership Grant	<p>Funds assist State in ensuring protection of human health and the environment from risks resulting from pesticide production, use and disposal.</p> <p>This award provides partial federal funds, further funding may be provided at a later date.</p>
2017 KS Drinking Water State Revolving Fund	<p>These funds will be used to provide loans for drinking water infrastructure costs in the State of Kansas under the Safe Drinking Water Act.</p> <p>This award includes EPA in-kind funding of \$224,645 in contractual support for their Area Wide Optimization Program and \$9,167,355 in Federal Funds.</p>
Kansas 2017 Clean Water State Revolving Loan Fund	<p>These funds will provide loans for wastewater infrastructure costs in the State of Kansas under Title VI of the Clean Water Act.</p>
FFY 2017 Drinking Water State Revolving Fund Capitalization Grant	<p>These funds will be used to provide loans for drinking water infrastructure costs in the State of Missouri.</p>
FFY2017 Clean Water State Revolving Fund Capitalization Grant	<p>These funds will be used to provide loans for wastewater infrastructure costs in the State of Missouri.</p>
Spirit Lake FY18 CAA Section 103 Grant	<p>The objectives of this program is to develop the capability to manage specific programs and establish a core program for environmental protection. The specific project activities work toward the development of the technical and regulatory capacity of the Air Program. Specific program elements include; indoor air monitoring, outreach, training, program evaluation, and emissions inventory..</p>

Spirit Lake Tribe Brownfields Assessment and Cleanup	This assistance agreement will provide funding to the Spirit Lake Nation to cleanup the Sheyenne Relocatable Homes. The objectives of the cleanup are to remove hazardous substance from the buildings and eliminate the potential for direct contact exposures. Brownfields are real property, the expansion, development or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant.
Colorado FY18/19 LUST Prevention	This award provides funds to Colorado to ensure that owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations.
Cap grant for South Dakota Drinking Water State Revolving Fund loan program FY17	This award provides funds to assist the State of South Dakota to 1) establish a program that provides a long-term source of financing for the costs of infrastructure needed to achieve or maintain compliance with the Safe Drinking Water Act requirements and to protect public health; and 2) finance the drinking water State Revolving Fund program.
ENVIRONMENTAL EDUCATION - Center for Environmental and Citizen Science	<p>The grantee, in partnership with the Nevada County Superintendent of Schools, will develop an environmental education center at the Woolman Property near Nevada City, CA to serve as a county-wide and regional environmental education resource for students and educational training for teachers. Grantee will adapt existing environmental education curriculum to reflect local environmental and historical concerns including long-term impacts of mining and extractive industries, the ecological importance and restoration needs of the local watershed, and the history of survival and adaptation of Native American tribes in the area. Activities will include team building, pond studies, restoration and invasive species removal, and phenology. The curriculum will focus on restoring and protecting local watersheds, pond studies, restoration and invasive species removal, and phenology.</p> <p>This agreement provides full federal funding in the amount of \$91,000. See terms and conditions.</p> <p>Preadward costs have been approved back to 08/01/2017.</p>

Performance Partnership Grant

These funds support further development and administration of the Tribe's nonpoint source program. The objective of this project is to address erosion on the Carson River to reduce sediment and water temperatures, improve bank stability and restore a healthy riparian community. Major activities include bank stabilization and revegetation and livestock exclusion fencing. Other activities include program evaluation, capacity building and program administration.

\$100,000 in Tribal Clean Water Act Section 319 competitive funding competed under EPA-OW-OWOW-17-01 is obligated as part of this funding action.

U.S.-Mexico Border Grant-Implementation of Border 2012-2020

The implementation of these projects benefit communities in California and Arizona located along the U.S border with Mexico in accordance with goals and objectives identified in the EPA's Border 2020 Environmental Program.

GENERAL ASSISTANCE PROGRAM

This cooperative agreement provides funding to develop the Tribe's Environmental Program. Activities include managing the environmental office, developing a Hazard Mitigation Plan, establishing Water Quality standards, implementing the Tribe's Integrated Solid Waste Management Plan, and attending training to develop capacity in each of their environmental programs. This award provides funding for a period of 09/18/2017 to 09/17/2018

BROWNFIELDS CLEANUP COOPERATIVE AGREEMENT - McNary, AZ

The grantee will clean up 5 Brownfields sites in the McNary community on the White Mountain Apache Reservation. Brownfields are real property, the expansion, development or reuse of which may be complicated by the presence or potential presence of a hazardous substance, pollutant, or contaminant.

This assistance agreement provides full federal funding in the amount of \$200,000.

National Clean Diesel Program - Locomotive Replacement

The purpose of this grant is to replace one uncontrolled, high-polluting, switcher locomotive with a low emission Tier 4 locomotive in Oakland, California.

This assistance agreement provides full federal funding in the amount of \$639,670.00.

NATIONAL CLEAN DIESEL PROGRAM - City of Phoenix (Diesel Vehicle Replacement) The City of Phoenix plans to replace seventeen (17) heavy duty vehicles which include aerial lift trucks, fuel tankers, dump trucks and sewer trucks with 2017, or newer vehicles. These new vehicles will be fueled with B20 which allows a significant amount of emission reduction with minimal operational downside. The City of Phoenix will also install diesel oxidation catalyst (DOC) technology on ten (10) Fire Pumpers. These are all frontline units that travel 10 - 12 thousand miles per year and are used in public areas. This project will help the City meet its 2050 Clean Air Environmental Sustainability Goal.

This assistance agreement provides full federal funding in the amount of \$692,252. See Terms and Conditions.

National Clean Diesel Program - Replace On-road Short-haul HDDTs with Natural Gas Engines Replace 2012, or newer on-road short-haul Heavy-Duty Diesel Drayage Trucks (HDDTs) operating in the South Coast Air Basin with model year 2017, or newer trucks powered by compressed natural gas engines certified to meet the California Air Resources Board's Optional Low NOx emission standard of 0.02 g/bhp-hr and transfer the replaced 2012, or newer diesel trucks to the states of Washington and/or Oregon to replace model year 1995-2006 heavy-duty diesel drayage trucks, which will then be scrapped. Future funding is contingent upon future federal appropriations. Final project costs, including cost-share amounts, will be adjusted based on the amount of assistance ultimately awarded by EPA and documented in an Assistance Amendment.

This assistance agreement provides partial federal funding in the amount of \$1,050,000.

National Clean Diesel Program - Equipment Replacement Project To replace 11 diesel powered marine engines to Tier 3 engine standards, including engines on board one of the world's only hybrid tugboats. Port of Long Beach will also repower the diesel-electric engines of 3 rubber-tired gantry cranes to a grid-connected all-electric zero-emissions operation.

This assistance agreement provides federal funding in the amount of \$ 2,423,448.

Pending New Monetary Awards - All Regions and HQ

Report Last Refresh Date: 9/28/17

Funding Package Date	Record Type	Grant Family	AAShip Description	Program Code Description (Code)	Competition Code
7/26/2017	New Award	83924701	Office of Research and Development	Office of Research and Development Consolidated Research (CR)	
9/27/2017	New Award	01J33501	Region 10	Indian Environmental General Assistance Program (GA)	P
9/25/2017	New Award	01J35801	Region 10	State Clean Diesel Grant Program (B) (DS)	P
9/25/2017	New Award	01J36001	Region 10	State Clean Diesel Grant Program (B) (DS)	P
9/27/2017	New Award	00207818	Region 2	Air Pollution Control Program Support (A)	P
9/27/2017	New Award	00351722	Region 3	State Indoor Radon Grants (K1)	P

8/23/2017	New Award	00526416	Region 5	Air Pollution Control Program Support (A)	P
9/27/2017	New Award	99576812	Region 5	Indian Environmental General Assistance Program (GA)	P
8/25/2017	New Award	00E02317	Region 5	Great Lakes National Program Grants (GL)	P
9/27/2017	New Award	02E01503	Region 5	Air Pollution Control Program Support (A)	P
8/29/2017	New Award	00E02205	Region 5	Great Lakes National Program Grants (GL)	P
9/27/2017	New Award	97593517	Region 5	Nonpoint Source Implementation Program (C9)	P
9/27/2017	New Award	00615818	Region 6	Air Pollution Control Program Support (A)	P
9/26/2017	New Award	97763301	Region 7	Regional Wetland Program Development Grants (CD)	C
9/26/2017	New Award	97763401	Region 7	Regional Wetland Program Development Grants (CD)	C

9/27/2017	New Award	00747614	Region 7	Water Quality Management Planning (Sections 205(j)(1) & 604(b)) (C6)	P
9/27/2017	New Award	97762301	Region 7	National Clean Diesel Funding Assistance Program (B) (DE)	C
9/23/2017	New Award	96843301	Region 8	WPC State and Interstate Program Support (Section 106) (I)	P

Applicant Name	Applicant Type	Project Start Date	Project End Date	Amount
East Central University	State Institution of Higher Learning	9/1/2017	8/31/2020	\$185,960.00

Council of Athabascan Tribal Indian Tribe Governments		10/1/2017	9/30/2018	\$128,000.00
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Washington Department of Ecology	State	10/1/2017	12/31/2018	\$249,493.00
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Alaska Energy Authority	State	10/1/2017	9/30/2018	\$335,024.00
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Puerto Rico Environmental Quality Board	State	10/1/2017	9/30/2018	\$858,208.00
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PA Dept of Environmental Protection	State	10/1/2017	9/30/2020	\$400,000.00
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Public Health-Dayton & Montgomery County	County	10/1/2017	9/30/2019	\$67,910.00
Leech Lake Band of Ojibwe	Indian Tribe	10/1/2017	9/30/2019	\$212,300.00
Wisconsin Department of Natural Resources	State	8/1/2017	12/31/2021	\$257,000.00
Ohio Environmental Protection Agency	State	10/1/2017	9/30/2019	\$684,537.00
NYS Dept of Environmental Conservation	State	1/1/2018	9/30/2019	\$1,000,000.00
Minnesota Pollution Control Agency	State	10/1/2017	9/30/2022	\$2,776,500.00
City of Albuquerque dba Environmental Health Department	Municipal	10/1/2017	9/30/2018	\$768,249.00
Oklahoma State University	State Institution of Higher Learning	9/1/2017	8/31/2019	\$101,357.00
Nebraska Game & Parks Commission	State	10/1/2017	7/31/2021	\$157,589.00

Missouri Department of Natural Resources	State	10/1/2017	9/30/2022	\$371,000.00
Metropolitan Energy Center	Not for Profit	10/1/2017	12/31/2019	\$1,043,302.00
MT Department of Environmental Quality	State	10/1/2017	9/30/2020	\$156,180.00

Project Title

ECU Environmental Research Apprenticeship Prgm

Indian Environmental General Assistance Program (IGAP)

Install EPA verified idle reduction technology

Alaska State Clean Diesel Program

Air Pollution Control Program Project (FFY-2017)

Radon

Regional Air Pollution Control Agency (RAPCA) Air Pollution Control Program

Leech Lake General Assistance Program

WDNR 2017 Nearshore Nonpoint Projects

FFYs 2018-2019 Section 105 Air Pollution Control Program

Spicer Creek Wildlife Management Area Habitat Improvement

Provide pass-through funding for nonpoint source water pollution control projects.

Albuquerque 105 Air Pollution Control FY18

Characterization of Wetland Condition in the Nebraska Sandhills:
Using Macroinvertebrates

Assessing Water Quality and Biotic Impacts of Carp Infestation and Removal on Nebraska Sandhills

Fiscal Year 2017 604(b) Water Quality Management Grant

Mid-America Clean Trucks

Section 106 Monitoring Initiative Grant 2017-2020



Project Description

This project is designed to increase the effectiveness and number of future environmental scientists. Participants will collaborate and work with federal scientists at a federal research laboratory and experience training related to ecosystem protection, groundwater and subsurface processes, water resources, and other environmental research topics. Participants will gain experience in hypothesis formulation, experimental design and set-up, sample analysis, data evaluation, quality assurance, and presentation and publication of reports and results.

Project goals include: Provide technical assistance to member villages to build administrative and programmatic capacity; Build capacity for CATG IGAP program to assist member villages in developing an Air Quality Program; Continue partnership with Yukon River Inter Tribal Watershed Council on Baseline Water Sampling, community education/outreach, and assisting tribes in developing water quality management programs; and Research and Planning to assess the impact that environmental issues will have on communities in the Yukon Flats Region and to plan for adaptation to those circumstances.

This project will install Environmental Protection Agency verified idle reduction technology (fuel operated heaters) on eight school buses; Replace one Tier 2 marine engine on a harbor patrol vessel with and Environmental Protection Agency certified Tier 3 engine; and replace seven pre-2006 diesel school buses with model year 2017 or newer standard diesel or propane powered school buses meeting the Environmental Protection Agency's most current tailpipe emission standard.

This project will issue up to four grants to replace four to six prime power diesel engines in the rural Alaska communities.

This agreement will provide assistance to the Puerto Rico Environmental Quality Board in its efforts to implement air pollution control programs throughout the US territory of Puerto Rico, including continuing development and implementation of stationary source regulations; continuing promulgation and update of enhanced mobile source regulations; improvement of emission inventories for modeling simulations; and operation of a monitoring network to collect air data. These activities are to improve and maintain the public's air quality.

The goal of this program is to provide radon risk reduction through activities that will result in increased radon testing, mitigation and radon resistant new construction. The recipient will implement a state program of radon related projects, in homes, schools, or other buildings, including 1) Public Information, outreach, education; 2) Programs to control radon in existing and new structures, 3) Demonstration of radon mitigation methods and technologies, and 4) Other technical, information, and administrative activities.

Federal funds of \$800,000 are contingent upon availability.

The Regional Air Pollution Control Agency (RAPCA) will implement a comprehensive Air Pollution Control Program in Clark, Darke, Greene, Miami, Montgomery, and Preble counties. RAPCA will improve air quality by implementing measures to reduce stationary, area and mobile sources of air pollution in the RAPCA service counties with the goal of meeting the national ambient air quality standards. In addition, RAPCA will address air toxics, and support the State's air quality monitoring, enforcement, and permitting efforts.

This award is a General Assistance Program grant providing funds to the Leech Lake Band of Ojibwe. The funds will enable the Tribe to plan, develop, and establish the capability for implementing environmental protection programs.

The Wisconsin Department of Natural Resources will manage 5 nonpoint source management projects to reduce nutrients and improve water quality in tributaries to Lake Michigan. This suite of projects includes watershed plan development and implementation of nutrient reduction, stream and wetland restoration and demonstration of green alternatives to traditional stormwater management.

The Ohio Environmental Protection Agency (OEPA) will implement a comprehensive Air Pollution Control Program the state of Ohio. OEPA will improve air quality by implementing measures to reduce stationary, area and mobile sources of air pollution in Ohio with the goal of meeting the national ambient air quality standards. In addition, OEPA will address air toxics, and administer the state's air quality monitoring, enforcement, and permitting programs.

The New York State Department of Environmental Conservation will restore habitat in Spicer Creek Wildlife Management Area, including nearshore and shoreline areas of the East Branch of the Niagara River. The project will restore and enhance aquatic, emergent, and riparian ecosystem functions within the project area in support of the Niagara River Area of Concern Remedial Action Plan.

The purpose of the workplan is to fund qualifying Clean Water Act Section 319 non-point source (NPS) implementation core activities and programs for the State. Minnesota Pollution Control Agency (MPCA) is proposing to address NPS pollution within the State by identifying projects and activities that will promote the development and implementation of watershed-based plans, administer and provide cost-sharing grants to fund NPS best management practices (BMP), and monitor and assess waters for NPS impairments.

This agreement assists the City of Albuquerque base air pollution abatement program. Anticipated accomplishments include attainment and maintenance of National Ambient Air Quality Standards (NAAQS) and reduction of air toxics emissions by planning and implementing air quality compliance, enforcement and permitting activities

Funds will be used to conduct an ecological assessment of the Nebraska Sandhill wetlands.

This is a partial award of federal funds, further funds be may provided at a later date.

Project proposes to study the ecosystem impacts of invasive common carp in the wetlands and lakes in the Nebraska Sandhills by relating carp abundance to assessments of water quality, vegetation, fish and waterbird communities.

This award provides incremental funding. Additional funding may be provided at a later date.

To fund the State of Missouri's Water Quality Management Planning program with priority on watershed restoration projects.

These funds provide assistance for vehicle replacements in the Kansas City metro and deployment of truck stop electrification at two locations; one in the KC metro and one in Joplin, MO which serve three interstate highways.

This instrument is a grant agreement to the state build their capacity to refine nutrient water quality standards via modeling for large rivers and work with volunteer monitors to collect data for TMDL support.

Pending Supplemental Monetary Amendments - All Regions and HQ

Report Last Refresh Date: 9/28/17

Funding Pac	Record Type	Grant Family	AA Ship Description	Program Code	Description (Code)
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There are no new supplemental actions to report today, September 28, 2017

II Regions and HQ

Applicant Name	Applicant Ty	Project Start	Project End	Amount
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Project Title

Project Description

Upcoming Grant Competitions
October 2017 Edition

Report for Competitions Upcoming in October 2017

Funding Opportunity Title * indicates included in prior report ** indicates approved per OPA review protocol *** indicates required by Omnibus	Brief Description	CFDA	Eligible Applicants	Estimated Total Funding Amount (\$)	Is Funding Currently Available for Awards? (Y/N)	Issuing Hdq or Regional Office	Program Point of Contact	Goal/Objective under proposed FY18-22 Strategic Plan framework awards relate to
2018 Environmental Education Local Grant Program	The purpose of the Environmental Education Local Grants Program in each Region is to support locally-focused environmental education projects that increase public awareness and knowledge about environmental and conservation issues and provide the skills that participants in its funded projects need to make informed decisions and take responsible actions toward the environment and our natural resources.	66.951	Any local education agency, college or university, state education or environmental agency, nonprofit organization as described in Section 501(C)(3) of the Internal Revenue Code, or a noncommercial educational broadcasting entity as defined and licensed by Federal Communications Commission may submit a proposal. Applicant organizations must be located in the United States or territories, and the majority of the educational activities must take place in the United States; or in the United States and Canada or Mexico; or in U.S. Territories.	3,300,000	N	All 10 Regional Offices	Karen Scott OA/OPEEE/OEE scott.karen@epa.gov	Improving air quality to ensure more Americans are living and working in areas that meet high air quality standards Ensuring clean and safe water by improving water infrastructure and supporting drinking water, aquatic ecosystems, and recreational, economic and subsistence activities Increasing transparency, public participation, and collaboration with communities. Ensuring the safety of chemicals in the marketplace
** Diesel Emissions Reduction Act (DERA) Tribal Clean Diesel Funding Assistance Program FY17	Soliciting tribal proposals nationwide for projects that achieve significant reductions in diesel emissions in term of tons of pollution produced by diesel engines and diesel emissions exposure, particularly from fleets operating at or servicing goods movement facilities located in areas designated as having poor air quality.	66.039	Federally recognized tribal governments (or intertribal consortia) and Alaska Native Villages which have jurisdiction over transportation or air quality.	1,500,000	Y	OAR	Tim Roberts 202-564-6004 roberts.timothy-p@epa.gov	Improving air quality to ensure more Americans are living and working in areas that meet high air quality standards
** FY 2018 National Environmental Information Exchange Network Grant Program	The National Environmental Information Exchange Network (Exchange Network) grant program provides funding to states, territories, federally recognized Indian tribes, and tribal consortia to support their participation in the Exchange Network. Specifically, the grants fund the development of the information technology required to share data through the Network, the development and deployment of data exchanges, and the development of reusable components and shared IT services to reduce burden and avoid costs for co-regulators and the regulated community. The Exchange Network makes it easier for EPA and its partners to obtain the timely, accurate information they need when making decisions concerning human health and the natural environment.	66.608	States, US Territories, federally recognized Indian tribes and native villages, and inter-tribal consortia of federally recognized tribes	6,000,000	Y (in FY 2018 CR Bill)	OEI	Wendy Blake-Coleman 202-566-1709 or Salena Reynolds 202-566-0466	All except: 1. Refocusing research and scientific analysis to inform policy making
*IMPLEMENTATION OF STATE COORDINATION IN SUPPORT OF THE FEDERAL FACILITY HAZARDOUS WASTE CLEANUP PROGRAM	Solicits proposals to promote and stimulate research for use by state environmental officials to address Federal Facility Hazardous Waste Cleanup Program policy and implementation issues of importance to states. The project will provide support for state participation in developing tools, procedures and guidance to promote efficient cleanup work by states under CERLCA Section 120.	66.813	States, territories, Indian Tribes, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals.	750,000	Y	OLEM	Dianna Young / (202)564-0542/ Young.dianna@Ep a.gov	Cleaning up contaminated sites and returning land back to communities

Upcoming Grant Competitions
October 2017 Edition

** FY18 ENVIRONMENTAL WORKFORCE DEVELOPMENT AND JOB TRAINING (EWDJT) GRANTS	Solicits proposals to deliver Environmental Workforce Development and Job Training programs that recruit, train, and place local, unemployed and under-employed residents with the skills needed to secure full-time employment in the environmental field.	66.815	General Purpose Unit of Local Government (as defined under 2 CFR 200.64); Land Clearance Authority or other quasi-governmental entity that operates under the supervision and control of, or as an agent of, a general purpose unit of local government; Government entity created by State Legislature; Regional Council or group of General Purpose Units of Local Government; Redevelopment Agency that is chartered or otherwise sanctioned by a State; State; Indian Tribe other than in Alaska. (The exclusion of Alaskan tribes from grant eligibility is statutory at CERCLA §104(k)(1)). Intertribal Consortia are eligible for funding in accordance with EPA's policy for funding intertribal consortia published in the Federal Register on November 4, 2002, at 67 Fed. Reg. 67181. (This policy also may be obtained from your EPA Regional Job Training Coordinator listed); Alaskan Native Regional Corporation, Alaska Native Village Corporation and the Metlakatla Indian Community. (Alaskan Native Regional Corporation and Alaska Native Village Corporation as those terms are defined in the Alaska Native Claims Settlement Act (43 U.S.C. 1601 and following).) • Nonprofit organizations. For purposes of this grant program, the term "nonprofit organization" means any corporation, trust, association, cooperative, or other organization	3,000,000	Y	OLEM	Rachel Congdon / (202)566-1564 /congdon.rachel@epa.gov	Cleaning up contaminated sites and returning land back to communities
***FY 2017 and FY 2018 Training and Technical Assistance to Improve Water Quality and Enable Small Public Water Systems to Provide Safe Drinking Water	To provide training and technical assistance for small public water systems to help such systems achieve and maintain compliance with the Safe Drinking Water Act (SDWA), and to provide training and technical assistance for small publicly owned wastewater systems, communities served by onsite/decentralized wastewater systems, and private well owners to improve water quality under the Clean Water Act (CWA). Training and technical assistance activities provided to these systems, communities and private well owners should be made available nationally in rural and urban communities and to personnel of tribally- owned and operated systems.	66.436 and 66.424	nonprofit organizations, nonprofit private universities and colleges, and public institutions of higher education	25,400,000	Y FY17 (\$12.7M) funding is currently available for awards.	OW	Leslie Temple (202) 564-4734 temple.leslie@epa.gov	Ensuring clean and safe water by improving water infrastructure and supporting drinking water, aquatic ecosystems, and recreational, economic and subsistence activities
Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for Small Watershed Programs and Support for the Innovative and Nutrient Sediment Reduction Programs	The Small Watershed Grant program promotes community-based efforts to develop conservation strategies to protect and restore the diverse natural resources of the Chesapeake Bay and its watershed. The Innovative and Nutrient Sediment Reduction grants program supports efforts within the Chesapeake Bay watershed to vastly accelerate sub-watershed and/or regional scale implementation of nutrient and sediment reductions with innovative, sustainable, and cost-effective approaches.	66.466	Nonprofit organizations, state and local governments, colleges, universities, and interstate agencies are eligible to submit proposals in response to this RFP. For-profit organizations are not eligible to submit proposals in response to this RFP.	120,000,000	Y for initial award amounts and future funding depending on availability. Funding based on FY18 appropriations and the conference committee language that specifically calls for the funding of SWG and INSR.	Region 3	Julie Winters	Ensuring clean and safe water by improving water infrastructure and supporting drinking water, aquatic ecosystems, and recreational, economic and subsistence activities; Improving permitting and reducing reporting burdens that impede economic growth; Increasing transparency, public participation, and collaboration with communities.
* RESTORE Gulf of Mexico Conservation Enhancement Grants	EPA will award RESTORE funds to enhance private/public partnerships that support land protection and conservation across the Gulf Coast. This program will be aimed at land conservation organizations such as land trusts, NGOs, and state land preservation agencies across the Gulf region. Amount per recipient and number of recipients depends on quality of proposals received.	66.130	Funds are available to State and local governments, Tribes, colleges and universities, and other public or nonprofit organizations. Awards will be made noncompetitively or competitively based on the authority for the award and EPA procedures. Competitive awards will be made in accordance with EPA policies and procedures for competitive awards. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Funded Priorities List and Agency policy.	2,000,000	Y Funding is provided through an IA with RESTORE	Region 4	Rachel Houge 228-304-7441; hounge.rachel@epa.gov	Ensuring clean and safe water by improving water infrastructure and supporting drinking water, aquatic ecosystems, and recreational, economic and subsistence activities;

		Upcoming Grant Competitions		October 2017 Edition					
** Tribal Support for E-Enterprise for the Environment	Promote discussion, collaboration and partnership among tribes, states and federal agency representatives in support of E-Enterprise	66.611	Available to States and local governments, territories and possessions, foreign governments, international organizations, Indian Tribes, interstate organizations, intrastate organizations, and possessions of the U.S., including the District of Columbia, public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and individuals. Federally-Funded Research and Development Centers may not apply.	\$100,000	Y	OCFO	Andrew Battin	Improving environmental protection through joint governance, state/tribal primacy efforts, and compliance assistance	

To: Gentry, James[Gentry.James@epa.gov]
Cc: Neal, Kerry[neal.kerry@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]; Yamada, Richard (Yujiro)[yamada.richard@epa.gov]
From: Konkus, John
Sent: Wed 1/3/2018 1:13:33 PM
Subject: RE: OGD Weekly Grant Reports

Thank you James. Good to go!

From: Gentry, James
Sent: Wednesday, January 3, 2018 8:07 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Neal, Kerry <neal.kerry@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: FW: OGD Weekly Grant Reports

Hi John,

As a follow up to our phone conversation this morning, I'd like to clarify that this and all awards made under the P3 program are made to U.S. based student teams. Occasionally, the students' project have an international component, but the student teams are U.S. citizens, and the international work has domestic applicability to U.S. rural communities.

The following eligibility is pulled from the eligibility section of the announcement:

Public nonprofit institutions/organizations (limited to degree-granting public institutions of higher education) and private nonprofit institutions/organizations (limited to degree-granting private institutions of higher education) located in the U.S. (includes eligible institutions of higher education located in U.S. territories and possessions) are eligible to apply to be the recipient of a grant to support teams of undergraduate and/or graduate students. Profit-making firms are not eligible to receive assistance agreements from the EPA under this program. See full announcement for more details.

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Office of Research & Development, U.S. EPA

202-564-4309

Development of An Economical Grain Storage System

The project funds a U.S. student team to addresses an important agriculturaland energy problem for local communities by applying very innovative science of using rain waters and solar energy, combined with sophisticated material for surplus crop storage housing system.

Although the project is a collaboration between Purdue University and a local community in Cameroon, the project model can be applied to U.S domestic rural agricultural communities for economic and environmental benefits.

The project meets the Core Mission of Improving Air Quality and Preventing Land Contamination by way of less polluting crop processing and grain storage system.

Also, the project prioritizes Robust Science by using the highly innovative material (storage housing roofing system) and energy resource (rain and sun) technologies.

Finally, because the proposer claims that project can demonstrate how to involve and motivate the local community to adopt the new food security system, it has a potential to contribute to the Cooperative Federalism for involving public in many local communities in the US.

From: Gentry, James

Sent: Thursday, December 28, 2017 11:48 AM

To: Richards, April <Richards.April@epa.gov>; Widener, Kelly <Widener.Kelly@epa.gov>; Li, Sylvana <li.sylvana@epa.gov>; Hahn, Intaek <hahn.intaek@epa.gov>
Cc: Page, Angela <Page.AngelaD@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Just saw from Angela's calendar that she's not back from leave until week after next.

Sylvana/Intaek, since I don't know who's working when in WHID, I'll defer to both of you on who should work on this in Angela's absence. I need the new narrative by COB Wednesday of next week so that I can engage with OPA. Thanks.

From: Gentry, James
Sent: Thursday, December 28, 2017 9:32 AM
To: Shanahan, Patrick <Shanahan.Patrick@epa.gov>; Richards, April <Richards.April@epa.gov>; Widener, Kelly <Widener.Kelly@epa.gov>; Li, Sylvana <li.sylvana@epa.gov>
Subject: RE: OGD Weekly Grant Reports

I just read the proposal and the project seems to have pretty limited domestic applicability.

Angela, would you please take the lead on developing a new revised project description. The description will need to explain the project in plain English, how it aligns with the new strategic plan, and how the international work could be of benefit domestically. This is going to be a challenge to pull together, because I don't think the applicant makes the case very effectively.

Please provide the narrative by **COB Wednesday, January 3rd**.

From: Shanahan, Patrick
Sent: Thursday, December 28, 2017 8:32 AM
To: Gentry, James <Gentry.James@epa.gov>; Page, Angela <Page.AngelaD@epa.gov>; Li, Sylvana <li.sylvana@epa.gov>; Richards, April <Richards.April@epa.gov>; Widener, Kelly <Widener.Kelly@epa.gov>

Subject: RE: OGD Weekly Grant Reports

Hi James,

Here is the proposal.

Patrick

From: Gentry, James
Sent: Thursday, December 28, 2017 8:18 AM
To: Page, Angela <Page.AngelaD@epa.gov>; Li, Sylvana <li.sylvana@epa.gov>; Richards, April <Richards.April@epa.gov>; Shanahan, Patrick <Shanahan.Patrick@epa.gov>; Widener, Kelly <Widener.Kelly@epa.gov>
Subject: FW: OGD Weekly Grant Reports

Could someone please send me the proposal for this project? Thanks.

From: Neal, Kerry
Sent: Wednesday, December 27, 2017 8:38 AM
To: Nanartowicz, John <nanartowicz.john@epa.gov>; Gentry, James <Gentry.James@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Young, Jill <Young.Jill@epa.gov>; Proctor, Barbara <proctor.barbara@epa.gov>; Phillips, LaShaun <Phillips.Lashaun@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Williams, Michael <Williams.Michael@epa.gov>
Subject: OGD Weekly Grant Reports

Greetings,

The following ORD grant action is still under review and cannot move forward until further notice. Program office, please be in touch with John Konkus directly to address any questions he has. Thanks in advance for your patience and understanding. Please let me know if you have any questions or concerns.

11/30/2016	11/30/2016	11/30/2016	11/30/2016	11/30/2016	11/30/2016	11/30/2016
ORD	ORD	ORD	ORD	ORD	ORD	ORD
Award	Award	Award	Award	Award	Award	Award
of	of	of	of	of	of	of
National	National	National	National	National	National	National
Research	Research	Research	Research	Research	Research	Research
and	and	and	and	and	and	and
Design	Design	Design	Design	Design	Design	Design
Development	Development	Development	Development	Development	Development	Development
Competition	Competition	Competition	Competition	Competition	Competition	Competition
for	for	for	for	for	for	for
Sustainability -	Sustainability -	Sustainability -	Sustainability -	Sustainability -	Sustainability -	Sustainability -
Phase I	Phase I	Phase I	Phase I	Phase I	Phase I	Phase I
(SU)	(SU)	(SU)	(SU)	(SU)	(SU)	(SU)

Purdue University

Private University

Development of An Economical Grain Storage System

This project assists decision-makers to considering new local resources for addressing global food insecurity. The team is developing a system powered by solar and hydroenergy for storing harvested grains during rainy seasons in rural regions of Africa. This system can be implemented in domestic rural areas facing similar situations during harvest season. Grain processing can be an environmentally taxing procedure and this new storage system can improve air quality by reducing the gas emissions typically released during conventional grain processing.

~ ~ ~ ~

Kerry K. Neal

Deputy Director

Office of Grants and Debarment

U.S. Environmental Protection Agency

1200 Pennsylvania Ave., N.W. Mail Stop: 3901R

Washington, D.C. 20460

202-564-3766 (direct dial)

From: Konkus, John

Sent: Wednesday, December 27, 2017 7:55 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>

Subject: Fwd: OGD Weekly Grant Reports

I would like more information on grant #83928701 under New Actions. Please do not advance that one.

Otherwise the rest under New Actions and both under Amendments can move forward.

Thank you.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: [\(202\) 365-9250](tel:(202)365-9250)

Begin forwarded message:

From: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Date: December 27, 2017 at 7:32:18 AM EST

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: "Bell, Matthew" <Bell.Matthew@epa.gov>, "Cooper, Marian" <Cooper.Marian@epa.gov>, "Etheredge, William" <Etheredge.William@epa.gov>, "Flynn, Mike" <Flynn.Mike@epa.gov>, "Jones, Laurice" <Jones.Laurice@epa.gov>, "Milazzo, Julie" <Milazzo.Julie@epa.gov>, "Neal, Kerry" <neal.kerry@epa.gov>, "Polk, Denise" <Polk.Denise@epa.gov>, "Sanders, LaTonya" <Sanders.Latonya@epa.gov>, "Showman, John" <Showman.John@epa.gov>, "Sylvester, Kenneth" <Sylvester.Kenneth@epa.gov>, "Vizian, Donna" <Vizian.Donna@epa.gov>, "Durand, Jessica" <Durand.Jessica@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached is the weekly New, Supplemental and Incremental Amendment Pending Grant Actions Report. There is no Congressional Notification report for today.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New, Supplemental and Incremental Amendment Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists individual New Awards and Supplemental and Incremental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants	William Etheredge, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday

Congressional
Notification

Management staff across the agency.

The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Michael Williams, Daily
however all inquiries
should be submitted
to the OGD email
address titled:

OGD Grant Reports@epa.gov

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Tue 8/8/2017 3:34:56 PM
Subject: RE: Status of R10 Grants

Perfect. Thank you.

From: Sylvester, Kenneth
Sent: Tuesday, August 8, 2017 11:32 AM
To: Konkus, John <konkus.john@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: Status of R10 Grants

Hi John,

See attached. I converted to excel. It is 3 pages total, however you can change the layout as you desire.

Ken Sylvester

Special Assistant to the Office Director

Office of Grants and Debarment

U.S. Environmental Protection Agency

1200 Pennsylvania Ave, NW

Washington, D.C. 20460

(Mail Code 3901R)

-

Email: sylvester.kenneth@epa.gov

Phone: (202) 564-1902

Grant Resources:

(External Agency Customers): <https://www.epa.gov/grants>

(Internal Agency Customers): <http://intranet.epa.gov/ogd/>

From: Konkus, John
Sent: Tuesday, August 08, 2017 11:19 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: RE: Status of R10 Grants

It's not in a format that anyone here can print on one page.

From: Polk, Denise
Sent: Tuesday, August 8, 2017 11:18 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: RE: Status of R10 Grants

Greetings, John,

Ex. 6 - Personal Privacy I would recommend that you get someone in your immediate office to print it for you. I could also bring a copy to our meeting tomorrow. Please let me know.

Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Tuesday, August 08, 2017 11:09 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: RE: Status of R10 Grants

Can someone print this chart out for me? Thanks.

From: Polk, Denise
Sent: Thursday, August 3, 2017 10:06 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; OGD_Grant_Reports
<OGD_Grant_Reports@epa.gov>
Subject: Status of R10 Grants

Greetings, John,

As you requested yesterday, provided below is the list of Alaska actions on hold as of Wednesday, August 2, 2017. The actions marked as "Hold (CN)" means that these

actions have been signed and congressional members notified, but the recipient has not been notified. The other actions marked as “Hold” have not been signed. These actions will not move forward until I receive clearance from you.

Please let me know if you need additional information.

Not Sent Out	Funding Package Date	Record Type	Grant Family	AAASH Code Description (Code)	Program Description	Applicant Name	Applicant Type	Project Start Date	Project End Date	Project Amount	Project Title	Project Description
Hold (CN)	06/18/2019	Incremental	4	GA	Native Village of Port Heiden	Tribe				\$30,000	Building Environmental Capacity	Port Heiden will retain building programmatic capacity through maintaining its office and staff levels. Staff will take environmental training where appropriate. Port Heiden will continue its solid waste program and outreach. Leveraging supplemental funds, Port Heiden will expand its recycling program. Port Heiden will work with State of Alaska, DGS to continue to assess shoreline for climate change for its QAPP.
Hold (CN)	06/18/2019	Incremental	2	GA	Yakutat Tlingit Tribe	Tribe				\$30,000	Yakutat Tlingit Tribe-GAP	The Yakutat Tlingit Tribe will continue to build tribal environmental capacity with the GAP Program to assist in maintaining programmatic and administrative functions, increase baseline knowledge of water quality and address environmental conditions such as air quality and solid waste in Yakutat area. This action approves a multi-year workplan and supplemental funding to do community education and solid waste removal from culturally important beaches.
Hold (CN)	06/12/2019	Incremental	2		Knik Tribe	Tribe				\$52,305	Green Star Program Pollution Prevention	Provision of pollution prevention technical assistance to Alaskan businesses through the

Hold	6/5/2017	New	01J373010	LS	AK Dept State of Environmental Conservation	7/1/2017/2018	2018, 653	State FY 2018 LUST Trust Fund Cooperative Agreement #28	that may impact their watershed and traditional resources. The supplemental fund request is for assessment and cleanup of marine debris (solid waste) that impacts the shoreline. There is a supplemental request for ETEP funding as well. The project will help support the State's efforts to clean up contaminated sites produced by Leaking Underground Storage Tanks. Activities include: 1) oversight of site cleanup; 2) manage information and respond to public requests; 3) recover and reuse cleanup costs; 4) maintain laboratory standards; 5) increase cleanups at Federal facilities; and 6) program and policy development
Hold		New	020001170	CS	AK Dept State of Environmental Conservation		\$7,915,000	AKSRF Region 10; State Revolving Fund: This grant will provide low-cost loans for important local water quality projects selected through Alaska's integrated planning and priority setting process. These loans will be issued to governmental and quasi-governmental entities for the design and construction of projects that protect and enhance water quality and aquatic habitat within the state of Alaska.	
Hold	6/19/2017	Supp	00J861010	GA	Village of Lower Kalskag	10/1/2017/2018	2018, 665	Indian General Assistance Program (IGAP)	The Village of Lower Kalskag will build tribal environmental capacity by providing community environmental education, applying for environmental grants consistent with the tribes environmental priorities, collaborating with the tribal transportation program to address air quality concerns from road dust, researching climate change impacts, and collaborating with the four governing entities in Kalskag to address solid and

						hazardous waste including the collection of trash and recyclables
Hold	7/6/2017	Supp	01J209010	GA	Tununak Tribe	10/1/2030/2018,000
			1		Tununak Indian Environmental General Assistance Program	The Native Village of Tununak will build Tribal environmental capacity by conducting community environmental outreach and education, recycling and proper hazardous and electronics waste disposal, hosting meetings with other entities in the community to develop and sustainable solid waste program and researching options for protecting subsistence use areas from trash, road dust and pollutants.
Hold	7/6/2017	Supp	00J855010	GA	Nightmute Tribe	10/1/2030/2018,000
			3		Native Village of Nightmute Indian General Assistance Program	The Native Village of Nightmute will build tribal environmental capacity by conducting solid waste improvements including the development of codes and ordinances, remove and properly dispose of hazardous wastes and recyclables, collaborate with entities to get landfill permitted, conduct community and youth environmental outreach and education, and research other environmental grants.
Hold	7/11/2017	Supp	01J069010	GA	Klawock Tribe	10/1/2030/2018,000
			2		Klawock Cooperative Association Indian GAP	The Klawock Cooperative Association will continue to build environmental capacity to maintain the Tribal Environmental Program and Indian General Assistance Program Administrative Functions, proper grant management, comply with environmental regulations and complete the approved work plan. This amendment adds \$256,000 in supplemental funding.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)
Email: Polk.Denise@epa.gov

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 10/24/2017 6:25:15 PM
Subject: FW: Daily Grant Report (Congressional Notification)
Congressional Notification Daily Report Previous Day 102417.xlsx

These are good too. Thanks.

From: OGD_Grant_Reports
Sent: Tuesday, October 24, 2017 8:12 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>;
Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Flynn,
Mike <Flynn.Mike@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Etheredge, William
<Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice
<Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 10/24/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 9/13/2017 2:25:36 PM
Subject: FW: Daily Grant Report (Congressional Notification)
Congressional Notification Daily Report Previous Day 32 9104567586598523716.xlsx

These are good to go. Thank you.

From: OGD_Grant_Reports
Sent: Tuesday, September 12, 2017 8:13 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 9/12/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

Congressional Notification Daily Report for Awards Signed 9/11/17

Grant No	Program Code	Awarding Region Code	EPA Amount This Action: This	Award Date	Applicant Name
83489201-7	RT	EPA HQ	\$55,205.00	9/11/2017	Assoc. St. & Terr Solid Waste Mgmt. Off.
00A00156-0	LI	EPA R1	\$462,857.00	9/11/2017	University of Connecticut All Campuses
99248807-2	BG	EPA R2	\$15,887,817.00	9/11/2017	New Jersey Dept of Environmental Protection
00343918-0	C6	EPA R3	\$324,000.00	9/11/2017	MD Dept of the Environment

97302706-6	BG	EPA R3	\$434,316.00	9/11/2017	MD Dept of the Environment
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99364817-0	FS	EPA R3	\$13,922,972.00	9/11/2017	MD Dept of the Environment
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96567306-0	GA	EPA R5	\$212,300.00	9/11/2017	Sokaogon Chippewa Community
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99512412-0	GA	EPA R5	\$212,300.00	9/11/2017	Minnesota Chippewa Tribe
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99581207-2	PM	EPA R5	\$217,900.00	9/11/2017	County of Cook
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00E65704-0	DS	EPA R5	\$276,036.00	9/11/2017	Illinois Environmental Protection Agency
00E02300-0	BF	EPA R5	\$300,000.00	9/11/2017	Madison County Council of Governments
00E99208-0	C6	EPA R5	\$362,000.00	9/11/2017	Wisconsin Department of Natural Resources
00E02251-0	GL	EPA R5	\$458,967.00	9/11/2017	Community Action Duluth
00E02247-0	GL	EPA R5	\$569,063.00	9/11/2017	Saint Regis Mohawk Tribe
00E02210-0	GL	EPA R5	\$649,353.00	9/11/2017	Huron River Watershed Council

00F80301-4	V	EPA R6	\$95,000.00	9/11/2017	OK Dept of Env Quality
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97887717-1	L	EPA R8	\$15,000.00	9/11/2017	Blackfeet Tribe The (Inc)
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96833901-0	TX	EPA R8	\$25,987.00	9/11/2017	Confederated Salish & Kootenai Tribes
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96862601-0	TX	EPA R8	\$43,042.00	9/11/2017	Cheyenne River Sioux Tribe
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96834001-0	C9	EPA R8	\$100,000.00	9/11/2017	Confederated Salish & Kootenai Tribes
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96844701-0	DS	EPA R8	\$223,186.00	9/11/2017	North Dakota Department of Health
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96814901-3	BG	EPA R8	\$303,808.00	9/11/2017	Yankton Sioux Tribe
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99T65701-0	CD	EPA R9	\$45,801.00	9/11/2017	Sonoma County Permit and Resource Management Department
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96994318-0	BG	EPA R9	\$90,000.00	9/11/2017	Robinson Rancheria
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97957518-0	C9	EPA R9	\$8,603,800.00	9/11/2017	CA State Water Res Ctrl Brd
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00J94501-4	GA	EPA R10	\$30,000.00	9/11/2017	Village of Venetie
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00J44306-0	RP	EPA R10	\$60,000.00	9/11/2017	Metlakatla Indian Community
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01J06203-0	RP	EPA R10	\$74,000.00	9/11/2017	Klawock Cooperative Association
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97084402-5	V	EPA R10	\$89,475.00	9/11/2017	Confederated Tribes of the Colville Reservation
01J34801-0	GA	EPA R10	\$125,683.00	9/11/2017	Cowlitz Indian Tribe
01J01501-4	GA	EPA R10	\$128,000.00	9/11/2017	Ivanof Bay Village
00J74802-0	BG	EPA R10	\$567,852.00	9/11/2017	Confederated Tribes of Coos Lower Umpqua & Siuslaw
98005817-0	FS	EPA R10	\$8,241,000.00	9/11/2017	AK Dept of Environ Conserv

t for Awards Signed

Project Title	Project Description
Cleanup-Contaminated Federal Facilities	This project will support research and provide training in alternative and innovative treatment technologies that facilitate information exchange and coordination among state agencies responsible for the cleanup of contaminated federal facilities.
Long Island Sound Connecticut Public Outreach and Education Program	This project will 1) plan, organize, coordinate and implement public environmental education programs for the Long Island Sound program in the State of Connecticut by working with the Long Island Sound Study Management Conference partners in assessing needs and developing priorities, 2) promote citizen involvement and citizen education to protect Long Island Sound coastal resources in the Long Island Sound watershed, 3) build on the Vulnerability Assessment and complete the risk evaluation matrices developed for the Northeast Estuary Programs, and 4) accelerate the progress in identifying relevant datasets and continue the development of a science-based, stakeholder endorsed inventory of natural resources and human uses in Long Island Sound, a priority area of the Comprehensive Conservation and Management Plan.
FY 2017-2019 Performance Partnership Grant	The agreement provides funding for the operation of New Jersey Department of Environmental Protection's (NJDEP's) continuing environmental programs while giving it greater flexibility to address its highest environmental priorities, improve environmental performance, achieve administrative savings and strengthen the partnership between NJDEP and EPA. The agreement funds statewide programs to: protect and improve air quality; water quality and drinking water; control pesticides; reduce radon exposure; and control hazardous waste.
FY 2018 Water Quality Management Planning Grant	This assistance agreement will assist the recipient in carrying out their EPA-approved Section 604(b) water quality management planning activities.

Maryland Performance Partnership Grant	This multi-media PPG provides funding to support ongoing environmental management efforts to increase the number of people breathing cleaner air; reduce risk from toxic air pollutants; improve water quality; provide safe drinking water; reduce waste generation and increase recycling; clean-up and reuse contaminated land; manage hazardous wastes properly; increase wetlands; reduce chemical and biological risks; and, improve compliance through monitoring and enforcement.
Safe Drinking Water State Revolving Fund	This agreement is for a capitalization grant which provides funds for the recipient's Drinking Water State Revolving Fund program to provide low interest financing to recipients for costs associated with the planning, design and construction of eligible drinking water improvement projects and activities to protect human health.
General Assistance Program	This award will provide General Assistance Program (GAP) funds to the Sokaogon Chippewa Community (SCC) in support of environmental capacity building activities. The funds will enable the tribe to assess, monitor and develop strategies for protection of human health and the environment. Specific activities during this period include: administrative oversight of the GAP program, legislative and regulatory capacities, sustainable development activities, and solid waste planning and management.
Indian Environmental General Assistance Program (GAP)	This action will provide General Assistance Program (GAP) funds to the Minnesota Chippewa Tribe (MCT) in support of environmental capacity building activities. The funds will enable the tribe to assess, monitor and develop strategies for protection of human health and the environment. Specific activities during this period include: administrative oversight of the GAP program, legislative and regulatory capacities, and coordination of trainings, outreach, and education activities.
Particulate Matter (PM) 2.5 Ambient Air Monitoring Network	The Cook County Department of Environmental Control will operate and maintain its fine particulate (PM 2.5) air monitoring network, collect samples at the sites and perform analysis to determine PM 2.5 levels in Cook County, Illinois. Cook County will also operate a visibility camera for real-time presentation of fine particulate haze measurements.

State of Illinois Clean Diesel Program	The Illinois Environmental Protection Agency (IEPA) administers the Illinois Clean Diesel Program to reduce diesel emissions. IEPA will focus on engine repowers and upgrades of older, high emitting diesel engines to cleaner and higher tier diesel engines. IEPA will target projects in the Chicago and Metro-East nonattainment areas. The purpose of this program is to achieve emission reductions and, as a result, improve air quality and public health.
EPA BROWNFIELD ASSESSMENT OF HAZARDOUS SUBSTANCES AND PETROLEUM SITES MCCOG'S PROPOSAL	This project provides funding for the Coalition to conduct community wide assessments at eligible Brownfields sites potentially contaminated by hazardous substances under a cooperative agreement.. The Coalition will conduct Phase 1 and Phase 2 assessments at selected sites, complete cleanup planning, as appropriate, as well as community involvement activities
Water Quality Management Planning	Wisconsin Department of Natural Resources (WDNR) will use these funds to carry out water quality management planning activities in the state of Wisconsin. Forty percent of the funds will be passed through to four different Regional Planning agencies (Capital Area Regional Planning Commission, Southeastern Wisconsin Regional Planning Commission, East Central Sewer Service Area, and Brown County Planning Commission) to implement water quality planning in their respective areas.
Stream Corps Restoration in the Lake Superior Watershed	Community Action Duluth-Stream Corps, utilizing the civilian conservation corps model, will carry out restoration projects in the St. Louis River Area of Concern and the South Lake Superior Watershed. The project will eliminate or control invasive species on up to 300 acres. Stream Corps helps people learn marketable job skills while enhancing habitat and biodiversity.
Tribal Invasive Plant Management Initiative	The Saint Regis Mohawk Tribe Environment Division will use a conservation corps model and five employees to map and control Phragmites on approximately 30 acres of land along the St. Lawrence River. This will help restore native species, wildlife habitat and hydrology.
Preventing Nutrient Runoff in the Huron River Watershed	The Huron Watershed Council and its partners will implement a 3-year "pay for performance" program for agricultural producers in critical sub-watersheds of the Huron River, Michigan. Best management practices such as nutrient management and cover crops will be implemented on approximately 500 acres, targeted at reducing phosphorus loading into the Huron River and Lake Erie by 21,000 pounds annually.

Superfund Tar Creek Operable Unit (OU-4) Distal Areas

This project provides funding to Oklahoma Department of Environmental Quality (ODEQ) to conduct site characterization activities at potential or confirmed hazardous waste sites and undertake response planning and implementation actions at this site which is on the National Priorities List (NPL) to clean up the hazardous waste sites that are found to pose hazards to human health and effectively implement the statutory requirement of CERCLA 121(f) which mandates substantial and meaningful State involvement.

Blackfeet Underground Storage Program

This award provides funds to Blackfeet Tribe to ensure that owners and operators routinely and correctly monitor all regulated tanks and piping in accordance with the regulations. The program encompasses compliance and leak prevention activities, including: encouraging owners and operators to properly operate, maintain and monitor their tanks; ensuring that tank owners and operators are complying with notification, release prevention, release detection, and other prevention regulatory requirements; conducting UST compliance assistance visits; updating and maintaining their databases; compatibility; reviewing and updating tribal UST codes as appropriate; and/or other core program underground storage tank (UST) activities.

CSKT CAA Section 103 Grant

The objectives of this program are to develop the capability to manage specific programs and establish a core program for environmental protection. The specific project activities work toward the development of the technical and regulatory capacity of the Air Program. Specific program elements include; indoor air monitoring, outreach, training, program evaluation, and emissions inventory.

Cheyenne River Sioux Tribe Fiscal Year 2018 Air Pollution Control Program

The objectives of this program are to develop the capability to manage specific programs and establish a core program for environmental protection. The specific project activities work toward the development of the technical and regulatory capacity of the Air Program.

CWA 319 Competitive Grant

This Non-Point Source Competitive Grant provides to the Confederated Salish and Kootenai Tribes of the Flathead Reservation to implement the Non-Point Source pollution water management activities on Cottonwood Creek in the Flathead River Watershed near Perma, Montana on the Flathead Indian Reservation.

2017 North Dakota State Clean Diesel Grant

The North Dakota Department of Health will develop a program to provide subawards to school districts, city, county or other local governments targeting the replacement of older less efficient medium-heavy and heavy-duty diesel-powered on and non-road vehicles and equipment that are used in various operations. Eligible vehicles may also include school buses. With the FY17 funds, the state anticipates replacing 10-11 diesel powered vehicles and/or equipment. The state will use funds to provide up to 25% of the cost of the replacement vehicle/equipment. The participating school districts or vehicle/equipment owner will be responsible for paying the remaining 75% of the cost of the replacement school bus or vehicle or equipment. This school bus and diesel vehicle/equipment project will reduce the exposure of school children and the public to emitted pollutants, providing health benefits to those with asthma and other respiratory challenges and improving air quality in general.

Yankton Sioux Tribe PPG FY 15-18

Performance Partnership Program - This award provides funding for:

1. General Assistance to implement environmental program; and
2. Brownfields Tribal Grants to clean-up and revitalize lands; and
3. General Assistance Program Grant to Coordinate Regional Operations Committee meetings.

Incremental Amendment.

Wetlands Protection Development - Refining Riparian Corridor

This project will develop GIS analytical methods using LiDAR to more accurately identify the location of streams and adjacent streamside conservation areas to refine Sonoma County riparian corridor setback zoning maps.

This assistance agreement provides partial federal funding in the amount of \$45,801.

Performance Partnership Grant

To support further development and administration of the Robinson Rancheria Tribe's Water Pollution Control and Nonpoint Source Programs. Major activities include water quality monitoring and analysis, reduction of polluted runoff, outreach and education, and overall administration of the water quality program.

This agreement provides federal funding in the amount of \$90,000.

Nonpoint Source Management Program To implement its state nonpoint source management program developed under the Clean Water Act Section 319, including CA Nonpoint Source Program Staff (State and Regional Waterboards and Coastal Commission). Work includes implementation of Total Maximum Daily Loads (TMDL) and watershed plans to restore NPS impaired waters.

This agreement provides federal funding in the amount of \$8,603,800. Preaward cost are approved back to 7/1/2017.

Indian General Assistance Program Village of Venetie's primary goals are: Develop a solid waste management program/community recycling program; conduct community environmental education and outreach to promote increased knowledge and environmental stewardship; Continue to build capacity to manage a Water Quality Program, developing a long-term baseline database for future reference; and Develop a tribal environmental emergency response plan and program.

Tribal Response Program This agreement provides funding for the Metlakatla Indian Community to continue to establish and enhance a response program that includes timely survey and inventory of brownfields sites on Annette Island; oversight and enforcement authorities to ensure response actions protect human health and the environment; resources to provide meaningful opportunities for public involvement; mechanisms for approval of cleanup plans and verification of complete responses.

Klawock Cooperative Association (KCA) Brownfields This project provides funding for the Klawock Cooperative Association (KCA) response program that includes timely survey and inventory of brownfield sites; oversight and enforcement authorities to ensure response actions protect human health and the environment; resources to provide meaningful public involvement; mechanisms for approval of cleanup plans and verification of complete responses. Additionally, their work will focus on developing a prioritization methodology for brownfields on the KCA inventory and an investigation strategy. This will include identifying funding resources, timelines, and milestones for future years.

Colville Tribe Upper Columbia FY13 - 17	This Cooperative Agreement provides additional funding to support the Colville Tribes' participation in EPA's oversight of a Remedial Investigation/Feasibility study at the Upper Columbia River site. This Cooperative Agreement also provides support for the Tribe's involvement in Remedial Investigation/Feasibility Study activities, including work to complete a Tribal-specific consumption and resource use survey.
Indian General Assistance Program	The tribe will build tribal capacity to address environmental issues by conducting an environmental assessment on new tribal lands; developing an EPA Tribal Environmental Plan; learning about local environmental issues and working within the community to protect resources and human health; documenting the traditional ecological knowledge of the tribe; and working with local agencies to develop environmental resiliency strategies.
Indian General Assistance Program	Build capacity: administer program, respond to environmental issues, conduct workshop to develop GAP Desk Guide. Outreach Education/Networking: promote, health/safety of environment, subsistence resources via education, protect Tribal resources. Continue Ivanof Bay village revitalization efforts. Update Waste Mgmt Plan/Backhaul Inventory: build network, collaborate on regional backhaul plan. 2018 Backhaul project: reduce hazardous waste inventory in Ivanof Bay.
CLUSI GAP, CWA106, CWA319 (FY18&19); CERCLA 128(a) Tribal Response Program (FY18); CAA 105 (FY18&19)	This project will build environmental capacity by developing a beach monitoring program, enhancing capacity to use Tribal Ecological Knowledge, and developing a wetlands protection program. The Tribe will conduct water quality monitoring, develop an assessment report, submit data into the STORage and RETrieval (STORET) data warehouse, and manage nonpoint source water pollution. The Tribe will also develop an air program and conduct air monitoring. Finally, the Tribe will continue development of a response program for contaminated sites and conduct site specific activities on tribal lands.
Capitalization of the Alaska Drinking Water Fund	This grant will provide additional capital to the Project Loan Fund of the Alaska Drinking Water State Revolving Fund (DWSRF) program, as well as fund important Drinking Water Program activities through the DWSRF set-asides.

To: Jackson, Ryan[jackson.ryan@epa.gov]; Bowman, Liz[Bowman.Liz@epa.gov]; Davis, Patrick[davis.patrick@epa.gov]; Kelly, Albert[kelly.albert@epa.gov]
Bcc: Konkus, John[konkus.john@epa.gov]
From: Konkus, John
Sent: Wed 9/20/2017 1:27:25 PM
Subject: FYI Good grant solicitation example

In our effort to formulate grant solicitations that focus on the core work of the Agency using real-time information to develop solutions to real environmental problems, we added the following line highlighted below in the “other factors” section of a \$3M FY18 Environmental Workforce Development and Job training Grant that should be posted on grants.gov soon.

“The EPA Selection Official may consider the following other factors, in addition to the evaluation results based on the criteria above, as appropriate, in making final funding decisions ... The needs of communities adversely affected by natural disasters (2013 or later), including, but not limited to, recent hurricanes in the United States and Caribbean and recent wildfires in the Western United States.”

This small addition will allow communities in effected areas to gain a leg-up should they apply for this grant.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Wed 12/20/2017 4:17:15 PM
Subject: FW: Weekly Pending Report - Non Profits & Universities
Pending Grants Report - Non Profits and Univeristies - New, Supplemental and Incremental - All Regions
and HQ 12-18-2017.xlsx

On this list under the New Actions tab:

Numbers 7 – 14, all ORD grants are being run by Richard Yamada first.

#15 – I’ve asked for the “project objectives”.

Everything else looks good to go.

Under the Amendments tab:

#11 – I am running it by Nancy Beck first.

The rest are good to go.

Thank you.

From: OGD_Grant_Reports
Sent: Tuesday, December 19, 2017 10:56 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Konkus, John
<konkus.john@epa.gov>

Cc: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Weekly Pending Report - Non Profits & Universities

Good morning John,

Attached for your review is this week's pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 10/24/2017 6:23:54 PM
Subject: FW: Weekly Pending Report - Non Profits & Universities
Pending Grants Report - Non Profits and Univeristies 2017-10-23.xlsx

These are good to go. Thank you.

-----Original Message-----

From: OGD_Grant_Reports
Sent: Tuesday, October 24, 2017 8:27 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Konkus, John <konkus.john@epa.gov>
Cc: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>;
Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice
<Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Weekly Pending Report - Non Profits & Universities

Good Morning John,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thanks,
Amanda Schulz
National Policy, Training, and Compliance Division Office of Grants and Debarment U.S. Environmental
Protection Agency
Phone: 202-564-7412

Thank you Nancy. Here's one more for your review please:

From: Beck, Nancy
Sent: Tuesday, December 19, 2017 3:07 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Daily Grant Report (Congressional Notification)

These seem fine to me. I tried to catch you on the line but then you moved in and out too quickly.

Ex. 6 - Personal Privacy

Nancy B. Beck, Ph.D., DABT

Deputy Assistant Administrator, OCSPP

P: 202-564-1273

M: 202-731-9910

beck.nancy@epa.gov

From: Konkus, John

Sent: Tuesday, December 19, 2017 11:39 AM

To: Beck, Nancy <Beck.Nancy@epa.gov>

Subject: FW: Daily Grant Report (Congressional Notification)

Nancy: I'm flagging the grants below that are part of today's grants list attached. I'll wait for you to review before I send them forward. Thank you!

0053331BG 1	EPA R5 \$125,932.00	12/18/2017	Michigan Department of Agriculture	Pesticide Certification and Enforcement Performance Partnership Grant	The work proposed by the Michigan Department of Agriculture and Rural Development will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this grant will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs. Also, compliance assistance for the regulated community will address new or problematic areas.
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0053721BG 1	EPA R5 \$125,932.00	12/18/2017	Minnesota Department of Agriculture	Pesticide Enforcement, Applicator Certification, Ground Water, and Worker Protection Programs	This Cooperative Agreement to the Minnesota Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this Cooperative Agreement will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the State/Federal pesticide regulatory enforcement programs. The State will also address compliance assistance for regulated communities with new or problematic areas.
0054891BG 1	EPA R5 \$125,932.00	12/18/2017	Illinois Department of Agriculture	Performance Partnership Grant	This Cooperative Agreement to the Illinois Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this Cooperative Agreement will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the State/Federal pesticide regulatory enforcement programs. The State will also address compliance assistance for regulated communities with new or problematic areas.
9857431BG 5	EPA R5 \$125,932.00	12/18/2017	Ohio Department of Agriculture	Performance Partnership Grant	The additional work proposed by the Ohio Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this grant will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs. Also, compliance assistance for the regulated community will address new or problematic areas.

9951621BG
1

EPA R5 \$125,932.00 12/18/2017 Wisconsin
Department of
Agriculture

Pesticides
Performance
Partnership
Grant

The work proposed by the Wisconsin Department of Agriculture, Trade and Consumer Protection is to protect food safety and to protect the ground water, agricultural workers and handlers, and endangered species from pesticides, reduced exposure to toxic pesticides, cleaner water, and improved pesticide compliance from monitoring. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs. Also, compliance assistance for the regulated community will address new or problematic areas.

From: OGD_Grant_Reports

Sent: Tuesday, December 19, 2017 9:40 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Bell, Matthew <Bell.Matthew@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Showman, John <Showman.John@epa.gov>; Showman, John <Showman.John@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 12/19/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/2/2017 3:27:29 PM
Subject: RE: OGD Weekly Grant Reports

yes

From: Polk, Denise
Sent: Wednesday, August 2, 2017 11:25 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Are you available for a quick call now??

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Wednesday, August 02, 2017 11:16 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Rescind please.

From: Polk, Denise
Sent: Wednesday, August 2, 2017 10:49 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: OGD Weekly Grant Reports

John, just to clarify. Did you have any questions or do you want to rescind this award period??

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)
Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Wednesday, August 02, 2017 10:34 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Denise this grant should NOT move forward. Thank you.

From: Konkus, John
Sent: Monday, July 31, 2017 1:44 PM
To: Polk, Denise <Polk.Denise@epa.gov>

Subject: RE: OGD Weekly Grant Reports

Denise: Please flag this one:

7/13/2017 3:38:40 PM	Office of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations for Foundation Profit	Not 3/1/2017 5:20:22 PM	Household Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.
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From: OGD_Grant_Reports

Sent: Monday, July 31, 2017 1:11 PM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>;
 Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>;
 Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge,
 William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise
 <Polk.Denise@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica
 <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; 2) the White House/Administrator's report; 3) the daily Congressional Notification report; and 4) the Upcoming Competition Report.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental	William Etheredge, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday

	Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.		
White House Administrator	The purpose of this report is to notify EPA senior leaders of awards prior to issuance for coordination and communication purposes. Grants Management Offices are required to report any assistance agreement award to the Office of Grants and Debarment (OGD), National Policy, Training and Compliance Division (NPTCD), in which the EPA contribution (including in-kind assistance) is for \$1,000,000 or greater. NPTCD compiles this information into a weekly report submitted to the White House Liaison within the Office of the Administrator.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:	Weekly every Monday
		<u>OGD_Grant_Reports@epa.gov</u>	
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:	Daily
		<u>OGD_Grant_Reports@epa.gov</u>	
Upcoming Competition Report	The purpose of this report is to show information on all competitive grant funding opportunity announcements expected to be posted by headquarters and regional program offices in the next month.	Bruce Binder <u>binder.bruce@epa.gov</u>	Monthly, at the end of the month

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: Green, Sharon[green.sharon@epa.gov]
Cc: Hall, Antionette[hall.antoINETte@epa.gov]; Pniak, Edward[pniak.edward@epa.gov]; O'Lone, Kimberly[OLone.Kimberly@epa.gov]; Melcer, Allen[melcer.allen@epa.gov]; Sykes, Karen[Sykes.Karen@epa.gov]; Massie, William[Massie.William@epa.gov]
From: Konkus, John
Sent: Wed 12/20/2017 4:11:50 PM
Subject: RE: Daily Grant Report (Congressional Notification)

Do you have the project "objectives"?

From: Green, Sharon
Sent: Tuesday, December 19, 2017 1:33 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Hall, Antionette <hall.antoINETte@epa.gov>; Pniak, Edward <pniak.edward@epa.gov>; O'Lone, Kimberly <OLone.Kimberly@epa.gov>; Melcer, Allen <melcer.allen@epa.gov>; Sykes, Karen <Sykes.Karen@epa.gov>; Massie, William <Massie.William@epa.gov>
Subject: FW: Daily Grant Report (Congressional Notification)

John,

Per the directive of Denise I am following up with you on the attached Grant # X9-00E02050-4 Incremental Amendment Action to the National Center for Manufacturing Sciences, Inc.

Please advise what additional information you would like and I will follow up with the program whom I have copied on this message. Thank you.

Sharon Green

Chief, Grants Section

Desk 312-353-5661

Fax 312-408-2202

Email Address: green.sharon@epa.gov

From: Polk, Denise

Sent: Tuesday, December 19, 2017 11:31 AM

To: Massie, William <Massie.William@epa.gov>; Green, Sharon <green.sharon@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Williams, Michael <Williams.Michael@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>

Subject: Fwd: Daily Grant Report (Congressional Notification)

Greetings,

John Konkus would like more information on the grant below. Please do not move this grant forward until further notice. Please contact John directly at 202-564-2187 to inquire about information he is interested in receiving about this grant.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

Begin forwarded message:

From: "Konkus, John" <konkus.john@epa.gov>

Date: December 19, 2017 at 11:33:59 AM EST

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>, "Sylvester, Kenneth" <Sylvester.Kenneth@epa.gov>, "Polk, Denise" <Polk.Denise@epa.gov>

Subject: RE: Daily Grant Report (Congressional Notification)

Can someone provide me more information on this grant? Thank you.

00E020509
4

EPA R5 \$21,200.0012/18/2017 National Center for SOURCE
Manufacturing REDUCTION
Sciences Inc. ASSISTANCE

This project through pilots at 6-10 Detroit plating facilities, will evaluate new innovative P2 technologies to reduce source pollution identified through research; review a 600 facility Region 5 survey and past data collected through previous EPA/industry projects. Also, reductions in toxic chemical use, GHG emissions, hazardous waste, and water will be tracked.

From: OGD_Grant_Reports

Sent: Tuesday, December 19, 2017 9:40 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Bell, Matthew <Matthew.Bell@epa.gov>; Cooper, Marian <Marian.Cooper@epa.gov>; Etheredge, William <William.Etheredge@epa.gov>; Etheredge, William <William.Etheredge@epa.gov>; Flynn, Mike <Mike.Flynn@epa.gov>; Jones, Laurice <Laurice.Jones@epa.gov>; Milazzo, Julie <Julie.Milazzo@epa.gov>; Milazzo, Julie <Julie.Milazzo@epa.gov>; Neal, Kerry <Kerry.Neal@epa.gov>; Polk, Denise <Denise.Polk@epa.gov>; Sanders, LaTonya <LaTonya.Sanders@epa.gov>; Showman, John <John.Showman@epa.gov>; Showman, John <John.Showman@epa.gov>; Sylvester, Kenneth <Kenneth.Sylvester@epa.gov>; Vizian, Donna <Donna.Vizian@epa.gov>; Vizian, Donna <Donna.Vizian@epa.gov>; Durand, Jessica <Jessica.Durand@epa.gov>; Durand, Jessica <Jessica.Durand@epa.gov>

Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

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that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/2/2017 3:16:09 PM
Subject: RE: OGD Weekly Grant Reports

Rescind please.

From: Polk, Denise
Sent: Wednesday, August 2, 2017 10:49 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: OGD Weekly Grant Reports

John, just to clarify. Did you have any questions or do you want to rescind this award period??

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Wednesday, August 02, 2017 10:34 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Denise this grant should NOT move forward. Thank you.

From: Konkus, John
Sent: Monday, July 31, 2017 1:44 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Denise: Please flag this one:

7/13/2017 3:38 PM	Office of Air Studies- J Award of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations for Foundation Profit	Not 3/1/2017 3:38 PM	2017 3:38 PM	Household Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.
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From: OGD_Grant_Reports
Sent: Monday, July 31, 2017 1:11 PM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; 2) the White House/Administrator's report; 3) the daily Congressional Notification report; and 4) the Upcoming Competition Report.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the	William Etheredge, however all inquiries should be submitted to the OGD email address titled:	Weekly every Monday

	Grants Office staff (signified by entry of a “Funding Package Date” in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	OGD_Grant_Reports@epa.gov	
White House Administrator	The purpose of this report is to notify EPA senior leaders of awards prior to issuance for coordination and communication purposes. Grants Management Offices are required to report any assistance agreement award to the Office of Grants and Debarment (OGD), National Policy, Training and Compliance Division (NPTCD), in which the EPA contribution (including in-kind assistance) is for \$1,000,000 or greater. NPTCD compiles this information into a weekly report submitted to the White House Liaison within the Office of the Administrator.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:	Daily
Upcoming Competition Report	The purpose of this report is to show information on all competitive grant funding opportunity announcements expected to be posted by headquarters and regional program offices in the next month.	OGD_Grant_Reports@epa.gov Bruce Binder binder.bruce@epa.gov	Monthly, at the end of the month

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Wed 11/1/2017 1:27:07 PM
Subject: FW: Daily Grant Report (Congressional Notification)
Congressional Notification Daily Report 11-1-17.xlsx

All of these are approved. Thanks!

From: OGD_Grant_Reports
Sent: Wednesday, November 1, 2017 8:47 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 11/1/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 9/13/2017 2:06:39 PM
Subject: FW: OGD Weekly Grant Reports
Pending Grants Report - New and Supplemental - All Regions and HQ 2017-09-11-09-30-34.xlsx
Congressional Notification Daily Report Previous Day 091117.xlsx

Everything from both of these files is good to go. Thank you.

From: OGD_Grant_Reports
Sent: Monday, September 11, 2017 10:35 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; and 2 the daily Congressional Notification report

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New &	The purpose of this report is to provide a	William Etheredge,	Weekly

Supplemental Pending Grant Actions	listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or Change Request form) that have been “Finalized” by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a “Funding Package Date” in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Daily

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: Yamada, Richard (Yujiro)[yamada.richard@epa.gov]
Cc: Kuhn, Kevin[Kuhn.Kevin@epa.gov]
From: Konkus, John
Sent: Wed 11/22/2017 3:17:25 PM
Subject: RE: New ORD grant solicitation for OPA review

Thank you Richard. Happy Thanksgiving!

From: Yamada, Richard (Yujiro)
Sent: Wednesday, November 22, 2017 10:14 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Kuhn, Kevin <Kuhn.Kevin@epa.gov>
Subject: Re: New ORD grant solicitation for OPA review

Hi John,

Thanks for email - I don't think I know much about this one but I will request a briefing to get the relevant info (I've cc Kevin on this one in case I got a briefing and forgot) and then get back to you - thanks much, happy thanksgiving to u and ur family!

Richard

Sent from my iPhone

On Nov 21, 2017, at 7:49 AM, Konkus, John <konkus.john@epa.gov> wrote:

Richard: Would you like to weigh in on this draft solicitation? We can talk about it. Thanks.

From: Gentry, James
Sent: Tuesday, November 21, 2017 9:23 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Perez, Robert <Perez.Roberto@epa.gov>
Subject: New ORD grant solicitation for OPA review
Importance: High

Hi John,

Attached you will find a new grant solicitation for OPA review prior to before transmission to OGD. I'll serve as the ORD point of contact for any questions related to the announcement.

Hope all is well.

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Office of Research & Development, U.S. EPA

202-564-4309

<2018 P3 RFA _ Final Version for James _ 11_20_2017.docx>

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Wed 9/27/2017 5:35:32 PM
Subject: FW: OGD Daily Grant Reports
Congressional Notification Daily Report Previous Day 092717.xlsx
Pending Grants Report - New and Supplemental - 2017-09-27.xlsx

Everything is approved to move forward. Thank you!

From: OGD_Grant_Reports
Sent: Wednesday, September 27, 2017 1:04 PM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>
Subject: OGD Daily Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; and 2) the daily Congressional Notification report

Because this is the last week of the fiscal year and we recognize that many grant actions are being created on a daily basis, please be advised that OGD will be submitting daily Pending Reports to OPA for review in order to process actions more quickly. Therefore, until Friday 9/29/2017, you will receive the Pending Report with the Congressional Notification Report on a daily basis, as well as a determination for any actions that receive concurrence to move forward or holds related to each report.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	William Etheredge, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Daily

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Thur 8/17/2017 2:48:00 PM
Subject: RE: Pending Grants Report for Non-Profits and Universities

Yes. Thank you.

From: Polk, Denise
Sent: Thursday, August 17, 2017 10:43 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Pending Grants Report for Non-Profits and Universities

Greetings, John,

I notified OEI and ORD about the actions below. Does your email below also clear the "Pending Grants Report for New and Supplemental" actions dated August 14th? Also, can I notify the GMO community about the White House Report? Please advise and thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Thursday, August 17, 2017 9:49 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>

Subject: RE: Pending Grants Report for Non-Profits and Universities

Everything other than the two below are good to go...

Under NEW:

This grant should not move forward while EPA is reviewing the Clean Power Plan as directed by the President's EO: <https://www.epa.gov/newsreleases/epa-review-clean-power-plan-under-president-trumps-executive-order>

7/24/2017 10:39:23 AM	Environmental Information Exchange Network Grant Program (OS)	University of California Enterprises Inc.	Other 10/12/2017 10:39:23 AM	Energy-Water-Emissions Dashboard	The Energy-Water-Emissions Dashboard (EWED, pronounced "e-wed") will integrate three EN data sources and other federal data sources into a new web service and two web-based user interfaces that support integrated decision making and planning at the energy-water-climate nexus. The EWED user interfaces will include a near-real-time dashboard of power plant facility water demands and emissions, as well as advanced tools for users to perform detailed analyses and scenario development at different geographic and jurisdictional scales. The goal of EWED is to improve integration, accessibility, usability, and timeliness of
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complex environmental data. Furthermore, EWED can support cross-agency planning

and integrated analysis of energy-water-climate issues as they pertain to local, state or national policies such as the Clean Power Plan.

Under SUPPLEMENTAL:

This grant should not move forward:

7/25/2017 10:51 AM
5

Winrock Non-Profit
International

4/1/2013 12:07 PM
Capacity Building &
Technical Assistance
on Clean & Efficient
Cooking Practices.

The applicant will support capacity building, technical assistance and information exchange to improve health, livelihood, and quality of life by reducing exposure to indoor air pollution from household energy use in developing countries.

From: OGD_Grant_Reports

Sent: Monday, August 14, 2017 1:31 PM

To: Konkus, John <konkus.john@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Pending Grants Report for Non-Profits and Universities

Good Afternoon,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thank you,

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: Hewitt, James[hewitt.james@epa.gov]
From: Konkus, John
Sent: Thur 8/24/2017 4:09:43 PM
Subject: FW: Grant Application -"Energy-Water-Emission Dashboard" Proposal Grant Number OS-83923301-0 National Environmental Information Exchange Network Grant Program-revised letter
[CSU Sacramento Fulton EPA.pdf](#)
[ATT00001.htm](#)

Another example...

From: Konkus, John
Sent: Thursday, August 24, 2017 8:35 AM
To: Bowman, Liz <Bowman.Liz@epa.gov>
Subject: FW: Grant Application -"Energy-Water-Emission Dashboard" Proposal Grant Number OS-83923301-0 National Environmental Information Exchange Network Grant Program-revised letter

FYI this is how most grant discussions end up, the program and grantee work on a solution together to make the grant work better reflect the priorities of the Administration. The grant moves forward. This is real work we are doing to ensure grants move forward.

From: Polk, Denise
Sent: Wednesday, August 23, 2017 6:53 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: FW: Grant Application -"Energy-Water-Emission Dashboard" Proposal Grant Number OS-83923301-0 National Environmental Information Exchange Network Grant Program-revised letter

Greetings, John,

As we discussed in our earlier meeting with OEI, the recipient has submitted a revised cover letter to better address the work that will be performed under the grant, if approved. Please review and let me know if this grant action can move forward.

Thanks and let me know if you have any questions or concerns.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Gunasekara, Mandy[Gunasekara.Mandy@epa.gov]
From: Konkus, John
Sent: Wed 8/2/2017 3:12:38 PM
Subject: RE: OGD Weekly Grant Reports

Thank you.

From: Gunasekara, Mandy
Sent: Wednesday, August 2, 2017 11:08 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: OGD Weekly Grant Reports

No concerns from my end.

From: Konkus, John
Sent: Wednesday, August 2, 2017 10:50 AM
To: Gunasekara, Mandy <Gunasekara.Mandy@epa.gov>
Subject: FW: OGD Weekly Grant Reports

I want to rescind this one. Any concerns?

From: Polk, Denise
Sent: Wednesday, August 2, 2017 10:49 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: OGD Weekly Grant Reports

John, just to clarify. Did you have any questions or do you want to rescind this award period??

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.

From: OGD_Grant_Reports

Sent: Monday, July 31, 2017 1:11 PM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>

Subject: OGD Weekly Grant Reports

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White House/Administrator's report; 3) the daily Congressional Notification report; and 4) the Upcoming Competition Report.

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White House Administrator	The purpose of this report is to notify EPA senior leaders of awards prior to issuance for coordination and communication purposes. Grants Management Offices are required to report any assistance agreement award to the Office of Grants and Debarment (OGD), National Policy, Training and Compliance Division (NPTCD), in which the EPA contribution (including in-kind assistance) is for \$1,000,000 or greater. NPTCD compiles this information into a weekly report submitted to the White House Liaison within the Office of the Administrator.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday

Congressional Notification	<p>The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.</p>	<p>Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:</p> <p><u>OGD Grant Reports@epa.gov</u></p>	Daily
Upcoming Competition Report	<p>The purpose of this report is to show information on all competitive grant funding opportunity announcements expected to be posted by headquarters and regional program offices in the next month.</p>	<p>Bruce Binder <u>binder.bruce@epa.gov</u></p>	Monthly, at the end of the month

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: Gunasekara, Mandy[Gunasekara.Mandy@epa.gov]
From: Konkus, John
Sent: Wed 8/2/2017 2:50:06 PM
Subject: FW: OGD Weekly Grant Reports

I want to rescind this one. Any concerns?

From: Polk, Denise
Sent: Wednesday, August 2, 2017 10:49 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: OGD Weekly Grant Reports

John, just to clarify. Did you have any questions or do you want to rescind this award period??

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Wednesday, August 02, 2017 10:34 AM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Denise this grant should NOT move forward. Thank you.

From: Konkus, John
Sent: Monday, July 31, 2017 1:44 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Denise: Please flag this one:

7/13/2017 3:38 PM	Office of Air Studies- J Award of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations for Foundation Profit	Not 3/1/2018 2:22 PM	Household Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.
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From: OGD_Grant_Reports

Sent: Monday, July 31, 2017 1:11 PM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>

Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; 2) the White House/Administrator's report; 3) the daily Congressional Notification report; and 4) the Upcoming Competition Report.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the	William Etheredge, however all inquiries should be submitted to the OGD email address titled:	Weekly every Monday

	Grants Office staff (signified by entry of a “Funding Package Date” in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	OGD_Grant_Reports@epa.gov	
White House Administrator	The purpose of this report is to notify EPA senior leaders of awards prior to issuance for coordination and communication purposes. Grants Management Offices are required to report any assistance agreement award to the Office of Grants and Debarment (OGD), National Policy, Training and Compliance Division (NPTCD), in which the EPA contribution (including in-kind assistance) is for \$1,000,000 or greater. NPTCD compiles this information into a weekly report submitted to the White House Liaison within the Office of the Administrator.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled:	Daily
Upcoming Competition Report	The purpose of this report is to show information on all competitive grant funding opportunity announcements expected to be posted by headquarters and regional program offices in the next month.	OGD_Grant_Reports@epa.gov Bruce Binder binder.bruce@epa.gov	Monthly, at the end of the month

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

Good morning John,

Attached for your review is this week's pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
Cc: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 12/20/2017 1:42:49 PM
Subject: Re: Daily Grant Report (Congressional Notification)

Standby

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Dec 20, 2017, at 8:17 AM, Sylvester, Kenneth <Sylvester.Kenneth@epa.gov> wrote:

Hi John,

How about everything else that was listed on yesterday's report besides the one that R5 is currently working with you on? Are they clear to move forward by chance?

Thanks

Ken

From: Konkus, John
Sent: Tuesday, December 19, 2017 11:34 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: Daily Grant Report (Congressional Notification)

Can someone provide me more information on this grant? Thank you.

00E02050
4

EPA R5 \$21,200.0012/18/2017 National Center for SOURCE
Manufacturing REDUCTION
Sciences Inc. ASSISTANCE

This project through pilots at 6-10 Detroit plating facilities, will evaluate new innovative P2 technologies to reduce source pollution identified through research; review a 600 facility Region 5 survey and past data collected through previous EPA/industry projects. Also, reductions in toxic chemical use, GHG emissions, hazardous waste, and water will be tracked.

From: OGD_Grant_Reports

Sent: Tuesday, December 19, 2017 9:40 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Bell, Matthew <Bell.Matthew@epa.gov>; Cooper, Marian

<Cooper.Marian@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>;

Etheredge, William <Etheredge.William@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>;

Jones, Laurice <Jones.Laurice@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>;

Milazzo, Julie <Milazzo.Julie@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise

<Polk.Denise@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Showman, John

<Showman.John@epa.gov>; Showman, John <Showman.John@epa.gov>; Sylvester,

Kenneth <Sylvester.Kenneth@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>;

Vizian, Donna <Vizian.Donna@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>;

Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 12/19/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is

signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/2/2017 2:34:27 PM
Subject: RE: OGD Weekly Grant Reports

Denise this grant should NOT move forward. Thank you.

From: Konkus, John
Sent: Monday, July 31, 2017 1:44 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Denise: Please flag this one:

7/13/2017 3:38:40 PM	Office of Air Studies- J Award of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations for Foundation Profit	Not 3/1/2018 2:22:39 PM	Household Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and
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gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.

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To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>
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New &	The purpose of this report is to provide a	William Etheredge,	Weekly

Supplemental Pending Grant Actions	listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been “Finalized” by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a “Funding Package Date” in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	every Monday
White House Administrator	The purpose of this report is to notify EPA senior leaders of awards prior to issuance for coordination and communication purposes. Grants Management Offices are required to report any assistance agreement award to the Office of Grants and Debarment (OGD), National Policy, Training and Compliance Division (NPTCD), in which the EPA contribution (including in-kind assistance) is for \$1,000,000 or greater. NPTCD compiles this information into a weekly report submitted to the White House Liaison within the Office of the Administrator.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Daily
Upcoming Competition Report	The purpose of this report is to show information on all competitive grant funding opportunity announcements expected to be posted by headquarters and regional program offices in the next month.	Bruce Binder <u>binder.bruce@epa.gov</u>	Monthly, at the end of the month

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
Cc: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 12/19/2017 5:14:31 PM
Subject: Re: Daily Grant Report (Congressional Notification)

Wait please.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Dec 19, 2017, at 12:13 PM, Polk, Denise <Polk.Denise@epa.gov> wrote:

Greetings, John,

We will reach out to R5 for more information. Do you want R5 to move forward now or wait until we hear back from you after you review the information. Please advise! Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

On Dec 19, 2017, at 11:34 AM, Konkus, John <konkus.john@epa.gov> wrote:

Can someone provide me more information on this grant? Thank you.

00E020509 4	EPA R5 \$21,200.0012/18/2017	National Center for SOURCE Manufacturing REDUCTION Sciences Inc. ASSISTANCE	This project through pilots at 6-10 Detroit plating facilities, will evaluate new innovative P2 technologies to reduce source pollution identified through research; review a 600 facility Region 5 survey and past data collected through previous EPA/industry projects. Also, reductions in toxic chemical use, GHG emissions, hazardous waste, and water will be tracked.
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From: OGD_Grant_Reports
Sent: Tuesday, December 19, 2017 9:40 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Bell, Matthew <Matthew.Bell@epa.gov>; Cooper, Marian <Marian.Cooper@epa.gov>; Etheredge, William <William.Etheredge@epa.gov>; Etheredge, William <William.Etheredge@epa.gov>; Flynn, Mike <Mike.Flynn@epa.gov>; Jones, Laurice <Laurice.Jones@epa.gov>; Milazzo, Julie <Julie.Milazzo@epa.gov>; Milazzo, Julie <Julie.Milazzo@epa.gov>; Neal, Kerry <Kerry.Neal@epa.gov>; Polk, Denise <Denise.Polk@epa.gov>; Sanders, LaTonya <LaTonya.Sanders@epa.gov>; Showman, John <John.Showman@epa.gov>; Showman, John <John.Showman@epa.gov>; Sylvester, Kenneth <Kenneth.Sylvester@epa.gov>; Vizian, Donna <Donna.Vizian@epa.gov>; Vizian, Donna <Donna.Vizian@epa.gov>; Durand, Jessica <Jessica.Durand@epa.gov>; Durand, Jessica <Jessica.Durand@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 12/19/17.

You are receiving this email because the Chief of Staff has requested that you be

included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Tue 9/12/2017 4:06:44 PM
Subject: Re: ORD Grant Actions Update

Left James a VM to call me...

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Sep 12, 2017, at 12:00 PM, Polk, Denise <Polk.Denise@epa.gov> wrote:

Please advise.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Gentry, James

Sent: Tuesday, September 12, 2017 11:18 AM

To: Polk, Denise <Polk.Denise@epa.gov>; Doucet, Lisa <Doucet.Lisa@epa.gov>

Subject: RE: ORD Grant Actions Update

Hi Denise, just checking in to see where we are on this one. Thanks.

James

From: Polk, Denise
Sent: Friday, September 08, 2017 5:11 PM
To: Gentry, James <Gentry.James@epa.gov>; Doucet, Lisa <Doucet.Lisa@epa.gov>
Subject: RE: ORD Grant Actions Update

Update: John and I are planning to discuss late afternoon tomorrow. I will send an update as soon as I can, but if it doesn't happen tomorrow, we will discuss on Monday. Thanks for your patience.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)
Email: Polk.Denise@epa.gov

From: Gentry, James
Sent: Friday, September 08, 2017 11:25 AM
To: Polk, Denise <Polk.Denise@epa.gov>; Doucet, Lisa <Doucet.Lisa@epa.gov>
Subject: Fwd: ORD Grant Actions Update

Hi Denise, the email is below. We're trying to get clarification on how to proceed with the grant that was approved to move forward, but was subsequently flagged for additional review after reappearing on the second OGD grant report. We had provided an expanded projected description (the version below) that shows the alignment with the new strategic plan. The second OGD report pulled the old project description language speaking to the old strategic plan resulting in the grant getting flagged for a second time. We're hoping to shake it loose based on the revised project description.

Begin forwarded message:

From: "Gentry, James" <Gentry.James@epa.gov>
Date: September 7, 2017 at 10:41:59 AM EDT
To: "Konkus, John" <konkus.john@epa.gov>
Subject: FW: ORD Grant Actions Update

Hi John, hope you're doing well. One of the grants highlighted below (83588001 from Georgia Tech) was approved to move forward on August 24th. The same grant was flagged again earlier this week, because it appeared on a second grant report that OGD sends to OPA. Denise and I traded a couple of notes yesterday afternoon and they are going to institute a process moving forward to remove duplicates once they've been approved by OPA.

I'm working on developing briefing materials for other ORD grant actions that are still under review, and I'm not clear on whether the inclusion of the Georgia Tech award is a revised determination for that grant, or if it is a mix up due to duplicate OGD reporting. Here is the description of the project and alignment with the strategic plan.

Nitrogen/Wildfire Emissions & Climate/Land Use, Georgia Tech

This incremental payment of \$71,364 completes a total investment of \$789,820 for a grant at Georgia Tech. This grant supports research to develop an integrated modeling system to investigate environmental impacts and land-use policies that affect pollutant emissions from fires and agricultural management. The new modeling tool will be used for integrated assessment on air quality, reactive nitrogen deposition, soil, and water quality and it would allow states and local agencies to address air and water quality concerns within one framework in the most efficient and effective manner possible. If this work is not completed, the new modeling tool will not be developed and more resources will be needed to address these decisions. This grant aligns with

Objective 1.1 Improve Air Quality, Objective 1.2 Provide Clean and Safe Water, and Objective 3.2 Focus on Robust Science of the new strategic framework. Goal 2 Cooperative Federalism is also supported as this project will empower states by providing tools to reduce duplicative assessments and decrease costs.

Please advise as to whether the August 24th note allowing this action to move forward is still applicable, or if the decision has been reversed.

Thanks,

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Visit us at <http://www2.epa.gov/research-grants>

202-564-4309

From: Polk, Denise

Sent: Thursday, August 24, 2017 11:00 AM

To: Gentry, James <Gentry.James@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Nanartowicz, John <nanartowicz.john@epa.gov>; Pumphrey, William <pumphrey.william@epa.gov>; Keesee, Peyton <keesee.peyton@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Young, Jill <Young.Jill@epa.gov>

Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>

Subject: RE: ORD Grant Actions Update

Greetings, James,

These two actions can move forward! Thanks for your patience!

Have a great day!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Gentry, James

Sent: Thursday, August 24, 2017 9:41 AM

To: Polk, Denise <Polk.Denise@epa.gov>

Subject: FW: ORD Grant Actions Still Under Review

Importance: High

Good morning, Denise. I wanted to check in with regard to the two grant actions cited below. We provided information on these grants to the ORD DAA, Richard Yamada. Richard indicated that he has not heard from John Konkus on these two actions, but he approves of the incremental funding actions.

Please advise on next steps.

Best,

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Visit us at <http://www2.epa.gov/research-grants>

202-564-4309

From: Polk, Denise

Sent: Tuesday, August 8, 2017 3:04 PM

To: Nanartowicz, John <nanartowicz.john@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Young, Jill <Young.Jill@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Pumphrey, William <pumphrey.william@epa.gov>; Keesee, Peyton <keesee.peyton@epa.gov>

Cc: Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>

Subject: ORD Grant Actions Still Under Review

Importance: High

Greetings,

The following ORD grant actions are still under review and cannot move forward until further notice. Thanks in advance for your patience and understanding. Please let me know if you have any questions or concerns.

7/17/2017 8:56:30 PM Office Science to Georgia State 11/1/2018 1:20:54 PM Nitrogen/Wildfire This grant supports

of Research and Development (RD)	Achieve Results (STAR) Program	Tech Research Corporation	Institution of Higher Learning	Emissions & Climate/Land Use	research to develop climate change related linkages among land use change, emissions and deposition of reactive nitrogen and air, soil and water quality. Computer models will assess climate and air quality in an historic period (2006-2010) and a future period (2048-2052) for expected emissions, land use changes, and potential climate changes. The project will develop an integrated, air/water quality modeling system for investigating the combined effects of land use and control policies in a changing environment.
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7/14/2015 2:55 PM	Office of Science to Achieve Results (STAR) Program (RD)	University of California-Davis	State Institution of Higher Learning	4/1/2018 2:00 PM	Energy Portfolios to Achieve GHG & PM2.5 Targets	This grant supports research to identify major sources and composition of air pollutants in the year 2050 resulting from different energy portfolios that optimize economic outcomes related to different assumptions about available technology and climate change. Analyses will include detail to allow air quality health costs to be calculated for a range of socio-economic classes. The study will use combinations of different climate models, air quality models, and energy portfolios.
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Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R

Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 12/5/2017 4:22:18 PM
Subject: RE: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

OK Thanks Ken.

From: Sylvester, Kenneth
Sent: Tuesday, December 5, 2017 11:02 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

Thanks John.

I will send email to ORD informing they the grant can move-forward.

Also, the other row you had a question about (#22) which was essentially a duplicate of the CO State University grant... that was test document that our grants system contractor was using. It wasn't a legitimate grant and shouldn't appear on the pending reports going forward.

From: Konkus, John
Sent: Tuesday, December 05, 2017 10:55 AM
To: Gentry, James <Gentry.James@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Johnson, Jim <Johnson.Jim@epa.gov>; Nanartowicz, John <nanartowicz.john@epa.gov>
Subject: RE: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

ok

From: Gentry, James

Sent: Tuesday, December 5, 2017 10:26 AM

To: Konkus, John <konkus.john@epa.gov>

Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Johnson, Jim <Johnson.Jim@epa.gov>; Nanartowicz, John <nanartowicz.john@epa.gov>

Subject: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

Hi John,

I'm following up with you in reference to grant 83927801. I believe there may be some confusion about why the grant recipient is Colorado State, and the project description references Wisconsin.

In this research project, the Colorado State University researchers are teaming up with University of Wisconsin researchers, and utilizing the "State of Wisconsin's lead tracking database; Women, Infants, and Children's (WIC) enrollment data" which is an environmental health database.

The grant award will be made to the Colorado State University who will be the leading investigator, and the University of Wisconsin will be a collaborating investigator.

Please let me know if you would like any additional information on the project.

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Office of Research & Development, U.S. EPA

202-564-4309

From: Sylvester, Kenneth

Sent: Tuesday, December 05, 2017 8:32 AM

To: Nanartowicz, John <nanartowicz.john@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Young, Jill <young.jill@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <polk.denise@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Durand, Jessica <durand.jessica@epa.gov>; Williams, Michael <Williams.Michael@epa.gov>

Subject: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

Hi John,

See table below, OPA has requested further information about a pending New grant award that was listed on yesterday's weekly pending report. Specifically, they need an explanation about why the Applicant Name is referring to Colorado State University, while the Project Title refers to Wisconsin. A few sentences further explaining the situation should suffice, however please write them from a perspective of someone that may not necessarily understand the grant requirements in detail. You can send the response directly to me with a copy to everyone else on this message and I'll forward to OPA. Once I hear back, I'll be sure to let you know if further action is required or if the grant can continue to move forward.

The grant will continued to be processed by OGD, however we cannot sign/issue it until OPA has received the explanation and evaluated it.

Funding Record Date	Grant Type	AA Family	Ship Description	Program Title	Competitive Code	Applicant Name	Applicant Type	Project Start Date	Project End Date	Project Amount	Project Title	Project Description
10/24/2017	New Award	83927801	Office of Research and	Science to Achieve Results (STAR)	C	CO State University	State Institution of Higher Learning	1/1/2018	12/31/2020	\$620,000.00	Study of the influence of social and physical factors on Children's Health, (residential conditions,	This research studies

Development
Program
(RD)

Ecological personal
Exposures lifestyles/genetics,
and socioeconomic
Social behaviors, public
Environment interactions) and total
chemical pollutant
exposures on
children's health and
development. The
research provides
exposure models
reflecting the chemical,
social and physical
environments that
influence health
outcomes from the
prenatal period to age
four and estimates the
causal effect of these
total environment
exposures on child
health.

Ken Sylvester

Special Assistant to the Office Director

Office of Grants and Debarment

U.S. Environmental Protection Agency

1200 Pennsylvania Ave, NW

Washington, D.C. 20460

(Mail Code 3901R)

-

Email: sylvester.kenneth@epa.gov

Phone: (202) 564-1902

Grant Resources:

(External Agency Customers): <https://www.epa.gov/grants>

(Internal Agency Customers): <http://intranet.epa.gov/ogd/>

Richard and/or Kevin: I'm flagging the ORD pending grants below that are part of this week's grants list attached. I'll wait for you to review before I send them forward. Thank you!

ED 001685A 00001280-00001

12/7/2017	23929701	P3 Award: C Award of National Research Student and Design Development Competition for Sustainability - Phase I (SU)	Sage Private Colleges University	21/2018	201766	Biosynthesis and Application of Nanostructured Composites
11/29/2017	23929801	P3 Award: C Award of National Research Student and Design Development Competition for Sustainability - Phase I (SU)	Utah State State Institution University of Higher Learning	21/2018	201906	Sustainable Remediation of Petroleum Wastewater
11/29/2017	23930601	P3 Award: C Award of National Research Student and Design Development Competition	Regents State University Institution of CA CE of CERT Higher Learning	21/2018	201909	Ceria NOx Reducing Device

economic competitiveness of geothermal energy for large-scale utilization, and can capitalize on existing or abandoned natural gas/petroleum recovery wells. A geothermal energy production system will reduce air pollution and result in cleaner air quality.

This project is using green chemistry to develop an additive that can improve the overall efficiency of solar disinfection techniques for drinking water purification. Many homes use solar disinfection as an in-home water treatment system. This project aims to create a more efficient solar water treatment technique through green chemistry.

This project aims to effectively treat petroleum refinery wastewater and recover a broad spectrum of nutrients in an affordable way, which is essential for the development of sustainable water management practices. The project will design, test, and evaluate a proposed system that converts wastewater to bioplastics, which can be used as a substitute for petroleum-based products. The project will result in cleaner wastewater discharge and reductions of hazardous waste discharge from petroleum refineries. Provide Clean and Safe Water

This team is creating an inexpensive catalytic converter to use on devices such as lawn mowers, leaf blowers,

for
Sustainability -
Phase I
(SU)

and gas powered
generators that will
reduce emissions of
carbon monoxide,
nitrogen oxide,
particulate matter, and
volatile organic
compounds. This
technology aims to
reduce harmful
emissions from small
engines and improve air
quality.

From: OGD_Grant_Reports

Sent: Tuesday, December 19, 2017 10:56 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Konkus, John
<konkus.john@epa.gov>

Cc: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>;
Neal, Kerry <neal.kerry@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Jones,
Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Weekly Pending Report - Non Profits & Universities

Good morning John,

Attached for your review is this week's pending grant report for non-profits and universities.
Please let us know if you have any questions or concerns.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

Pending New Monetary Awards - Non Profits & Universities - All Regio

Report Last Refresh Date: 12/18/17

Funding Package Date	Record Type	Grant Family	AAShip Description	Program Code Description (Code)
12/12/2017	New Award	83925001	Office of Research and Development	Regional Applied Research efforts (AE)
11/7/2017	New Award	83927301	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/30/2017	New Award	83928801	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/29/2017	New Award	83929101	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/24/2017	New Award	83929201	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
12/7/2017	New Award	83929701	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)

11/24/2017	New Award	83929801	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/29/2017	New Award	83931001	Office of Research and Development	P3 Award: National Student Design Competition for Sustainability - Phase I (SU)
11/21/2017	New Award	83936401		Compliance Assistance-Support for Services to the Regulated Community and Other Assistance Providers (CC)
11/15/2017	New Award	96263101	Region 2	National Clean Diesel Funding Assistance Program (B) (DE)
10/31/2017	New Award	96263201	Region 2	National Clean Diesel Funding Assistance Program (B) (DE)
10/24/2017	New Award	96263401	Region 2	National Clean Diesel Funding Assistance Program (B) (DE)
12/8/2017	New Award	00D69318	Region 4	Solid Waste Management Assistance: Training Education Studies and Demonstrations (X1)

12/6/2017	New Award	01F41101	Region 6	Protection of Children and the Aging as a Goal of Public Health and Environmental Protection (CH)
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12/11/2017	New Award	99T70601	Region 9	Protection of Children and the Aging as a Goal of Public Health and Environmental Protection (CH)
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11/21/2017	New Award	99T70801	Region 9	Protection of Children and the Aging as a Goal of Public Health and Environmental Protection (CH)
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Universities and HQ
All Regions and HQ

Competition Code	Applicant Name	Applicant Type	Project Start Date	Project End Date	Amount
P	Electric Power Research Institute	Not for Profit	12/1/2017	2/28/2019	\$60,000.00
C	Georgia Tech Research Corporation	State Institution of Higher Learning	11/1/2017	11/30/2018	\$14,995.00
C	The University of Alabama	State Institution of Higher Learning	2/1/2018	1/31/2019	\$15,000.00
C	UofWA - University of Washington	State Institution of Higher Learning	2/1/2018	1/31/2019	\$15,000.00
C	Univ OK - University of Oklahoma	State Institution of Higher Learning	2/1/2018	1/31/2019	\$15,000.00
C	Sage Colleges	Private University	2/1/2018	1/31/2019	\$14,766.00

C	Utah State University	State Institution of Higher Learning	2/1/2018	1/31/2019	\$15,000.00
C	Regents University of CA CE-State CERT	Institution of Higher Learning	2/1/2018	1/31/2019	\$15,000.00
C	National Center for Manufacturing Sciences Inc.	Not for Profit	12/1/2017	12/1/2022	\$350,000.00
C	Connecticut Maritime Foundation Inc.	Not for Profit	10/1/2017	12/30/2019	\$864,907.00
C	University of Puerto Rico - Med Sciences Campus	State Institution of Higher Learning	1/1/2018	12/31/2019	\$991,253.00
C	National School Transportation Association	Not for Profit	10/1/2017	9/30/2019	\$246,006.00
J	Institute for Local Self- Reliance	Not for Profit	8/1/2017	7/31/2018	\$20,000.00

C	Texas A&M University System The	State Institution of Higher Learning	1/1/2018	12/31/2018	\$45,000.00
C	Sonora Environmental Research Institute Inc.	Not for Profit	12/1/2017	11/30/2018	\$39,500.00
C	Mariposa Community Health Center	Not for Profit	12/1/2017	11/30/2018	\$45,000.00

Project Title

Methods to Analy & Interpret Mobile Monitoring Data

Saving Water Using a Stages of Change Model with Smart Technology

Novel Septic System Design to Prevent Failure

Online Sensor for Wastewater Phosphorus Recovery

Innovative Design of Downhole In-Situ Power Gen

Biosynthesis and Application of Nanostructured Composites

Sustainable Remediation of Petroleum Wastewater

PanCeria NOx Reducing Device

Manage the Compliance Assistance Center Program

Marine Ferry Engine Replacement

Puerto Rico Clean Trucks Project

Diesel for all electric vehicle plus idle reduction

Best Management Practices for Community Scale Composting in Georgia

Improving Childrens Environmental Health

Healthy Homes and Healthy Childcare Programs for Nogales, Arizona

Childrens Health Initiative- Proyecto Hogar Seguro



Project Description

This project will generate robust science results (Objective 3.2 ? Prioritize Robust Science) by aiming to address a novel technical challenge, obtaining and interpreting spatially varying air quality data from a mobile platform. Results from this type of mobile air quality monitoring will provide powerful tools to state/local public health officials (Objective 2.3 ? Increase Transparency and Public Participation) and improve air quality to ensure more Americans are living and working in areas that meet high air quality standards (Objective 1.1 ? Improve Air Quality).

This project will create an in-home smart feedback device that can facilitate responsible water usage. The device will provide updates on water usage and aims to cause behavioral changes in the user in order to implement water conserving practices. As an in-home device, this technology can be used to conserve water in American households and also educate users on water conservation practices. (Provide Clean and Safe Water, Prioritize Robust Science).

Septic system failure can lead to health issues within children and other vulnerable populations as the water comes into contact with ground and surface water. This project aims to innovate current conventional septic systems to make them safer and less prone to failure. This project will result in cleaner onsite wastewater treatment for American homes, particularly those in rural communities.

The objective of this project is to develop a sensor that enables online monitoring and control of the phosphorus removal process. The sensor will provide reliable and accurate real-time measurements of phosphate in wastewater and will simplify operations, increasing removal/recovery efficiencies and reducing chemical usage at wastewater facilities. The sensors developed through this project allow for better nutrient recovery in wastewater treatment plants and cost reductions in running these plants.

This project aims to design an innovative power generation well prototype that will help increase thermal recovery efficiency through conversion of thermal energy to electricity, resulting in a better facility environment and safer operations. This design will also enhance the economic competitiveness of geothermal energy for large-scale utilization, and can capitalize on existing or abandoned natural gas/petroleum recovery wells. A geothermal energy production system will reduce air pollution and result in cleaner air quality.

This project is using green chemistry to develop an additive that can improve the overall efficiency of solar disinfection techniques for drinking water purification. Many homes use solar disinfection as an in-home water treatment system. This project aims to create a more efficient solar water treatment technique through green chemistry.

This project aims to effectively treat petroleum refinery wastewater and recover a broad spectrum of nutrients in an affordable way, which is essential for the development of sustainable water management practices. The project will design, test, and evaluate a proposed system that converts wastewater to bioplastics, which can be used as a substitute for petroleum-based products. The project will result in cleaner wastewater discharge and reductions of hazardous waste discharge from petroleum refineries.

Provide Clean and Safe Water

This team is creating an inexpensive catalytic converter to use on devices such as lawn mowers, leaf blowers, and gas powered generators that will reduce emissions of carbon monoxide, nitrogen oxide, particulate matter, and volatile organic compounds. This technology aims to reduce harmful emissions from small engines and improve air quality.

The Compliance Assistance Centers provide web-based user friendly "first-stop-shops" where regulated entities can find comprehensive, easy-to-understand information pre-packaged to fit their special needs. The grantee will partner with EPA, industry groups, environmental groups, and other interested parties to support the continued operation and maintenance of the Center Program. The grantee will maintain a web portal for all Centers, support the development of new web-based tools to support the understanding of and compliance with regulatory obligations, and seek effective approaches and partnerships to maintain the Centers. Additionally, the grantee will maintain the Gateway to State Resource Locators which provides access to state compliance resources and regulations. Lastly, the grantee will expand the Center program to support new industry sectors and/or environmental topics.

This agreement will provide assistance to the Connecticut Maritime Foundation in its efforts to replace marine diesel engines in the waterways of metropolitan New York City. The replacement of existing diesel engines on marine vessels will reduce emissions of diesel particulate matter and other pollutants such as nitrogen oxides, hydrocarbons and greenhouse gases.

This agreement will provide assistance to the University of Puerto Rico - Medical Sciences Campus in its efforts to replace old heavy-duty drayage trucks serving the San Juan Port with cleaner 2012 or newer models and retrofit heavy-duty trucks with Diesel Oxidation Catalysts (DOCs) to reduce emissions of diesel particulate matter and other pollutants, such as oxides of nitrogen.

This agreement will provide assistance to the National School Transportation Association (NSTA) in its efforts to replace diesel school buses with all-electric buses and retrofit additional diesel school buses with anti-idling technology in Nassau and Suffolk Counties in NY State. The replacement of the diesel school buses and retrofit with anti-idling technology will reduce emissions of diesel particulate matter and other pollutants such as nitrogen oxides, carbon monoxide and carbon dioxide.

Produce resources and training on successful operation of community composting sites: develop a Guide to Best Management Practices (BMPs) for small scale community composting sites to include general performance standards and best practices for operators and establish a common vocabulary for community scale composting; produce a version of the Guide specifically for the State of Georgia, with a focus on Atlanta; Develop a training module; provide assistance to Atlanta-based sites in implementing BMPs.

This project will enable Texas A&M University System enhance their ability to take actions that will reduce environmental risks to the health of children. The project will focuses on Head Start Centers, Independent School District, and Women, Infants, and Children (WIC), and Community Health Workers through the implementation of an integrated approach providing Indoor Air Quality, Integrated Pest Management, Lead Education, and an Environmental Exposures for Pregnant Women Curriculum.

The purpose of this grant is for Sonora Environmental Research Institute, Inc (SERI) to train promotoras (community health workers) in Nogales, AZ on Healthy Homes concepts and inspections, who will then educate parents/caregivers and child care providers to reduce toxic exposures to children through community workshops and home/site visits. SERI will conduct pre- and post-tests completed by training/workshop participants and follow-up visits and phone calls with those whose home or childcare facility received an inspection. Lastly, they will work with medical providers to bring awareness of the need for blood testing for lead in vulnerable populations.

This assistance agreement provides full federal funding in the amount of \$39,500.

Pre-award costs have been approved back to 12/1/17.

The Mariposa Community Health Center will train community health workers to educate parents/caregivers and child care providers to reduce toxic exposures to children. The project will develop a training curriculum, train community health workers to educate parents/caregivers and child care providers, and share results so other border communities benefit. This award provides federal funding in the amount of \$45,000. Preaward costs have been approved back to 12/1/17

Pending Supplemental and Incremental Monetary Amendments - No

Report Last Refresh Date: 12/18/17

Funding Package Date	Record Type	Grant Family	AAShip Description	Program Code Description (Code)
12/8/2017	Amendment	00E02050	Region 5	Source Reduction Assistance (X9)
12/5/2017	Amendment	83593401	Office of Administration and Resources Management	Senior Environmental Employment (SEE) Program (Q)
11/28/2017	Amendment	83594801	Office of Administration and Resources Management	Senior Environmental Employment (SEE) Program (Q)
11/21/2017	Amendment	96271416	Region 2	Pollution Prevention Grants Program (NP)
12/11/2017	Amendment	97547118	Region 5	Consolidated Pesticide Enforcement Cooperative Agreements (E)

~~Amendments Non Profits & Universities All Regions and HQ~~
~~n Profits & Universities All Regions and HQ~~

Applicant Name	Applicant Type	Project Start Date	Project End Date	Amount
National Center for Manufacturing Sciences Inc.	Not for Profit	10/1/2016	9/30/2018	\$21,200.00
National Council on Aging	Not for Profit	8/1/2015	7/31/2018	\$23,000.00
National Council on Aging	Not for Profit	8/1/2015	7/31/2018	\$25,000.00
University of Puerto Rico at Mayaguez	State Institution of Higher Learning	1/1/2017	12/31/2018	\$31,918.00
Purdue University	Private University	10/1/2017	9/30/2018	\$125,932.00

Project Title

SOURCE REDUCTION ASSISTANCE

Senior Environmental Employment Program for OITA

Senior Environmental Employment for Region I, Boston, MA.

Pollution Prevention Best Practices in Puerto Rico

FY 2018 EPA Pesticide Cooperative Agreement



Project Description

This project through pilots at 6-10 Detroit plating facilities, will evaluate new innovative P2 technologies to reduce source pollution identified through research; review a 600 facility Region 5 survey and past data collected through previous EPA/industry projects. Also, reductions in toxic chemical use, GHG emissions, hazardous waste, and water will be tracked.

This cooperative agreement will provide support for Senior Environmental Employment (SEE) Program enrollees to work in the Office of International and Tribal Affairs, Washington, DC.

This cooperative agreement will provide support for Senior Environmental Employment (SEE) Program enrollees to work at Region I, Boston, MA.

University of Puerto Rico (UPR) will provide pollution prevention outreach to businesses in the coastal municipality of Añasco in Puerto Rico. UPR will provide best management practices on water conservation, energy efficiency and stormwater pollution prevention. The project will target restaurants, beauty parlors and auto body shops, based on the pollutants of concern identified in the EPA's Stormwater Management Plan. Sustainability-oriented tools will be developed that can be applied for continued work in Añasco and adapted for other municipalities in Puerto Rico.

The work proposed by the Office of Indiana State Chemist housed at Purdue University is to protect the surface/ground waters, agricultural workers, handlers, and endangered species from pesticide. Additional benefits include reduced exposure to toxic pesticides, ensure cleaner waters, and foster improved pesticide compliance. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs.

To: Gentry, James[Gentry.James@epa.gov]
Cc: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]; Neal, Kerry[neal.kerry@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]; Robbins, Chris[Robbins.Chris@epa.gov]; Johnson, Jim[Johnson.Jim@epa.gov]; Nanartowicz, John[nanartowicz.john@epa.gov]
From: Konkus, John
Sent: Tue 12/5/2017 3:54:44 PM
Subject: RE: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

ok

From: Gentry, James
Sent: Tuesday, December 5, 2017 10:26 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Johnson, Jim <Johnson.Jim@epa.gov>; Nanartowicz, John <nanartowicz.john@epa.gov>
Subject: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

Hi John,

I'm following up with you in reference to grant 83927801. I believe there may be some confusion about why the grant recipient is Colorado State, and the project description references Wisconsin.

In this research project, the Colorado State University researchers are teaming up with University of Wisconsin researchers, and utilizing the "State of Wisconsin's lead tracking database; Women, Infants, and Children's (WIC) enrollment data" which is an environmental health database.

The grant award will be made to the Colorado State University who will be the leading investigator, and the University of Wisconsin will be a collaborating investigator.

Please let me know if you would like any additional information on the project.

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Office of Research & Development, U.S. EPA

202-564-4309

From: Sylvester, Kenneth

Sent: Tuesday, December 05, 2017 8:32 AM

To: Nanartowicz, John <nanartowicz.john@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Young, Jill <young.jill@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <polk.denise@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Durand, Jessica <durand.jessica@epa.gov>; Williams, Michael <Williams.Michael@epa.gov>

Subject: ORD Pending Amendment Listed on Weekly OGD Pending Report for week of 12/4/2017 (Grant # 83927801)

Hi John,

See table below, OPA has requested further information about a pending New grant award that was listed on yesterday's weekly pending report. Specifically, they need an explanation about why the Applicant Name is referring to Colorado State University, while the Project Title refers to Wisconsin. A few sentences further explaining the situation should suffice, however please write them from a perspective of someone that may not necessarily understand the grant requirements in detail. You can send the response directly to me with a copy to everyone else on this message and I'll forward to OPA. Once I hear back, I'll be sure to let you know if further action is required or if the grant can continue to move forward.

The grant will continued to be processed by OGD, however we cannot sign/issue it until OPA has received the explanation and evaluated it.

Funding Package Date	Record Type	Grant Family	AA Ship Description (Code)	Program Code	Competitor Name	Applicant Type	Applicant Name	Project Start Date	Project End Date	Amount	Project Title	Project Description
10/24/2017	Award	83927	Office of Research and Development (RD)	Science to Achieve Results (STAR) Program (RD)	C	CO State University	State Institution of Higher Learning	1/1/2018	12/31/2020	\$620,000.00	Consistent Study of the influence of social and physical factors (residential conditions, Ecological personal exposures/lifestyles/genetics, and socioeconomic Social behaviors, public Environment interactions) and total chemical pollutant exposures on children's health and development. The research provides exposure models reflecting the chemical, social and physical environments that influence health outcomes from the prenatal period to age four and estimates the causal effect of these total environment exposures on child health.	

Ken Sylvester

Special Assistant to the Office Director

Office of Grants and Debarment

U.S. Environmental Protection Agency

1200 Pennsylvania Ave, NW

Washington, D.C. 20460

(Mail Code 3901R)

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Email: sylvester.kenneth@epa.gov

Phone: (202) 564-1902

Grant Resources:

(External Agency Customers): <https://www.epa.gov/grants>

(Internal Agency Customers): <http://intranet.epa.gov/ogd/>

To: Beck, Nancy[beck.nancy@epa.gov]
From: Konkus, John
Sent: Tue 12/19/2017 4:38:41 PM
Subject: FW: Daily Grant Report (Congressional Notification)
Congressional Notification Daily Report Previous Day 12-19-2017.xlsx

Nancy: I'm flagging the grants below that are part of today's grants list attached. I'll wait for you to review before I send them forward. Thank you!

0053331BG 1	EPA R5 \$125,932.0012/18/2017	Michigan Department of Agriculture	Pesticide Certification and Enforcement Performance Partnership Grant	The work proposed by the Michigan Department of Agriculture and Rural Development will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this grant will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs. Also, compliance assistance for the regulated community will address new or problematic areas.
0053721BG 1	EPA R5 \$125,932.0012/18/2017	Minnesota Department of Agriculture	Pesticide Enforcement, Applicator Certification, Ground Water, and Worker Protection Programs	This Cooperative Agreement to the Minnesota Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this Cooperative Agreement will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the State/Federal pesticide regulatory enforcement programs. The State will also address compliance assistance for regulated communities with new or problematic areas.

0054891BG 1	EPA R5 \$125,932.00	12/18/2017	Illinois Department of Agriculture	Performance Partnership Grant	This Cooperative Agreement to the Illinois Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this Cooperative Agreement will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the State/Federal pesticide regulatory enforcement programs. The State will also address compliance assistance for regulated communities with new or problematic areas.
9857431BG 5	EPA R5 \$125,932.00	12/18/2017	Ohio Department of Agriculture	Performance Partnership Grant	The additional work proposed by the Ohio Department of Agriculture will enhance food safety and protect ground water, agricultural workers and handlers, and endangered species from pesticides. The work performed under this grant will also reduce exposure to toxic pesticides and improve pesticide compliance leading to a cleaner environment. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs. Also, compliance assistance for the regulated community will address new or problematic areas.
9951621BG 1	EPA R5 \$125,932.00	12/18/2017	Wisconsin Department of Agriculture	Pesticides Performance Partnership Grant	The work proposed by the Wisconsin Department of Agriculture, Trade and Consumer Protection is to protect food safety and to protect the ground water, agricultural workers and handlers, and endangered species from pesticides, reduced exposure to toxic pesticides, cleaner water, and improved pesticide compliance from monitoring. This will be done through the implementation of the state/federal pesticide regulatory and enforcement programs. Also, compliance assistance for the regulated community will address new or problematic areas.

From: OGD_Grant_Reports

Sent: Tuesday, December 19, 2017 9:40 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Bell, Matthew <Bell.Matthew@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Showman, John <Showman.John@epa.gov>; Showman, John <Showman.John@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 12/19/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Jackson, Ryan[jackson.ryan@epa.gov]
From: Konkus, John
Sent: Sat 9/2/2017 1:35:22 PM
Subject: Background

This response is from July when I first flagged this grant. Below the response is the top line description. I'm working to get the full package.

Oil and Gas project was congressionally mandated in the FY16 appropriations and will be funded using FY16 funds.

Best,

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Visit us at <http://www2.epa.gov/research-grants>

202-564-4309

6/23/2017 8:39:24 AM	New Award	Office of Research and Development Consolidated Research (CR)	Office of Research and Development Consolidated Research (CR)	Yale University	Private University	9/1/2017 9:15:00	Oil & Gas Activity & Drinking water vulnerability	This project will formulate a model that predicts the spatiotemporal variability in groundwater vulnerability to unconventional oil and gas-extraction associated chemicals. The resultant vulnerability index model will more accurately estimate susceptibility to contaminants in drinking water. The study will culminate with a case-control study of infants to determine whether exposure to unconventional oil and
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gas contaminants, as estimated by the vulnerability index model, is associated with adverse birth outcomes (low birthweight, preterm birth, birth defects).

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Thur 8/24/2017 2:55:30 PM
Subject: Re: ORD Grants Still Under Review - Please advise

Both can move forward. Thank you.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Aug 24, 2017, at 10:51 AM, Polk, Denise <Polk.Denise@epa.gov> wrote:

Greetings, John,

Have you made final decisions on these two actions below. ORD has inquired about the status. Please advise and thanks. ORD received my message on August 8th that these actions are still under review can should not move forward until further notice. Please advise and thanks!

7/17/2017 2:55:30 PM	Office of Science and Technology Research and Development (STAR) Program (RD)	Georgia State Tech Research Corporation	1/1/2023 \$720,854.00	Nitrogen/Wildfire Emissions & Climate/Land Use	This grant supports research to develop climate change related linkages among land use change, emissions and deposition of reactive nitrogen and air, soil and water quality. Computer models will assess climate and air quality in an historic period (2006-2010) and a future period (2048-2052) for expected emissions, land use changes, and potential climate changes. The project will develop an integrated, air/water quality modeling system for investigating the combined effects of land use and control policies
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in a changing
environment.

7/14/2017	2017-2018	Office of Science to Achieve Results and Development (STAR) Program (RD)	University of California-Davis	State Institution of Higher Learning	4/1/2018/2019	Energy Portfolios to Achieve GHG & PM2.5 Targets	1,050,000	This grant supports research to identify major sources and composition of air pollutants in the year 2050 resulting from different energy portfolios that optimize economic outcomes related to different assumptions about available technology and climate change. Analyses will include detail to allow air quality health costs to be calculated for a range of socio-economic classes. The study will use combinations of different climate models, air quality models, and energy portfolios.
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Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]; OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]
From: Konkus, John
Sent: Mon 8/21/2017 3:31:19 PM
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Ok. Im heading into a meeting myself. No rush.

From: Polk, Denise
Sent: Monday, August 21, 2017 11:31 AM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports
<OGD_Grant_Reports@epa.gov>
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Greetings, John,

I need to step away from my desk for a few minutes and will call you back in 10 minutes or less.
Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John

Sent: Monday, August 21, 2017 11:28 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>

Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Action please. Also, let's discuss these by phone to make sure we're all on the same page. Thank you.

NEW

This one keeps showing up, but it should be taken off:

7/13/2017 3:40 PM	10601	Surveys- J	United Nations for	Not 3/1/2018	2018-2019	Household Energy --	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive
10601	Award of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	Foundation Profit	Communication and Outreach				

greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.

AMENDMENTS

Hold this one please while I work with the Office of Enforcement on details:

8/1/2017	Amendment 83614501	Funding for Environmental law for compliance Institute Profit & worldwide including efforts to build capacity for compliance and enforcement in Africa, south America and other parts of the world. (IC)	Final 3/1/2018	228,559,000	Support for the International Network for Environmental Compliance and Enforcement Secretariat	The purpose of this agreement is to provide ongoing financial assistance and technical cooperation in mutually supportive efforts to promote and strengthen environmental compliance and enforcement through the International Network for Environmental Compliance and Enforcement (INECE), specifically by supporting the INECE Secretariat.
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I asked that this one be placed on hold pending a briefing from the program:

5/31/2017	Amendment 83614501	Office of Air and Radi	Surveys-Studies-Investigations-Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	International Council on Clean Transportation	N
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This one should not move forward. I would like a meeting to discuss with the program:

8/10/2017 8:06 AM Office of International Affairs (X4)
International Battelle Not 3/1/2018 2:51 PM Environmental
of Financial Memorial for Governance Capacity
International Assistance Institute Profit Building
and Projects
Tribal Sponsored
Affairs by the Office
of
International
Affairs (X4)

The overall objective of this cooperative agreement is to assist institutions in strengthening their legal, technical, research, analytical, program implementation capacity, and expert knowledge, information, strategies, and tools. The proposed program will provide capacity building through the development and implementation of projects in areas, including but not limited to: water resource management-- surface and groundwater, drinking water, and wastewater management-- solid waste management, air quality management, greenhouse gases (GHG), black carbon, climate adaptation and resiliency, emergency response capacity, environmental impact assessments (EIA), public participation/social inclusion, and environmental enforcement and compliance.

-----Original Message-----

From: OGD_Grant_Reports

Sent: Monday, August 21, 2017 10:06 AM

To: Konkus, John <konkus.john@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise

<Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge,

William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice

<Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Good morning,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Amanda Schulz

National Policy, Training, and Compliance Division Office of Grants and Debarment U.S.
Environmental Protection Agency

Phone: 202-564-7412

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 9/26/2017 7:06:30 PM
Subject: FW: OGD Daily Grant Reports
Pending Grants Report - New and Supplemental - 2017-09-26.xlsx
Congressional Notification Daily Report 9-26-17.xlsx

Everything in these two reports is good to go. Thank you!

From: OGD_Grant_Reports
Sent: Tuesday, September 26, 2017 11:35 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>;
Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>;
Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge,
William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Durand, Jessica
<Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth
<Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders,
LaTonya <Sanders.Latonya@epa.gov>
Subject: OGD Daily Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; and 2) the daily Congressional Notification report

Because this is the last week of the fiscal year and we recognize that many grant actions are being created on a daily basis, please be advised that OGD will be submitting daily Pending Reports to OPA for review in order to process actions more quickly. Therefore, until Friday 9/29/2017, you will receive the Pending Report with the Congressional Notification Report on a daily basis, as well as a determination for any actions that receive concurrence to move forward or holds related to each report.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	William Etheredge, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Amanda Schulz, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Daily

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: Sands, Jeffrey[sands.jeffrey@epa.gov]
From: Konkus, John
Sent: Tue 1/9/2018 6:38:55 PM
Subject: FW: Request for OPA Review of Competitive Grant Solicitation for Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for Geospatial Analysis Support
RFP 18-02 geospatial analysis support.final draft.01-08-2018.docx

Ex. 5 - Deliberative Process

From: Edward, James
Sent: Tuesday, January 9, 2018 1:29 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Smith, William (Region 3) <smith.william@epa.gov>; Esher, Diana <Esher.Diana@epa.gov>; Krakowiak, John <Krakowiak.John@epa.gov>; White, Lisa <WHITE.LISA@EPA.GOV>; Bisland, Carin <bisland.carin@epa.gov>; Batiuk, Rich <Batiuk.Richard@epa.gov>; Hindin, Rebecca <Hindin.Rebecca@epa.gov>; Edward, James <edward.james@epa.gov>
Subject: Request for OPA Review of Competitive Grant Solicitation for Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for Geospatial Analysis Support
Importance: High

Hi John: We are requesting your review and approval of the attached Chesapeake Bay Program Office Fiscal Year 2018 Request for Proposals for Support for Geospatial Analysis Support. Please contact me or Rebecca Hindin if you have any questions or comments....Thanks Jim

#BayStrong

Jim Edward

Acting Director

Chesapeake Bay Program Office

US EPA Region III

410 Severn Ave.

Annapolis MD 21403

edward.james@epa.gov

410-267-5705

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Tue 12/19/2017 4:34:00 PM
Subject: RE: Daily Grant Report (Congressional Notification)

Can someone provide me more information on this grant? Thank you.

00E020509 4	EPA R5 \$21,200.0012/18/2017	National Center for SOURCE Manufacturing REDUCTION Sciences Inc. ASSISTANCE	This project through pilots at 6-10 Detroit plating facilities, will evaluate new innovative P2 technologies to reduce source pollution identified through research; review a 600 facility Region 5 survey and past data collected through previous EPA/industry projects. Also, reductions in toxic chemical use, GHG emissions, hazardous waste, and water will be tracked.
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From: OGD_Grant_Reports
Sent: Tuesday, December 19, 2017 9:40 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Bell, Matthew <Bell.Matthew@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Showman, John <Showman.John@epa.gov>; Showman, John <Showman.John@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 12/19/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Greenwalt, Sarah[greenwalt.sarah@epa.gov]; Forsgren, Lee[Forsgren.Lee@epa.gov]
From: Konkus, John
Sent: Tue 10/3/2017 5:26:11 PM
Subject: FW: For OPA Review and Approval: OW HQ FY 2017 and FY 2018 Training and Technical Assistance to Improve Water Quality and Enable Small Public Water Systems to Provide Safe Drinking Water RFA
EPA-OW-OGWDW-17-01 RFA FY17-18 - CLEAN.DOCX

Sarah and Lee: I'm reviewing all draft grant solicitations. This is one from OW. This one should be pretty straight forward. Would you like to review and weigh in?

Thank you,

John

From: Spraul, Greg
Sent: Tuesday, October 3, 2017 1:06 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Miller, Tracey <Miller.Tracey@epa.gov>
Subject: For OPA Review and Approval: OW HQ FY 2017 and FY 2018 Training and Technical Assistance to Improve Water Quality and Enable Small Public Water Systems to Provide Safe Drinking Water RFA

John,

Attached please find the Office of Water HQ FY 2017 and FY 2018 Training and Technical Assistance to Improve Water Quality and Enable Small Public Water Systems to Provide Safe Drinking Water RFA, EPA-OW-OGWDW-17-01, for your review and approval. Please let me know if you approve this RFA to move on to the next phase of review.

I will be the point of contact for this RFA. Feel free to give me a call if you have any questions. My contact information is in the signature block below. These training and technical assistance grants to small water systems have been competed for the last several years and are required for us to complete and award according to congressional direction in our appropriation.

As background here is the bill and report language from Congress:

Bill language:

Provided, That of the funds included under this heading, \$12,700,000 shall be for Environmental Protection: National Priorities as specified in the explanatory statement described in section 4 (in the matter preceding division A of this consolidated Act):

Report language (incorporated as part of the bill per the above language):

Environmental Protection: National Priorities.-The bill provides \$12,700,000 for a competitive grant program to provide technical assistance for improved water quality or safe drinking water to rural

and urban communities or individual private well owners. The Agency is directed to provide on a national

or multi-State regional basis, \$11,000,000 for grants to qualified not-for-profit organizations, including

organizations authorized by Section 1442(e) of the Safe Drinking Water Act (42 U.S.C.300j-1(e)(8)), for

the sole purpose of providing on-site training and technical assistance for water systems in rural or urban

communities. The Agency is also directed to provide \$1,700,000 for grants to qualified not-for-profit

organizations for technical assistance for individual private well owners, with priority given to

organizations that currently provide technical and educational assistance to individual private well

owners. The Agency shall require each grantee to provide a minimum 10 percent match,

including inkind

contributions. The Agency is directed to allocate funds to grantees within 180 days of enactment of

this Act.

Greg Spraul

Associate Director
Resource Management Staff

Office of Water

U.S. Environmental Protection Agency

Email: spraul.greg@epa.gov

Direct:(202) 564-0255

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Mon 8/21/2017 3:27:33 PM
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Action please. Also, let's discuss these by phone to make sure we're all on the same page. Thank you.

NEW

This one keeps showing up, but it should be taken off:

7/13/2017 12:40 PM	Office of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations for Foundation Profit	Not 3/1/2018	2018-2019 Household Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional
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cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.

AMENDMENTS

Hold this one please while I work with the Office of Enforcement on details:

8/1/2017	Amendment 83614501	Office of Environmental Enforcement & Assurance Compliance	Funding for Environmental law for compliance Institute Profit & worldwide including efforts to build capacity for compliance and enforcement in Africa, south America and other parts of the world. (IC)	Initial 3/1/2018/252,000	Support for the International Network for Environmental Compliance and Enforcement Secretariat	The purpose of this agreement is to provide ongoing financial assistance and technical cooperation in mutually supportive efforts to promote and strengthen environmental compliance and enforcement through the International Network for Environmental Compliance and Enforcement (INECE), specifically by supporting the INECE Secretariat.
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I asked that this one be placed on hold pending a briefing from the program:

5/31/2017	Amendment 83614501	Office of Air and Radi	Surveys-Studies-Investigations-Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	International Council on Clean Transportation	N
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This one should not move forward. I would like a meeting to discuss with the program:

The overall objective of this cooperative agreement is to assist institutions in strengthening their legal, technical, research, analytical, program implementation capacity, and expert knowledge, information, strategies, and tools. The proposed program will provide capacity building through the development and implementation of projects in areas, including but not limited to: water resource management-- surface and groundwater, drinking water, and wastewater management--solid waste management, air quality management, greenhouse gases (GHG), black carbon, climate adaptation and resiliency, emergency response capacity, environmental impact assessments (EIA), public participation/social inclusion, and environmental enforcement and compliance.

From: OGD_Grant_Reports
Sent: Monday, August 21, 2017 10:06 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

ED 001685A 00001392-00003

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Amanda Schulz

National Policy, Training, and Compliance Division Office of Grants and Debarment U.S.
Environmental Protection Agency

Phone: 202-564-7412

To: Gentry, James[Gentry.James@epa.gov]
From: Konkus, John
Sent: Tue 9/26/2017 4:27:19 PM
Subject: RE: ORD grant 83830001 - University of Washington

No issues here. Let's move it forward!

From: Gentry, James
Sent: Tuesday, September 26, 2017 12:22 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: ORD grant 83830001 - University of Washington
Importance: High

Hi John,

Here's some additional information on ORD grant 83830001 (University of Washington). Let me know if there are any specific questions you have on this one that might not be addressed below.

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Visit us at <http://www2.epa.gov/research-grants>

202-564-4309

The Multi-Ethnic Study of Atherosclerosis and Air Pollution (MESA Air): Next Stage

University of Washington, 83830001

This is a new award in the amount of **\$2,996,426**, and leverages the resources from previous investments of \$33M from EPA and \$70M from the National Heart Lung and Blood Institute.

Project summary: Cardiovascular disease, including heart disease, is the top cause of death in the US (costing ~\$316B) and typically develops over a period of decades. To best protect the public from it, state/local public health authorities, and individual clinicians need to better understand the early role of air of pollution on the development of these diseases. This research will reduce important areas of remaining uncertainty regarding the effects of fine particulate matter (PM_{2.5}) and other criteria pollutants on health: 1) to what extent, if any, exposure at low levels of PM_{2.5} affects health, 2) the earliest detectable effects of health damage from air pollution, 3) how errors in these measurements can reflect on risk assessments that are used to manage public health risks and set standards.

Understanding the earliest effects of PM_{2.5} and those happening at low levels empowers state and local public health officials to manage and balance risks from air pollution in comparison to other public health risks. An increased understanding of effects allows decision makers and practicing clinicians to make more informed health related recommendations to both the general public and groups of people that may be at higher risk (e.g. elderly, diabetics). Lastly, understanding uncertainty and error in these estimates enables public health authorities to understand the limits of our knowledge about the effects of air pollution, and to make informed resource allocation decisions based on robust science and accurate measurements.

This proposal cost-effectively builds on previous multi-agency investments and resources, therefore avoiding the significant costs normally associated with this type of research such as establishing a new study cohort and infrastructure to make initial and follow-up measurements, and the development of a data management system. By incorporating these unique resources (EPA's Multi-Ethnic Study of Atherosclerosis and Air Pollution (MESA Air), a ten-year ancillary study to the National Heart Lung and Blood Institute's Multi-Ethnic Study of Atherosclerosis), it provides the opportunity for this study to take the research further than otherwise may have been possible.

Alignment with Agency Goals & Objectives: This research is driven specifically to increase awareness and actionable information available to state and health field decision makers, improving their ability to make informed and effective decisions (Enhanced Shared Accountability). This increased awareness then enables these health officials and the general public to effectively manage and balance health risks (Increase Transparency and Public Participation). Not only does the research align with the Agency's goal of improving air quality

by proactively providing information on the health impacts of PM_{2.5} and associated uncertainty (Improve Air Quality), it will also generate science findings and results addressing the current knowledge gaps related to early effects of criteria air pollutants (Robust Science).

Impact of not making the award: The impact of not making this award is significant. In addition to the loss of work by technical staff across six cities included in the study, not making this award at this time would mean missing the opportunity to leverage resources from much larger (about 30 times) investments previously made by this Agency and NIH that supported the original MESA study and followed it over decades, in this last phase when the return on taxpayer investment is highest.

Without this work, uncertainty in the costs associated with exposure to air pollution and the relative importance of this with respect to other public health impacts may lead to increases in costs for state and local governments, as well as individual citizens, and inefficiencies in allocation of funding resources for public health. Specifically, too many funds, or too few, may be spent on prevention strategies focused on air pollution rather than other risk factors (such as smoking, diet, and exercise) or other diseases. Additionally, the uncertainty may lead to expensive public health strategies aimed at prevention of cardiovascular disease by limiting air pollution for the entire population rather than targeting specific smaller subgroups (e.g. the diabetics, elderly) who may be more directly at risk.

Finally, for individual taxpayers and their physicians, the uncertainty may have drastic consequences as they will be less able to make optimal, informed choices about how to manage and prevent cardiovascular disease (e.g. where to live, when to exercise, what to prioritize).

Funding Record Package Date	Grant Type	AA Ship Family Description	Program Code Description (Code)	Competitor Code	Applicant Name	Applicant Type	Project Start Date	Project End Date	Amount	Project Title
7/28/2017	New Award	838300 Office of Research and Development	Science to Achieve Results (STAR) Program (RD)	C	U of WA - State University of Washington of Higher Learning	State Institution	9/1/2018	3/31/2023	\$2,996,476.00	Multi-Ethnic Study of Atherosclerosis and Air Pollution (MESA Air): Next Stage

From: OGD_Grant_Reports

Sent: Monday, September 25, 2017 11:23 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>;
Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>;

Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>

Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; and 2) the daily Congressional Notification report

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by	William Etheredge, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Weekly every Monday

Congressional
Notification

Grants Management staff across the
agency.

The purpose of this report is to show monetary Amanda Schulz,
grant awards that were signed by EPA Award however all inquiries
Officials the previous business day. When the should be submitted
award is signed, a Congressional Notification to the OGD email
is automatically triggered within the Integrated address titled:
Grants Management System (IGMS) database.
The source of the information contained in the
CN report is Grants Data Mart, which reflects
information input into IGMS by Grants
Management staff across the agency.

Daily

OGD_Grant_Reports@epa.gov

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: Feeley, Drew (Robert)[Feeley.Drew@epa.gov]
From: Konkus, John
Sent: Tue 11/21/2017 9:46:41 PM
Subject: FW: Work plan for 83610901-8
RW-075-95898901-1 SOW.16FED1604490 (002).docx

Drew: Any progress on these? Thanks!

From: Konkus, John
Sent: Monday, November 20, 2017 2:49 PM
To: Dravis, Samantha <dravis.samantha@epa.gov>; Feeley, Drew (Robert) <Feeley.Drew@epa.gov>; Bolen, Brittany <bolen.brittany@epa.gov>
Subject: FW: Work plan for 83610901-8

Here's another grant for incremental funding for an OP project. The OP grants coordinate should have already flagged this one for you. If not, here it is again for review. Thanks!

From: Quarles, Michael
Sent: Thursday, November 9, 2017 5:18 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Kok, Nancy <Kok.Nancy@epa.gov>; Campbell, Jennie <Campbell.Jennie@epa.gov>; Gurkin, Charles <Gurkin.Charles@epa.gov>; McCluney, Lance <McCluney.Lance@epa.gov>
Subject: Work plan for 83610901-8

Hello John,

Please see the attached work plan for the incremental funding of grant 83610901-8. I have cc'd the Grants Coordinator for OP, Nancy Kok and the Project Officer, Charles Gurkin if additional information is needed.

Have a great weekend,

Michael G. Quarles

Acquisitions and Assistance Manager

Office of Administrative and Executive Services

US Environmental Protection Agency

1200 Pennsylvania Ave. NW

Washington, DC 20460

Mail Code 6202A

(202) 343-9970

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Sat 9/2/2017 12:09:02 AM
Subject: FW: ACTION REQUIRED: ORD Grant Awards Requiring Follow-Up

From: Gentry, James
Sent: Tuesday, July 18, 2017 10:03 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Polk, Denise <Polk.Denise@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>
Subject: RE: ACTION REQUIRED: ORD Grant Awards Requiring Follow-Up

Hi John,

The 50% reduction is in FY18, and the two SHC projects identified use FY17 funds.

Also, for your awareness, the Oil and Gas project was congressionally mandated in the FY16 appropriations and will be funded using FY16 funds.

Best,

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Visit us at <http://www2.epa.gov/research-grants>

202-564-4309

From: Konkus, John
Sent: Tuesday, July 18, 2017 9:14 AM
To: Gentry, James <Gentry.James@epa.gov>
Cc: Polk, Denise <Polk.Denise@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>
Subject: RE: ACTION REQUIRED: ORD Grant Awards Requiring Follow-Up

James I will look at this closely today. Can you tell me if the SHC funding takes into consideration the 50% reduction in the proposed budget?

From: Gentry, James
Sent: Tuesday, July 18, 2017 8:42 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: FW: ACTION REQUIRED: ORD Grant Awards Requiring Follow-Up

Hi John,

The three grants identified below were previously identified last week. I've reattached the response and highlighted the three grants below in yellow. Columns J,K, and L cite the funding source, federal partners, and alignment with the Administrator's framework, respectively.

If the questions on these three grants are beyond what's captured in the file, please let me know and I can give you a quick phone call.

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Visit us at <http://www2.epa.gov/research-grants>

202-564-4309

From: OGD_Grant_Reports

Sent: Tuesday, July 18, 2017 8:07 AM

To: Nanartowicz, John <nanartowicz.john@epa.gov>; Robbins, Chris <Robbins.Chris@epa.gov>; Young, Jill <Young.Jill@epa.gov>; Radzikowski, Mary Ellen <Radzikowski.Maryellen@epa.gov>; Gentry, James <Gentry.James@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Keesee, Peyton <keesee.peyton@epa.gov>; Pumphrey, William <pumphrey.william@epa.gov>

Subject: ACTION REQUIRED: ORD Grant Awards Requiring Follow-Up

Good Morning,

John Konkus, Deputy Associate Administrator, is requesting additional information on the following awards. Please continue the review process; however, the awards should not be signed until these issues have been resolved.

Funding Record Date	Grant Type	Package Family	AA Ship Description (Code)	Program Code	Competitor Code	Applicant Name	Application Type	Project Start Date	Project End Date	Project Amount	Project Title
6/22/2017	Award	836940	Office of Science to Research and Results Development (STAR) Program (RD)	C	Oregon State University	State Institution of Higher Learning	8/1/2017	7/31/2019	2,831,831	1	Nea Puget Sound Near Term Action Planning in
6/22/2017	Award	836939	Office of Science to Research and Results Development (STAR) Program (RD)	C	University of California Davis	State Institution of Higher Learning	8/1/2017	7/31/2019	2,598,348	1	Sacramento Regional Sustainability

		Program (RD)		Learning	
6/23/2017	8392496	Office of Office of	C	Yale	Private/1/2017/2017
Award	Research	Research and		University	University
	and	Development			
	Development	Consolidated			
		Research (CR)			
					2017/2017/2017
					998,505.80
					Gas Activity & Drinking
					water vulnerability

Within two (2) business days of your receipt of this email, please reach out to John Konkus to determine what resolutions are required. Please provide resolution status to John Konkus, Nancy Grantham, and OGD_Grant_Reports@epa.gov and copy any pertinent OGD POCs. OGD will notify you once the resolution has been accepted and the grant may be awarded. Please do not sign the award until you have received direct notice from OGD.

Amanda Schulz
Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: Durand, Jessica[Durand.Jessica@epa.gov]
Cc: Milbourn, Cathy[Milbourn.Cathy@epa.gov]; Shimmin, Kaitlyn[shimmin.kaitlyn@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/2/2017 1:17:46 PM
Subject: RE: Daily Grant Report (Congressional Notification)
Copy of Congressional Notification Daily Report 8-2-17.xlsx

I've highlighted four grants (attached) from this morning's report that need to be held. Please don't move them forward and please do not notify.

On another note, Cathy there are a bunch of excellent grants on here that would should work with the regions on press.

Thank you everyone!

From: Durand, Jessica
Sent: Wednesday, August 2, 2017 8:50 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 8/2/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a

Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
Cc: Bennett, Tate[Bennett.Tate@epa.gov]; Brennan, Thomas[Brennan.Thomas@epa.gov]
From: Konkus, John
Sent: Tue 12/5/2017 2:18:31 PM
Subject: FW: Daily Grant Report (Congressional Notification)
Congressional Notification Daily Report 12-05-2017.xlsx

Grants Team: everything except the first grant #6 (see below) on the sheet is good to go.

Tom and Tate: on this particular grant, what's up with this EE grant? Why don't we use NEEF for something like this? Thank you!

8369580MT 2	EPA HQ \$2,096,500.00/4/2017 North American Association for Environmental Ed	ee360 Leadership and Training Collaborative	Project ee306 will train a cadre of diverse education professionals to deliver environmental education (EE) in formal and non-formal settings and strengthens the EE field so that these efforts are sustained in the future. Activities include improving the practice of 4M+ formal and non-formal educators, updating and/or creating EE Guidelines for Excellence; and training Community Change Fellows who will implement action plans.
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From: OGD_Grant_Reports
Sent: Tuesday, December 5, 2017 7:56 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Bell, Matthew <Bell.Matthew@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Showman, John <Showman.John@epa.gov>; Showman, John <Showman.John@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Vizian, Donna <Vizian.Donna@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 12/05/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Gunasekara, Mandy[Gunasekara.Mandy@epa.gov]; Dominguez, Alexander[dominguez.alexander@epa.gov]
From: Konkus, John
Sent: Mon 8/28/2017 4:59:16 PM
Subject: OAR grant question

What does “across borders” mean in this project description?

<p>7/18/2017 7:39:25 AM Award of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)</p>	<p>C Environmental Law for Institute Profit</p>	<p>10/19/2017 2:01:18 PM Information to Support State and Local Policies & Programs for Reducing Indoor Pollutant Exposures</p>	<p>This proposal has been reviewed and certified for appropriateness relative to the "Policy for Distinguishing between Assistance and Acquisition" The activities in this proposal will further ELI's mission to enable leaders across borders and sectors to make environmental progress. The activities include: the production and maintenance of a State IAQ law and policy database; outreach activities for state and local governments; and development of interactive IAQ workshops that will allow participants to identify model policies and programs. The purpose of this project is to reduce environmental health risks associated with indoor air quality (IAQ) to the general public.</p>
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Everything is good to move forward. Two additional points below please. Thanks!

12/5/2017	1F42901	Region	National	C	VIA Special District	11/20/2017	\$1,200,000	Diesel Transit Bus Replacement	The objective of the assistance under this program is to achieve significant reductions in diesel emissions in terms of tons of pollution produced and reductions in diesel emissions exposure, particularly from fleets operating in areas designated by the Administrator as poor air quality areas. This funding provides retrofit technology that significantly reduces emissions through implementation of a certified engine configuration, verified technology, or emerging technology for diesel vehicles or equipment owned by local governments, or by private fleets contracted to perform work for local governments.
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ED 001685A 00001530-00001

This assistance agreement provides full federal funding in the amount of \$822,000.

From: OGD_Grant_Reports

Sent: Monday, December 11, 2017 11:47 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached is the weekly New, Supplemental and Incremental Amendment Pending Grant Actions and Congressional Notification reports.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New, Supplemental and Incremental Amendment	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice	William Etheredge, however all inquiries should be submitted	Weekly every Monday

Pending Grant Actions	<p>and either a Funding Recommendation or a Change Request form) that have been “Finalized” by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a “Funding Package Date” in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists individual New Awards and Supplemental and Incremental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.</p>	<p>to the OGD email address titled:</p> <p><u>OGD_Grant_Reports@epa.gov</u></p>
Congressional Notification	<p>The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.</p>	<p>Michael Williams, Daily however all inquiries should be submitted to the OGD email address titled:</p> <p><u>OGD_Grant_Reports@epa.gov</u></p>

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/23/2017 11:51:38 AM
Subject: RE: Status Update - OEI Grant

Thank you.

From: Polk, Denise
Sent: Wednesday, August 23, 2017 7:47 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: Re: Status Update - OEI Grant

I'm sending messages now and have already contacted Steve Fine and ORD. Sending messages to OAR and R3 next. Region 5 has already notified their recipient. I'm requesting an email confirmation that this was done. Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

On Aug 23, 2017, at 7:43 AM, Konkus, John <konkus.john@epa.gov> wrote:

And please follow up with the ones that said they (Steve and Betsy) would do it to ensure that they have. Thank you.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Aug 23, 2017, at 7:38 AM, Polk, Denise <Polk.Denise@epa.gov> wrote:

Yes, I will work with each one and have them make the calls. Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

On Aug 23, 2017, at 6:52 AM, Konkus, John <konkus.john@epa.gov> wrote:

Denise: Can we please have all of our programs that have a rescinded grant give a courtesy email or call to the organizations this morning before our 10 AM please. It does not have to be complicated, just a courtesy so that they hear from us first. Thank you.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Aug 22, 2017, at 11:05 PM, Polk, Denise <Polk.Denise@epa.gov> wrote:

Greetings, John,

I just read the email exchange between you and Steve regarding the OEI grant. Please see message below that was sent to OEI. A similar message

was sent to the other program/grant offices that had grant actions that will not be awarded. I plan to follow-up with each office tomorrow. Please let me know if you have any questions. Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

Begin forwarded message:

From: "Polk, Denise" <Polk.Denise@epa.gov>
Date: August 22, 2017 at 7:24:16 PM EDT
To: "Fine, Steven" <fine.steven@epa.gov>, "Gutshall, Renee" <Gutshall.Renee@epa.gov>, "Standifer, Juanita" <Standifer.Juanita@epa.gov>, "Blake-Coleman, Wendy" <Blake-Coleman.Wendy@epa.gov>
Cc: "Sylvester, Kenneth" <Sylvester.Kenneth@epa.gov>
Subject: RE: Status Update - OEI Grant

Greetings,

Please notify the recipient as soon as possible that this award will not be made. In the meantime, OGD will work with OGC to develop standard language for offices to use to formally notify the recipients. Let me know if you have any questions. Thanks for your prompt attention to this matter and your patience.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)
Email: Polk.Denise@epa.gov

From: Polk, Denise
Sent: Tuesday, August 22, 2017 5:15 PM
To: Fine, Steven <fine.steven@epa.gov>; Gutshall, Renee <Gutshall.Renee@epa.gov>; Standifer, Juanita <Standifer.Juanita@epa.gov>; Blake-Coleman, Wendy <Blake-Coleman.Wendy@epa.gov>; Young, Jill <Young.Jill@epa.gov>; Keesee, Peyton <keesee.peyton@epa.gov>; Pumphrey, William <pumphrey.william@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <jones.laurice@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>
Subject: Status Update - OEI Grant
Importance: High

Greetings,

Please be advised a final decision to rescind the following OEI grant action below has been made; therefore, this grant will not be awarded. Please let me know if you have any questions or concerns.

7/24/17	New	839233	OEI	OS	University of Enterprises Inc	Other 10/1/17	9/30/2016	\$299,699.00	Energy- The Energy-Water- Water- Emissions Dashboard Emissions EWED, pronounced "e-
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Dashboard") will integrate three EN data sources and other federal data sources into a new web service and two web-based user interfaces that support integrated decision making and planning at the energy-water-climate nexus. The EWED user interfaces will include a near-real-time dashboard of power plant facility water demands and emissions, as well as advanced tools for users to perform detailed analyses and scenario development at different geographic and jurisdictional scales. The goal of EWED is to improve integration, accessibility, usability, and timeliness of complex environmental data. Furthermore, EWED can support cross-agency planning and integrated analysis of energy-water-climate issues as they pertain to local, state or national policies such as the Clean Power Plan.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
 Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Mon 9/25/2017 6:02:06 PM
Subject: FW: OGD Weekly Grant Reports
Congressional Notification Daily Report Previous Day 092517.xlsx
Pending Grants Report - New and Supplemental - 2017-09-25.xlsx

- 1) All of these attached are approved.
- 2) However, can someone give me some more background on this grant below?
- 3) There are a lot of Puerto Rico grants in these files. I would love to get that money out to them!

7/21/2017	2017-09-25	Region 9	Water Infrastructure Grants as Authorized by EPA Appropriations (XP)	P	Border Environment Cooperation Comm.	Other	10/19/2017	2017-09-25	Water Infrastructure - U.S. Mexico Border (PDAP)	This cooperative agreement will support the Project Development Assistance Program (PDAP). This program provides funds for planning and design of community water and wastewater infrastructure projects within 100 kilometers north and south of the US-Mexico border and for administrative and other costs related to the development oversight of these projects. All projects benefit the United States.
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This assistance agreement provides partial funding in the amount of \$1,000,000.

From: OGD_Grant_Reports
Sent: Monday, September 25, 2017 11:23 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached are: 1) the Pending New and Supplemental Grant Actions Report, which includes monetary new and supplemental actions, regardless of dollar threshold; and 2) the daily Congressional Notification report

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New & Supplemental Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists only individual New and Supplemental Amendment grant monetary actions. The source of the information contained in the	William Etheredge, however all inquiries should be submitted to the OGD email address titled: <u>OGD_Grant_Reports@epa.gov</u>	Weekly every Monday

report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Congressional
Notification

The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Amanda Schulz, Daily
however all inquiries
should be submitted
to the OGD email
address titled:

OGD_Grant_Reports@epa.gov

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

To: Fine, Steven[fine.steven@epa.gov]
Cc: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Wed 8/23/2017 11:11:31 AM
Subject: Re: Status Update - OEI Grant

Thank you Steve.

On Aug 23, 2017, at 6:55 AM, Fine, Steven <fine.steven@epa.gov> wrote:

Thanks. Will do.

From: Konkus, John
Sent: Tuesday, August 22, 2017 10:56 PM
To: Fine, Steven <fine.steven@epa.gov>
Cc: Polk, Denise <Polk.Denise@epa.gov>
Subject: Re: Status Update - OEI Grant

This is not going to be funded and we would kindly ask you to alert the organization in the morning that the award will not be granted.

On Aug 22, 2017, at 9:05 PM, Fine, Steven <fine.steven@epa.gov> wrote:

Denise and John,

Regarding the OEI grant that was identified to not be awarded, I understand the concern about the connection to CPP.

The proposed work connects multiple types of information that are not related to CPP but are relevant to the interest of EPA and states. These include information about facilities, air pollutants, energy production, streamflow, and water temperature and quality. The work unrelated to CPP is the vast majority of the proposed work and would support Agency and Administration goals related to clean air, clean water, reliable energy production, and Cooperative Federalism.

Would it be possible to negotiate a narrower scope for the grant that would address the valuable work that is not connected to CPP?

I'd be happy to discuss.

Thanks.

Steve

From: Polk, Denise

Sent: Tuesday, August 22, 2017 5:15 PM

To: Fine, Steven <fine.steven@epa.gov>; Gutshall, Renee <Gutshall.Renee@epa.gov>; Standifer, Juanita <Standifer.Juanita@epa.gov>; Blake-Coleman, Wendy <Blake-Coleman.Wendy@epa.gov>; Young, Jill <Young.Jill@epa.gov>; Keese, Peyton <keese.peyton@epa.gov>; Pumphrey, William <pumphrey.william@epa.gov>

Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>

Subject: Status Update - OEI Grant

Importance: High

Greetings,

Please be advised a final decision to rescind the following OEI grant action below has been made; therefore, this grant will not be awarded. Please let me know if you have any questions or concerns.

7/24/17 New 8392330 OEI OS University of Other 10/1/17 17/30/2017 \$299,699.00 Energy- The Energy-Water-

Enterprises
Inc

Water- Emissions Dashboard
Emissions (EWED, pronounced "e-
Dashboard") will integrate
three EN data sources
and other federal data
sources into a new web
service and two web-
based user interfaces
that
support integrated
decision making and
planning at the energy-
water-climate nexus.
The EWED user
interfaces will include a
near-real-time
dashboard of power
plant facility water
demands and
emissions, as well as
advanced tools for
users to perform
detailed analyses and
scenario development
at different geographic
and jurisdictional
scales. The goal of
EWED is to improve
integration,
accessibility, usability,
and timeliness of
complex environmental
data. Furthermore,
EWED can support
cross-agency planning
and integrated analysis
of energy-water-climate
issues as they pertain
to local, state or
national policies such
as the Clean Power
Plan.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW

Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Tue 8/8/2017 7:59:20 PM
Subject: RE: Pending Report Grant Holds

Yes. Thank you.

From: Polk, Denise
Sent: Tuesday, August 8, 2017 3:58 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Pending Report Grant Holds

Greetings, John,

These are the two I will hold. Are the others cleared to move forward??

Row 10 from Pending Award List 8/7/2017 for NEW actions:

7/6/2017	W00D62817	Hazardous P	North	State	10/12/2017	2017	1750.00	Cooperative Agreement for Preparation of Five-Year Reviews for Remedial Action at NPL Sites.	This assistance agreement funds activities to the State of North Carolina that will improve or enhance the State's ability to control risks to human health and/or the environment at contaminated properties through inspection and evaluation of the remediation system at the facilities and review documents and records related to the facilities. The report will be in the form of a five year review to evaluate protectiveness of the completed remedial action for human health and the environment.
Award	4	Substances Response Trust Fund (V)	Carolina DEQ						

Row 228 from Pending Award List 8/7/2017 for NEW actions:

7/27/2017	New	00000000	Region 4	Regional Wetland Program Development Grants (CD)	C	North Carolina DEQ	State	10/12/2008	2008-2010	Regional Wetlands Program Development Grants	This action provides funding in the amount of \$344,810 for North Carolina Department of Environmental Quality to monitor impacts to wetlands and streams occurring in urban areas, both from newly permitted development and stormwater runoff. The state intends to document water quality benefits being provided by natural wetland systems in urbanized settings by collecting water quality samples from inlets and outlets of 10 to 12 natural wetlands, across a development intensity gradient.
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Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

Attached for your review is this week's pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Neal, Kerry[neal.kerry@epa.gov]
From: Konkus, John
Sent: Thur 1/4/2018 8:37:24 PM
Subject: RE: Weekly Pending Report - Non Profits & Universities

This one is good to go! Thanks.

From: Neal, Kerry
Sent: Wednesday, January 3, 2018 11:08 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Weekly Pending Report - Non Profits & Universities

Doing a bit of research. I'll get right back to you.

From: Konkus, John
Sent: Wednesday, January 3, 2018 10:59 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>
Subject: FW: Weekly Pending Report - Non Profits & Universities

Who can I talk to today about this "new" pending item:

12/18/2017 10:00 AM	12/18/2017 10:00 AM	12/18/2017 10:00 AM	12/18/2017 10:00 AM
NRDC v EPA, No. 1:17-cv-9492 (S.D.N.Y); EPA-HQ-2017-011514	NRDC v EPA, No. 1:17-cv-9492 (S.D.N.Y); EPA-HQ-2017-011514	NRDC v EPA, No. 1:17-cv-9492 (S.D.N.Y); EPA-HQ-2017-011514	NRDC v EPA, No. 1:17-cv-9492 (S.D.N.Y); EPA-HQ-2017-011514
Award 4	Clean Diesel Funding Assistance Program (B) (DE)	American Lung Association National Office	This action approves an award in the amount of \$807,220 to American Lung Association. The project will reduce diesel emissions from 20 vehicles in 1 fleet operation in Chatham County, Georgia.

All the others are good to go.

From: OGD_Grant_Reports
Sent: Wednesday, January 3, 2018 10:46 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Konkus, John

<konkus.john@epa.gov>

Cc: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Weekly Pending Report - Non Profits & Universities

Good morning John,

Attached for your review is this week's pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Bolen, Brittany[bolen.brittany@epa.gov]
From: Konkus, John
Sent: Tue 9/5/2017 5:39:03 PM
Subject: Do you know...

...If we have a position on human testing? See this proposed grant.

8/8/2017 8:57 AM	Office of of Research Research and and Development Development Research (CR)	University of North Carolina of North Carolina at Chapel Hill Consolidated Hill Learning	State of North Carolina Institution of Higher Education 2017-2022	Human Health Effects of Environment Pollutants	This on-site cooperative agreement will use advanced and unique systems located in the EPA Human Studies Facility at Chapel Hill to expose healthy and diseased human volunteers, as well as respiratory tract cells from these volunteers, to a wide range of pollutants, while using a variety of physiological, molecular, social and clinical techniques to detect pollutants effects.
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To: Yamada, Richard (Yujiro)[yamada.richard@epa.gov]
From: Konkus, John
Sent: Tue 11/21/2017 2:49:42 PM
Subject: FW: New ORD grant solicitation for OPA review
2018 P3 RFA Final Version for James 11 20 2017.docx

Richard: Would you like to weigh in on this draft solicitation? We can talk about it. Thanks.

From: Gentry, James
Sent: Tuesday, November 21, 2017 9:23 AM
To: Konkus, John <konkus.john@epa.gov>
Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Perez, Robert <Perez.Roberto@epa.gov>
Subject: New ORD grant solicitation for OPA review
Importance: High

Hi John,

Attached you will find a new grant solicitation for OPA review prior to before transmission to OGD. I'll serve as the ORD point of contact for any questions related to the announcement.

Hope all is well.

James

James E. Gentry

Acting Deputy Director, National Center for Environmental Research

Office of Research & Development, U.S. EPA

202-564-4309

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov];
Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
Cc: Grantham, Nancy[Grantham.Nancy@epa.gov]
From: Konkus, John
Sent: Mon 12/4/2017 8:31:49 PM
Subject: FW: OGD Weekly Grant Reports
Congressional Notification Daily Report-12-04-17.xlsx
Pending Grants Report - New Supplemental and Incremental Filter 5 PCs - All Regions and HQ 12-04-17.xlsx

I'm running #29 on the Amendments page by Sara Greenwalt.

#14 and #22 under New Actions says Wisconsin Study of Children's Health but says the awardee is CO State University?

All the rest are good.

Nancy #11 under New Actions and #36, #37 and #39 under Amendments are lead grants that can be included "war on lead" week.

From: OGD_Grant_Reports
Sent: Monday, December 4, 2017 1:19 PM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>;
Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>;
Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge,
William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice
<Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton,
Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>;
Durand, Jessica <Durand.Jessica@epa.gov>
Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached is the weekly New, Supplemental and Incremental Amendment Pending Grant Actions and Congressional Notification reports.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New, Supplemental and Incremental Amendment Pending Grant Actions	The purpose of this report is to provide a listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been "Finalized" by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a "Funding Package Date" in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists individual New Awards and Supplemental and Incremental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	William Etheredge, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Weekly every Monday
Congressional Notification	The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.	Michael Williams, however all inquiries should be submitted to the OGD email address titled: OGD_Grant_Reports@epa.gov	Daily

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Binder, Bruce[Binder.Bruce@epa.gov]
Cc: Brennan, Thomas[Brennan.Thomas@epa.gov]; Bennett, Tate[Bennett.Tate@epa.gov]
From: Konkus, John
Sent: Fri 12/8/2017 9:40:20 PM
Subject: EE grants
2018 EE Local Grants RFP with Regions comments.docx

Bruce: This RFP looks good to go from our perspective. Thank you for helping get it out the door.

Have a great weekend!

John

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Mon 8/14/2017 6:40:11 PM
Subject: RE: Pending Grants Report for Non-Profits and Universities

This one remains on hold and likely will not move forward. The rest are fine. Thank you.

7/13/2008	2008	Office of Award	Surveys- J Studies- Investigations- Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations Foundation	Not for Profit	3/1/2015	2/28/2025	2013-2015	Household Energy Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion
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												activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.
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From: OGD_Grant_Reports

Sent: Monday, August 14, 2017 1:31 PM

To: Konkus, John <konkus.john@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Pending Grants Report for Non-Profits and Universities

Good Afternoon,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thank you,

Jessica Durand

Policy Specialist

US Environmental Protection Agency

202-564-5317

Durand.jessica@epa.gov

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Tue 8/8/2017 7:35:10 PM
Subject: RE: Please review and advise

If I cleared it, why is it back up on the board? Thanks.

From: Polk, Denise
Sent: Tuesday, August 8, 2017 3:34 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: Please review and advise

Greetings, John,

Just want to bring to your attention that you cleared this action on June 28th. If you changed your mind and want to continue to hold that's fine. Just want to make you aware.

5/31/2017	Atm	63611450	Office of Surveys- International for 8/1/2016	5/31/2017	\$937,483	2015-2018	The project will support and enhance both the Climate and Clean Air Coalition/United Nations Environment Program's "Black Carbon Emissions Reductions from Heavy Duty Vehicles and Engines: Green Freight Initiative (Part 1 and Part 2)" as well as the Climate Change Working Group, part of the Strategic
			Air and Studies- Council Profit			CCAC	
			Radiation Investigations Clean			Global	
			Demonstrations Transportation			Green	
			and			Freight	
			Special			Initiative	
			Purpose				
			Activities				
			relating to				
			the Clean				
			Air Act				
			(XA)				

and Economic Dialogue with the US and China. This includes areas such as technology assessment, driver training, and other best practices for green freight programs.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Polk, Denise

Sent: Tuesday, August 08, 2017 2:33 PM

To: Konkus, John <konkus.john@epa.gov>

Subject: RE: Pending Grant Report for Non-Profits and Universities

Great and thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Tuesday, August 08, 2017 2:27 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

Correct. Thank you.

From: Polk, Denise
Sent: Tuesday, August 8, 2017 2:26 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

Greetings, John,

Greetings, John,

Please disregard my previous email. I think these are accurate. Please advise! Thanks!

Line 52 (New Awards List):

7/13/2017	18384001	Office of Air and Radiation	Surveys- J Studies- Investigations- Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	United Nations Foundation	Not for Profit	3/1/2018	2/29/2019	30000	Hold Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.
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Line 23 (Amendments List):

7/17/2015	7/17/2015	Office of Science to Achieve Results and Development Program (RD)	Georgia State Tech Institution Research of Corporate Higher Learning	1/2016	\$20,000	NO _x /Wildfire Emissions & Climate/Land Use	This grant supports research to develop climate change related linkages among land use change, emissions and deposition of reactive nitrogen and air, soil and water quality. Computer models will assess climate and air quality in an historic period (2006-2010) and a future period (2048-2052) for expected emissions, land use changes, and potential climate changes. The project will develop an integrated, air/water quality modeling system for investigating the combined effects of land use and control policies in a changing environment.
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Line 32 (Amendments List):

7/14/2015	7/14/2015	Office of Science to Achieve Results and Development Program (RD)	University of California-Davis State Institution of Higher Learning	4/1/2016	\$10,000	Energy Portfolios to Achieve GHG & PM _{2.5} Targets	This grant supports research to identify major sources and composition of air pollutants in the year 2050 resulting from different energy portfolios that optimize economic outcomes related to different assumptions about available technology and climate change. Analyses will include detail to allow air quality health costs to be calculated for a range of socio-economic classes. The study will use combinations of different climate models, air quality models, and energy portfolios.
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Line 33 (Amendments List):

5/31/2017	306-1056	Office of Surveys- of Air Studies- and Investigations Radiation Demonstration and Special Purpose Activities relating to the Clean Air Act (XA)	International Council for on Clean Profit Transportation	Not 8/1/2018	306-1056	2018 CCAC Global Green Freight Initiative	The project will support and enhance both the Climate and Clean Air Coalition/United Nations Environment Program's "Black Carbon Emissions Reductions from Heavy Duty Vehicles and Engines: Green Freight Initiative (Part 1 and Part 2)" as well as the Climate Change Working Group, part of the Strategic and Economic Dialogue with the US and China. This includes areas such as technology assessment, driver training, and other best practices for green freight programs.
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Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Polk, Denise

Sent: Tuesday, August 08, 2017 1:57 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

Greetings John,

Are these the actions that you want me to hold? Just want to confirm before I sent out the formal message. Thanks!

New Award, Line 52:

6/21/2017 3:37 PM	Office of Water	Surveys- C Studies- Investigations- Demonstrations and Special Purpose- Section 1442 of the Safe Drinking Water Act (X6)	Rural Community Assistance Partnership Inc	Not 8/1/2017 8:00 AM	Profit	and Technical Assistance for Small Public Water Systems to Achieve and Maintain Compliance	The Rural Community Assistance Partnership and its team of strategic partners will expand and continue training and technical assistance services to small systems in order to help them achieve and maintain compliance. The Rural Community Assistance Partnership team will provide services in all 50 states, at least two United States territories, plus multiple tribal communities over the course of 18 months. The main components of this approach are: 1) the continued consultation and collaboration with appropriate regulatory authorities - including state primacy agencies and Environmental Protection Agency regional direct implementation coordinators - in order to set and refine priorities for activities; 2) routine reporting to primacy agencies,
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national Environmental Protection Agency, and Environmental Protection Agency Regions; 3) development and delivery of effective and efficient trainings – both face-to-face and online; 4) providing on-site and remote technical assistance where needed to move systems toward compliance; 5) targeted training, technical assistance, and operator certification for water systems serving tribal communities; 6) development and delivery of tools, training, and technical assistance appropriate for non-community water systems; and 7) transfer of information related to results, strategies, successes, resources, and lessons learned to interested stakeholders nationwide.

Amendments, Line 23

American Oversight	Surveys of the Administration's Investigations, Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	Kansas State University	State Institution of Higher Learning	8/1/2015	2/15/2020	Development and Management of the Program Website	507
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The 507 Program Website is a necessary source of information sharing and collaboration tool of state 507 programs which are spread throughout the country. The grantee will develop and manage the 507 Program website, which will benefit the individual state SBO, SBEAP and CAP members (that make up the 507 program) and the small business community that are provided the compliance assistance support by the 507 Program and the public that will benefit in reduced pollution and increased safety from their local small businesses which will be better able to comply with environmental

regulations.

Amendments, Lines 32, 33

6/20/2016 7:35 AM	Office of Surveys- Water Studies and Technology Investigations Information Grants and Center Cooperative Agreements- Section 104(b)(3) of the Clean Water Act (X7)	Conservation Profit	Not for Profit	10/1/2016 2:00 PM	Aquatic Resource Monitoring Technical Training Workshops for States, Tribes and Other Stakeholders	This project provides leadership and technical support to plan, organize, coordinate, evaluate and share information from State and Tribal Aquatic Resource Monitoring Technical Training Workshops. Two national workshops and up to 10 aquatic resource-specific training workshops will occur from 2016 to 2020. The workshops will enhance collaboration, communication, coordination and technology transfer among the more than 800 professionals and academic experts attending the workshops.
7/25/2016 7:35 AM	Office of Surveys- Air and Radiation Investigations- Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	Winrock International	Not for Profit	1/18/2017 2:52 PM	Household Energy Cap Bldg & Tech Assistance	The applicant will support capacity building, technical assistance and information exchange to improve health, livelihood, and quality of life by reducing exposure to indoor air pollution from household energy use in developing countries.

From: Konkus, John

Sent: Tuesday, August 08, 2017 1:31 PM

To: Polk, Denise <Polk.Denise@epa.gov>

Subject: RE: Pending Grant Report for Non-Profits and Universities

Under the New Actions tab:

Number 52 I rescinded last week. Please ensure it doesn't move forward.

Under the Amendments tab:

Numbers 23, 32, 33 don't move it forward please.

Thank you.

From: OGD_Grant_Reports

Sent: Monday, August 7, 2017 10:24 AM

To: Konkus, John <konkus.john@epa.gov>

Cc: Polk, Denise <Polk.Denise@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>;

OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Etheredge, William

<Etheredge.William@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry

<neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>

Subject: Pending Grant Report for Non-Profits and Universities

Good morning John,

Attached for your review is the pending grant report for non-profits and universities. Please let me know if you have any questions or concerns.

Sincerely,

Amanda Schulz

National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency

Phone: 202-564-7412

To: Kuhn, Kevin[Kuhn.Kevin@epa.gov]
From: Konkus, John
Sent: Thur 12/14/2017 8:57:29 PM
Subject: RE: ORD Grant

Great! Thanks Kevin.

From: Kuhn, Kevin **On Behalf Of** Yamada, Richard (Yujiro)
Sent: Thursday, December 14, 2017 3:56 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Yamada, Richard (Yujiro) <yamada.richard@epa.gov>
Subject: RE: ORD Grant

Hi John,

Richard is on leave in Japan, but has let me know he doesn't have any issues on this grant.

Thanks,

Kevin

Kevin Kuhn

ORD/EPA

(202) 564-4835
Mobile: (202) 309-3969

From: Konkus, John
Sent: Wednesday, December 13, 2017 2:10 PM

To: Yamada, Richard (Yujiro) <yamada.richard@epa.gov>
Subject: ORD Grant

This one came across today. I have no issues. Just bringing it to your attention if you don't already know about it.

11/8/2016 3:58 PM	Office of Surveys- of Studies- Research Investigations and and Special Development Fund Grants within the Office of Research and Development (X3)	The NELAC Institute Profit	Not 9/1/2016 \$100,000	for Environmental Monitoring Conference (NEMC) as Part of the Env Mea Symposium	EPA is providing financial support to The NELAC Institute (TNI) to manage the National Environmental Monitoring Conference (NEMC) as part of the Environmental Measurement Symposium for the next five (5) years. TNI is responsible for conference design and management and outreach; location/hotel contract negotiations; and logistics.
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John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

To: Polk, Denise[Polk.Denise@epa.gov]; OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]
From: Konkus, John
Sent: Tue 9/5/2017 4:59:39 PM
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Thankyou Denise and team!

From: Polk, Denise
Sent: Tuesday, September 5, 2017 12:47 PM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Thanks, John, will do.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Tuesday, September 05, 2017 12:29 PM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>
Cc: Polk, Denise <Polk.Denise@epa.gov>; Konkus, John <konkus.john@epa.gov>
Subject: RE: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental &

Importance: High

NEW AWARDS: Mandy and I will need a briefing on this one...

AMENDMENTS: No to this one please....

ED 001685A 00001898-00002

(2048-2052) for expected emissions, land use changes, and potential climate changes. The project will develop an integrated, air/water quality modeling system for investigating the combined effects of land use and control policies in a changing environment.

Please set up a briefing on this project for me...

7/19/2017 8:56 AM	Office of Research and Development Consolidated Research (CR)	University of Virginia Institution of Higher Learning	7/19/2017 8:56 AM	Development/Application of Nitrogen Footprint	Release of nitrogen to the environment from human activities have lead to a host of environmental and human health concerns. This external N release is a consequence of human needs for food, fuel and fiber, and there is a role that individuals, institutions and communities can play in reducing N release to the environment, in addition to the traditional government regulatory framework. Researchers have recently developed important nitrogen footprint tools, including individual and institutional tools. These tools go beyond personal consumption patterns to capture the reactive N (Nr) released from the food served at dining facilities and as a result of powering buildings, labs, and hospitals. Ideally these tools would have a strong scientific base, but also would be relatively easy for decision-makers to use and understand. Institutions such as universities and colleges can use a nitrogen footprint tool to improve their sustainability by quantifying and reducing
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their nitrogen impact.

No to this one please...

7/17/2016	83590001	Science to Achieve Results and Development (RD)	Columbia University R2 - Columbia University in the City of New York	Private	11/20/2016	\$202,500	Identifying risks from Changing U.S. PM2.5	This grant supports research to assess relationships between air pollution meteorology and particulate matter (PM). The project will lead to analyses of daily PM and its co-occurrence with air pollution meteorology for 2005 to 2065 within several U.S. regions (e.g., NE, SE, SW, NW, Midwest) to isolate the role of climate change from changing emissions of PM and precursors.
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I have an OAR briefing on my schedule for 9/14 for this one. Until then please do not fund it...

5/31/2016	83619001	Surveys- of Air Studies- and Investigations on Radiation Demonstration and Special Purpose Activities relating to the Clean Air Act (XA)	International Council for Clean Profit Transportation	Not For Profit	8/1/2016	\$203,400	2018 CCAC Global Green Freight Initiative	The project will support and enhance both the Climate and Clean Air Coalition/United Nations Environment Program's "Black Carbon Emissions Reductions from Heavy Duty Vehicles and Engines: Green Freight Initiative (Part 1 and Part 2)" as well as the Climate Change Working Group, part of the Strategic and Economic Dialogue with the US and China. This includes areas such as technology assessment, driver training, and other best practices for green freight programs.
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No to this one please...

8/28/2016	83690001	Surveys- of Air Studies- and Investigations on Radiation Demonstration and Special Purpose Activities relating to the Clean Air Act (XA)	Massachusetts Institute of Technology	Private	4/28/2017	\$102,000	Integrated Assessment of Climate Change Mitigation, Impacts and Adaptation	This project will apply the Massachusetts Institute of Technology (MIT) Integrated Global Systems Model (IGSM) framework to provide an integrated assessment of greenhouse gases with a focus on climate change mitigation, impacts and adaptation within the United States, as well as
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how climate impacts may
affect mitigation options.

HOLD this one please...

8/8/2017	86579001	Office of Research and Development Consolidated Research (CR)	University of North Carolina at Chapel Hill	State Institution of Higher Learning	4/1/2018	2018	\$22,000.00	Human Health Effects of Environment Pollutants	This on-site cooperative agreement will use advanced and unique systems located in the EPA Human Studies Facility at Chapel Hill to expose healthy and diseased human volunteers, as well as respiratory tract cells from these volunteers, to a wide range of pollutants, while using a variety of physiological, molecular, social and clinical techniques to detect pollutants effects.
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Can we please unpack this one so I can see the details of this “omnibus” funding...

8/25/2017	86590001	Surveys- of the Studies- Administration and Special States Purpose Grants within the Office of the Administrator (X5)	Environmental Council for the States	Non-Profit	1/1/2018	2018	\$22,000.00	Omnibus Cooperative Agreement	This comprehensive nationwide project covers a wide array of topics including: air & water quality, drinking water, toxics, planning & resource allocation, data management, compliance & enforcement, solid & hazardous waste, emerging contaminants, climate change, energy & energy efficiency, public & environmental health, state capacity building, alignment of state & EPA priorities, civil rights, EJ, oversight, partnership enhancement, legal relationships, performance measures, streamlining of state environmental business processes, innovations, new technologies, and pollution prevention.
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Please confirm for me that these are US projects in the US...

8/29/2016 11:58 AM	North American Development Bank	Not Profit	10/1/2016 10:00 AM	Mexico Water and Wastewater Infrastructure	The Border Environment Infrastructure Fund (BEIF) program will be used to provide construction and transition assistance to eligible potable water and wastewater infrastructure projects. The projects identified in the workplan address first time drinking water and wastewater treatment service to improve human health and the environment.
2016-09-06	Infrastructure Grants as Authorized by EPA Appropriations (XP)				

-----Original Message-----

From: OGD_Grant_Reports

Sent: Tuesday, September 5, 2017 8:39 AM

To: Konkus, John <konkus.john@epa.gov>

Cc: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: (Weekly) Pending Report - Non Profits & Universities - New, Supplemental & Incremental - All Regions & HQ

Good Morning,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thanks,

Amanda Schulz

National Policy, Training, and Compliance Division Office of Grants and Debarment U.S. Environmental Protection Agency

Phone: 202-564-7412

To: Polk, Denise[Polk.Denise@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 11/14/2017 10:22:17 PM
Subject: FW: Revised list of Actions
Unresolved Grant Issues as of 9-21-17.xlsx

Is this the most updated? Thank you!

From: Polk, Denise
Sent: Thursday, September 21, 2017 8:27 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: Revised list of Actions
Importance: High

Greetings, John,

Attached is the revised list of unresolved/rescinded grant actions. Also, please be advised that starting Tuesday, September 26, OGD will be providing you a daily list of grant actions. I would very much appreciate an expedited review and response. We are approaching the end of the fiscal year and the grant actions must be signed by **Friday, September 29th**. I will call you on to discuss in more detail tomorrow.

As a reminder, I will be out of the office Friday, September 22nd through Tuesday, September 26th. Please send an email to both me and Ken Sylvester if you need anything. I will be monitoring my emails and will respond as quickly as I can to any requests.

Thanks as always and have a great weekend!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency

1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Mon 8/14/2017 5:42:50 PM
Subject: RE: new push

In the draft RFP, under section III it says “In accordance with CFDA 66.609, proposals will be accepted from state, local governments, territories, Indian tribes, and possessions of the U.S., including the District of Columbia, international organizations, public and private universities...”

BUT CFDA 66.609 doesn't say anything about “international organizations”.

From: Sylvester, Kenneth
Sent: Monday, August 14, 2017 1:21 PM
To: Konkus, John <konkus.john@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: new push

Here's the Word version

From: Konkus, John
Sent: Monday, August 14, 2017 1:17 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: RE: new push

Can you put this in word or as an attachment? Thank you!

From: Polk, Denise
Sent: Monday, August 14, 2017 1:16 PM
To: Konkus, John <konkus.john@epa.gov>
Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: Re: new push

Greetings, John,

The language in the CFDA is provided below. The title of the CFDA is: "Protection of Children from Environmental Health Risk". The "Eligibility" section is highlighted in yellow. Let me know if you have other questions.

Authorization (040):

Solid Waste Disposal Act, Section 8001; National Environmental Policy Act, Section 102(2)(F); Clean Water Act, Section 104; Marine Protection, Research, and Sanctuaries Act, Section 203; Toxic Substances Control Act, Section 10; Federal Insecticide, Fungicide, and Rodenticide Act, Section 20; Comprehensive Environmental Response, Compensation, and Liability Act (CERCLA), Section 311; Solid Waste Disposal Act, Section 1442; Clean Air Act, Section 103.

Objectives (050):

To support efforts by organizations; educational institutions; and/or State, local, and tribal governmental agencies to establish or enhance their ability to take actions that will reduce environmental risks to the health of children.

Funding Priority - Fiscal Year 2015: It is not anticipated that any awards will be made under CFDA 66.609 for FY 2015.

Funding Priority - Fiscal Year 2016: No content available.

Funding Priority - Fiscal Year 2017: No content available.

Types of Assistance (060):

Cooperative Agreements

Uses and Use Restrictions (070):

Grants and cooperative agreements are available to support recipients' allowable direct costs incident to approved surveys, studies, investigations, and special purpose assistance, plus allowable indirect costs, in accordance with established EPA policies and regulations. Funding awarded for research does not include research within the purview of EPA's Office of Research and Development. Funds awarded under Section 311(b)(3) of CERCLA must be used for projects relating to innovative or alternative treatment technologies that may be utilized in response actions to achieve more

permanent protection of human health and welfare and the environment. Assistance agreement awards under this program may involve or relate to geospatial information. Further information regarding geospatial information may be obtained by viewing the following website: <https://www.epa.gov/geospatial>.

Grant recipients and sub-recipients are encouraged to adopt and enforce policies that ban text messaging while driving company-owned or -rented vehicles or government-owned vehicles, or while driving privately-owned vehicles when on official government business or when performing any work for or on behalf of the government. Grant recipients and sub-recipients are encouraged to conduct initiatives of the type described in section 3(a) of the Federal Leadership on Reducing Text Messaging While Driving Executive Order that was signed on October 1, 2009. Generally this program makes Federal awards on a discretionary basis. For further information, please contact the Headquarters or regional office.

Eligibility Requirements (080)

Applicant Eligibility (081):

Assistance under this program is generally available to States or state agencies, territories, the District of Columbia, American Indian Tribes (federally recognized), and possessions of the U.S. It is also available to public and private universities and colleges, hospitals, laboratories, other public or private nonprofit institutions, and 501(c)(3) organizations. Nonprofit organizations described in Section 501(c)(4) of the Internal Revenue Code that engage in lobbying activities as defined in Section 3 of the Lobbying Disclosure Act of 1995 are not eligible to apply. For profit organizations are generally not eligible for funding. Some of EPA's statutes may limit assistance to specific types of interested applications. See "Authorization" listed above. For certain competitive funding opportunities under this CFDA description, the Agency may limit eligibility to compete to a number or subset of eligible applicants consistent with the Agency's Assistance Agreement Competition Policy.

Beneficiary Eligibility (082):

State agencies and local governments, U.S. territories and possessions, American Indian Tribes, universities and colleges, hospitals, laboratories, and other public and private nonprofit institutions and organizations.

Credentials/Documentation (083):

Documentation of nonprofit status may be required. Applicants may be requested to demonstrate they have appropriate background, academic training, experience in the field, and necessary equipment to carry out projects. The Office of Children's Health Protection may ask applicants or principal investigators to provide curriculum vitae and relevant publications. 2 CFR 200, Subpart E - Cost Principles applies to this program.

Application and Award Process (090)

Preapplication Coordination (091):

Regarding pre-application/pre-proposal assistance with respect to competitive funding opportunities under this program description, EPA will generally specify the nature of the pre-application/pre-proposal assistance, if any, that will be available to applicants in the competitive announcement. For additional information, contact the individual(s) listed as "Information Contacts" or see Appendix IV of the Catalog. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review. Environmental impact information is not required for this program. This program is eligible for coverage under E.O. 12372, "Intergovernmental Review of Federal Programs." An applicant should consult the office or official designated as the single point of contact in his or her State for more information on the process the State requires to be followed in applying for assistance, if the State has selected the program for review.

Application Procedures (092):

2 CFR 200, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards applies to this program. The standard application forms as furnished by the Federal agency and required by 2 CFR 200 and 1500, Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards must be used for this program. EPA requires final applications (except in limited circumstances approved by the Agency) to be made on Standard Form 424, "Application for Federal Assistance." Requests for application kits must be submitted to the Environmental Protection Agency, Grants and Interagency Agreements Management Division, (3903R), Washington, DC 20460 or through the appropriate EPA Regional Office listed in Appendix IV of the Catalog. Additional information on the EPA grant package can be found at: http://www.epa.gov/ogd/grants/how_to_apply.htm. For competitive awards, the Requests for Initial Proposals or Requests for Applications will specify application procedures. Applicants, except in limited circumstances approved by the Agency, must submit all initial applications for funding through <http://www.grants.gov>.

Award Procedure (093):

For competitive awards, EPA will review and evaluate applications, proposals, and/or submissions in accordance with the terms, conditions, and criteria stated in the competitive announcement. Competitions will be conducted in accordance with EPA policies/regulations for competing assistance agreements.

Deadlines (094):

Contact the headquarters or regional office, as appropriate, for application deadlines.

Range of Approval/Disapproval Time (095):

Approximately 180 days.

Appeals (096):

Assistance agreement competition-related disputes will be resolved in accordance with the dispute resolution procedures published in 70 FR (Federal Register) 3629, 3630 (January 26, 2005). Copies of these procedures may also be requested by contacting the individual(s) listed as "Information Contacts." Disputes relating to matters other than the competitive selection of recipients will be resolved under 2 CFR 1500 Subpart E, as applicable.

Renewals (097):

None. Generally, EPA incrementally funds grants and cooperative agreements for surveys, studies, and investigations. Approval of subsequent funding increments is dependent on satisfactory project progress, continued relevance of the project to the Office of Children's Health Protection's program priorities, and the availability of funds.

Assistance Consideration (100)**Formula and Matching Requirements (101):**

This program has no statutory formula.

Matching Requirements: This program has no statutory formula. EPA's Appropriation Act requires that applicants submitting unsolicited research grant proposals share in the cost of conducting research. The amount of the cost share will be based on the mutuality of interest between the Government and the applicant. This requirement cannot be waived by EPA. In addition, CERCLA Section 311(b)(3) requires that "to the maximum extent possible," EPA enter into an appropriate cost sharing arrangement with recipients of grants and cooperative agreements relating to innovative and alternative treatment technologies. EPA may waive the Section 311(b)(3) cost-sharing requirement in appropriate cases. Matching funds requirements may be established in program guidance or in the terms of competitive solicitations.

This program does not have MOE requirements.

Length and Time Phasing of Assistance (102):

Grants and cooperative agreements are usually funded on a 12- or 24-month basis. However, the Office of Children's Health Protection can negotiate the project period with each applicant based on project requirements. EPA has limitations on project periods,

and grants and cooperative agreements may be fully funded or incrementally funded. These determinations are made by EPA. See the following for information on how assistance is awarded/released: Assistance will be awarded in a lump sum and will be released on a reimbursement basis.

Post Assistance Requirements (110)

Reports (111):

No program reports are required. No cash reports are required. EPA includes reporting requirements for grants and cooperative agreements in the terms and conditions of the agreements. Agreements may require quarterly, interim, and final progress reports. Reporting requirements are also identified in EPA's grants regulations at 2 CFR 200 and 1500. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. These reports will cover work status, work progress, difficulties encountered, preliminary data results and a statement of activity anticipated during the subsequent reporting period, including a description of equipment, techniques, and materials to be used or evaluated. The report shall also include any changes of key personnel concerned with the project. Quarterly progress reports are required to be sent to the EPA Project Officer within 30 days after each reporting period. A discussion of expenditures along with a comparison of the percentage of the project completed to the project schedule and an explanation of significant discrepancies shall be included in the report. The EPA Project Officer is required to conduct baseline monitoring of each active award. For awards with original project periods 18 month or less in duration, the initial baseline monitoring will take place no later than six months from the award date. For awards with original project periods greater than 18 months in duration, the initial baseline monitoring will take place no later than 1 year from the award date. After the initial baseline monitoring, all subsequent baseline monitoring will occur within 12 months of the last baseline or advanced monitoring activity.

Audits (112):

In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-Federal entities that expend financial assistance of \$750,000 or more in Federal awards will have a single or a program-specific audit conducted for that year. Non-Federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that year, except as noted in 2 CFR 200.503. Grants and cooperative agreements are subject to inspections and audits by the Comptroller General of the United States, the EPA Office of Inspector General, other EPA staff, or any authorized representative of the Federal government. Reviews by the EPA Project Officer and the Grants Specialist may occur each year. In accordance with the provisions of 2 CFR 200, Subpart F - Audit Requirements, non-federal entities that expend \$750,000 or more in a year in Federal awards shall have a single or a program specific audit conducted for that year. Non-federal entities that expend less than \$750,000 a year in Federal awards are exempt from Federal audit requirements for that

year, except as noted in 2 CFR 200.503.

Records (113):

The record retention requirements of 2 CFR 1500 Subpart E (non-profits and institutions of higher education, governmental units) are applicable depending upon the identity of the recipient. Recipients must keep financial records, including all documents supporting entries on accounting records which support substantial changes to the grant, available to personnel authorized to examine EPA recipients' grants and cooperative agreement records. Recipient must maintain all records for a period of three years from the date of submission of final expenditures reports. If questions, such as those raised as a result of audits remain following the 3-year period, recipients must retain records until the matter is fully resolved.

Financial Information (120)

Account Identification (121):

68-0108-0-1-304.

Obligations (122):

(Cooperative Agreements) FY 15 Not Available; FY 16 est \$0; and FY 17 est \$0 - FY 2016 \$0.00, FY 2017 estimate \$0.00, FY 2018 \$0.00.

Range and Average of Financial Assistance (123):

Range: \$10,000 to \$150,000 per grant. Average: \$100,000 per grant (2 year grants).

Program Accomplishments (130):

Fiscal Year 2015: No awards made under 66.609 in FY 2015. Fiscal Year 2016: No awards made under 66.609 in FY 2016. Fiscal Year 2017: No content available.

Regulations, Guidelines, and Literature (140):

Surveys, studies, and investigations grants and cooperative agreements are subject to EPA general grant regulations, (2 CFR 200) Grants and Agreements with Institutions of Higher Education, Hospitals, and Other Non-Profit Organizations, Uniform Administrative Requirements for Grants and Cooperative Agreements to State and Local Governments. Costs will be determined in accordance with 2 CFR Subpart E for State and local governments and federally recognized tribes, 2 CFR 200 Subpart E for educational institutions, CFR 200 Subpart E for nonprofit institutions, and FAR Part 31 for "for profit" entities.

Information Contacts (150)

Regional or Local Office (151) :

See Regional Agency Offices.

Headquarters Office (152):

LaVonne Switzer, Office of Children's Health Protection; USEPA; Mail Code: 1107T; 1200 Pennsylvania Avenue, NW, Washington, District of Columbia 20460 Email: switzer.lavonne@epa.gov Phone: (202) 564-2711.

Website Address (153):

<http://yosemite.epa.gov/ochp/ochpweb.nsf/content/homepage.htm>

Related Programs (160):

Not Applicable.

Examples of Funded Projects (170):

Fiscal Year 2015: No awards were made in FY 2015 under CFDA 66.609. Fiscal Year 2016: No awards were made in FY 2016 under CFDA 66.609. Fiscal Year 2017: No content available.

Criteria for Selecting Proposals (180):

The evaluation and selection criteria for competitive awards under this CFDA description will be described in the competitive announcement. Non-competitive proposals are judged for: (a) technical merit in terms of: (1) strengths and weaknesses of the project, (2) adequacy of overall project design, (3) competency of proposed staff, (4) suitability of applicant's available resources, (5) appropriateness of the proposed project period and budget, and (6) probability that the project will accomplish stated objectives; and, for (b) program interest in terms of: (1) the need for the proposed project, and (2) relationship to program objectives.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

On Aug 14, 2017, at 12:45 PM, Konkus, John <konkus.john@epa.gov> wrote:

One question: Under section III. Eligibility Information, what is SFDA 66.609?

From: Polk, Denise

Sent: Friday, August 11, 2017 3:46 PM

To: Konkus, John <konkus.john@epa.gov>

Subject: FW: new push

Greetings, John, please see the attached document.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Cheaney, Stephanie

Sent: Friday, August 11, 2017 3:44 PM

To: Polk, Denise <Polk.Denise@epa.gov>

Subject: RE: new push

Stephanie L. Cheaney

EPA – Region 6

214-665-8057

cheaney.stephanie@epa.gov

From: Polk, Denise

Sent: Friday, August 11, 2017 2:39 PM

To: Reeder, John <Reeder.John@epa.gov>; McCluney, Lance
<McCluney.Lance@epa.gov>; Quarles, Michael <Quarles.Michael@epa.gov>; Reed,
Khesha <Reed.Khesha@epa.gov>; McDonald, James <McDonald.James@epa.gov>

Cc: Binder, Bruce <Binder.Bruce@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>;
Cheaney, Stephanie <cheaney.stephanie@epa.gov>

Subject: RE: new push

Greetings, John,

I just spoke to John and will run it by him right now. Stay tuned!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Milbourn, Cathy[Milbourn.Cathy@epa.gov]
From: Konkus, John
Sent: Fri 9/22/2017 2:07:41 PM
Subject: RE: Grants in USVI/PR

We're not announcing grants, we announcing we're fast tracking grants and helping.

From: Milbourn, Cathy
Sent: Friday, September 22, 2017 10:01 AM
To: Konkus, John <konkus.john@epa.gov>
Subject: Grants in USVI/PR
Importance: High

Last line of the email:

My recommendation is that

Ex. 5 - Deliberative Process

Ex. 5 - Deliberative Process

From: Mears, Mary
Sent: Friday, September 22, 2017 9:59 AM
To: Konkus, John <konkus.john@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Binder, Bruce <Binder.Bruce@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>; Kluesner, Dave <kluesner.dave@epa.gov>
Subject: Grants in USVI/PR

Hi John,

This Puerto Rico BF grant was already announced when they were selected. We all announced a batch of BF grants in May of this year when they were selected – so these grants are in the process of being awarded. I should note that work on these types of

projects is almost certainly on hold while PR and USVI are responding to the immediate issues presented by Maria and Irma.

Ex. 5 - Deliberative Process

Mary Mears

Public Affairs Director

U.S. EPA Region 2 (NJ, NY, PR, the US VI and eight Indian Nations)

(212) 637-3673 (Direct Office)

(212) 637-3660 (General Office)

(646) 369-0077 (Cell)

From: Konkus, John

Sent: Friday, September 22, 2017 9:01 AM

To: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Binder, Bruce <Binder.Bruce@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>; Mears, Mary <Mears.Mary@epa.gov>

Subject: RE: VI grant on congressional notification list this morning

Here's one for PR in today's report too...

9626811JF 0	EPA R2 \$199,010.00 9/21/2017	PathStone Corp - PathStone Corporation	Environmental Training and Employment in PR	PathStone Corp. will use this funding to carry out a job training/environmental workforce development project for residents of Puerto Rico. This program will train participants in the assessment and cleanup of local brownfield sites. They will be trained in treatment technologies, solid waste management and cleanup to meet the needs of local employers and contractors involved in redevelopment initiatives. This project provides funding to recruit, train, place residents of Puerto Rico in careers with skills needed to safely conduct remediation work at solid and hazardous waste contaminated sites, as well as appropriate training in chemical safety.
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From: Konkus, John
Sent: Friday, September 22, 2017 8:56 AM
To: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Binder, Bruce <Binder.Bruce@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>; Mears, Mary <Mears.Mary@epa.gov>
Subject: VI grant on congressional notification list this morning
Importance: High

Can we “fast track” this grant to get the money to VI next week rather than wait the 5 days? The idea is to get them all the possible help we can even if it’s not directly Hurricane related? Same with any PR grants we have in the pipeline?

9626631RP 0	EPA R2 \$104,677.00 9/21/2017	VI Dept. of Planning and Natural Resources	VI DPNR - Brownfields Response Program	The objective of this project is to assist in remediating and redeveloping brownfields sites through the expansion of the State's current response program. Specifically, the State will perform an inventory of brownfields sites, conduct targeted brownfields site assessments, and enhance the public record system. The
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activities to be performed will result in increased environmental benefits, specifically cleaning up and putting back into use contaminated sites.

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

To: OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]; Sylvester, Kenneth[Sylvester.Kenneth@epa.gov]
From: Konkus, John
Sent: Tue 10/17/2017 2:03:23 PM
Subject: FW: Weekly Pending Report - Non Profits & Universities
Pending Grants Report - Non Profits and Univeristies 2017-10-16.xlsx

I need more information on this one...we already have EE grants and this looks like one that is outside the normal EE grant program that I am familiar with:

10/16/2017 2:03:23 PM	Environmental North	Not 9/1/2016/2016-536000	Leadership and Training Collaborative	Project ee306 will train a cadre of culturally diverse education professionals to deliver environmental education (EE) in formal and non-formal settings and strengthens the EE field so that these efforts are sustained in the future. Activities include improving the practice of 4M+ formal and non-formal educators, updating and/or creating EE Guidelines for Excellence; and training Community Change Fellows who will implement action plans that will demonstrate how individuals and communities can respond to climate change.
2016-536000	of the Education American for			
2016-536000	Administration Training Association Profit			
2016-536000	Program for			
2016-536000	(NT) Environmental			
2016-536000	Ed			

The rest are good to go.

Thank you.

-----Original Message-----

From: OGD_Grant_Reports
Sent: Tuesday, October 17, 2017 8:03 AM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Konkus, John <konkus.john@epa.gov>
Cc: Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>
Subject: Weekly Pending Report - Non Profits & Universities

Good Morning,

Attached for your review is the pending grant report for non-profits and universities. Please let us know if you have any questions or concerns.

Thanks,

Amanda Schulz

National Policy, Training, and Compliance Division Office of Grants and Debarment U.S.
Environmental Protection Agency

Phone: 202-564-7412

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Tue 8/8/2017 6:38:15 PM
Subject: RE: Pending Grant Report for Non-Profits and Universities

Hold for now. I will meet with the DAA's about each and then get back with you. Thanks!

From: Polk, Denise
Sent: Tuesday, August 8, 2017 2:36 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

John,

Did you want to rescind these grants or did you want to meet about them. Please advise and thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Konkus, John
Sent: Tuesday, August 08, 2017 2:27 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

Correct. Thank you.

From: Polk, Denise
Sent: Tuesday, August 8, 2017 2:26 PM
To: Konkus, John <konkus.john@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

Greetings, John,

Greetings, John,

Please disregard my previous email. I think these are accurate. Please advise! Thanks!

Line 52 (New Awards List):

7/13/2017	2017-03840001	Office of Air Studies- and Investigations- Radiation Demonstrations and Special Purpose Activities relating to the Clean Air Act (XA)	J	United Nations for Foundation	Not Profit	3/1/2018	2018-02293000	Household Energy -- Communication and Outreach	This project will build on critical support from the U.S. Environmental Protection Agency (EPA) in its efforts to raise global awareness and engagement around the environmental, climate, health, and livelihood benefits of clean cookstoves and fuels, and integrate clean cooking into global and regional approaches to support and spur sustainable and inclusive development. This proposal will expand and steward the Alliance's partnership base for the development of an integrated and robust communications and outreach program, capacity building for Alliance partners, standards development, and biogas promotion activities. The goal of the grant is to drive greater awareness and action on the health, environmental, and gender benefits from the use of traditional cookstoves, as well as to leverage the Alliance's 1,700-member partnership base for greater impact.
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Line 23 (Amendments List):

7/17/2017	2017-03580001	Office of Science to Achieve Results and Development Program (RD)		Georgia Tech Research Corporation	State Institution Higher Learning	1/1/2018	2018-02035400	Nitrogen/Wildfire Emissions & Climate/Land Use	This grant supports research to develop climate change related linkages among land use change, emissions and deposition of reactive nitrogen and air, soil and water quality. Computer models will assess climate and air quality in an historic period (2006-
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						2010) and a future period (2048-2052) for expected emissions, land use changes, and potential climate changes. The project will develop an integrated, air/water quality modeling system for investigating the combined effects of land use and control policies in a changing environment.
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Line 32 (Amendments List):

7/14/2015	63580001	Office of Science to Achieve Results and Development Program (RD)	University of California-Davis	State Institution of Higher Learning	4/1/2018	63580001	Energy Portfolios to Achieve GHG & PM2.5 Targets	This grant supports research to identify major sources and composition of air pollutants in the year 2050 resulting from different energy portfolios that optimize economic outcomes related to different assumptions about available technology and climate change. Analyses will include detail to allow air quality health costs to be calculated for a range of socio-economic classes. The study will use combinations of different climate models, air quality models, and energy portfolios.
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Line 33 (Amendments List):

5/31/2015	63614001	Office of Air Studies and Radiation Demonstration and Special Purpose Activities relating to the Clean	International Council for Clean Profit Transportation	Not for Profit	8/1/2018	63614001	2018 CCAC Global Green Freight Initiative	The project will support and enhance both the Climate and Clean Air Coalition/United Nations Environment Program's "Black Carbon Emissions Reductions from Heavy Duty Vehicles and Engines: Green Freight
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Air Act (XA)

Initiative (Part 1 and Part 2)" as well as the Climate Change Working Group, part of the Strategic and Economic Dialogue with the US and China. This includes areas such as technology assessment, driver training, and other best practices for green freight programs.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: Polk, Denise

Sent: Tuesday, August 08, 2017 1:57 PM

To: Konkus, John <konkus.john@epa.gov>

Subject: RE: Pending Grant Report for Non-Profits and Universities

Greetings John,

Are these the actions that you want me to hold? Just want to confirm before I sent out the formal message. Thanks!

New Award, Line 52:

6/21/2017	1217370001	Office of Water	Surveys- C Studies- Investigations- Demonstrations and Special Purpose- Section 1442 of the Safe Drinking Water Act (X6)	Rural Community Assistance Partnership Inc	Not Profit	8/1/2017	2017-2019	50000	Financial and Technical Assistance for Small Public Water Systems to Achieve and Maintain Compliance	The Rural Community Assistance Partnership and its team of strategic partners will expand and continue training and technical assistance services to small systems in order to help them achieve and maintain compliance. The Rural Community Assistance Partnership team will provide services in all 50 states, at least two United States territories, plus multiple tribal communities over the course of 18 months. The main components of this approach are: 1) the continued consultation and collaboration with appropriate regulatory authorities - including state primacy agencies and Environmental Protection Agency regional direct implementation coordinators - in order to set and refine priorities for activities; 2) routine reporting to primacy agencies, national Environmental Protection Agency, and Environmental Protection Agency Regions; 3) development and delivery of effective and efficient trainings 2 both face-to-face and online; 4) providing on-site and remote technical
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Water Studies and Technology for
Investigations Information Profit
Grants and Center
Cooperative
Agreements-
Section
104(b)(3) of
the Clean
Water Act
(X7)

Monitoring Technical leadership and technical
Training Workshops for support to plan,
States, Tribes and Other organize, coordinate,
Stakeholders evaluate and share
information from State
and Tribal Aquatic
Resource Monitoring
Technical Training
Workshops. Two
national workshops and
up to 10 aquatic
resource-specific training
workshops will occur
from 2016 to 2020. The
workshops will enhance
collaboration,
communication,
coordination and
technology transfer
among the more than
800 professionals and
academic experts
attending the workshops.

7/25/2016 7:35 PM Office of Surveys- Winrock Not 1/18/2017 7:25 PM Household Energy Cap
Air and Studies- International for Bldg & Tech Assistance
Radiation Investigations- Profit
Demonstrations
and Special
Purpose
Activities
relating to
the Clean
Air Act (XA)

The applicant will
support capacity
building, technical
assistance and
information exchange to
improve health.
livelihood, and quality of
life by reducing exposure
to indoor air pollution
from household energy
use in developing
countries.

From: Konkus, John
Sent: Tuesday, August 08, 2017 1:31 PM
To: Polk, Denise <Polk.Denise@epa.gov>
Subject: RE: Pending Grant Report for Non-Profits and Universities

Under the New Actions tab:

Number 52 I rescinded last week. Please ensure it doesn't move forward.

Under the Amendments tab:

Numbers 23, 32, 33 don't move it forward please.

Thank you.

From: OGD_Grant_Reports

Sent: Monday, August 7, 2017 10:24 AM

To: Konkus, John <konkus.john@epa.gov>

Cc: Polk, Denise <Polk.Denise@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>;

OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Etheredge, William

<Etheredge.William@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry

<neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>

Subject: Pending Grant Report for Non-Profits and Universities

Good morning John,

Attached for your review is the pending grant report for non-profits and universities. Please let me know if you have any questions or concerns.

Sincerely,

Amanda Schulz

National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency

Phone: 202-564-7412

To: Polk, Denise[Polk.Denise@epa.gov]
From: Konkus, John
Sent: Fri 8/25/2017 2:43:16 PM
Subject: Re: R10 Grants Still Under Review

Good to go! Thank you!

John Konkus

Environmental Protection Agency

Deputy Associate Administrator for Public Affairs

Mobile: (202) 365-9250

On Aug 25, 2017, at 10:41 AM, Polk, Denise <Polk.Denise@epa.gov> wrote:

Greetings, John,

See the revised changes to the project descriptions below for the R10 actions that did not clear yesterday. If these changes are acceptable, please let me know so I can move these actions forward. Thanks!

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

From: VanHaagen, Paula

Sent: Thursday, August 24, 2017 8:12 PM

To: Polk, Denise <Polk.Denise@epa.gov>; Lindsay, Nancy <Lindsay.Nancy@epa.gov>

Subject: RE: R10 Grants Still Under Review

Hi, Denise,

I had already sent PA-01J26101, first on the list below, back to the GS to work with the PO to revise the project description. We will revise the project description to read:

This project has five components which includes the continued participation at the regional level for the benefit of all the Puget Sound (PS) Tribes at the Leadership Council: 1) Regional and Local PS Action Agenda; 2) Monitoring, evaluation, and participation in land use permitting processes; 3) Coordinate, educate, and motivate volunteers focusing on sustainability; 4) Contract with the Nisqually River Foundation to support the Nisqually River Council and its public involvement, education and outreach actions; and 5) Project Administration and Information Management.

The second one, GA - 01J05201, was already revised to:

Gulkana will continue capacity building by maintain an environmental office and staff. Gulkana environmental staff will attend appropriate trainings. Gulkana seeks to establish water rights for the Gulkana River at Mile 127 Richardson highway. Gulkana will research and build capacity towards environmental impacts on the tribe and the region. Gulkana will continue recycling solid waste collection and outreach.

The third one, GA- 01J04901, is being revised to read:

This proposal contains four (4) primary objectives which are identified as program components: 1) Management of Environmental Office 2) Implement Children's Environmental Health activities in the Environmental Program 3) Build capacity to address environmental issues that impact the community. 4) Solid Waste Management - Supplemental Funding: To reduce the volume of household trash by burning it in a safe and contained unit that is designed for hotter and cleaner combustion.

The fourth one, GA-01J03401, is being revised so that element 4 reads: 4. Assess and document shoreline changes on local freshwater systems.

The fifth one, GA-01J13801, will be revised to read:

Tribe will build capacity to manage environmental programs to improve tribal health and environment thru staff training, community outreach and education, dumpsite inventory and clean up of scrap metals, establishing a water quality baseline monitoring program that incorporates youth education, and launching an initiative that incorporates Elder Traditional Knowledge.

The sixth one, BG-01J06801, will be revised to read:

Engage in Government to Government Relations to address Makah Environmental Priorities; Expand Water Quality Program through research, monitoring and data collection; provide outreach and education programs; clean up marine debris; conduct air quality monitoring; Develop a solid waste program. Upgrade stream crossings (culverts) and rehabilitate side slopes in the Tsoo-Yes watershed.

Paula

206-553-6977

From: Polk, Denise

Sent: Thursday, August 24, 2017 6:55 PM

To: Lindsay, Nancy <Lindsay.Nancy@epa.gov>; VanHaagen, Paula <vanhaagen.paula@epa.gov>; Cunningham, Dennis <Cunningham.Dennis@epa.gov>; Weckesser, Mike <Weckesser.Mike@epa.gov>; Wright, Felicia <Wright.Felicia@epa.gov>; Nishida, Jane <Nishida.Jane@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Schulz, Amanda <schulz.amanda@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>; Grantham, Nancy <Grantham.Nancy@epa.gov>; Milbourn, Cathy <Milbourn.Cathy@epa.gov>; Keesee, Peyton <keesee.peyton@epa.gov>; Pumphrey, William <pumphrey.william@epa.gov>

Cc: Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>

Subject: R10 Grants Still Under Review

Importance: High

Greetings,

The following R10 grant actions are still under review and cannot move forward until further notice. Thanks in advance for your patience and understanding. Please let me know if you have any questions or concerns.

5/24/2017	Amendment	01J261019	Region Puget Nisqually Indian Sound Tribe Protection	10/1/2016	10/30/2017	25,000,000	Puget Sound This project has five components which includes continued participation at
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			and of the Restoration Tribal Reserve Implementation Assistance Program (PA)			and the regional level for the Restoration benefit of all the Puget Tribal Sound (PS) Tribes at the Implementation Council: 1) Assistance Regional and Local PS Program Action Agenda; 2) Monitoring, evaluation, and participation in land use permitting processes; 3) Coordinate, educate, and motivate volunteers focusing on sustainability due to climate change; 4) Contract with the Nisqually River Foundation to support the Nisqually River Council and its public involvement, education and outreach actions; and 5) Project Administration and Information Management.	
6/9/2017	Amendment 10	5201	Region Indian Gulkana Indian 10 Environmental Village Tribe General Assistance Program (GA)	10/1/2017	9/30/2018	125,050.00	Gulkana Gulkana will continue capacity building by maintain an Council environmental office and staff. Gulkana environmental staff will Program attend appropriate trainings. Capacity Gulkana seeks to establish Building water rights for the Gulkana River at Mile 127 Richardson highway. Gulkana will research and build capacity towards Climate change impacts on the tribe and the region. Gulkana will continue recycling solid waste collection and outreach.

7/1/2016	Amendment 10	5201	Region Indian 10 Environmental General Assistance Program (GA)	Native Village of Diomed Tribe (IRA) (AKA Inalik)	10/1/2017	9/30/2018	128,000.00	Native Village of Diomed Environmental Program This proposal contains four (4) primary objectives which are identified as program components: 1) Management of Environmental Office 2) Implement Children's Environmental Health activities in the Environmental Program 3) Build capacity to address climate change issues that impact the community. 4) Solid Waste Management - Supplemental Funding: To reduce the volume of household trash by burning it in a safe and contained unit that is
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5/4/2017	2017-01-01 to 2017-03-31	Region 10	Indian Environmental General Assistance Program (GA)	Chignik Lake Village	Indian Tribe	10/1/2017 to 9/30/2018	2,000,000.00	Indian Environmental General Assistance Program - FY 18 (IGAP)	<p>designed for hotter and cleaner combustion.</p> <p>1. Build tribal capacity to develop/manage a compliant tribal environmental program through attention to responsibilities, technical training, and Joint EPA-Tribal Plan (ETEP) review/revision.</p> <p>2. Build partnerships on collaborative environmental initiatives/planning processes including developing a Tribal Multi-Hazard Mitigation Plan, conducting Small Community Emergency Response Plan (SCERP) review/revision/drills.</p> <p>3. Conduct annual community cleanup, maintain solid waste collection and landfill operations, prioritize Integrated Waste Management Plan (IWMP) recommendations.</p> <p>4. Assess and document climate change effects on local freshwater systems.</p>
8/15/2017	2017-01-01 to 2017-03-31	Region 10	Indian Environmental General Assistance Program (GA)	Kasigluk Traditional Elders Council	Indian Tribe	10/1/2017 to 9/30/2018	2,000,000.00	Indian General Assistance Program (IGAP)	<p>Tribes will build capacity to manage environmental programs to improve tribal health and environment thru staff training, community outreach and education, dumpsite inventory and clean up of scrap metals, establishing a water quality baseline monitoring program that incorporates youth education, and launching a climate change initiative that incorporates Elder Traditional Knowledge.</p>

7/24/2017	2017-2018	Region 10	Performance Partnership Grants (BG)	Makah Indian Tribe of the Makah Indian Reservation	Indian Tribe	1/1/2018	\$200,210.00	FY18 Makah Ecosystem-based Environmental Division Management, Air & Water Programs	Engage in Government to Government Relations to address Makah Environmental Priorities; Expand Water Quality Program through research, monitoring and data collection; provide outreach and education programs; develop appropriate climate change programs and clean up marine debris; conduct air quality monitoring; Develop a solid waste program. Upgrade stream crossings (culverts) and rehabilitate side slopes in the Tsoo-Yes watershed.
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This amendment includes \$100,000 CWA 319 competitive funding awarded under EPA-OW-OWOW-17-01.

Denise A. Polk, Director

Office of Grants and Debarment (OGD)

U.S. Environmental Protection Agency
1200 Pennsylvania Avenue, NW
Mail Stop: 3901R
Washington, DC 20460

(202) 564-5306 (Phone)

(202) 306-1056 (Cell)

Email: Polk.Denise@epa.gov

To: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov];
OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]; Neal,
Kerry[neal.kerry@epa.gov]
From: Konkus, John
Sent: Thur 12/7/2017 2:30:39 PM
Subject: RE: OGD Weekly Grant Reports

#29 is good to go!

From: Sylvester, Kenneth
Sent: Thursday, December 7, 2017 8:33 AM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports
<OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Neal, Kerry
<neal.kerry@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Hi John,

Just wanted to follow up to see if there was a determination made on the BMI grant (OITA).
You mentioned in your original email that you were going to check-in with Sara Greenwalt. I
informed OITA that we are holding the grant until a determination is made. Please advise.

Thanks,

Ken

202-564-1902

From: Sylvester, Kenneth
Sent: Tuesday, December 05, 2017 9:16 AM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports
<OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Neal, Kerry
<neal.kerry@epa.gov>
Cc: Grantham, Nancy <Grantham.Nancy@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Hi John,

I reached out to ORD about the CO State University vs the Wisconsin reference issue and they intend to send you a response directly explaining what the issue is. I'm anticipating that James Gentry will be sending you the response sometime this morning.

I also informed OITA that you're checking in with others about the BMI grant amendment and that we wouldn't continue moving forward until we hear back from you.

Ken

From: Sylvester, Kenneth
Sent: Monday, December 04, 2017 3:57 PM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>
Cc: Grantham, Nancy <Grantham.Nancy@epa.gov>
Subject: RE: OGD Weekly Grant Reports

Hi John,

Thanks for the quick response. Let me check into the #14 and #22 issues that you identified and get back to you..

I'll also inform OITA (#29) about holding the BMI amendment until we hear back from you.

Ken Sylvester

Special Assistant to the Office Director

Office of Grants and Debarment

U.S. Environmental Protection Agency

1200 Pennsylvania Ave, NW

Washington, D.C. 20460

(Mail Code 3901R)

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Email: sylvester.kenneth@epa.gov

Phone: (202) 564-1902

Grant Resources:

(External Agency Customers): <https://www.epa.gov/grants>

(Internal Agency Customers): <http://intranet.epa.gov/ogd/>

From: Konkus, John

Sent: Monday, December 04, 2017 3:32 PM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>

Cc: Grantham, Nancy <Grantham.Nancy@epa.gov>

Subject: FW: OGD Weekly Grant Reports

I'm running #29 on the Amendments page by Sara Greenwalt.

#14 and #22 under New Actions says Wisconsin Study of Children's Health but says the awardee is CO State University?

All the rest are good.

Nancy #11 under New Actions and #36, #37 and #39 under Amendments are lead grants that can be included “war on lead” week.

From: OGD_Grant_Reports

Sent: Monday, December 4, 2017 1:19 PM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Fenton, Kathleen <Fenton.Kathleen@epa.gov>; Sanders, LaTonya <Sanders.Latonya@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: OGD Weekly Grant Reports

EPA Colleagues,

You are receiving this email because the Chief of Staff has requested that you be included on this distribution list. Attached is the weekly New, Supplemental and Incremental Amendment Pending Grant Actions and Congressional Notification reports.

Below is a table with a description and point of contact (POC) for each report. Please don't hesitate to reach out with any questions related to this submission.

Report Type	Report Description	POC	Report Submission Frequency
New, Supplemental	The purpose of this report is to provide a	William Etheredge,	Weekly

<p>and Incremental Amendment Pending Grant Actions</p>	<p>listing of the Agency-wide Funding Packages (includes a Commitment Notice and either a Funding Recommendation or a Change Request form) that have been “Finalized” by the Program Office, have had draft award documents initiated by the Grants Office staff (signified by entry of a “Funding Package Date” in the Draft Award document) and are pending award issuance by the Regional/HQ Award Official. Furthermore, the report lists individual New Awards and Supplemental and Incremental Amendment grant monetary actions. The source of the information contained in the report is the Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.</p>	<p>however all inquiries should be submitted to the OGD email address titled:</p> <p><u>OGD_Grant_Reports@epa.gov</u></p>	<p>every Monday</p>
<p>Congressional Notification</p>	<p>The purpose of this report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.</p>	<p>Michael Williams, however all inquiries should be submitted to the OGD email address titled:</p> <p><u>OGD_Grant_Reports@epa.gov</u></p>	<p>Daily</p>

Thank you,

Michael D. Williams

U.S. Environmental Protection Agency

National Policy, Training, and Compliance Division

1300 Pennsylvania Ave, NW

MC-3903R

Washington, DC 20460

(202) 564-1068

Email: williams.michael@epa.gov

To: Sylvester, Kenneth[Sylvester.Kenneth@epa.gov];
OGD_Grant_Reports[OGD_Grant_Reports@epa.gov]; Polk, Denise[Polk.Denise@epa.gov]
Cc: Abboud, Michael[abboud.michael@epa.gov]; Titus, Debbie[Titus.Debbie@epa.gov]; Neal, Kerry[neal.kerry@epa.gov]
From: Konkus, John
Sent: Tue 11/7/2017 3:12:00 PM
Subject: RE: Daily Grant Report (Congressional Notification)

Can we confirm the grantee has been notified they're getting this grant?

From: Sylvester, Kenneth
Sent: Tuesday, November 7, 2017 9:51 AM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>
Cc: Abboud, Michael <abboud.michael@epa.gov>; Titus, Debbie <Titus.Debbie@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>
Subject: RE: Daily Grant Report (Congressional Notification)

Hi John,

I just received additional information from the Regional GMO (Debbie Titus) which is as follows:

The Pollution Prevention Regional Information Center (P2RIC) will provide customized Market Research Reports (MRR) to eight Technical Assistance Programs (TAPs) which will help them identify businesses in their state that (1) are high emitters, (2) produce significant economic impact, (3) have local environmental impact, and (4) are located in a high density area of peers, and (5) contribute to environmental impact in the National Emphasis Areas (NEAs) of food processing, greenhouse gas emitters, or toxics emitters. P2RIC will provide consolidated data and analysis to assist the TAPs with outreach.

Will the above paragraph suffice, or do you still need a phone call with the regional contacts to occur?

Ken Sylvester

Special Assistant to the Office Director

Office of Grants and Debarment

U.S. Environmental Protection Agency

1200 Pennsylvania Ave, NW

Washington, D.C. 20460

(Mail Code 3901R)

-

Email: sylvester.kenneth@epa.gov

Phone: (202) 564-1902

Grant Resources:

(External Agency Customers): <https://www.epa.gov/grants>

(Internal Agency Customers): <http://intranet.epa.gov/ogd/>

From: Konkus, John

Sent: Tuesday, November 07, 2017 9:41 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise
<Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>

Cc: Abboud, Michael <abboud.michael@epa.gov>

Subject: RE: Daily Grant Report (Congressional Notification)

Any progress on this? Can Michael (copied) and I jump on a phone call with the region today?

From: Konkus, John

Sent: Friday, November 3, 2017 3:33 PM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: RE: Daily Grant Report (Congressional Notification)

Thank you!

From: OGD_Grant_Reports
Sent: Friday, November 3, 2017 3:32 PM
To: Konkus, John <konkus.john@epa.gov>; OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: RE: Daily Grant Report (Congressional Notification)

Will do.

Laurice Jones

Director, National Policy, Training and Compliance Division

Office of Grants and Debarment

US EPA

202-564-0223

From: Konkus, John
Sent: Friday, November 03, 2017 3:14 PM
To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>
Subject: FW: Daily Grant Report (Congressional Notification)

Grants Team: A member of Congress has taken interest in this grant. Can R7 provide us some additional detail about it? Maybe a paragraph layman's description? Thank you.

From: OGD_Grant_Reports

Sent: Friday, October 13, 2017 8:34 AM

To: OGD_Grant_Reports <OGD_Grant_Reports@epa.gov>

Cc: Vizian, Donna <Vizian.Donna@epa.gov>; Showman, John <Showman.John@epa.gov>; Cooper, Marian <Cooper.Marian@epa.gov>; Bell, Matthew <Bell.Matthew@epa.gov>; Flynn, Mike <Flynn.Mike@epa.gov>; Milazzo, Julie <Milazzo.Julie@epa.gov>; Etheredge, William <Etheredge.William@epa.gov>; Neal, Kerry <neal.kerry@epa.gov>; Polk, Denise <Polk.Denise@epa.gov>; Sylvester, Kenneth <Sylvester.Kenneth@epa.gov>; Jones, Laurice <Jones.Laurice@epa.gov>; Durand, Jessica <Durand.Jessica@epa.gov>

Subject: Daily Grant Report (Congressional Notification)

EPA Colleagues,

Attached is the report for 10/13/17.

You are receiving this email because the Chief of Staff has requested that you be included on this daily report. The purpose of the attached report is to show monetary grant awards that were signed by EPA Award Officials the previous business day. When the award is signed, a Congressional Notification is automatically triggered within the Integrated Grants Management System (IGMS) database. The source of the information contained in the CN report is Grants Data Mart, which reflects information input into IGMS by Grants Management staff across the agency.

Please don't hesitate to reach out to OGD_Grant_Reports@epa.gov with any questions related to this submission.

Amanda Schulz
National Policy, Training, and Compliance Division

Office of Grants and Debarment

U.S. Environmental Protection Agency
Phone: 202-564-7412

